Interim Evaluation Report (AMIF) 4
Workflow 5
Create the Evaluation Report (AMIF) 6
Record/Edit the Evaluation Report (AMIF) 10
General 10
Version Information 10
Officials in Charge 11
History 13
Documents 13
Uploading & Sending documents 14
Sending an unsent non-integral document 16
Deletion of an unsent document 18
Hiding a sent document 19
Observations 20
Independent experts 21
Executive summary 22
Context 23
Challenges and impact 24
III. Deviations 26
IV. Evaluation questions 27
Effectiveness 27
SO1 Asylum 27
Asylum procedures 28
Reception conditions 30
Qualification directive 31
1.1.4 Asylum policies & procedures 32
1.1.5 Resettlement programmes & strategies 34

SO2 Integration/legal migration 35
Support of legal migration 36
Integration of third-country nationals 37
Cooperation among Member States 38
Building capacity on integration/legal 40
SO5 Return 41
Measures accompanying return 42
Return measures 44
Co-operation between Member States 45
1.3.4 Building capacity of return 46
SO4 Solidarity 47
Transfer of asylum applicants 49
Transfer between Member States 50
Efficiency 51
Results 52
Measures 53
Relevance 55
Objectives 56
Measures 57
Coherence 58
Assessment of other interventions 59
Co-ordination mechanisms 60
Coherence with other interventions 61
Complementary 62
5.1 Assessment of other interventions 63
5.2 Co-ordination mechanisms 65
5.3 Overlapping of financial instruments 66

EU added value 67
Main types of added value 68
Implementation of EU policies 69
Consequences of interruption of EU support 70
6.4 Benefit at the Union level 71
Sustainability 72
Main measures adopted 74
Sustainability checks in place 75
7.3 Sustainability of outcomes/benefits 76
Administrative burden 77
Simplification 78
V. Project examples 80
Success stories 80
Examples 1 to 3 80
Failure 81
Example 81
VI. Methodology 82
VII. Conclusions & Recommendations 84
Conclusions 84
Conclusion 1 to 5 84
Recommendations 85
Recommendation 1 to 5 85
VIII. Mid-term review 86
IX. Indicators 88
By specific objective 89
On efficiency, added value & sustainability 91
Annex: Data 92
Table 1: Financial implementation 93

Table 2: Projects by SO 95
Table 3: Projects by type of beneficiaries 97
Project beneficiaries 01/01/2014-15/10/2016 97
Project beneficiaries 16/10/2016-30/06/2017 98
Table 4: Special cases 99
Validate the Evaluation report (AMIF) 99
Send the Evaluation Report (AMIF) 101
Delete the Evaluation Report (AMIF) 103
Create a New Version of the Evaluation Report (AMIF) 105
Additional Functionality 105
Early warning notification 105
Indicator data to be provided by the Commission before the submission period 106

Interim Evaluation Report (AMIF)

PURPOSE

This document describes the specifications and details related to the Interim and Final (ex-post) Evaluation Report procedure as described in **Article 57 of Regulation (EU) No 514/2014** of the European Parliament and of the Council of 16 April 2014 and more specific those related to the Evaluation Reports for AMIF.

The deadline for submission of the **Interim** Evaluation Reports is **31 December 2017**. For the **Final** Evaluation Report, the deadline for submission is **31 December 2023**.

As of 09/10/2023 the Final Evaluation Report will not be used anymore in this structured object but will be implemented via a referring document type “Ex post evaluation report – HR Article 57(1) point (b)” under the Evaluation Documents menu.

REGULATIONS

More detail regarding the regulation of the Evaluation Reports can be found in the 'About SFC2014' section of this portal.

ROLES

Roles involved in the Evaluation Report are:

MS Responsible Authority	Create the Evaluation Report Record the Evaluation Report Upload the Evaluation Report Consult the Evaluation Report Delete the Evaluation Report Validate the Evaluation Report Send the Evaluation Report Return the Evaluation Report Create New Version of the Evaluation Report
MS Audit Authority	Consult the Evaluation Report

FUNDS

AMIF			

PRE-CONDITIONS

The National Programme is **ADOPTED**.

When creating the Interim or Final Evaluation Report, it doesn't yet exist.

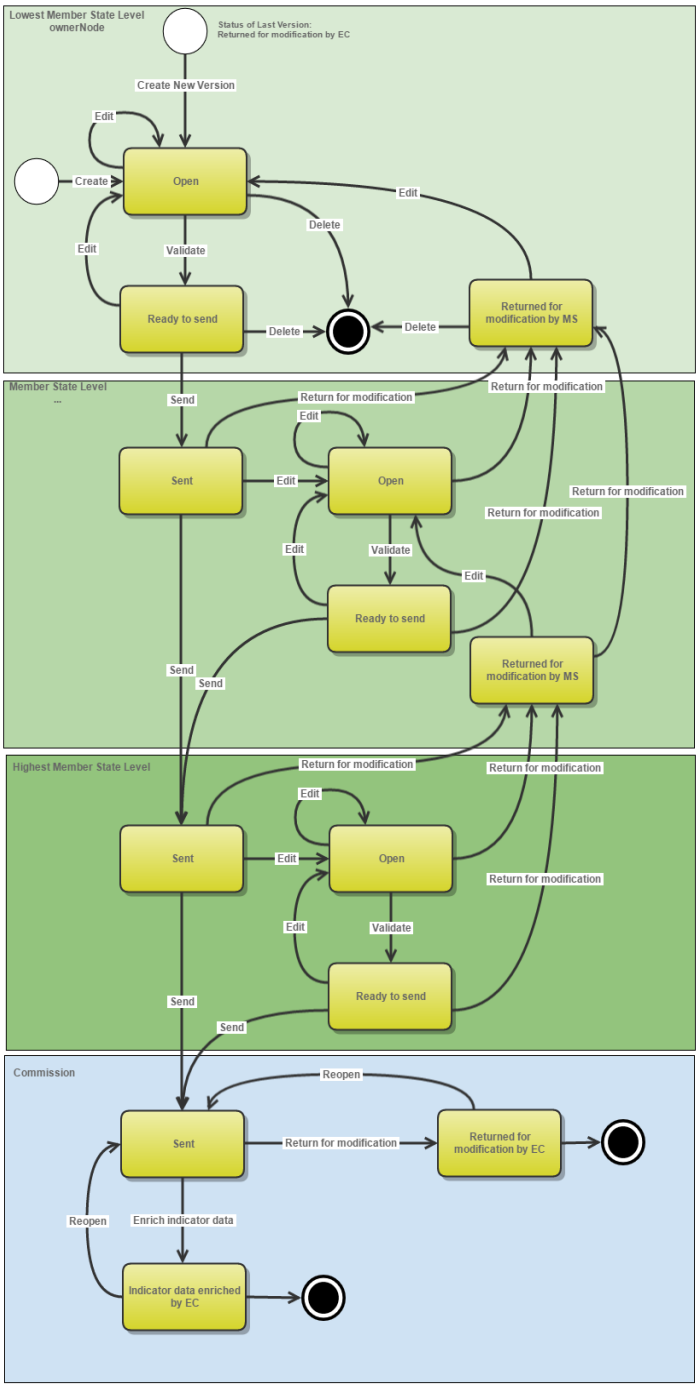
When editing a version of an Evaluation Report, its status is **'OPEN'**, **'READY TO SEND'** or '**SENT**' at the level of the Member State and currently resides on your Node.

Workflow

This section shows the lifecycle to create and manage the Evaluation Report.

If the Commission rejects an Evaluation Report, it is returned and a new version has to be created and go through the whole process. There are no modifying Evaluation Reports once they have been submitted. The Commission cannot change an Evaluation Report – only respond.

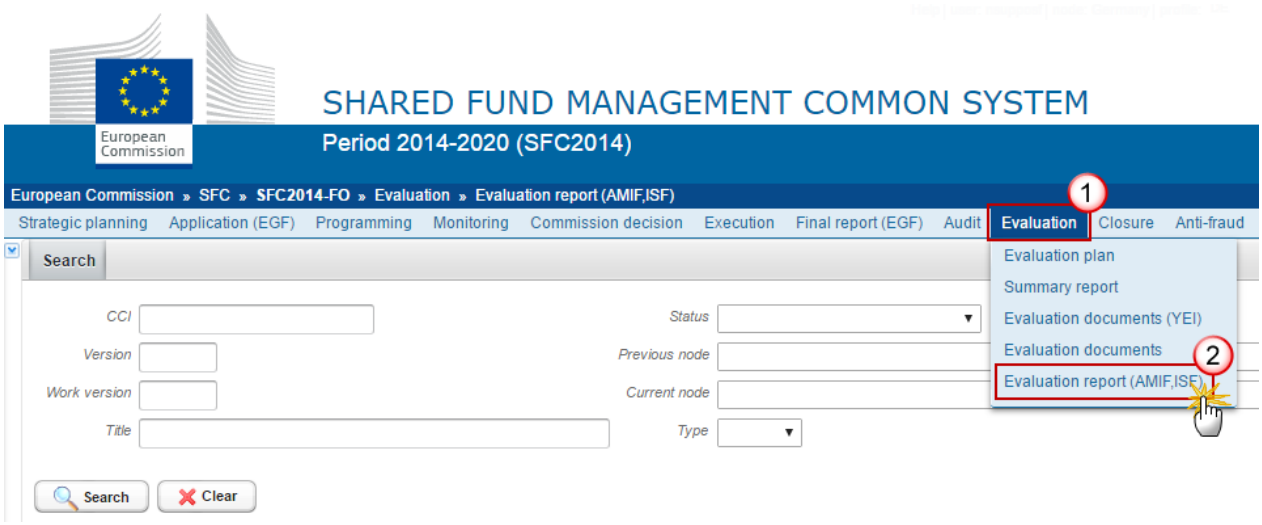
Evaluation Report AMIF/ISF State Diagram



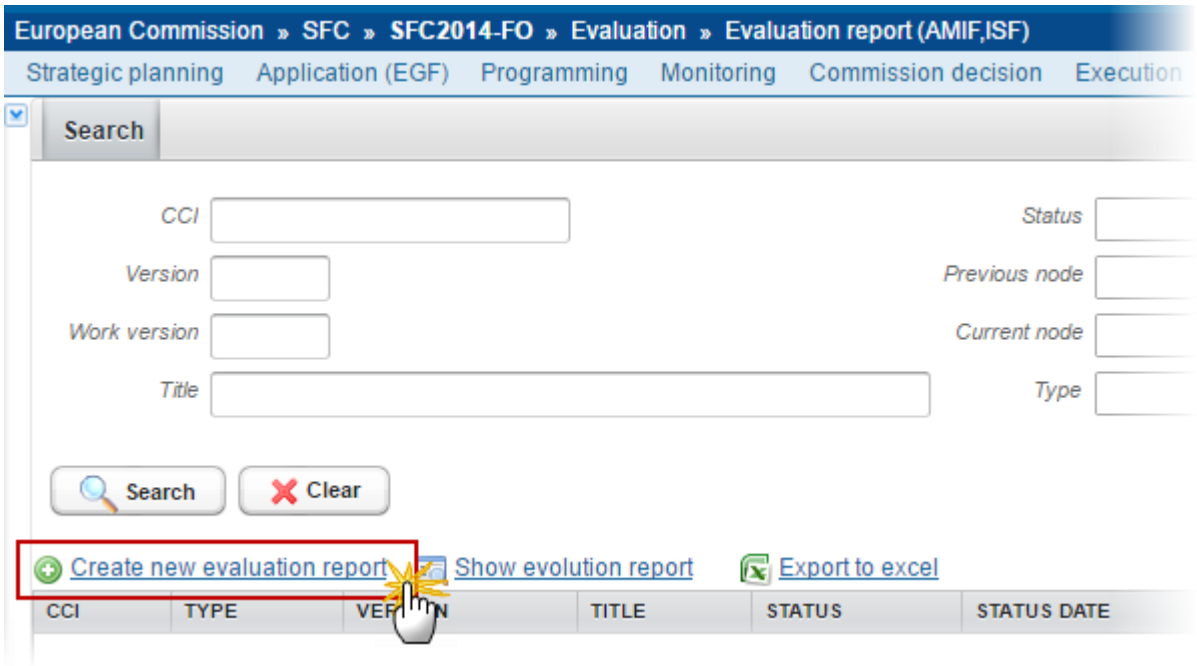
Create the Evaluation Report (AMIF)

REMARK	It is a must to have the privilege to create the Evaluation Report, the user has the role of **MS Responsible Authority with Update rights.** The National Programme is **'ADOPTED'.** In tables with year columns, for the Interim Evaluation Report only the years 2014 to 2017 will be shown. For the Final Evaluation Report all years from 2014 to 2023 will be shown.

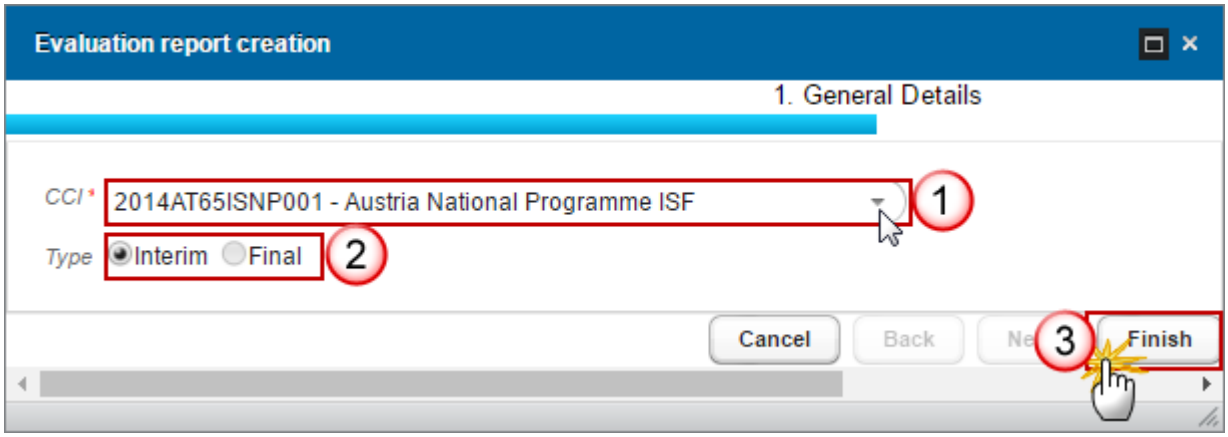
1. To access the **EVALUATION REPORT AMIF/ISF** section first click on the **Evaluation (1)** menu item and then on the **Evaluation Report (AMIF, ISF)** link **(2)**.



2. Click on the **Create New evaluation report** link to create a new Evaluation Report for ISF.



You are redirected to the Evaluation Report creation wizard:



(1) Select the *CCI*

The CCI list contains all adopted AMIF and ISF Programmes managed at your Node and which contain a Fund for which you are registered. The list returns the CCI and the Programme Title.

The National Programme is **ADOPTED**.

(2) Select the *Type*

The Type contains a radio button for Interim and one for Final. As of 09/10/2023, the option 'Final' will not be available anymore. Type will only contain 'Interim', will be automatically set and not updatable.

(3) Click on the **FINISH** button to confirm the creation action.

The status of the Evaluation Report is **OPEN**.

REMARK	The records of the following Tables will automatically be created based on equivalent data in the Accounts (2015, 2016 for Interim Evaluation Report and all Accounts for the Final Evaluation Report) and on the adopted Programme version linked to the 2016 (Interim) and to the 2023 (Final) Accounts or on the last adopted Programme version: • Annex Table 1 records are created based on the available SO/NO/SA combinations in the last adopted programme version and the total paid amounts are populated with the payments declared in the last version sent to the Commission of the relevant Annual Accounts (Sum of Section I.D.Table AMIF/ISF-P/ISF-B over the relevant Annual Accounts), • Annex Table 2 and 3 records are created based on the available Specific Objectives in the last adopted programme version and project values are based on projects data in the last version sent to the Commission of the relevant Annual Accounts, • Annex Table 4 (AMIF Special Cases) based on pledges from the Programme and actual data from the last version sent to the Commission of the relevant Annual Accounts. The records of the Indicator Table (Section IX) will automatically be created based on the template. For the initial version (= work version 0) their values will be initiated with the values pre-encoded by the Commission and for Indicators with a Common Indicator equivalent in the Implementation Report, with the values from that AIR for the Interim Evaluation Report they will be retrieved from the 2016 AIR, for the Final Evaluation Report from the FIR. For Horizontal Indicators (H) the values will come from the Accounts as follows: • H1a (=h1v1a): comes from relevant Accounts but is same source as Evaluation Module, Annex Data Table2 Projects by SO (the row Total 1+2 of finished project) • H1b (=h1v1b): comes from relevant Accounts, D Data Summary, TOTAL • H2b (=h2v1b): comes from relevant Accounts, D Data Summary, TOTAL • H3a (=h3v1a): comes from relevant Accounts, D Data Summary, TOTAL • H3b (=h3v1b): comes from NP, section 7, table 1, financing plan of the programme, TOTAL, the value 2014 should be the initial NP (version 1), the next year values should be the version adopted during that year (if any), the year 2017 should be the latest adopted version.

REMARK	The creation of an Evaluation Report was only possible if there was a sent accounts for 2016, but there are some countries that don't have accounts for the previous years. Because of this, the accounts version to which the Evaluation Report is linked becomes optional (in the list of proposed CCIs it will appear all the adopted programmes not only the ones with a sent accounts version). Before, at the creation time, the default data was created from the existing accounts: the

	records of the following Tables were automatically created based on equivalent data in the Accounts (2015, 2016 for Interim Evaluation Report and all Accounts for the Final Evaluation Report) and on the adopted Programme version linked to the 2016 (Interim) and to the 2023 (Final) Accounts. From now on, the records of the following Tables will automatically be created based on equivalent data in the Accounts (2015, 2016 for Interim Evaluation Report and all Accounts for the Final Evaluation Report) and on the adopted Programme version linked to the 2016 (Interim) and to the 2023 (Final) Accounts if there is an accounts version or to the last adopted programme version at the moment of the creation.

Record/Edit the Evaluation Report (AMIF)

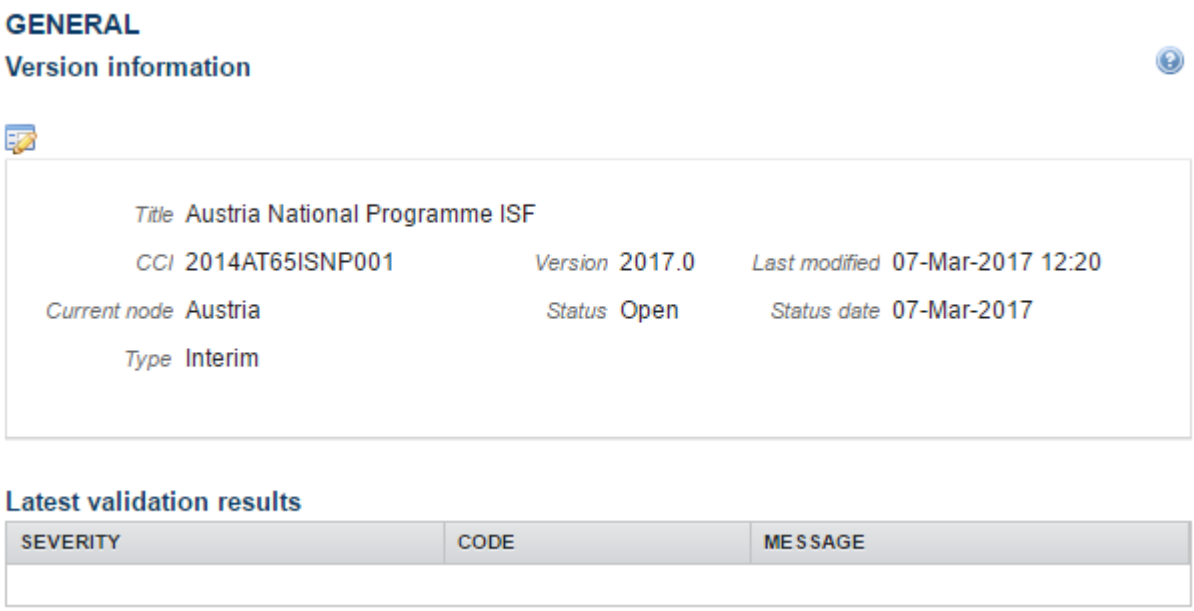
Find all the information to complete each screen of the Evaluation Report. Below are the links to the main sections:

- General
- Independent Experts
- Executive Summary
- I. Context
- II. Challenges and Impact
- III. Deviations
- IV. Evaluation questions
- V. Project examples
- VI. Methodology
- VII. Conclusions & Recommendations
- VIII. Mid-term review
- IX. Indicators
- Annex Data

General

Version Information

The Version Information contains information on the identification and status of the Evaluation Report Version like the CCI, the Title, the Version Number, the Work Version Number, the Status, the Status date, the Node where it currently resides and the Type. It also shows the results of the last validation done on this Evaluation Report version.

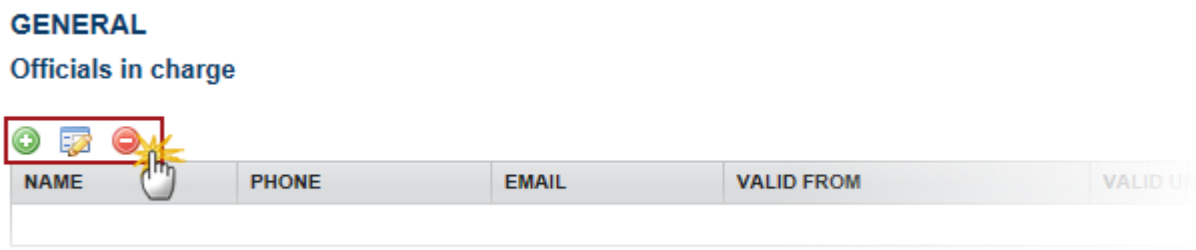


REMARK	Only the *Type* can be updated and only from 2023 onwards will be enabled this change.

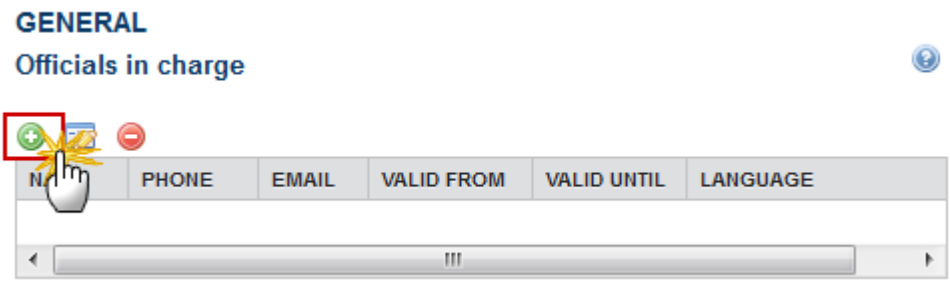
Officials in Charge

NOTE	Officials in Charge can be updated at any time, independent from the status of the Evaluation Report AMIF/ISF. You can add the same officials as in the National Programme. The email is directly accessible via the email link.

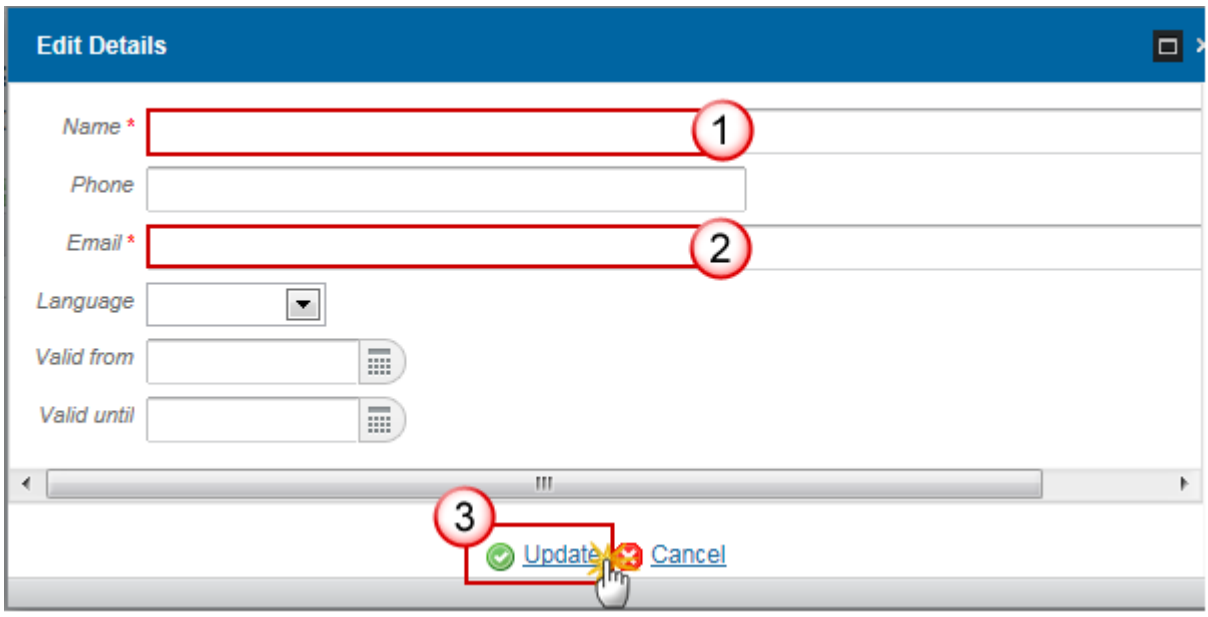
- Click on the **ADD** button to add a new official in charge.
- Select an official and click in the **EDIT** button to modify the information of this official.
- Select an official and click on the **REMOVE** button to delete the official in charge selected.



1. Click on the **ADD** button to add a new official in charge.



The Edit Details popup window appears:



2. Enter the following information:

(1) Enter the *Name*.

(2) Enter the *Email*.

(3) Click on **Update** to save the information.

NOTE	Commission Officials (email domain "ec.europa.eu") can only be created/updated/deleted by Commission Users.

History

This section shows all the actions that happened in the Evaluation Report AMIF/ISF since it was created, for example:

GENERAL

History

STATUS	ACTION	COMMENTS	BY LEVEL	DATE	USER
Ready to send	Validate		Austria	02-Sep-2014 09:52:57	AT Austria
Open	Create		Austria	01-Sep-2014 14:54:52	AT Austria

Documents

The Documents list shows all documents uploaded against this version of the Evaluation Report by Member State and by Commission. Member State Users see all their own Documents and the sent Commission Documents. Commission Users see all their own Documents and the sent Member State Documents.

The following document types will be foreseen:

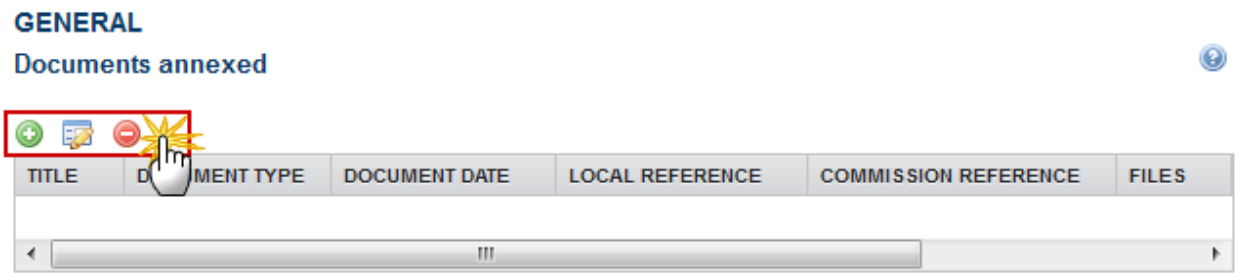
Description	**Non-integral**	**Integral**	**System**	**Required**
Snapshot of data before send		X	X	X
Acknowledgment of Receipt			X	X

Other Member State Document	X			

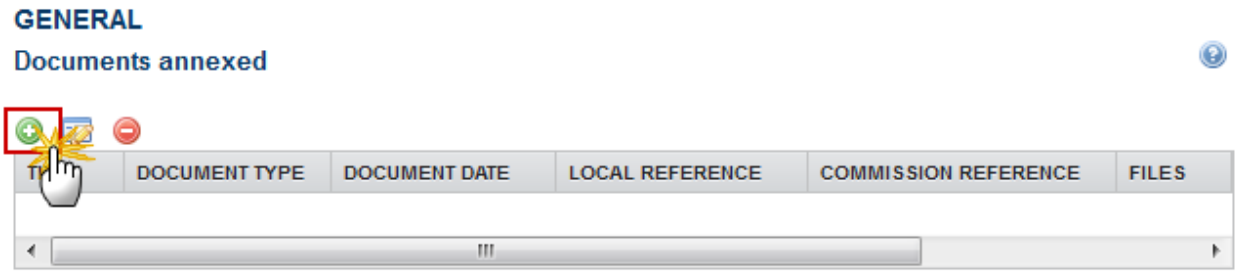
Uploading & Sending documents

Multiple documents can be uploaded in the Evaluation Report AMIF/ISF.

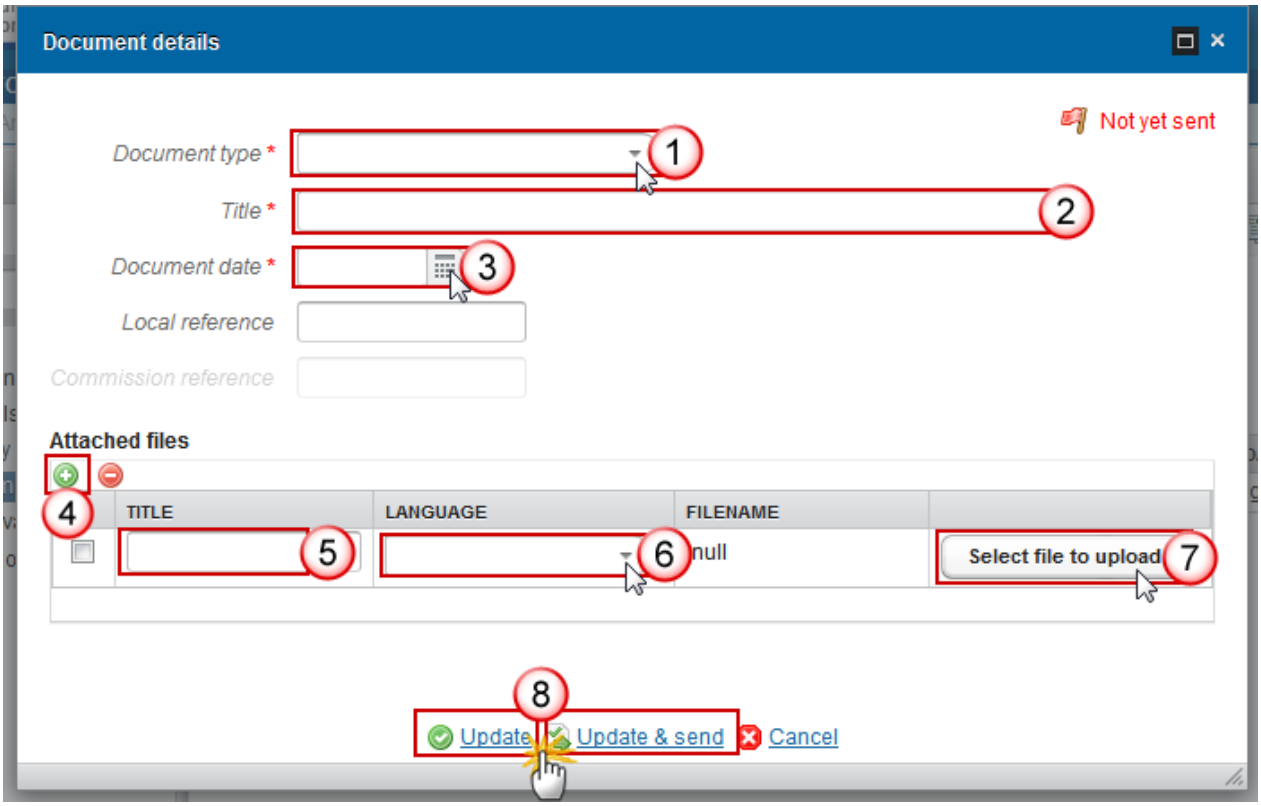
- Clicking on the **ADD** button will open a pop-up window allowing you to add a new document type with attachments.
- Selecting a document row and click on the **EDIT** button will allow you to modify the document information. If a document of type 'Other Member State Document' must be sent, you can select the edit button in order to send the document.
- Selecting a row of a previously uploaded document and click on the **REMOVE** button to delete the document and associated attachments.



1. Click on the **ADD** button to add a new document.



The document detail pop-up window appears:



2. Enter or select the following information:

(1) Select a *Document Type*

(2) Enter a *Title* for your Document

(3) Enter a *Document Date*

(4) Click on the **ADD** button to add a new attachment

- You can add multiple attachments by clicking on the **ADD** button
- You can remove unwanted attachments by selecting the attachment and clicking on the **REMOVE** button

(5) Enter a *Title* for your attachment.

(6) Select the *Language* of the document.

(7) Select the *file* to upload.

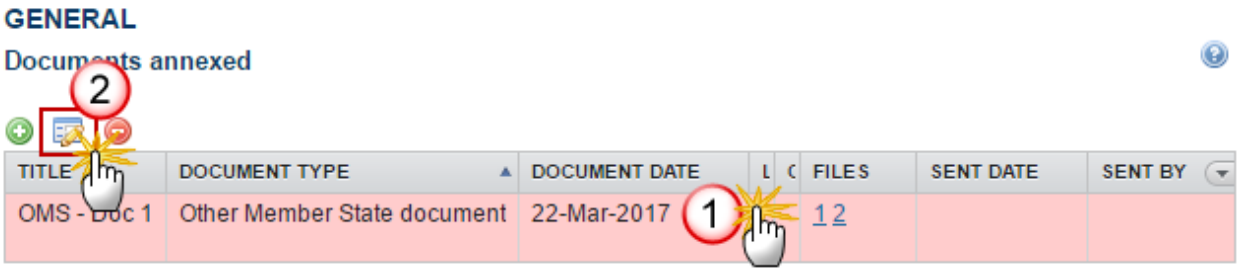
(8) Click on Update to save the information or Update & Send to send the document to the Commission.

REMARK	Commission Reference N° is only enabled for Commission Users, while Local Reference is only enabled for Member State Users. The email of User who sent the Document is directly accessible via the 'Sent By' link.

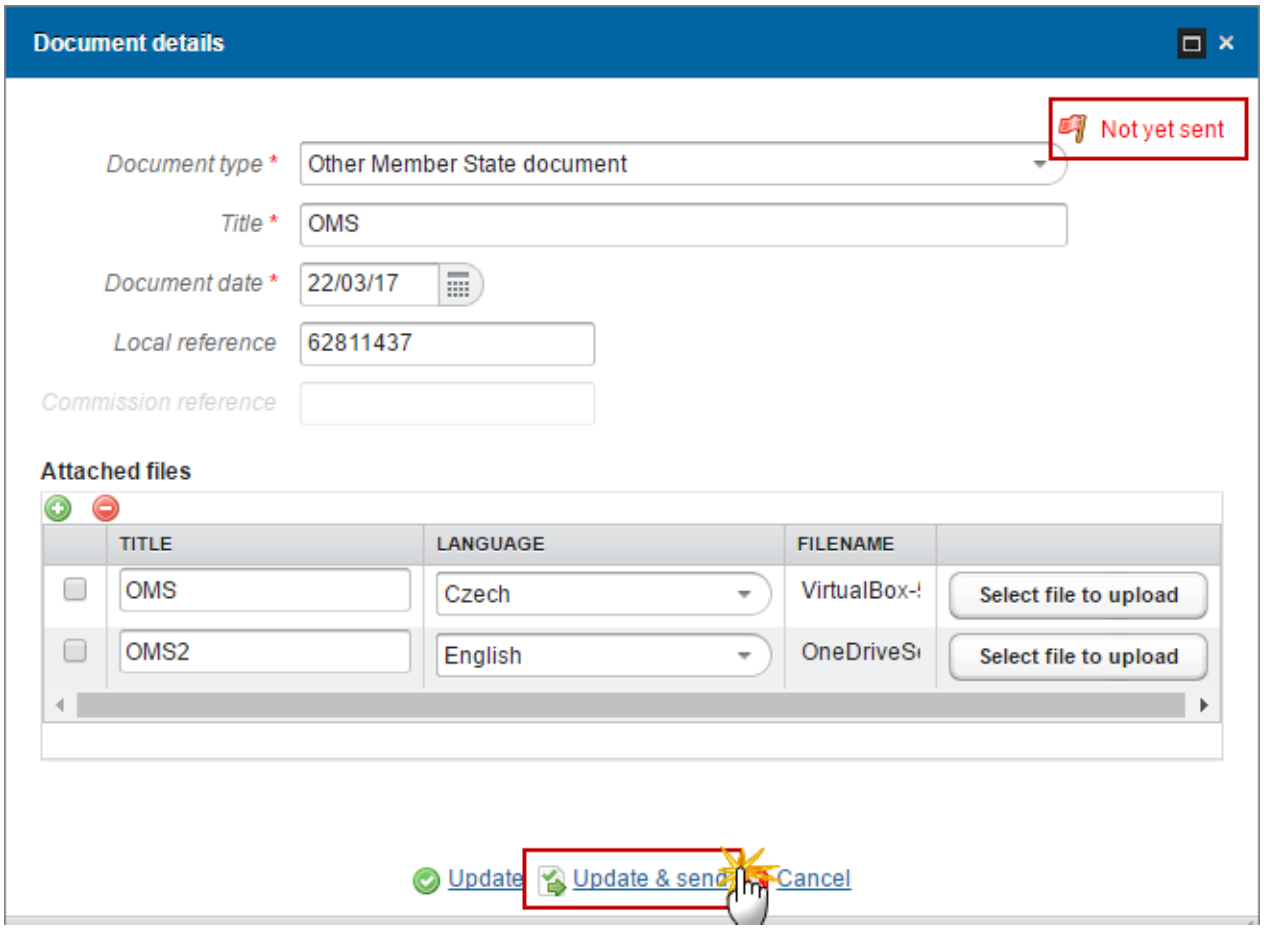
The pop-up window closes and the documents are uploaded.

Sending an unsent non-integral document

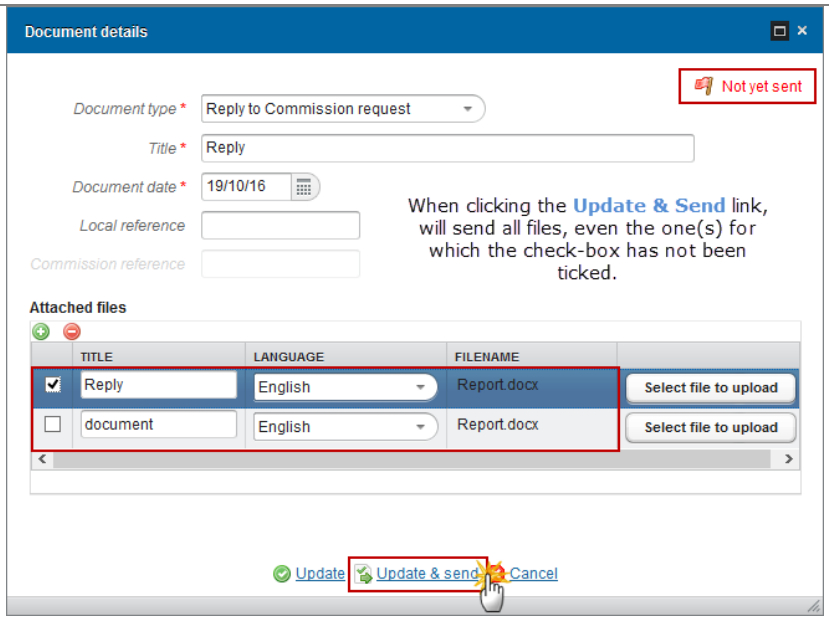
1. Once the document and attachment(s) have been uploaded select the document row in the list **(1)** and click on the **EDIT** button **(2)**



2. Click on **Update & Send** to send the document to the Commission.



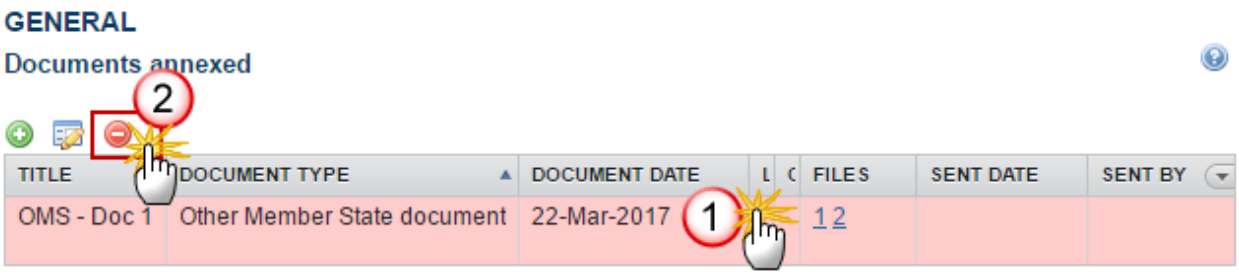
NOTE	The **Update & Send** link will only be shown for documents which are not integral part of the Object and after at least one attachment was added. If more than one file are uploaded from the same document table, when clicking the **Update & Send** link, will send all the files and not only the one(s) for which the check-box has been ticked.



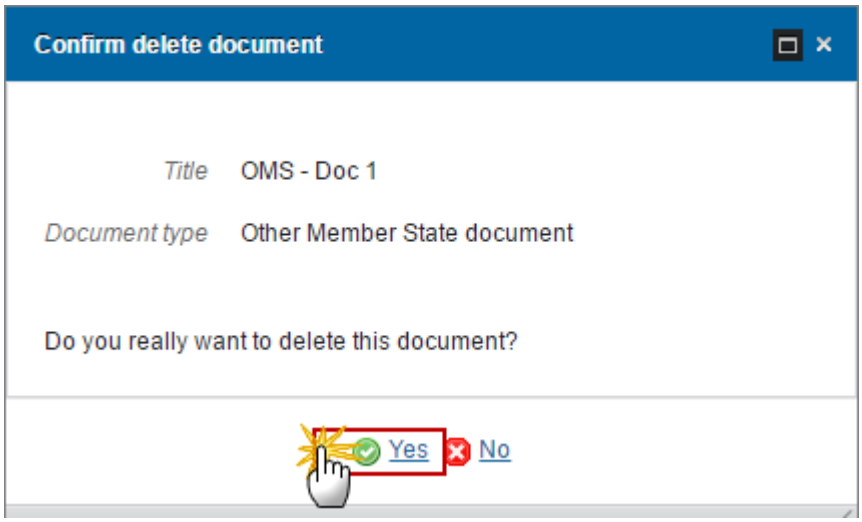
REMARK	**Non-integral documents** (ie. 'Other Member State Document') can be sent at any time independently of the status of the evaluation Report. Non-integral document type demands a manual submission (they are NOT sent automatically within the Programme). The other document types, integral documents, are automatically sent - together with the encoded data – when the Programme is submitted to the EC. You can find in our Portal the types of documents that can be uploaded and sent by the Member State. A document is only visible to the Commission when the **Sent Date** is visible.

Deletion of an unsent document

1. Select a row **(1)** of a previously uploaded document and click on the **REMOVE** button **(2)** to delete the document and associated attachments.



A confirmation window appears:

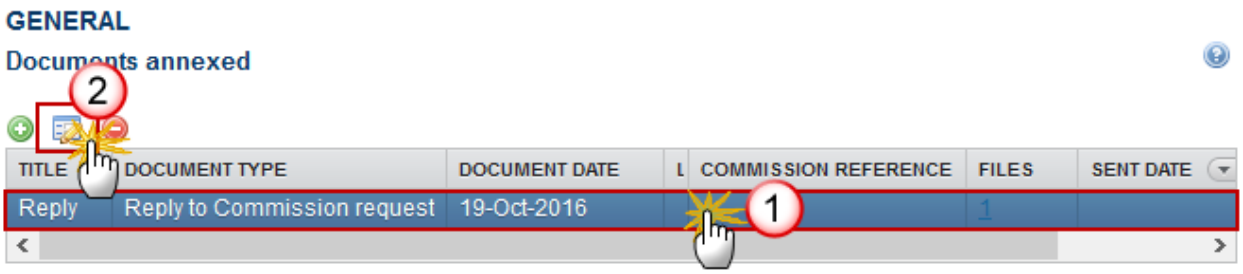


2. Click on Yes to confirm deletion. Click on No to return to the Accounts for IGJ-ETC, EMFF documents.

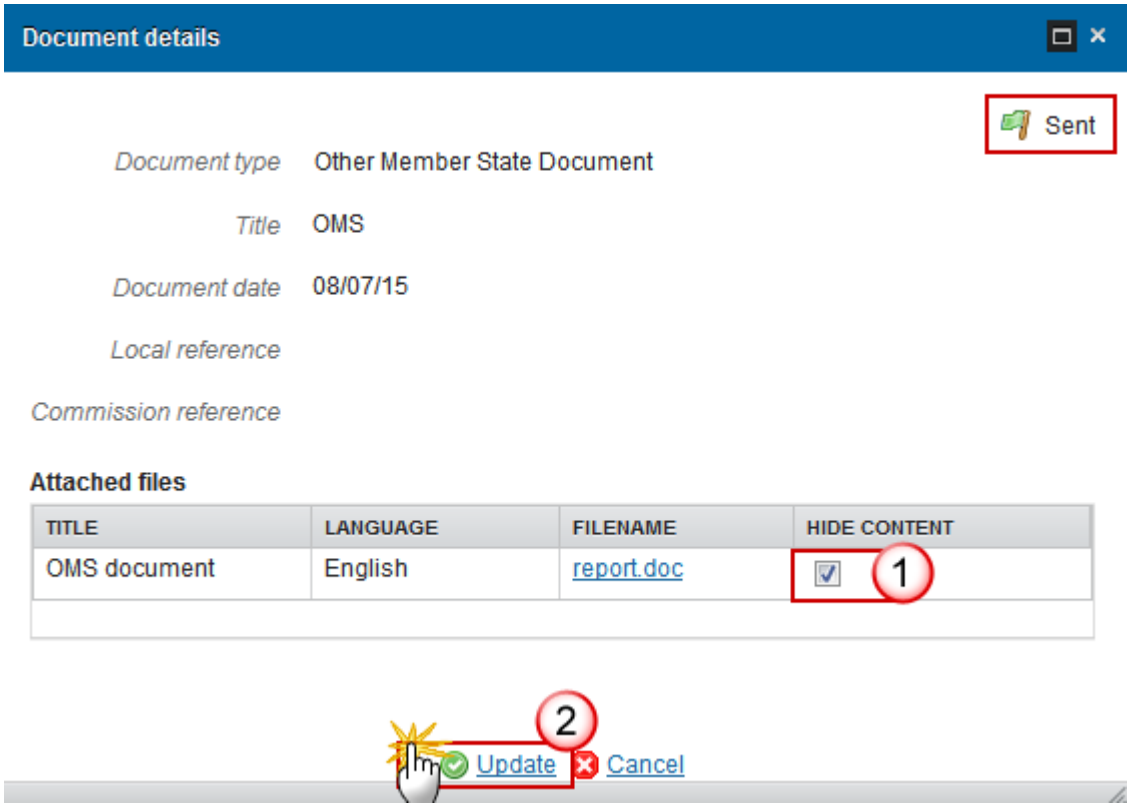
Hiding a sent document

NOTE	Sent Documents can never be deleted, but the sender can decide to hide the content for the receivers in case of an erroneous and/or accidental send.

1. Select a row **(1)** of a previously sent document and click on the Edit button **(2)** to hide the document and associated attachments.

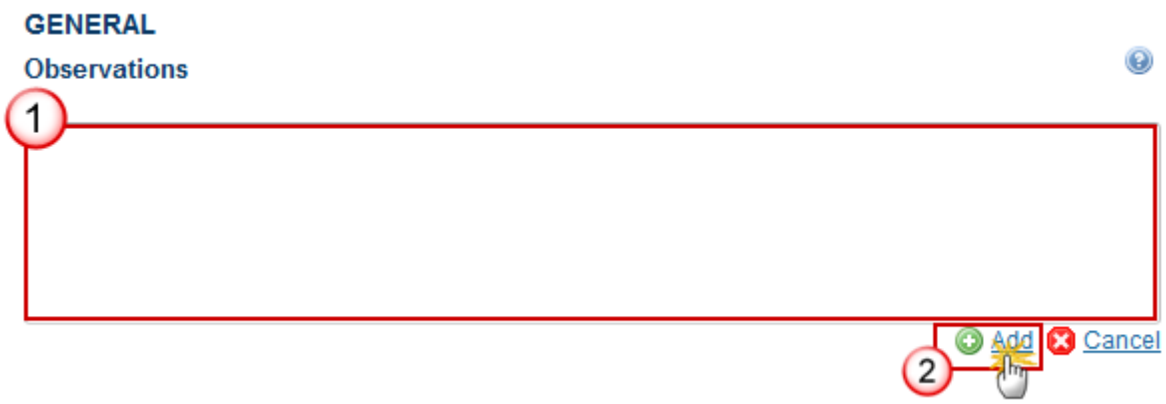


2. Select the **HIDE CONTENT (1)** option and click on Update **(2)** to hide the document.



Observations

This section is used to provide any relevant information to the Evaluation Report. It can be used as a type of 'chat' between the Member State and Commission.



(1) Enter an observation.

All users who have Read and Observation permission on the Evaluation Report AMIF/ISF will be able to send an Observation and participate in the conversation.

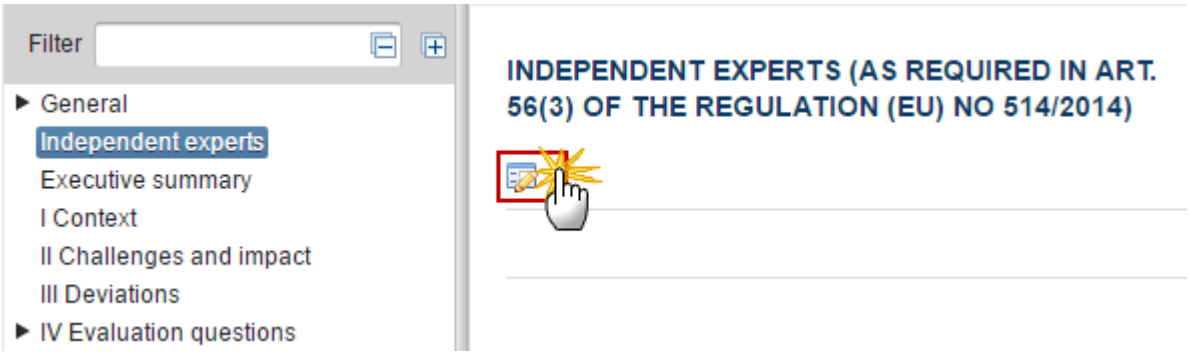
(2) Click on Add to save the information.

All Observations are kept against the specific version of the Evaluation Report.

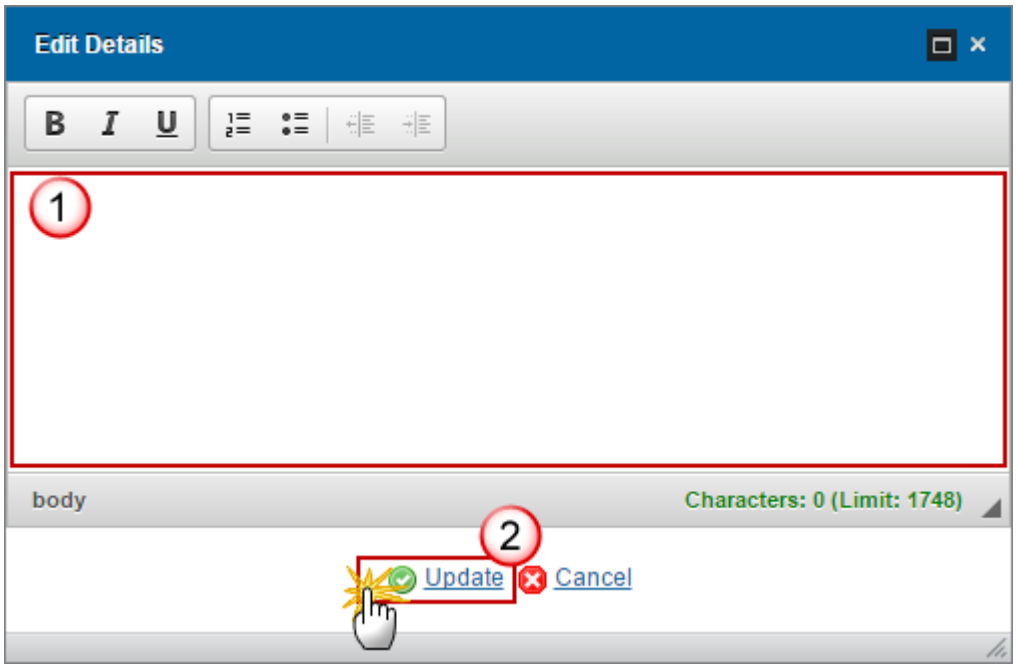
Independent experts

INDEPENDENT EXPERTS (AS REQUIRED IN ART. 56(3) OF THE REGULATION (EU) NO 514/2014)

1. Clicking on the **EDIT** button of the Independent experts will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



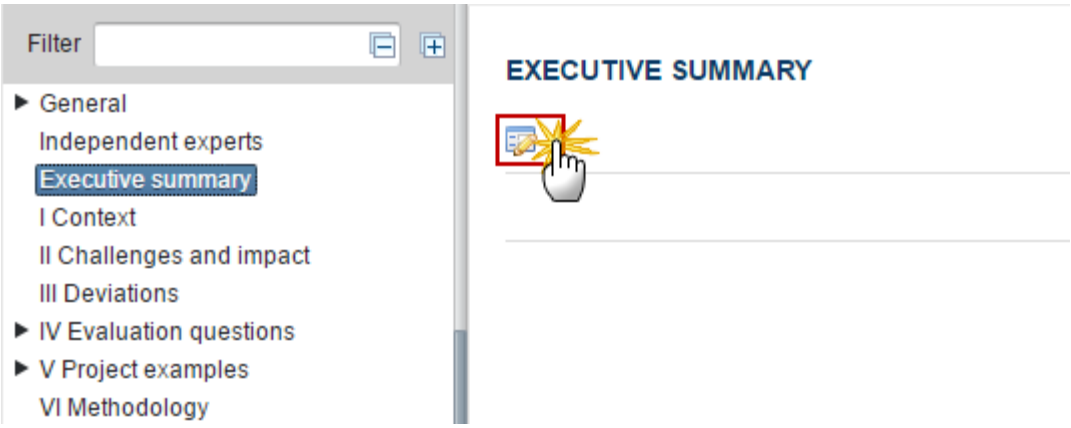
2. Enter the following information:

(1) Enter the explanation for the independent experts.

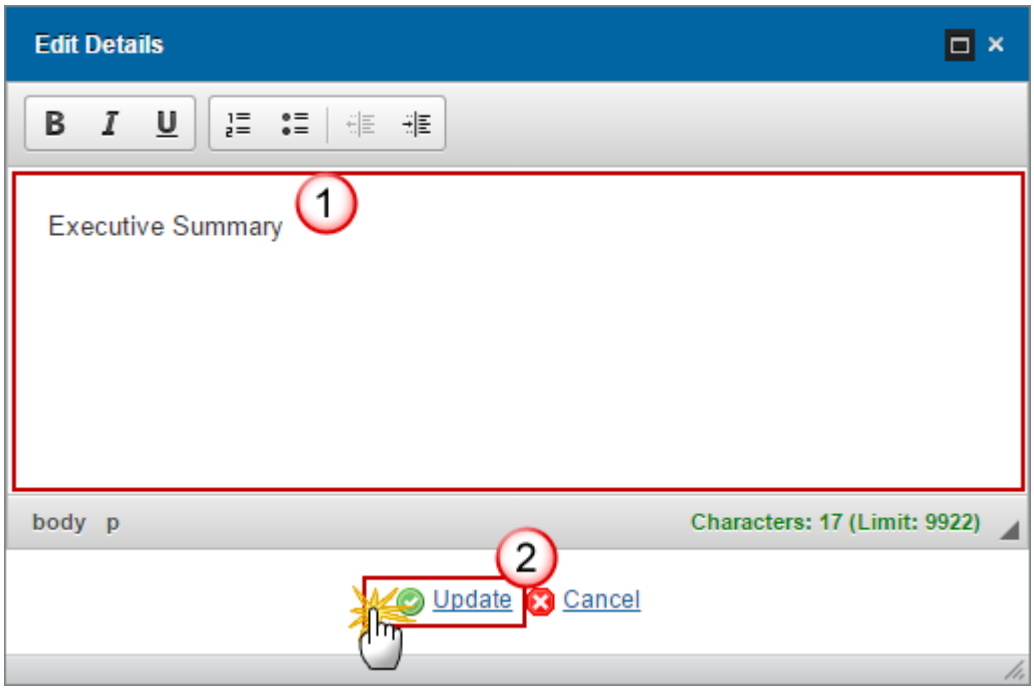
(2) Click on the Update link to confirm and save the information.

Executive summary

1. Clicking on the **EDIT** button of the Executive summary will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



2. Enter the following information:

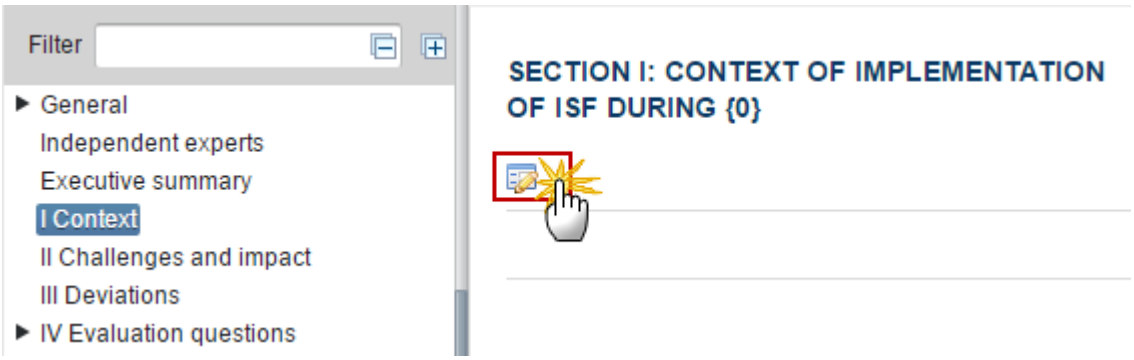
(1) Enter the explanation for the Executive summary.

(2) Click on the Update link to confirm and save the information

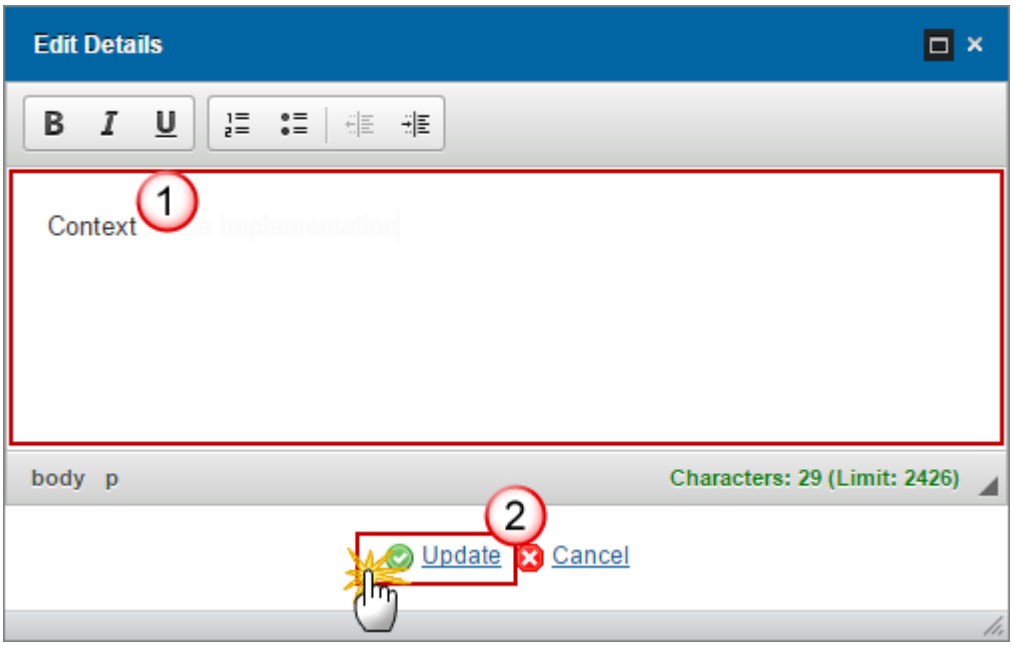
Context

SECTION I: CONTEXT OF IMPLEMENTATION OF ISF DURING {0}

1. Clicking on the **EDIT** button of the Context will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



2. Enter the following information:

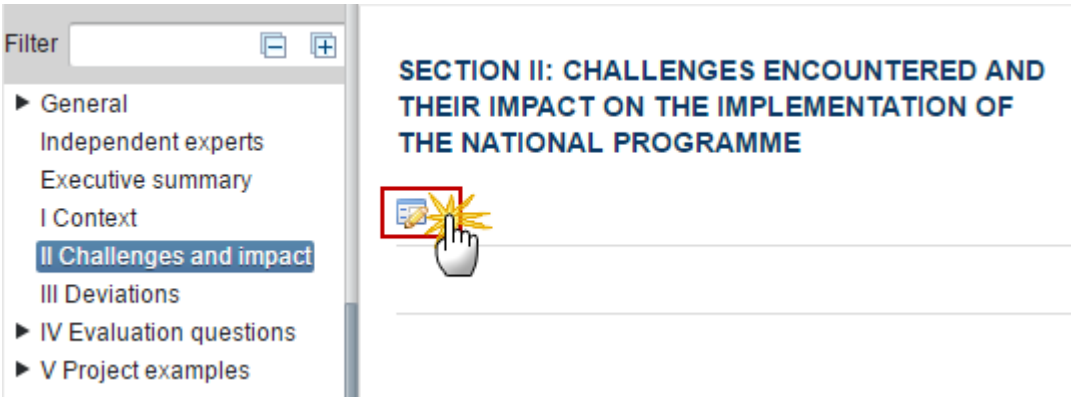
(1) Enter the amounts of the Expenditure.

(2) Click on the Update link to confirm and save the information

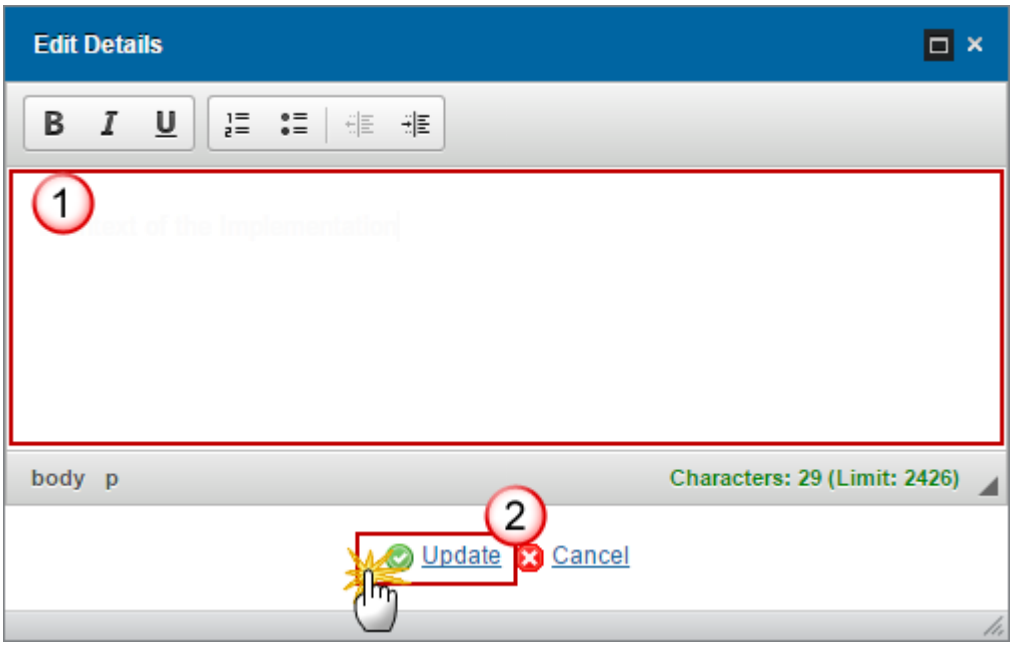
Challenges and impact

SECTION II: CHALLENGES ENCOUNTERED AND THEIR IMPACT ON THE IMPLEMENTATION OF THE NATIONAL PROGRAMME

1. Clicking on the **EDIT** button of the Challenges & impact will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



2. Enter the following information:

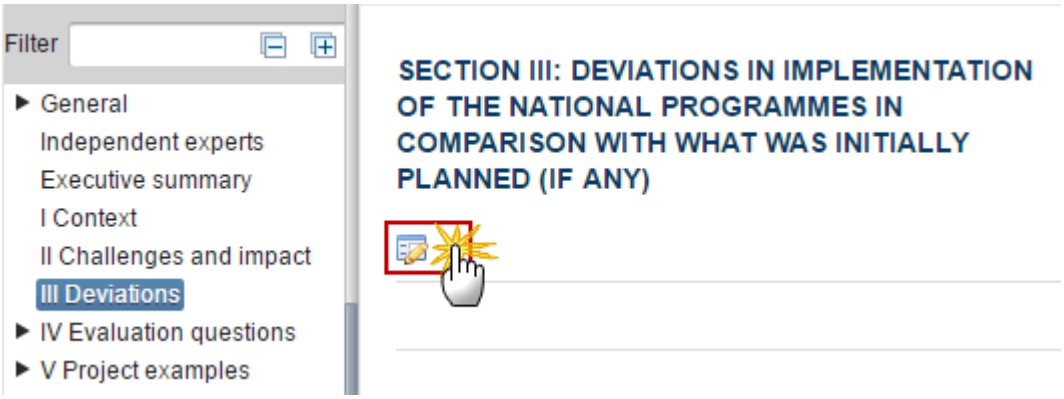
(1) Enter an explanation for the challenges & impact.

(2) Click on the Update link to confirm and save the information.

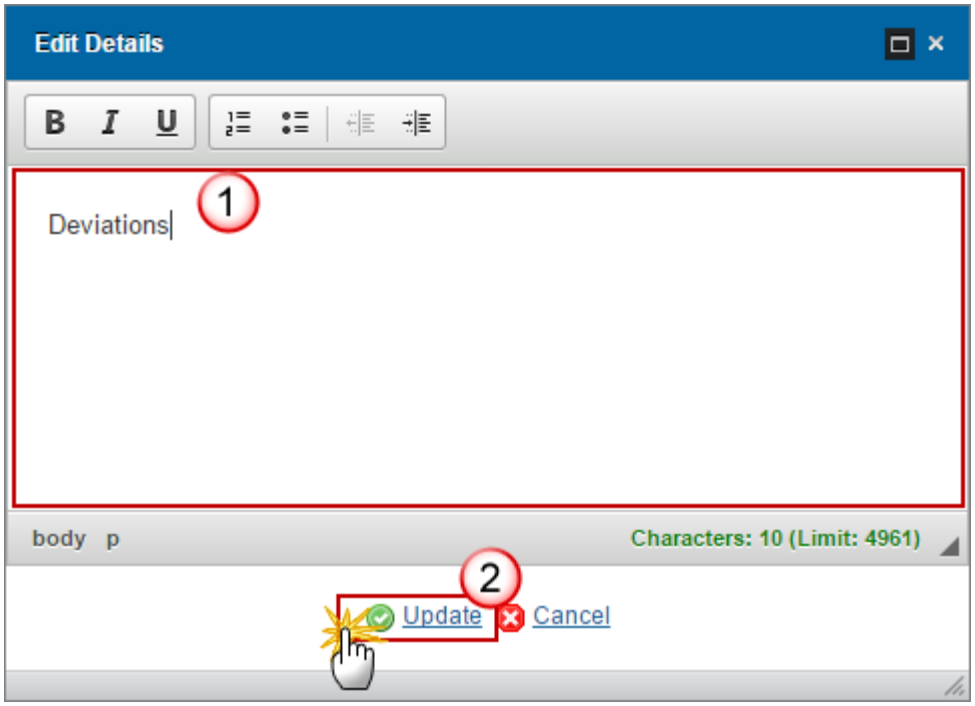
III. Deviations

SECTION III: DEVIATIONS IN IMPLEMENTATION OF THE NATIONAL PROGRAMMES IN COMPARISON WITH WHAT WAS INITIALLY PLANNED (IF ANY)

1. Clicking on the **EDIT** button of the Deviations will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



2. Enter the following information:

(1) Enter an explanation for the *Deviations*.

(2) Click on the **Update** link to confirm and save the information

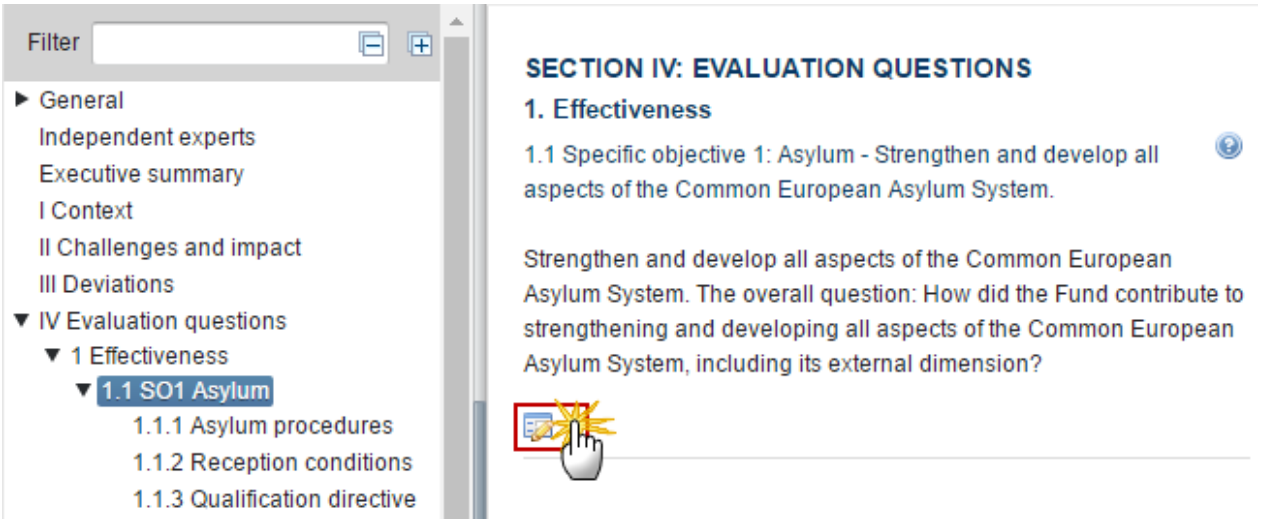
IV. Evaluation questions

Effectiveness

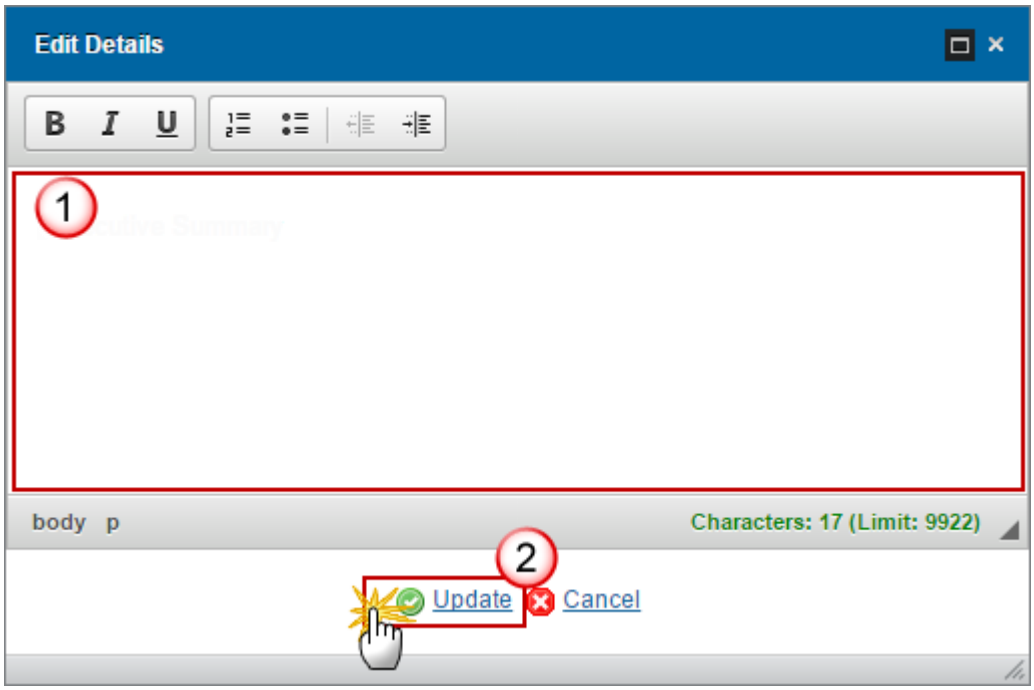
SO1 Asylum

1.1 Specific objective 1: Asylum - Strengthen and develop all aspects of the Common European Asylum System.

1. Clicking on the **EDIT** button of the **Asylum** will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



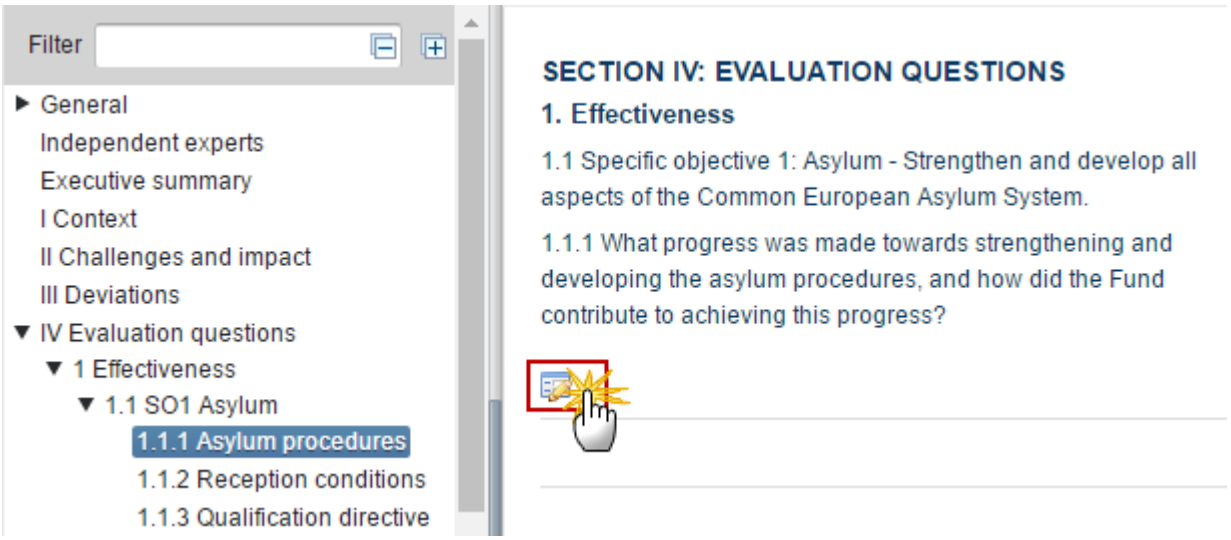
2. Enter the following information:

(1) Enter an explanation to answer the overall question.

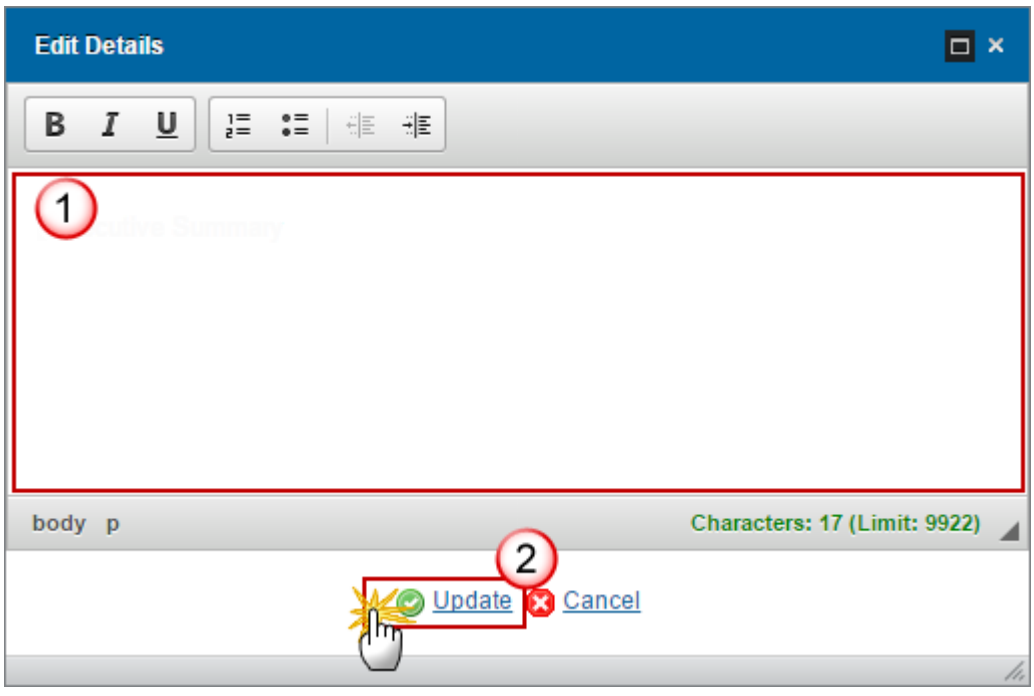
(2) Click on the **Update** link to confirm and save the information

Asylum procedures

1. Clicking on the **EDIT** button of Asylum procedures will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



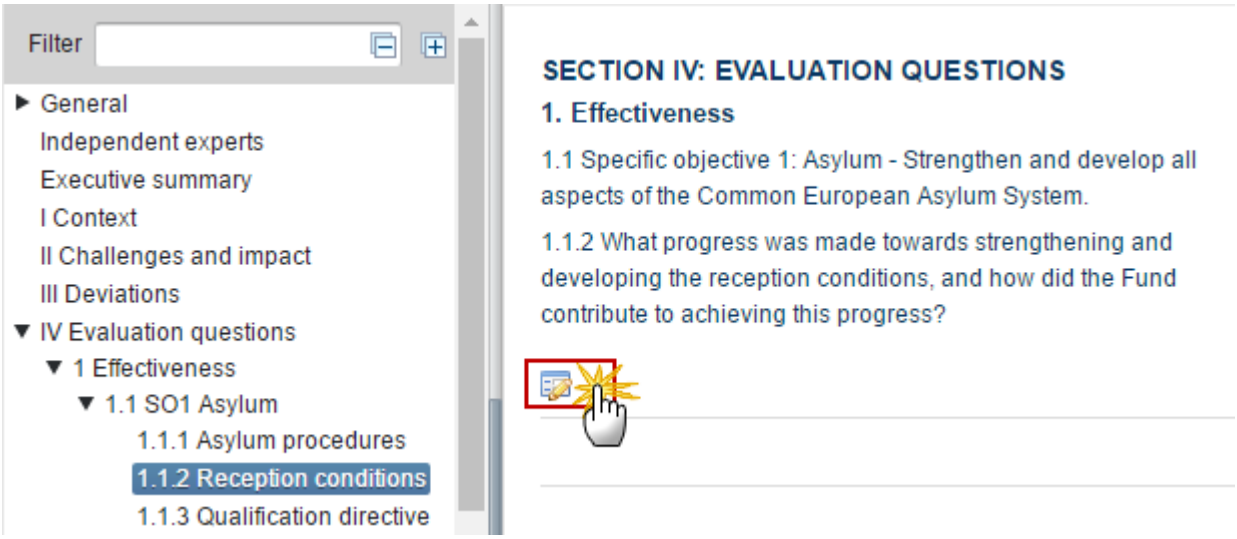
2. Enter the following information:

(1) Enter an explanation to answer the question.

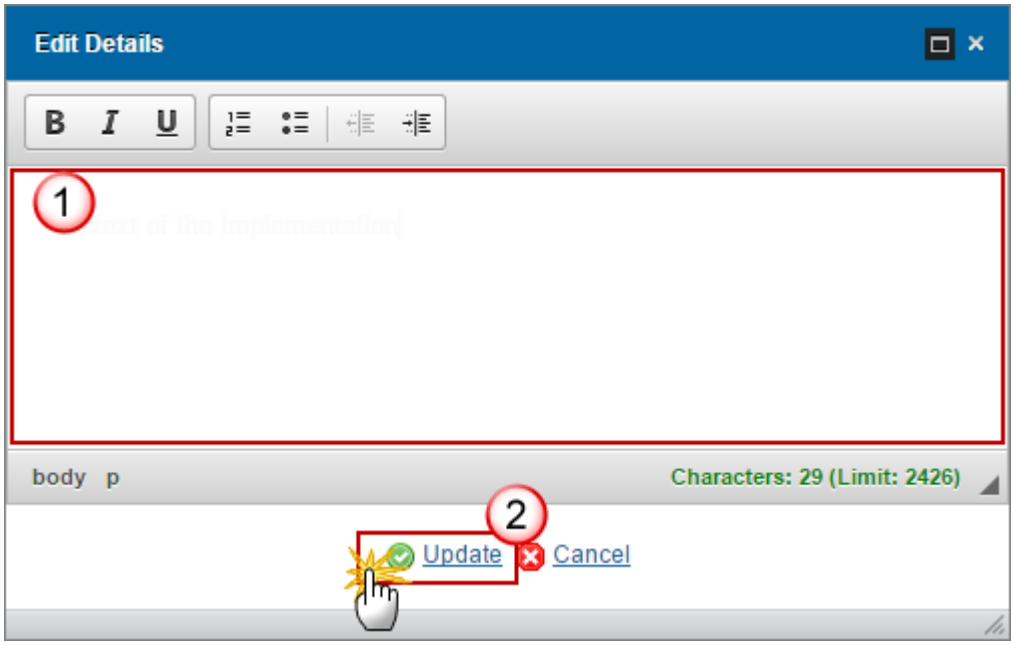
(2) Click on the Update link to confirm and save the information.

Reception conditions

1. Clicking on the **EDIT** button of the Reception conditions will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



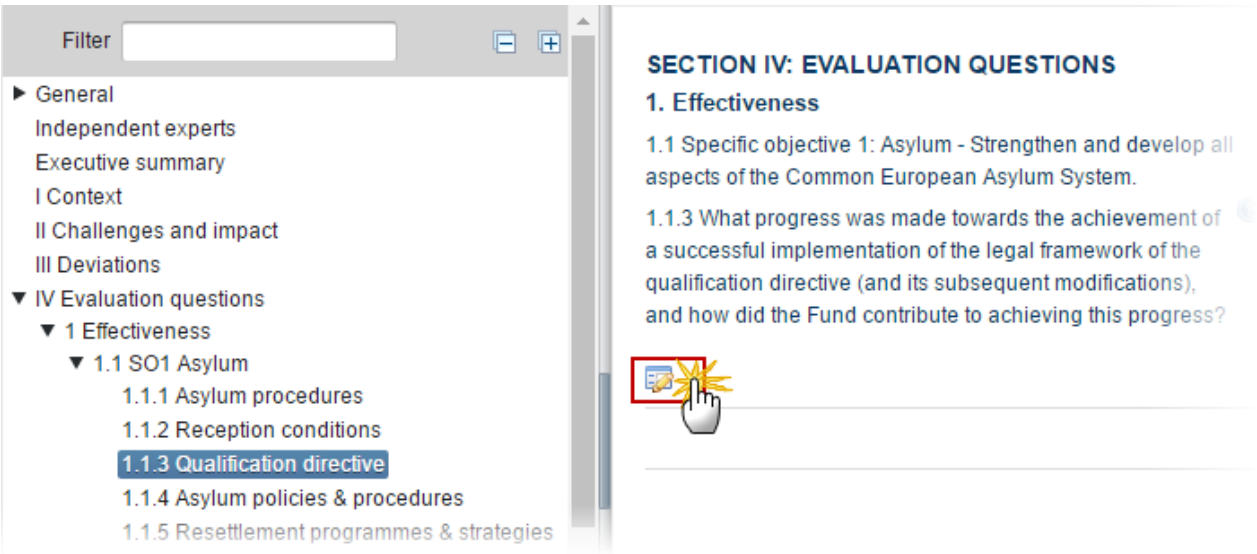
2. Enter the following information:

(1) Enter an explanation to answer the question.

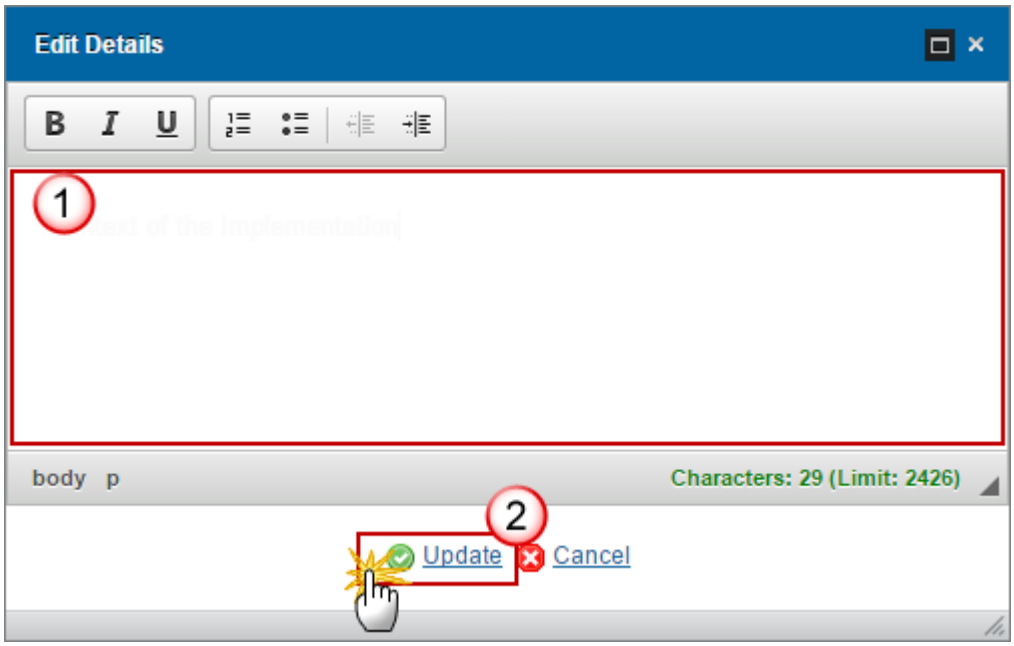
(2) Click on the **Update** link to confirm and save the information.

Qualification directive

1. Clicking on the **EDIT** button of the qualification directive will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



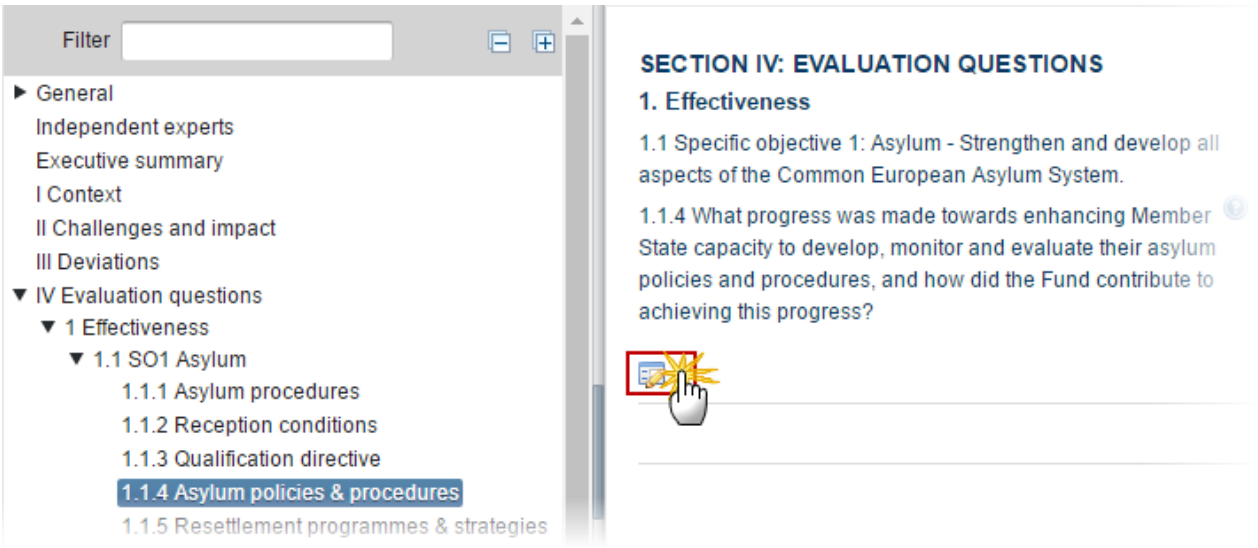
2. Enter the following information:

(1) Enter an explanation to answer the question.

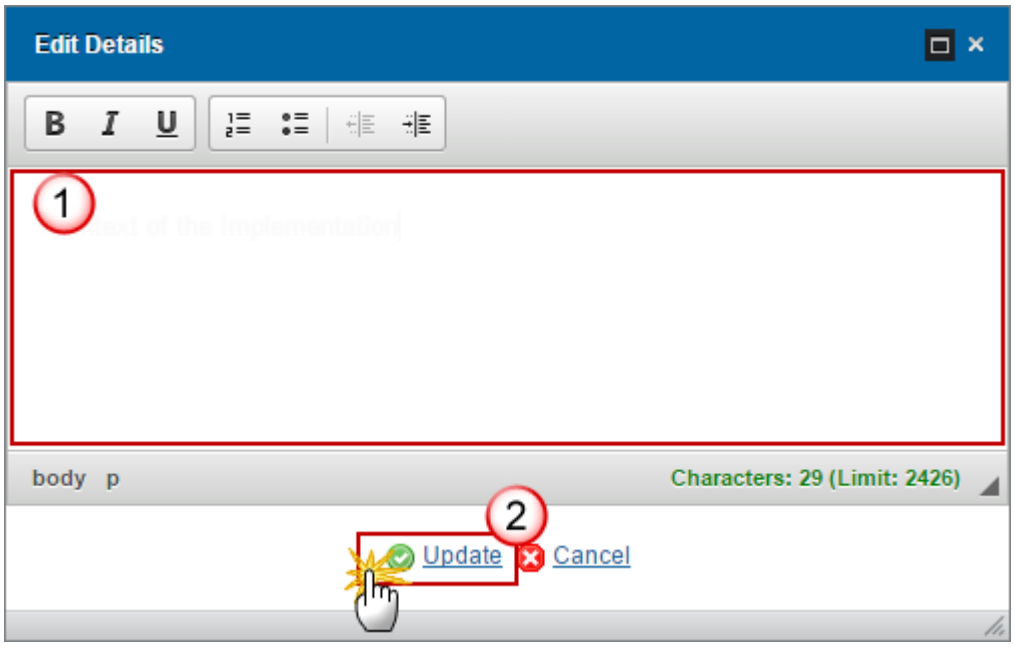
(2) Click on the Update link to confirm and save the information.

1.1.4 Asylum policies & procedures

1. Clicking on the **EDIT** button of the asylum policies will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



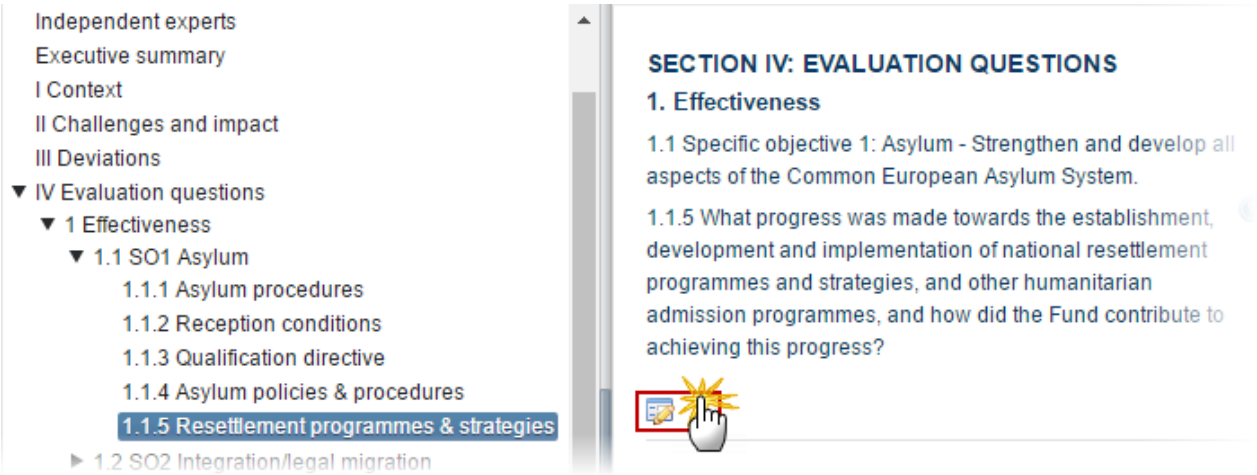
2. Enter the following information:

(1) Enter an explanation to answer the question.

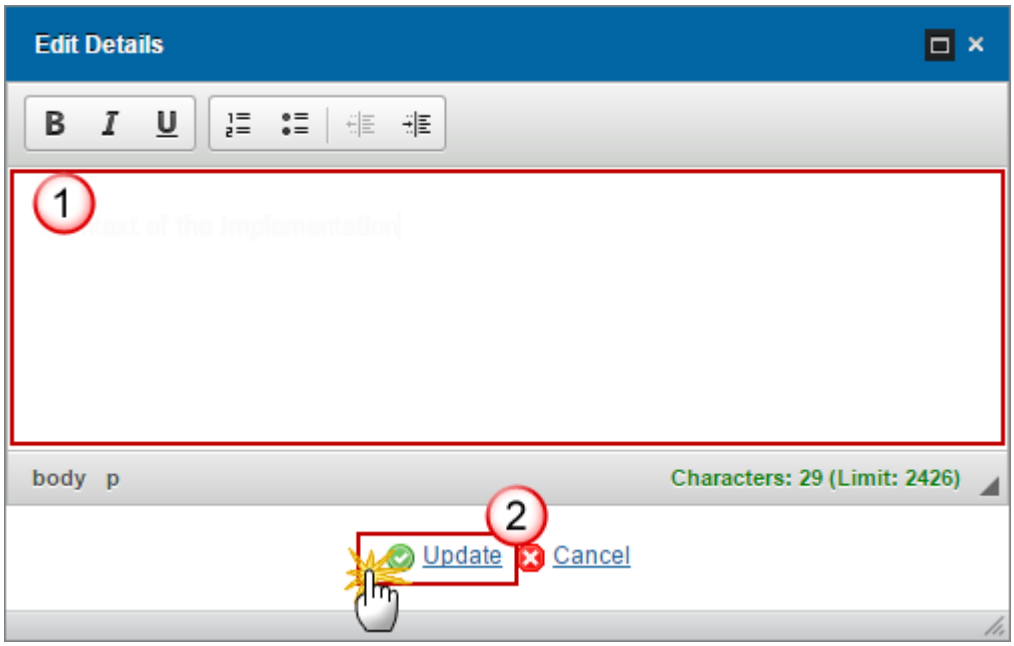
(2) Click on the Update link to confirm and save the information.

1.1.5 Resettlement programmes & strategies

1. Clicking on the **EDIT** button of the IT Systems will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



2. Enter the following information:

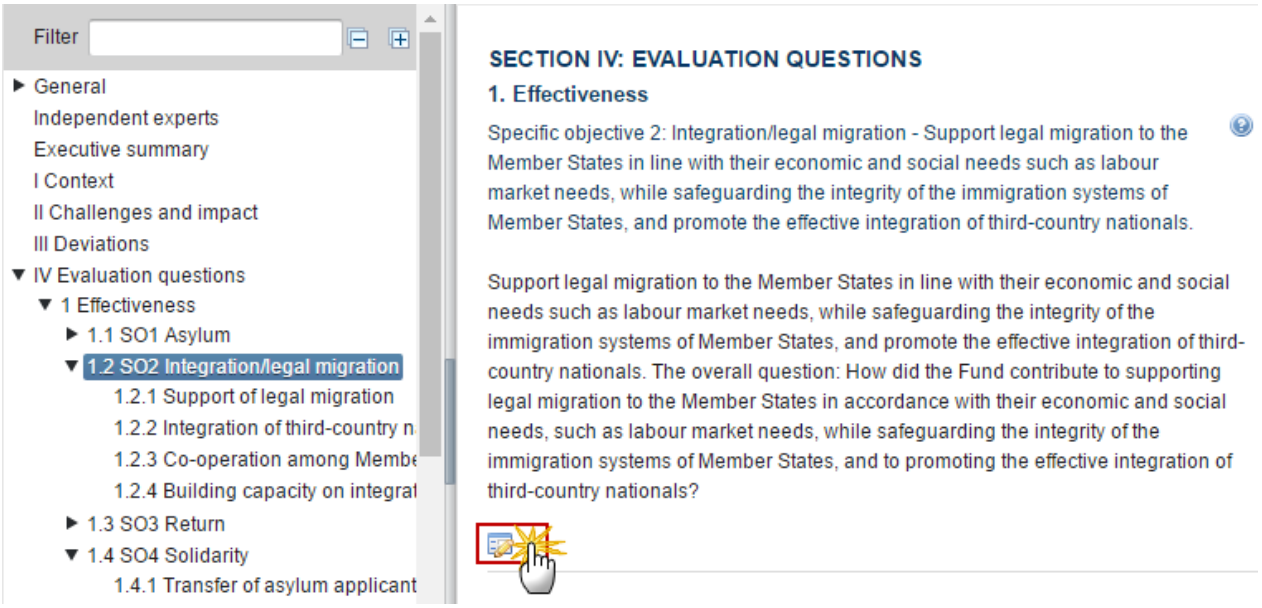
(1) Enter an explanation to answer the question.

(2) Click on the **Update** link to save the information.

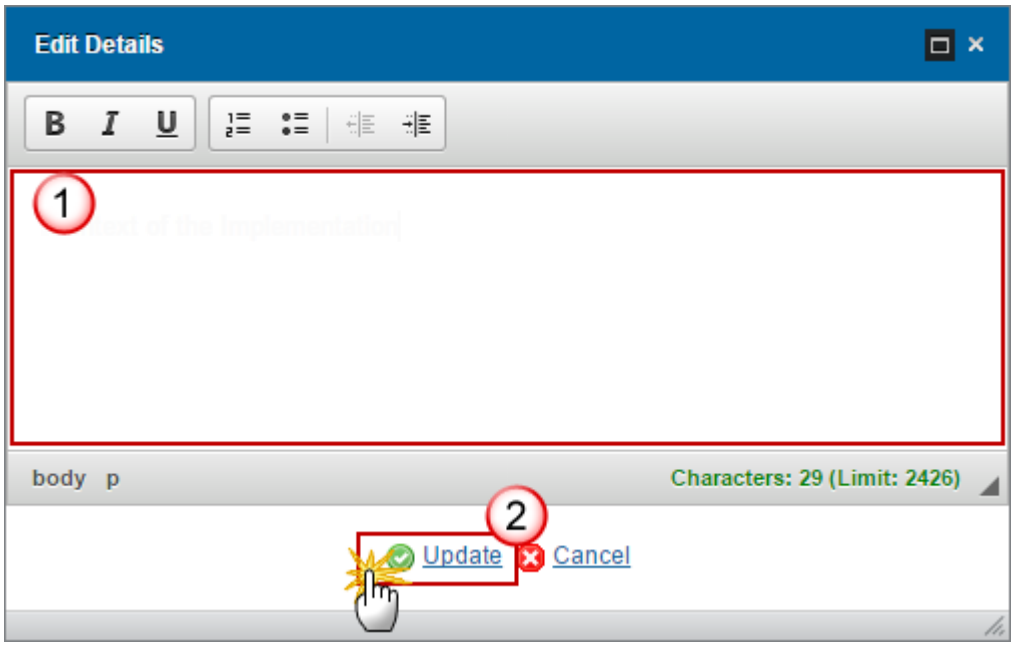
SO2 Integration/legal migration

Specific objective 2: Integration/legal migration - Support legal migration to the Member States in line with their economic and social needs such as labour market needs, while safeguarding the integrity of the immigration systems of Member States, and promote the effective integration of third-country nationals.

1. Clicking on the **EDIT** button of the SO2 Integration/legal migration will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



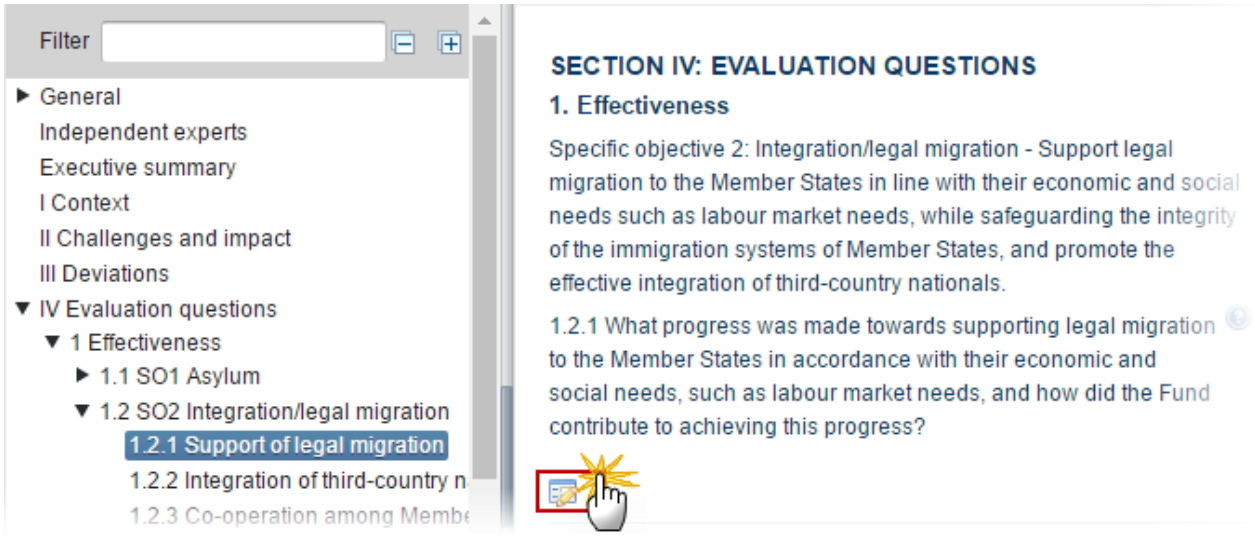
2. Enter the following information:

(1) Enter an explanation to answer the question.

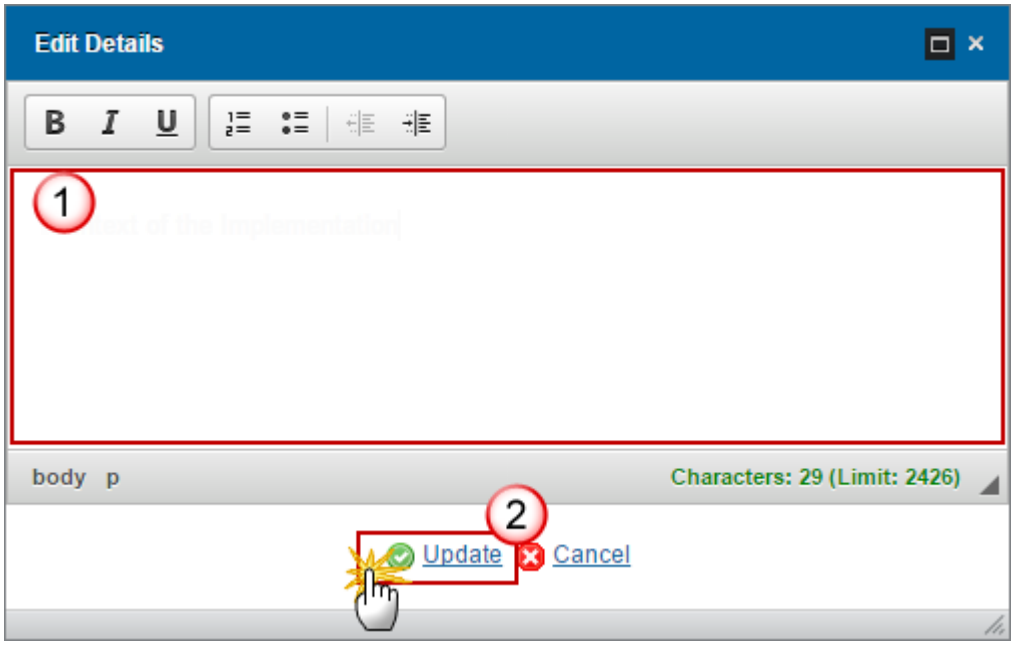
(2) Click on the Update link to save the information.

Support of legal migration

1. Clicking on the **EDIT** button will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



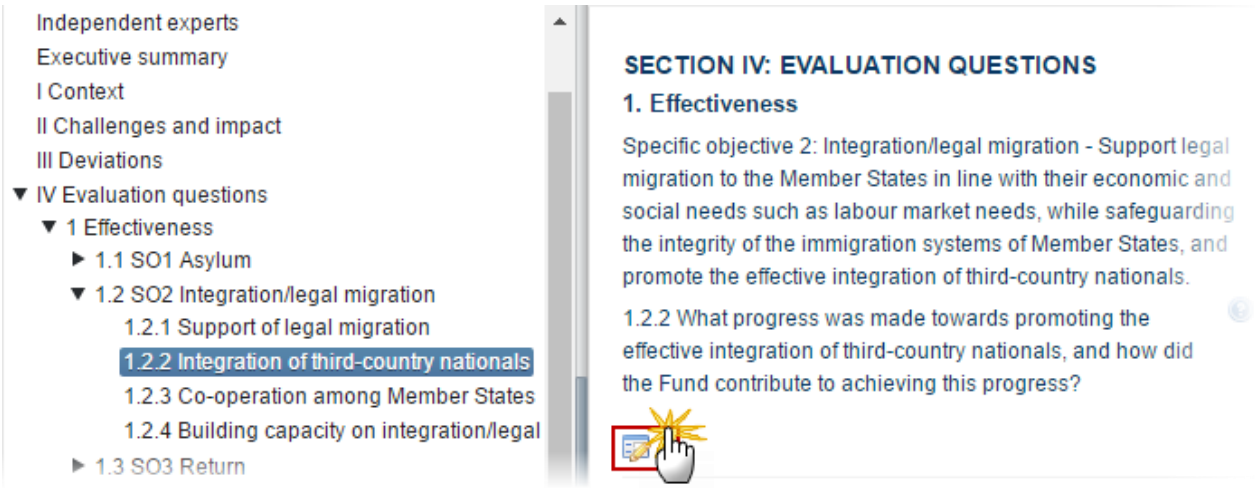
2. Enter the following information:

(1) Enter an explanation to answer the question.

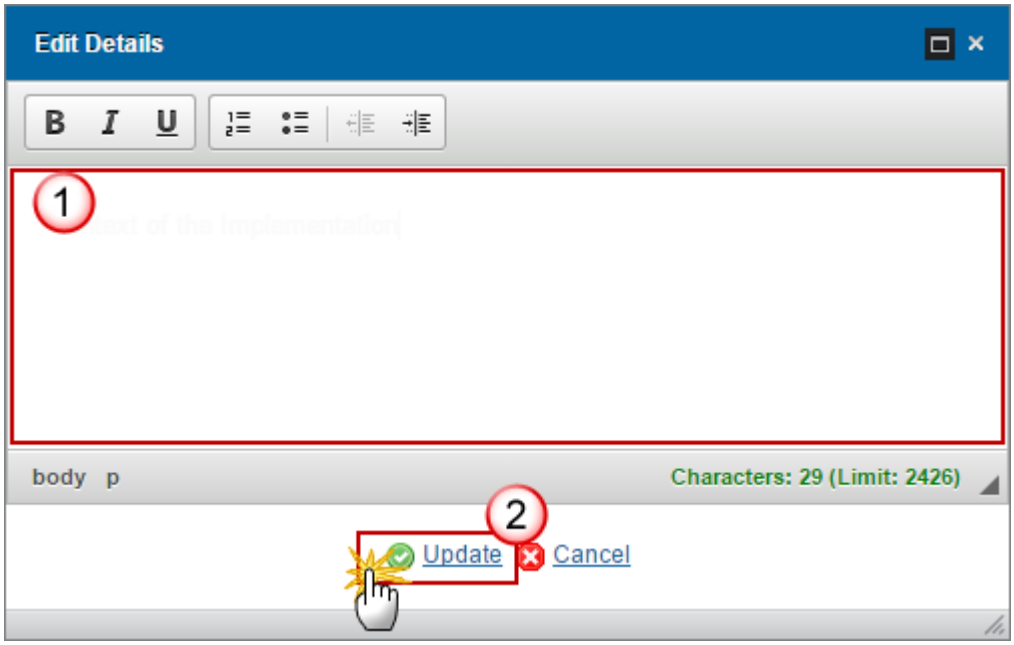
(2) Click on the Update link to save the information.

Integration of third-country nationals

1. Clicking on the **EDIT** button will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



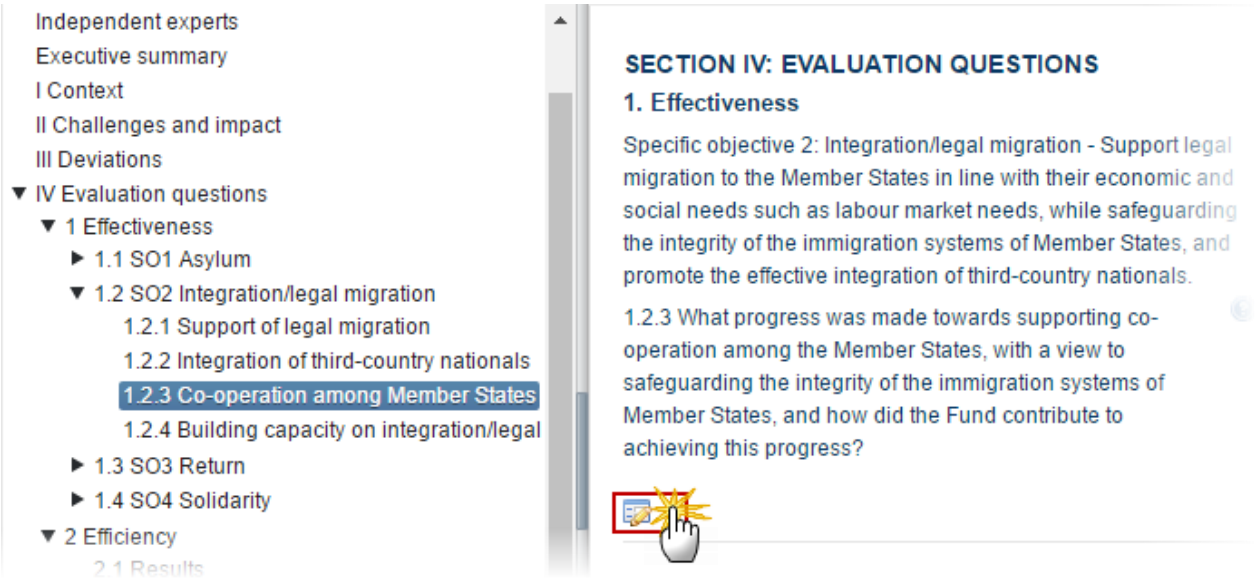
2. Enter the following information:

(1) Enter an explanation to answer the question.

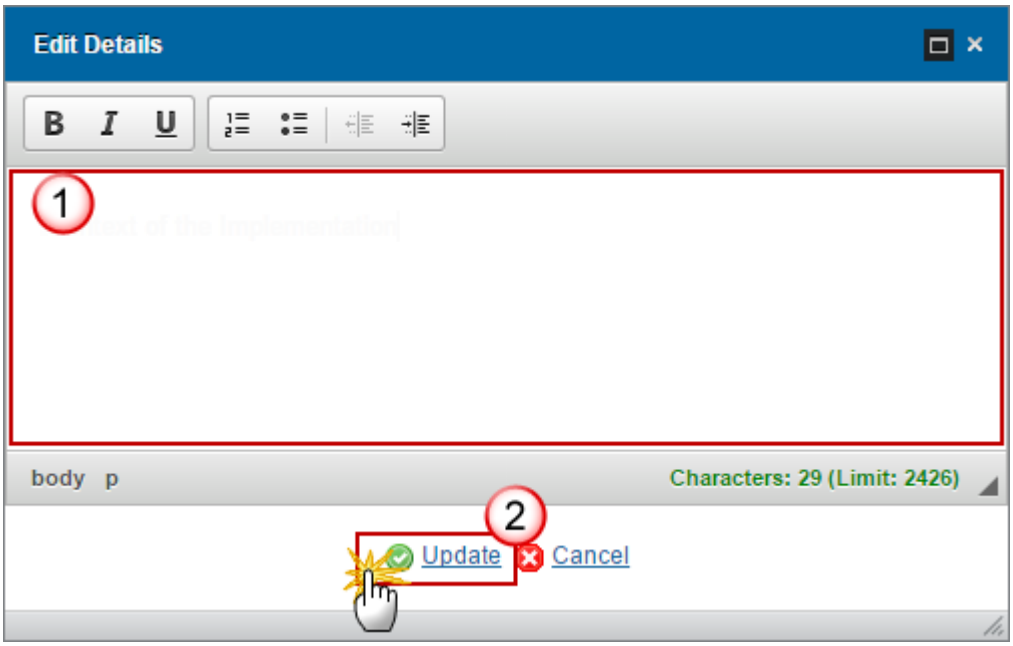
(2) Click on the Update link to save the information.

Cooperation among Member States

1. Clicking on the **EDIT** button will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



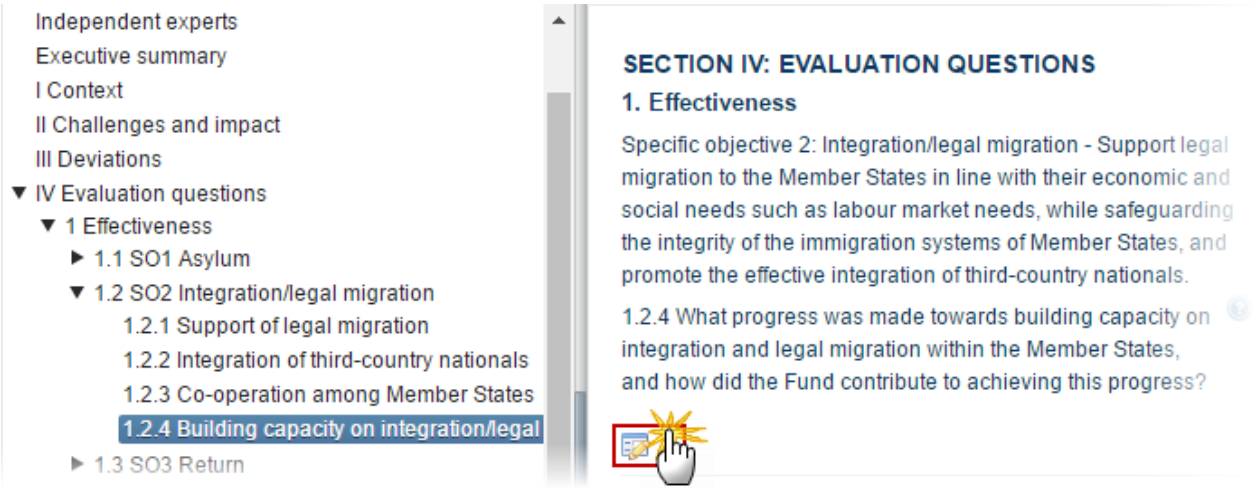
2. Enter the following information:

(1) Enter an explanation to answer the question.

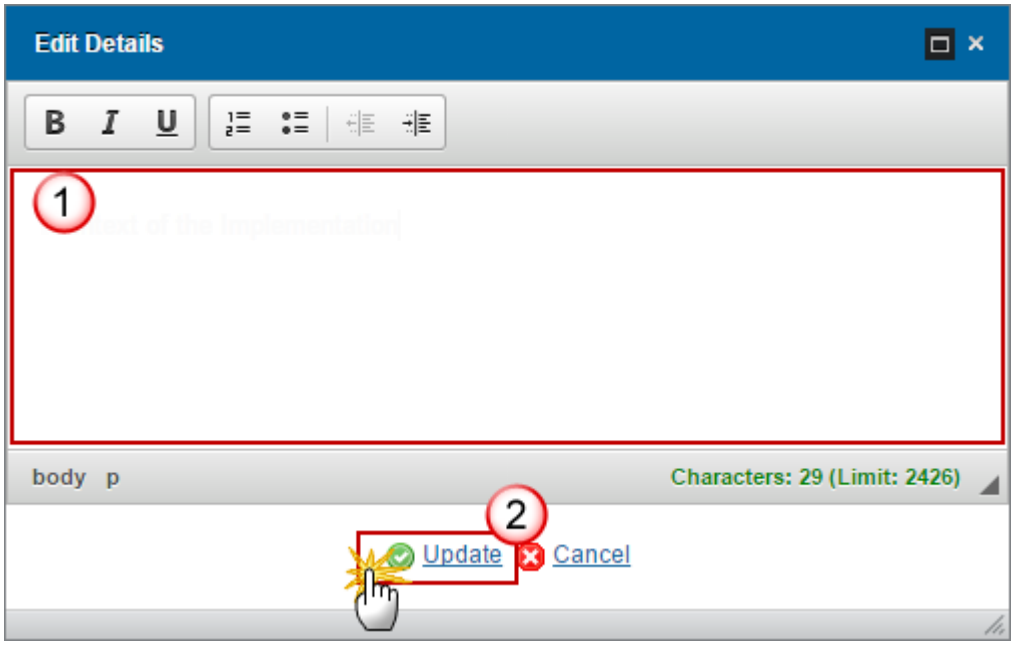
(2) Click on the Update link to save the information.

Building capacity on integration/legal

1. Clicking on the **EDIT** button will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



2. Enter the following information:

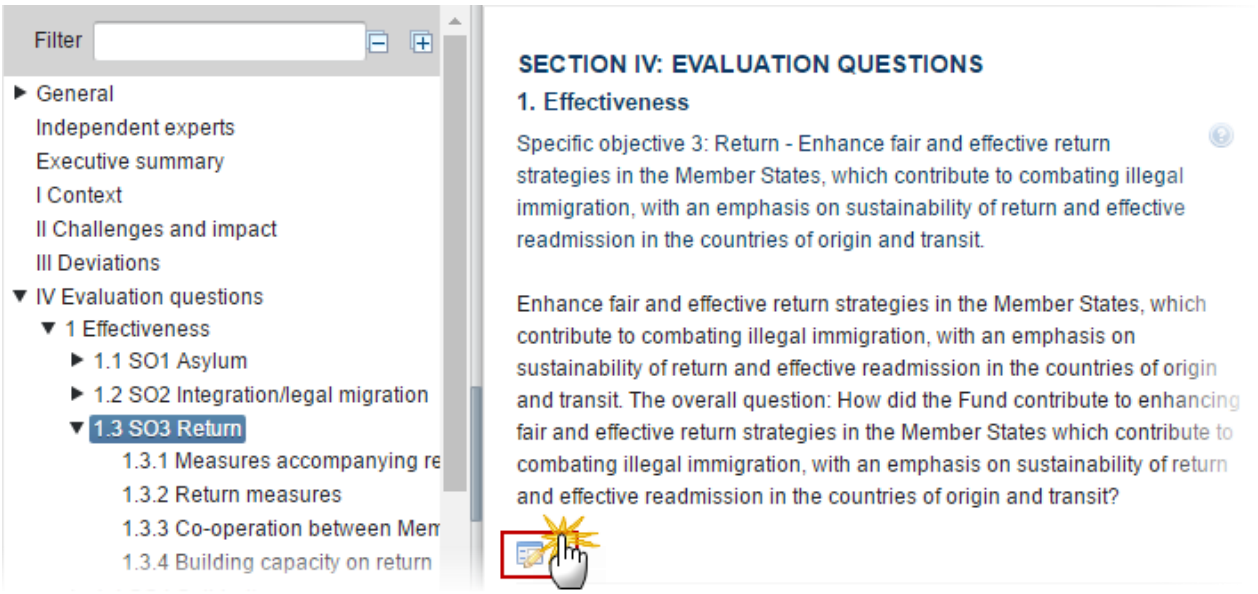
(1) Enter an explanation to answer the question.

(2) Click on the **Update** link to save the information.

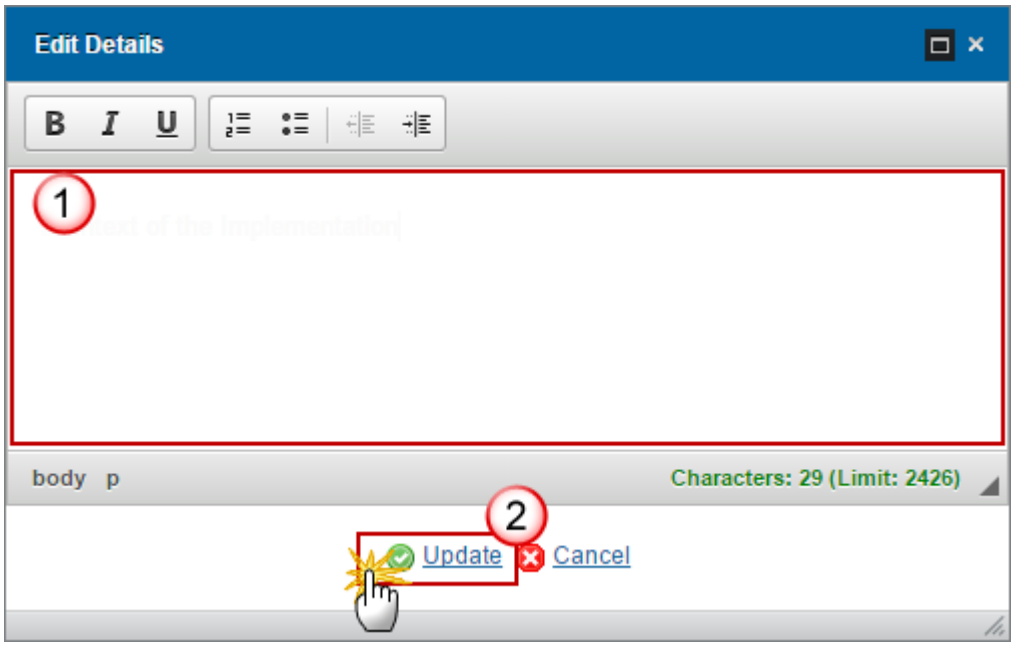
SO5 Return

Specific objective 3: Return - Enhance fair and effective return strategies in the Member States, which contribute to combating illegal immigration, with an emphasis on sustainability of return and effective readmission in the countries of origin and transit.

1. Clicking on the **EDIT** button of the Crime will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



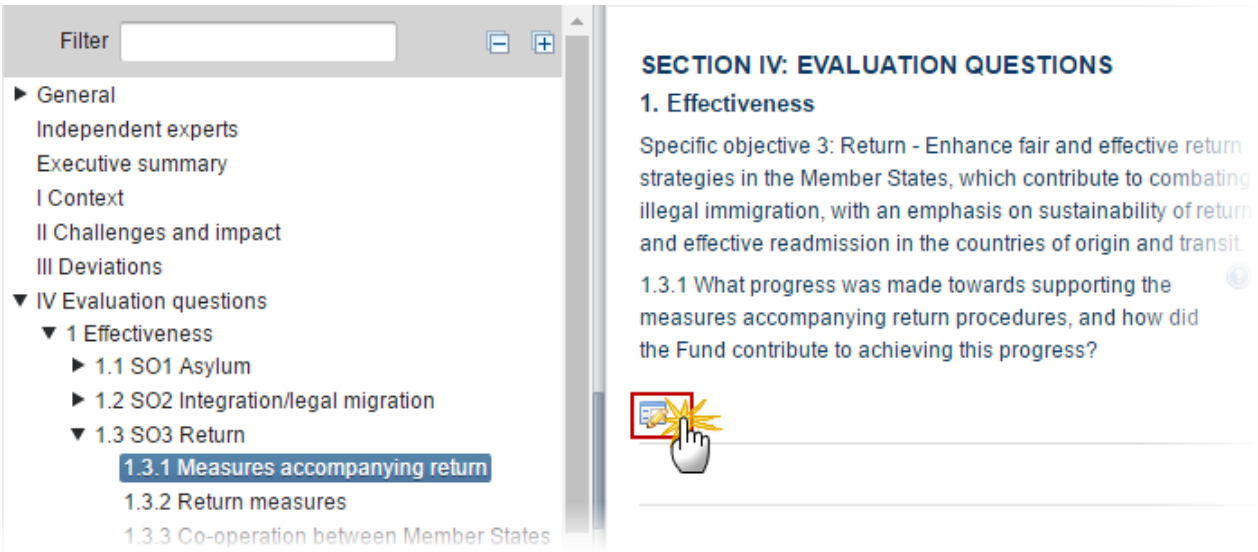
2. Enter the following information:

(1) Enter an explanation to answer the question.

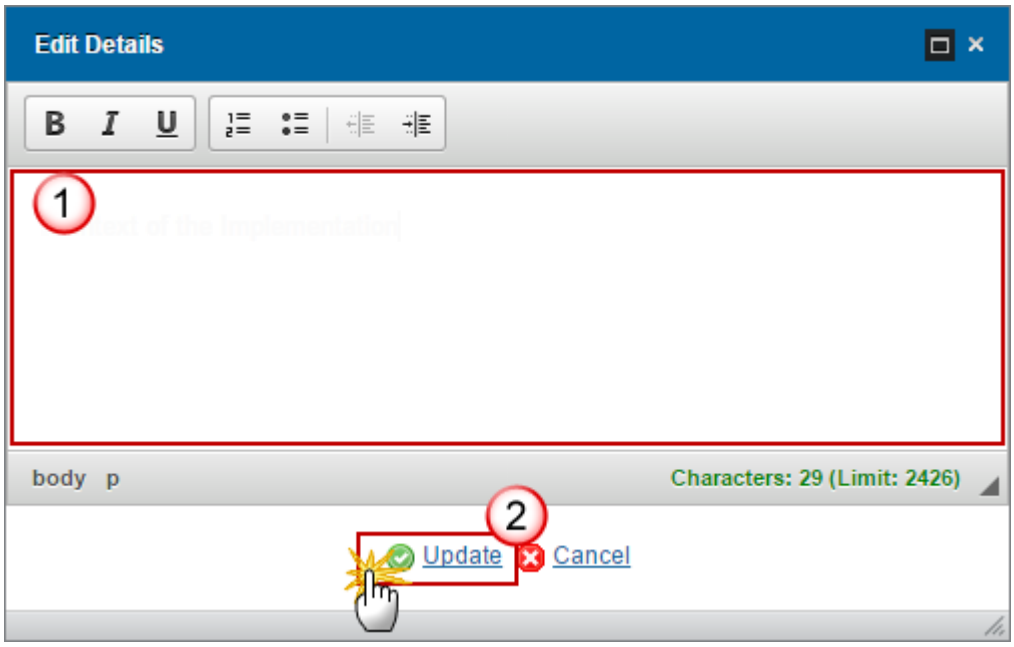
(2) Click on the Update link to save the information.

Measures accompanying return

1. Clicking on the **EDIT** button will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



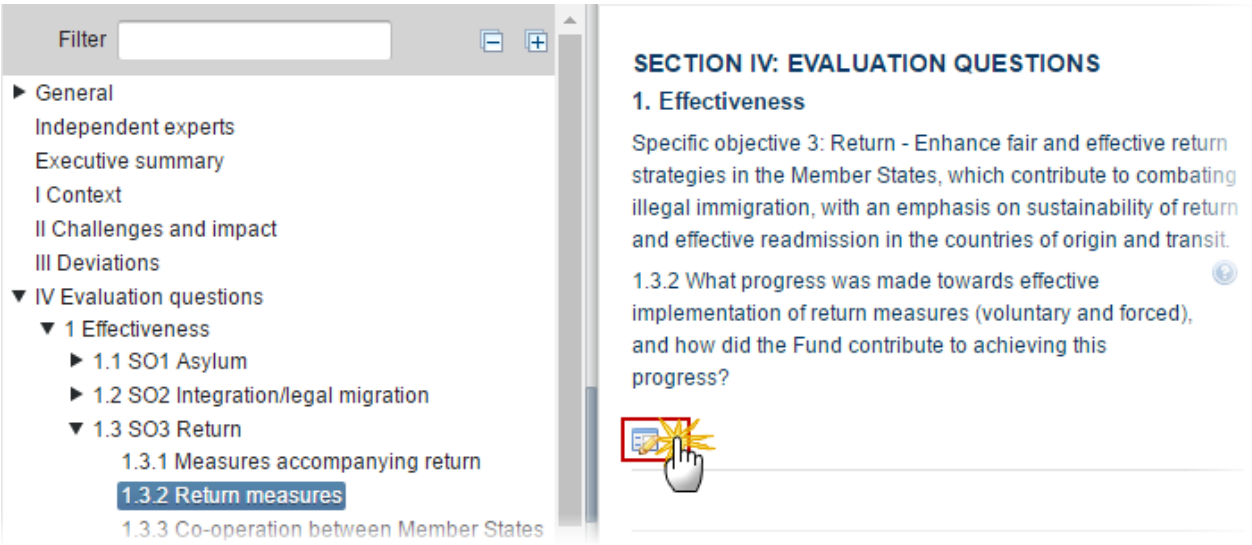
2. Enter the following information:

(1) Enter an explanation to answer the question.

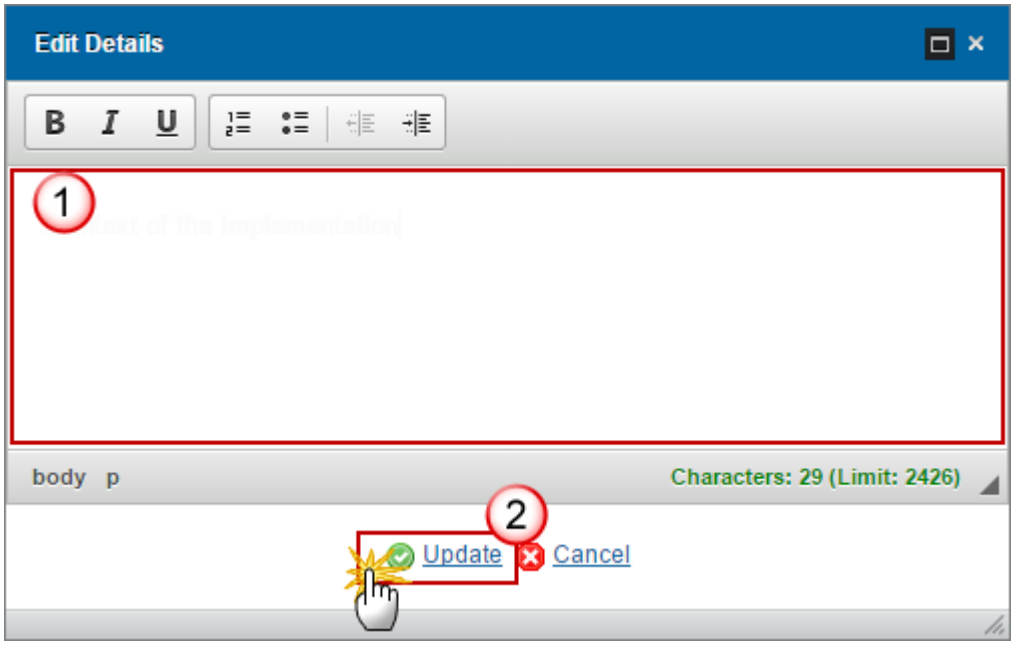
(2) Click on the Update link to save the information.

Return measures

1. Clicking on the **EDIT** button will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



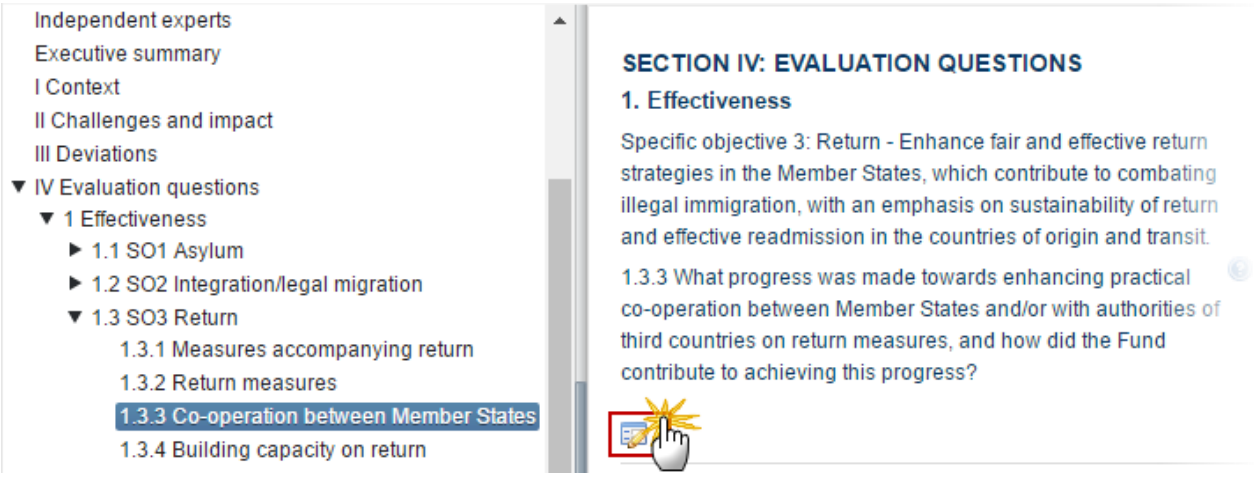
2. Enter the following information:

(1) Enter an explanation to answer the question.

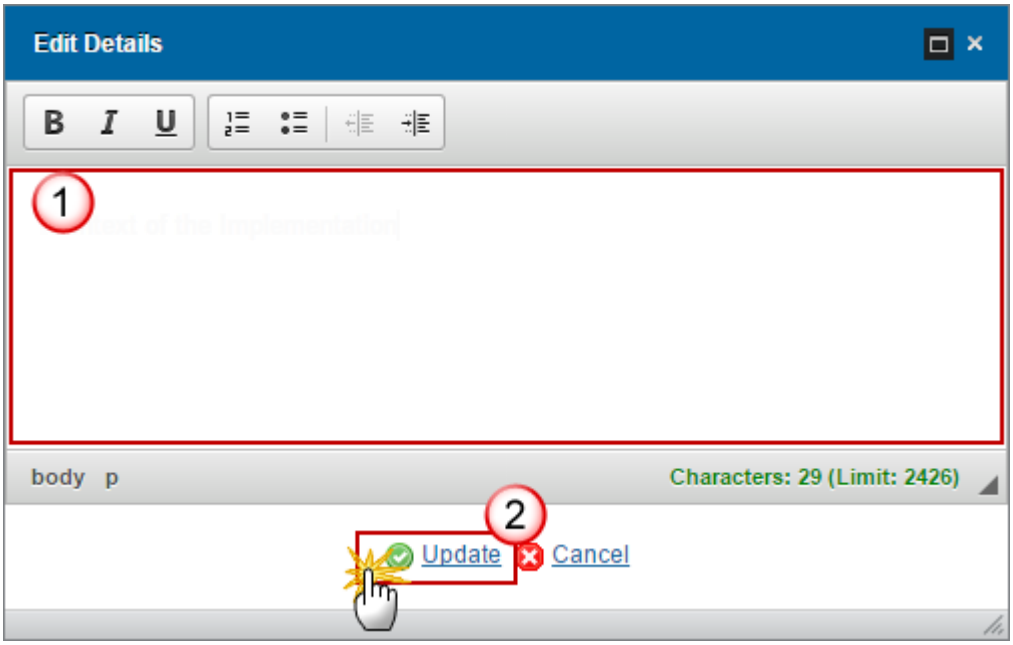
(2) Click on the Update link to save the information.

Co-operation between Member States

1. Clicking on the **EDIT** button will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



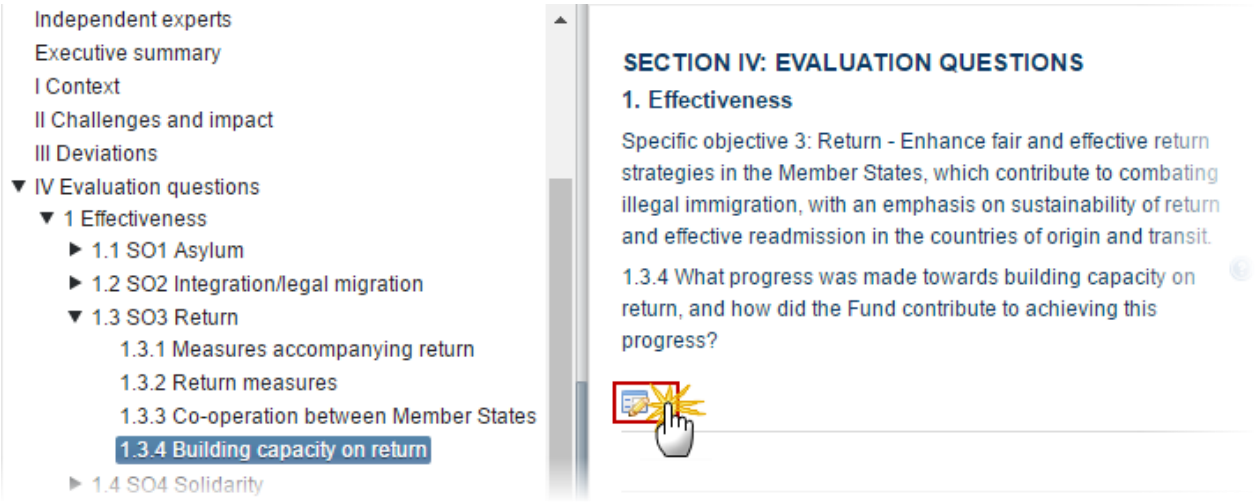
2. Enter the following information:

(1) Enter an explanation to answer the question.

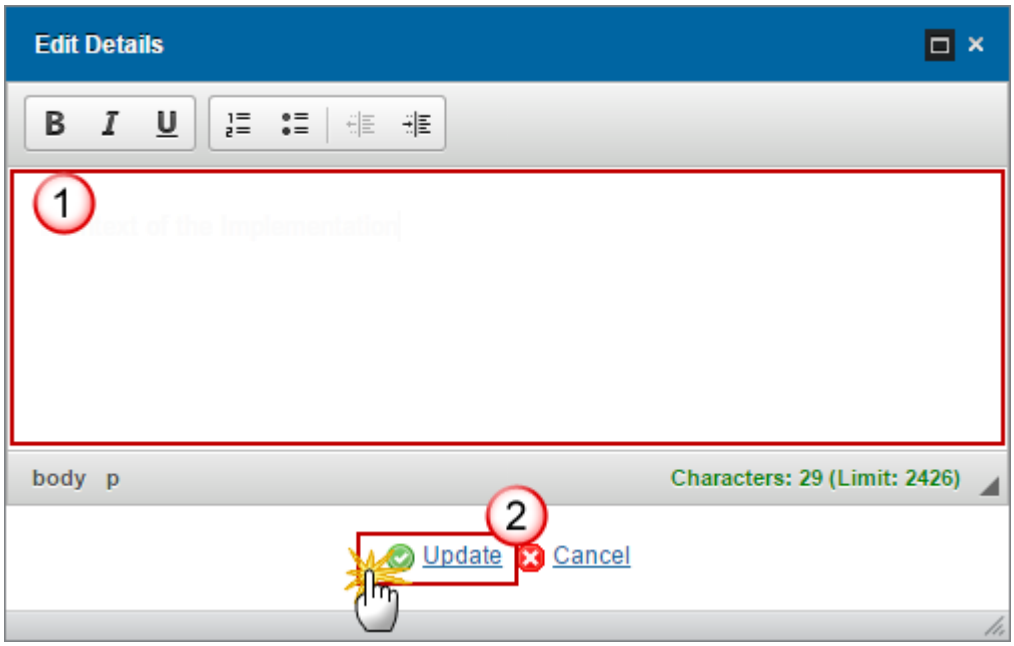
(2) Click on the **Update** link to save the information.

1.3.4 Building capacity of return

1. Clicking on the **EDIT** button of the Witnesses & victims of crime will open a pop-up window allowing you to enter an explanation.



The Edit Details pop-up window appears:



2. Enter the following information:

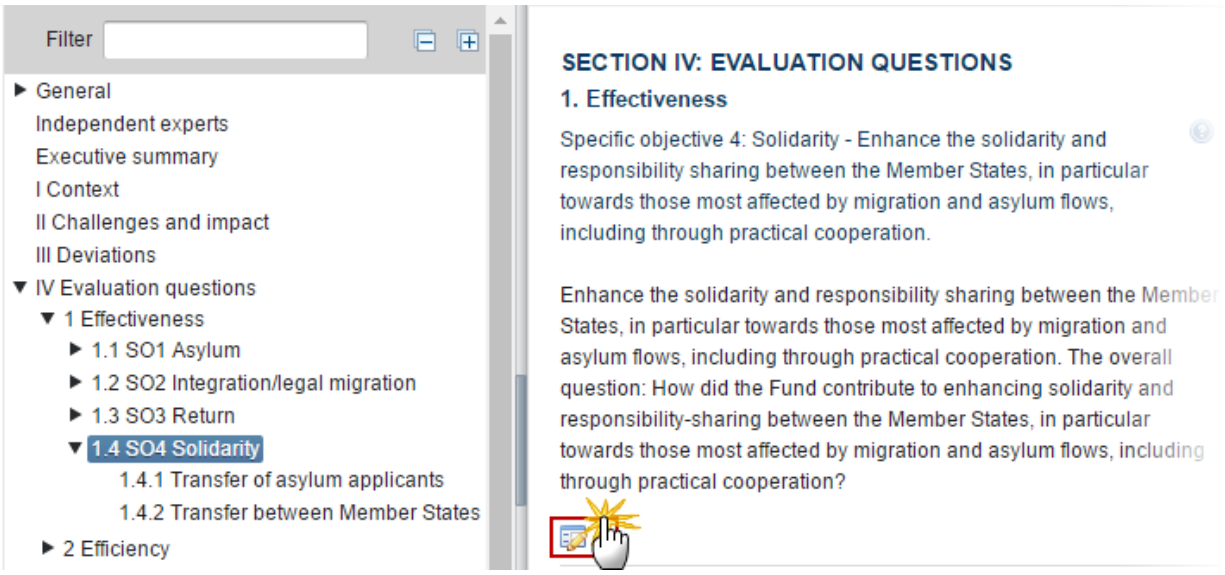
(1) Enter an explanation to answer the question.

(2) Click on the Update link to save the information.

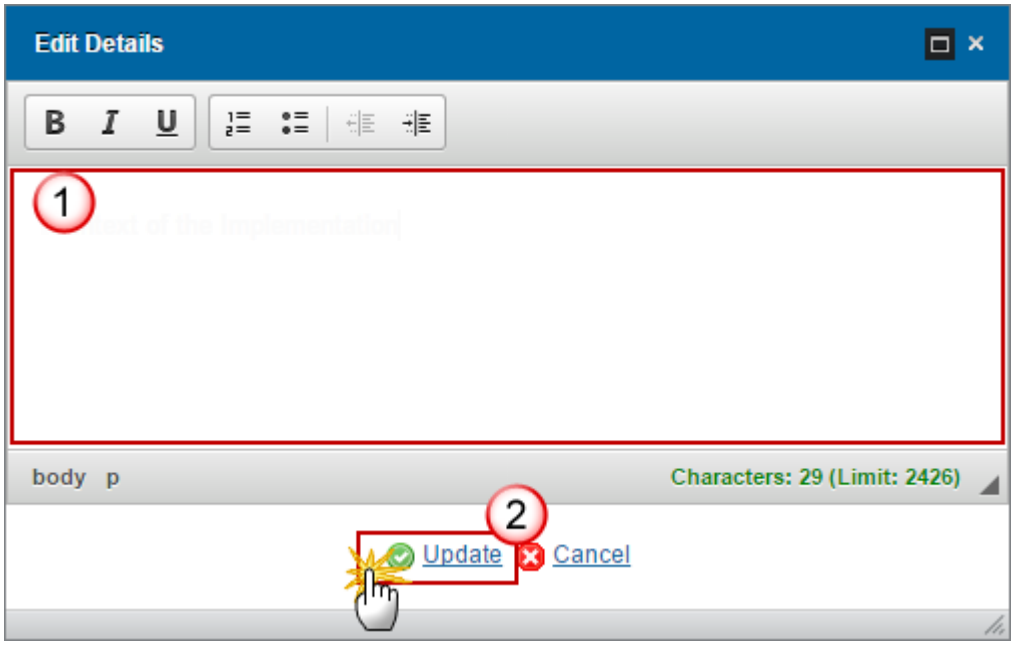
SO4 Solidarity

Specific objective 4: Solidarity - Enhance the solidarity and responsibility sharing between the Member States, in particular towards those most affected by migration and asylum flows, including through practical cooperation.

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation.



The Edit details pop-up window appears:



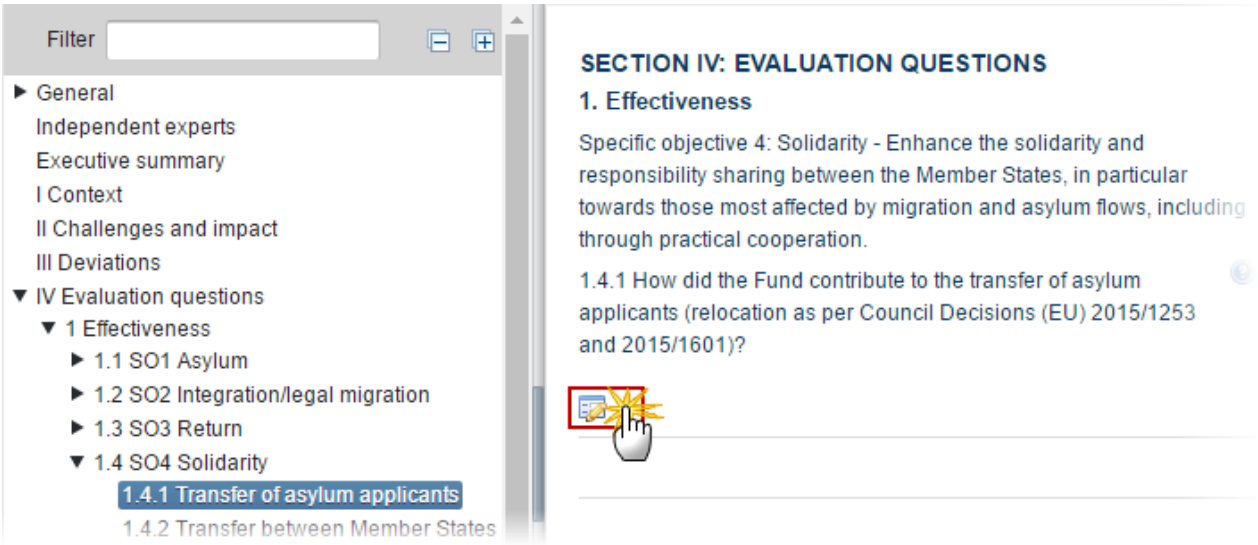
1. Enter the following information:

(1) Enter an explanation to answer the question.

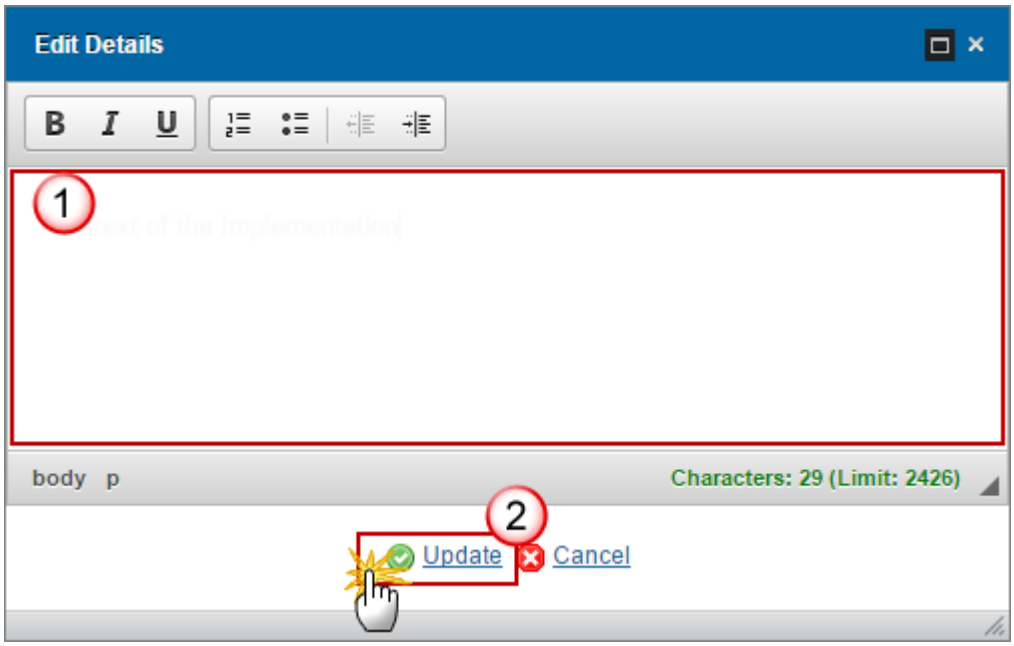
(2) Click on Update to save the information.

Transfer of asylum applicants

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation.



The Edit details pop-up window appears:



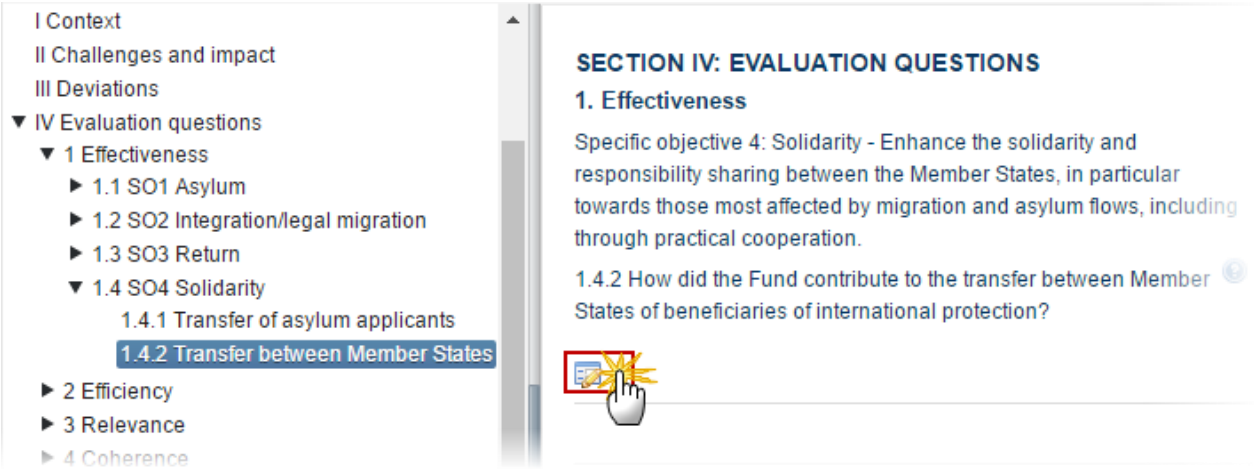
2. Enter the following information:

(1) Enter an explanation to answer the question.

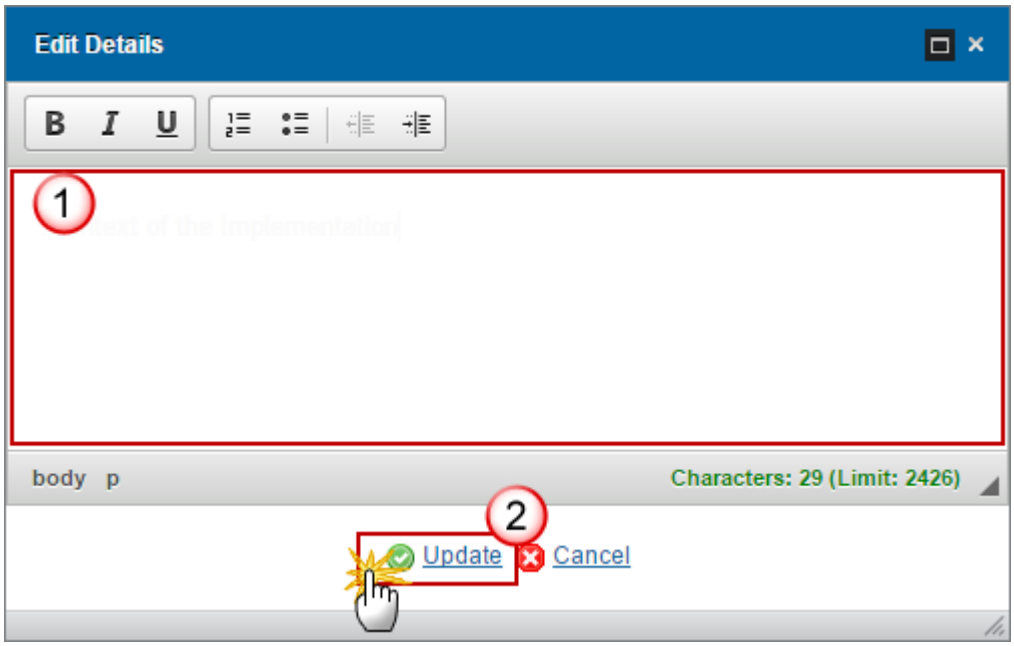
(2) Click on **Update** to save the information.

Transfer between Member States

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation.



The Edit details pop-up window appears:



2. Enter the following information:

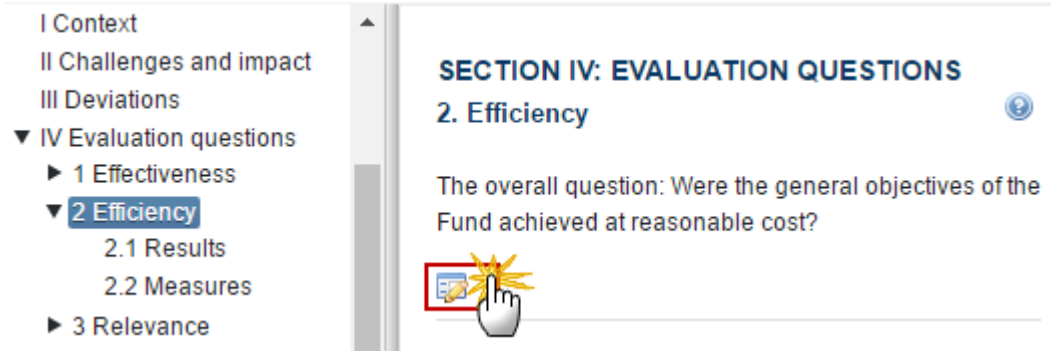
(1) Enter an explanation to answer the question.

(2) Click on Update to save the information.

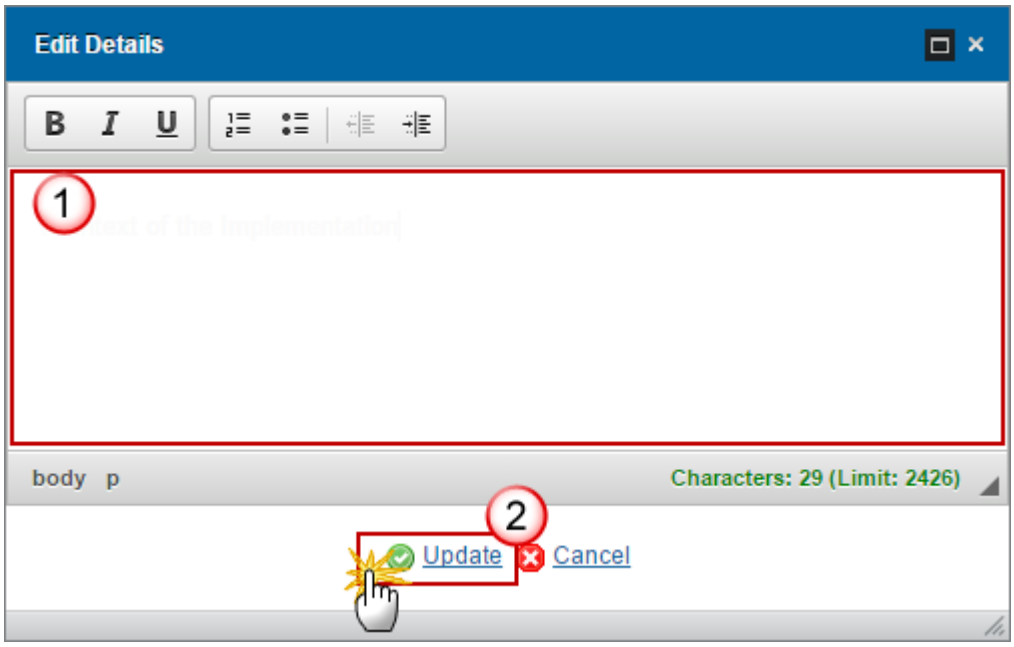
Efficiency

The overall question: Were the general objectives of the Fund achieved at reasonable cost?

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation.



The Edit details pop-up window appears:



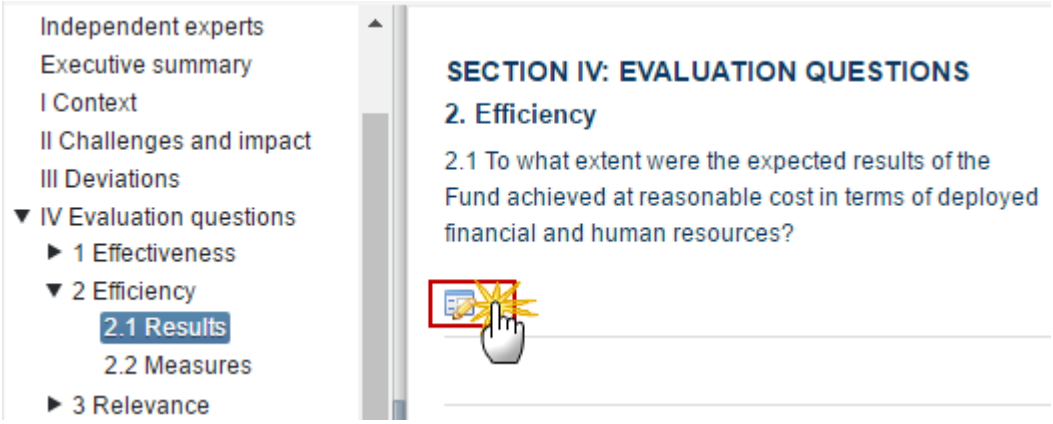
2. Enter the following information:

(1) Enter an explanation to answer the question.

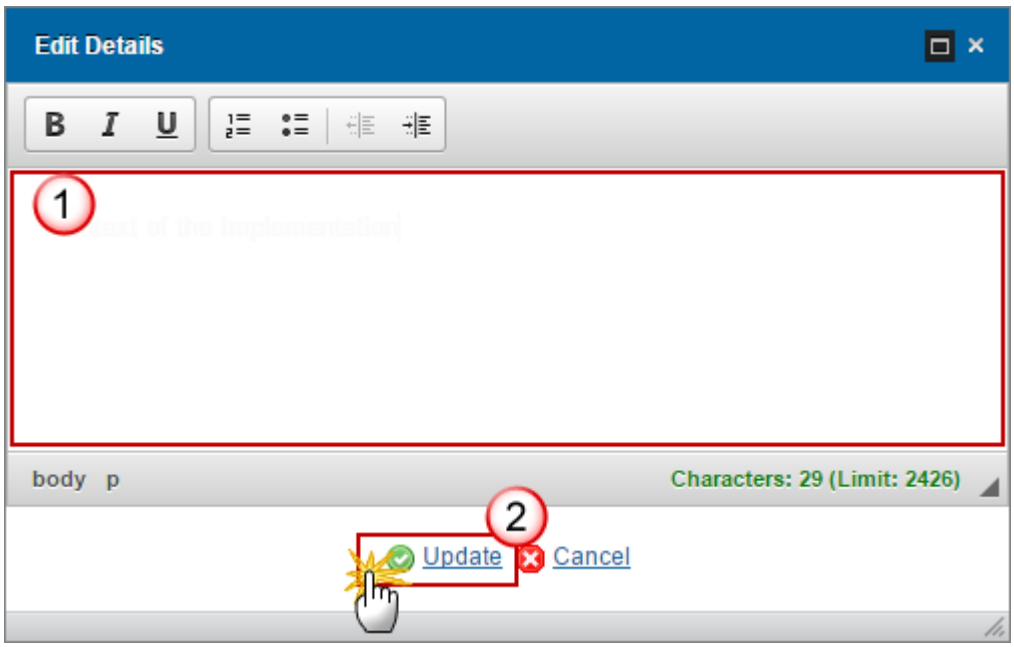
(2) Click on **Update** to save the information.

Results

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the results.



The Edit details pop-up window appears:



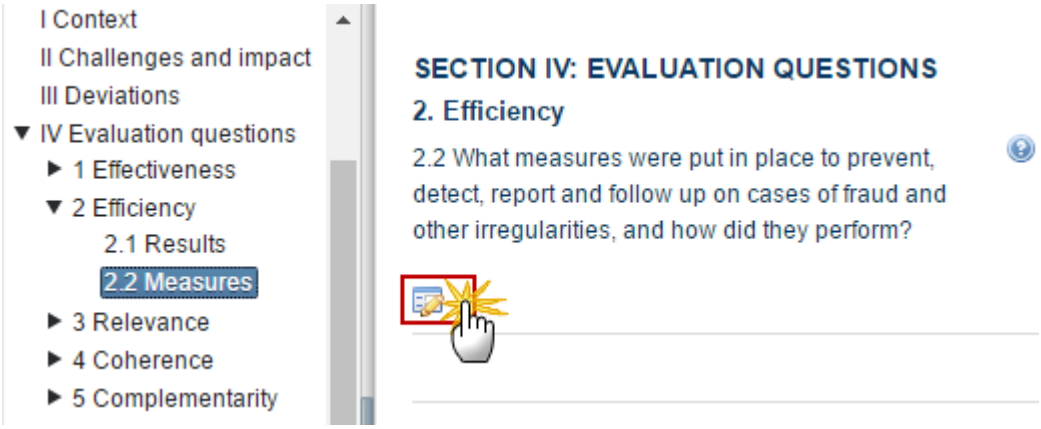
2. Enter the following information:

(1) Enter an explanation to answer the question.

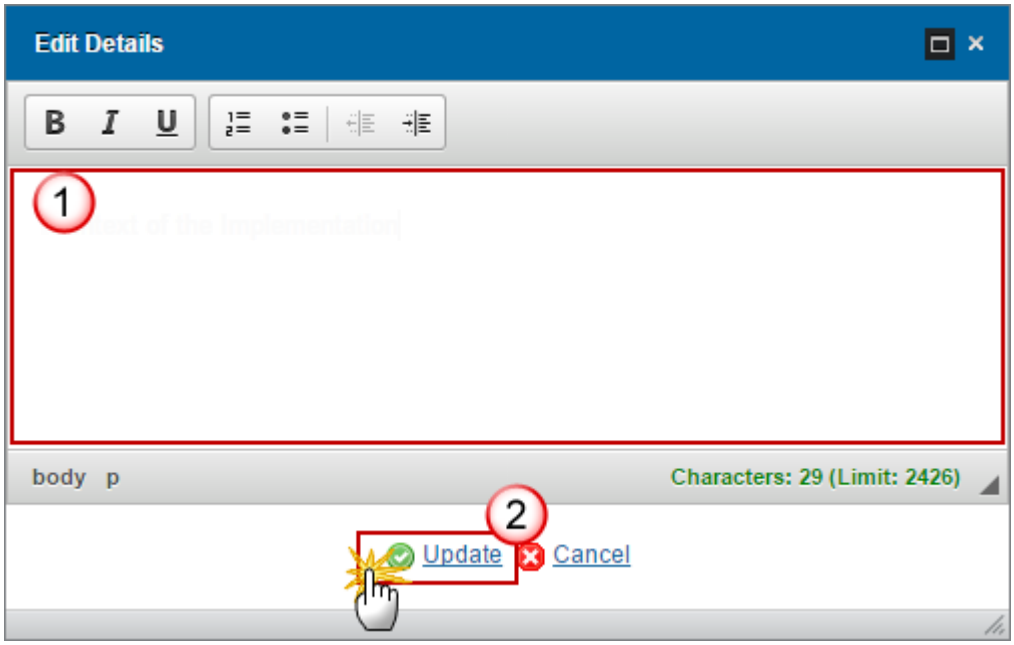
(2) Click on Update to save the information.

Measures

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the measures.



The Edit details pop-up window appears:



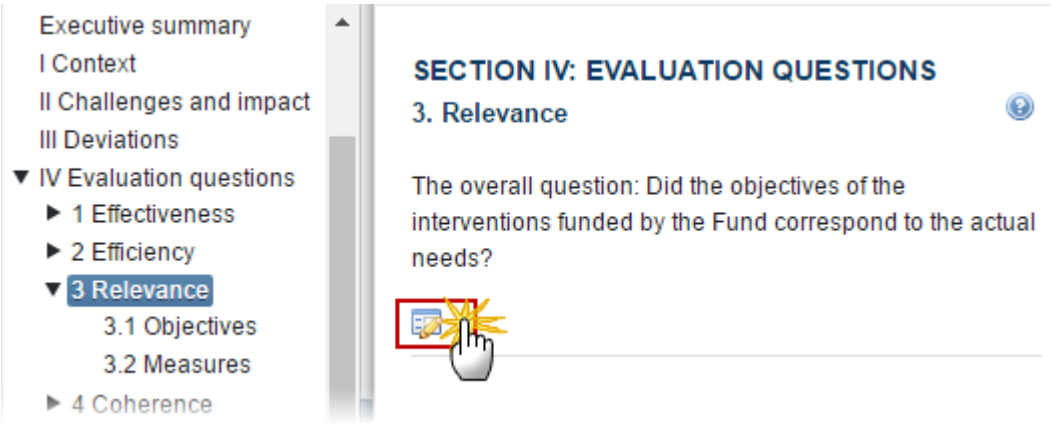
2. Enter the following information:

(1) Enter an explanation to answer the question.

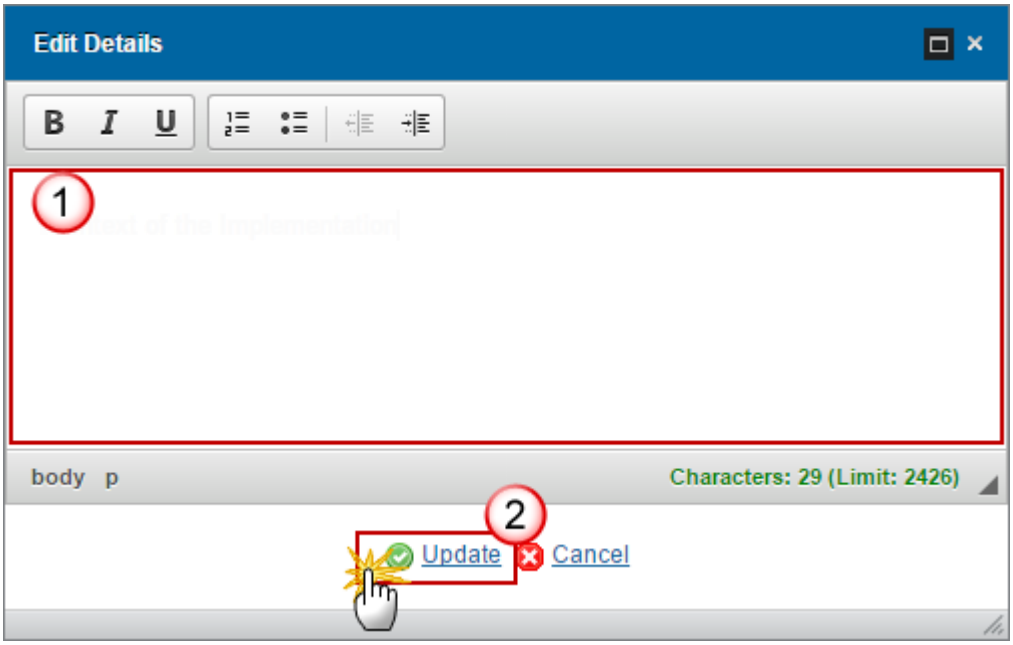
(2) Click on Update to save the information.

Relevance

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the overall question.



The Edit details pop-up window appears:



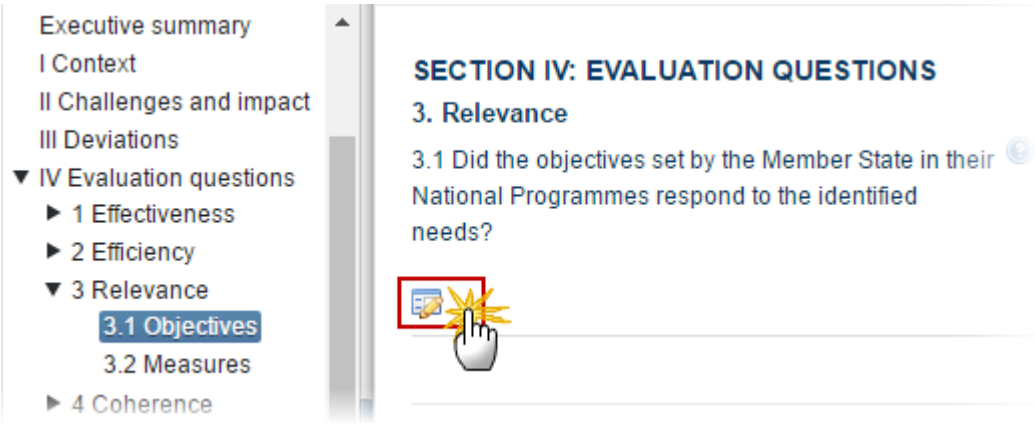
2. Enter the following information:

(1) Enter an explanation to answer the question.

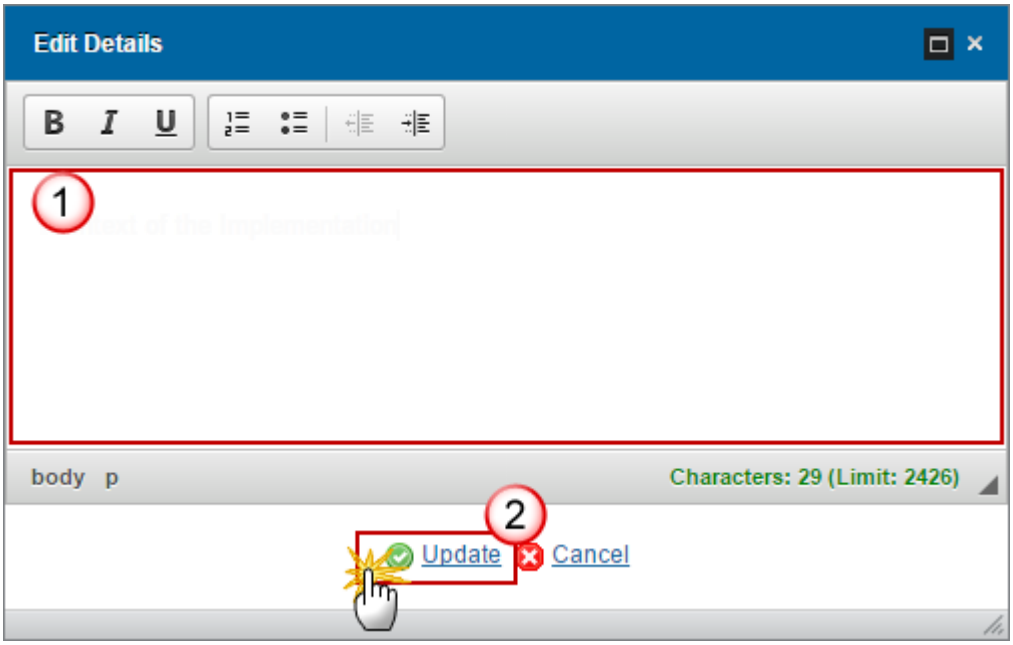
(2) Click on Update to save the information.

Objectives

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the objectives.



The Edit details pop-up window appears:



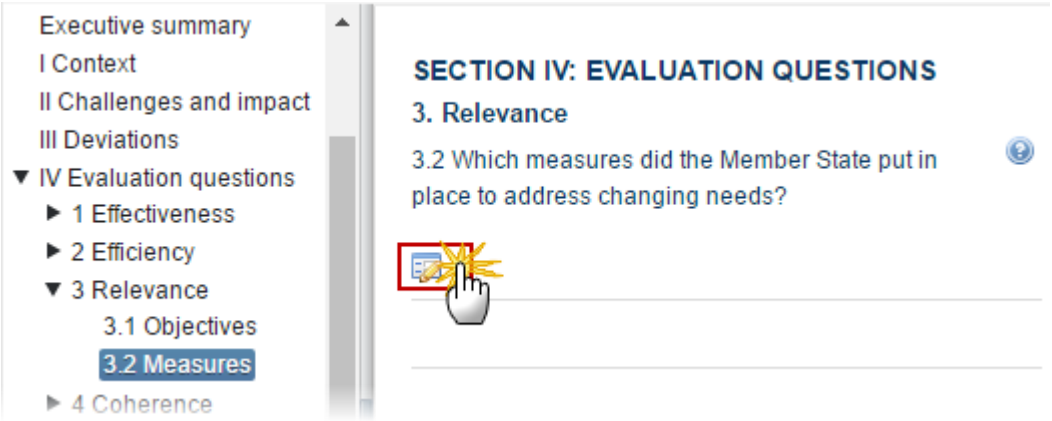
2. Enter the following information:

(1) Enter an explanation to answer the question.

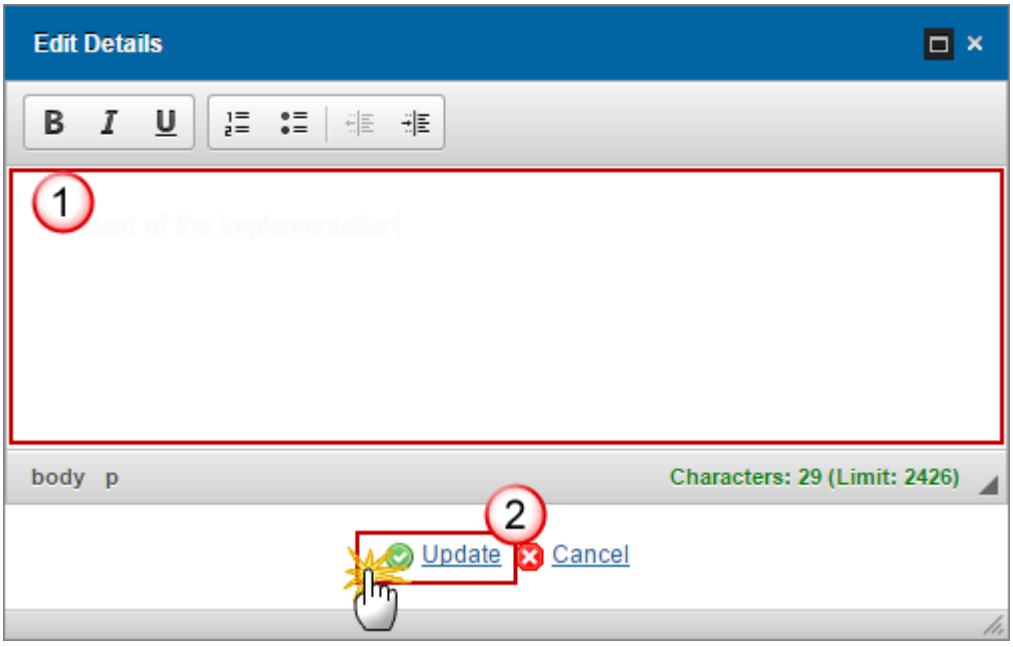
(2) Click on Update to save the information.

Measures

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the measures.



The Edit details pop-up window appears:



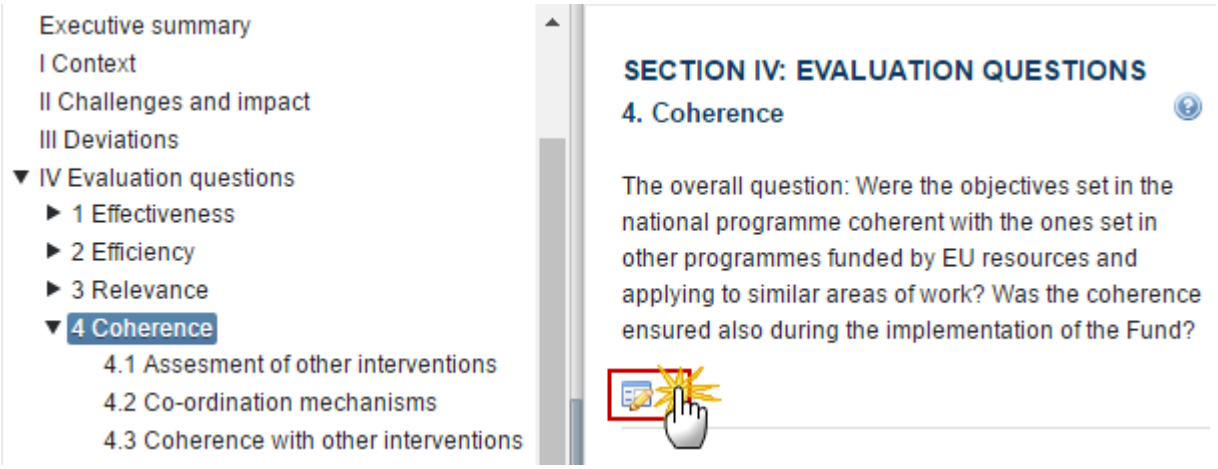
2. Enter the following information:

(1) Enter an explanation to answer the question.

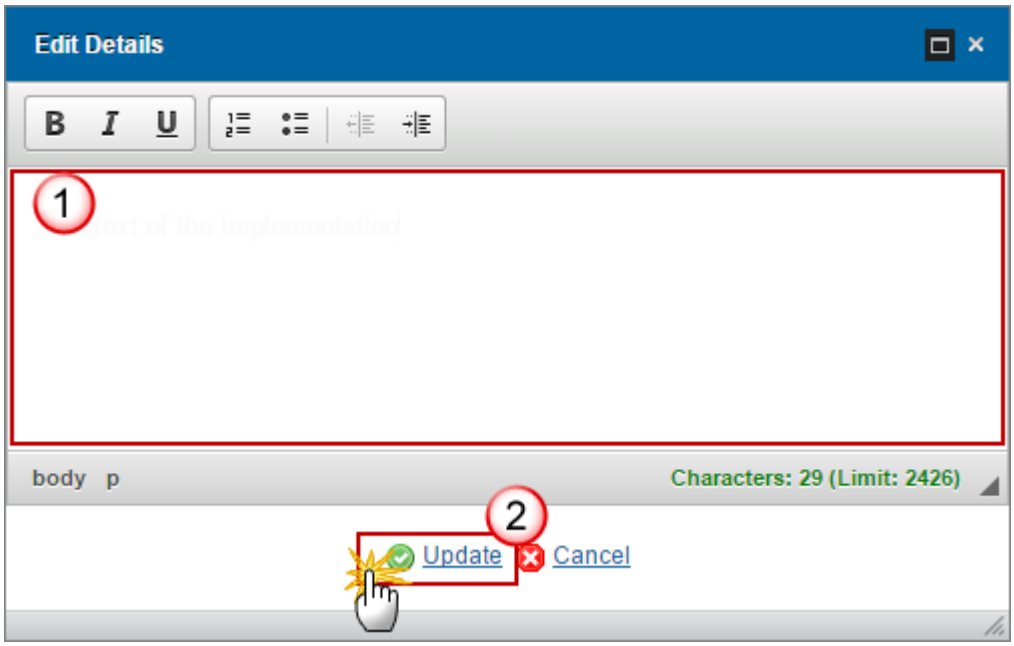
(2) Click on **Update** to save the information.

Coherence

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the coherence overall question.



The Edit details pop-up window appears:



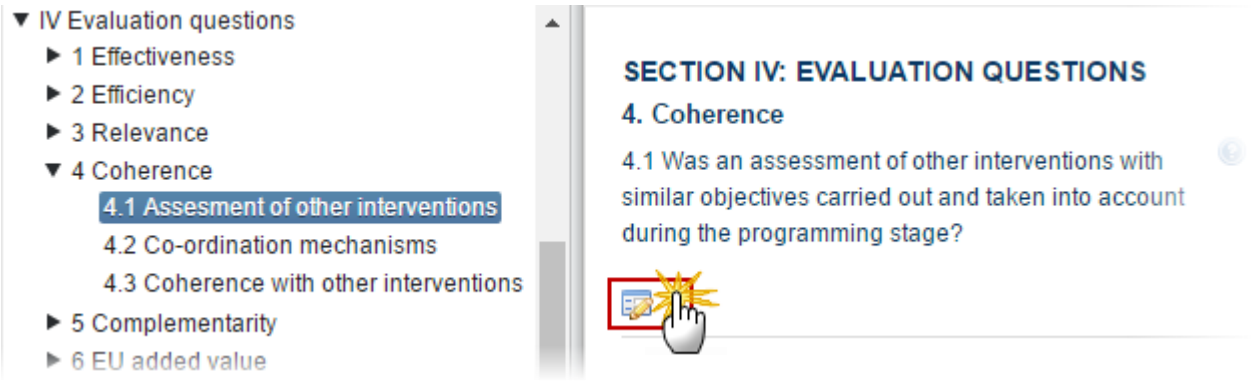
2. Enter the following information:

(1) Enter an explanation to answer the question.

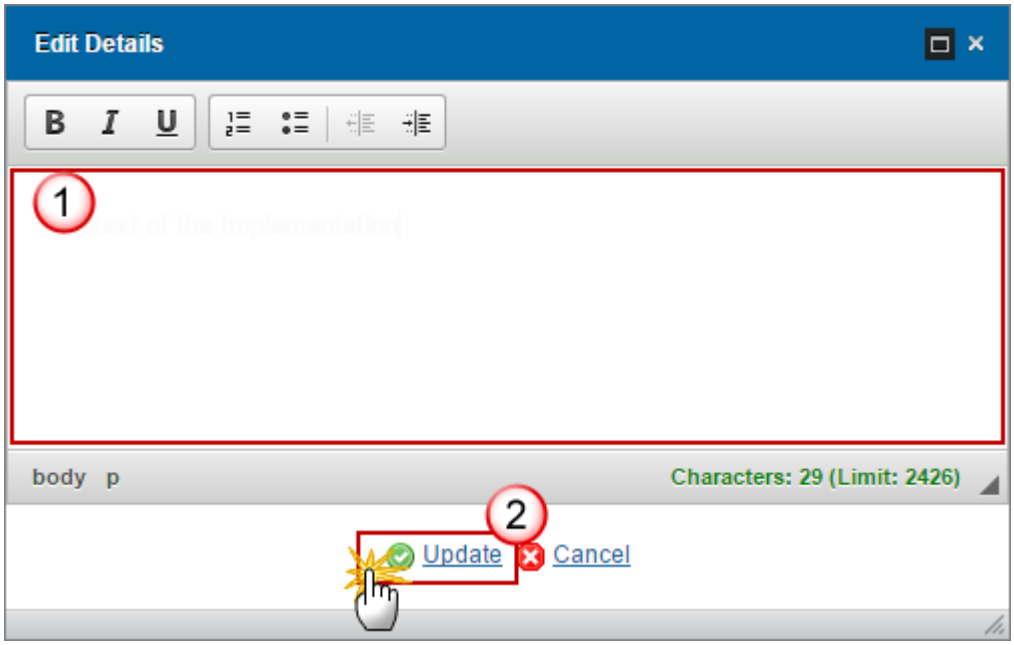
(2) Click on Update to save the information.

Assessment of other interventions

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the assessment.



The Edit details pop-up window appears:



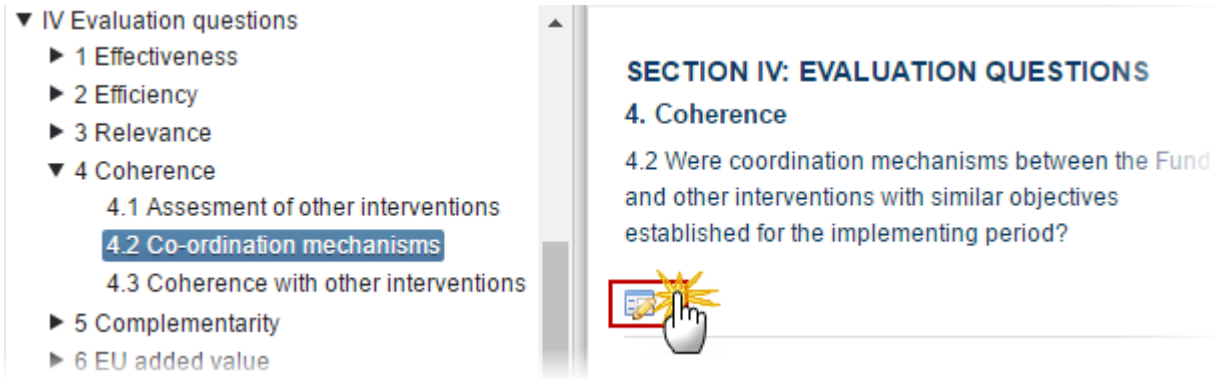
2. Enter the following information:

(1) Enter an explanation to answer the question.

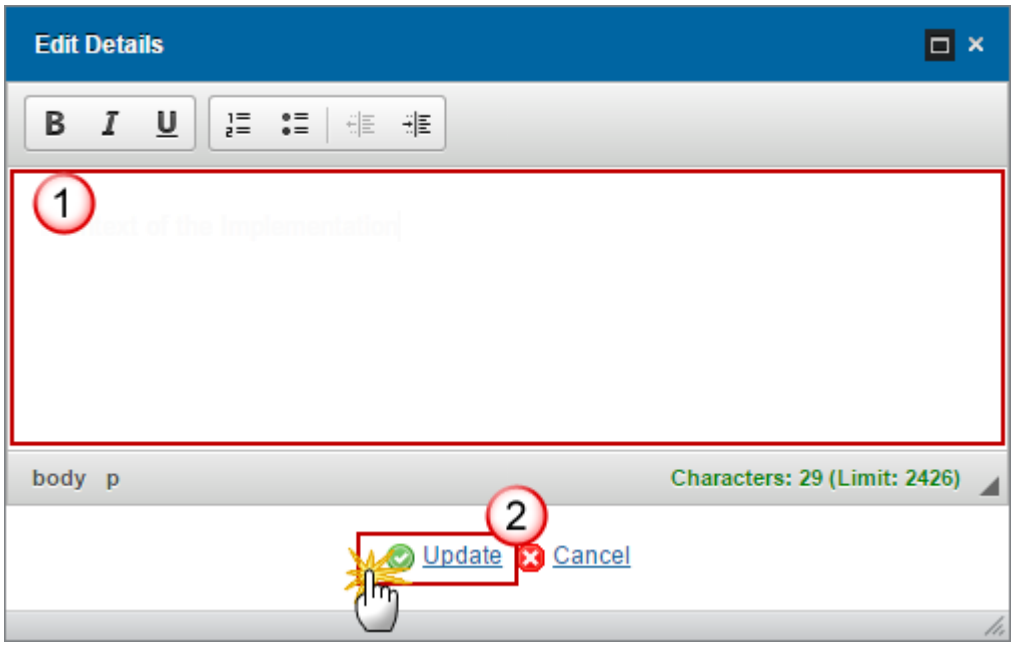
(2) Click on Update to save the information.

Co-ordination mechanisms

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the co-ordination.



The Edit details pop-up window appears:



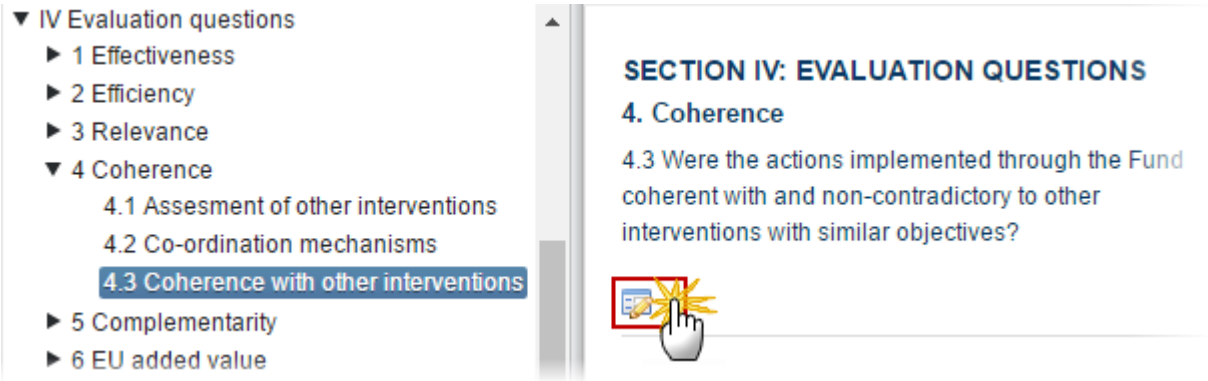
2. Enter the following information:

(1) Enter an explanation to answer the question.

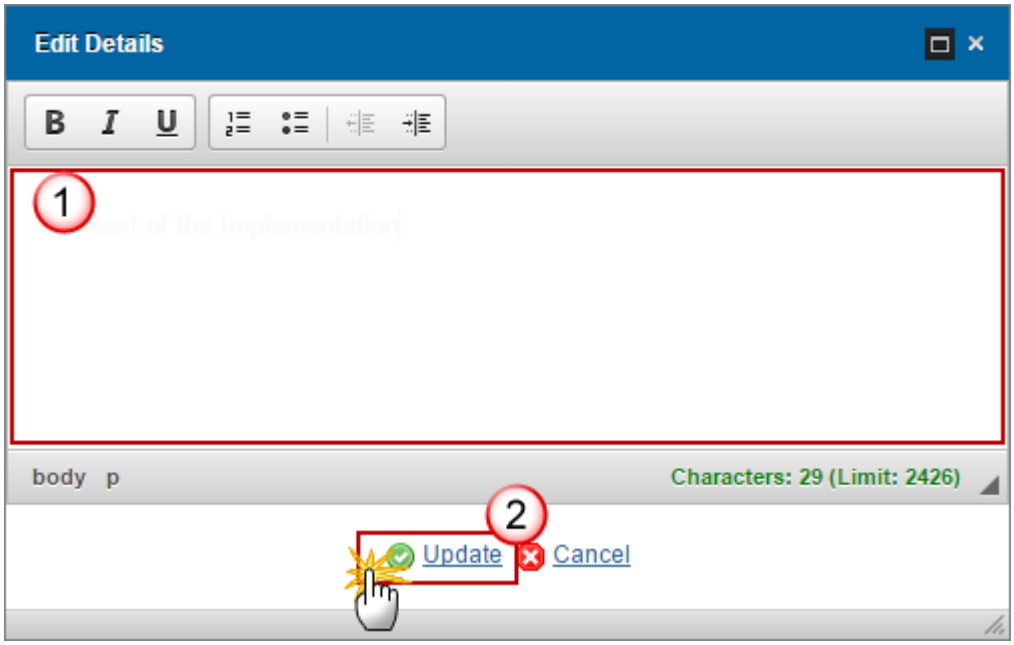
(2) Click on **Update** to save the information.

Coherence with other interventions

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the coherence with other interventions question.



The Edit details pop-up window appears:



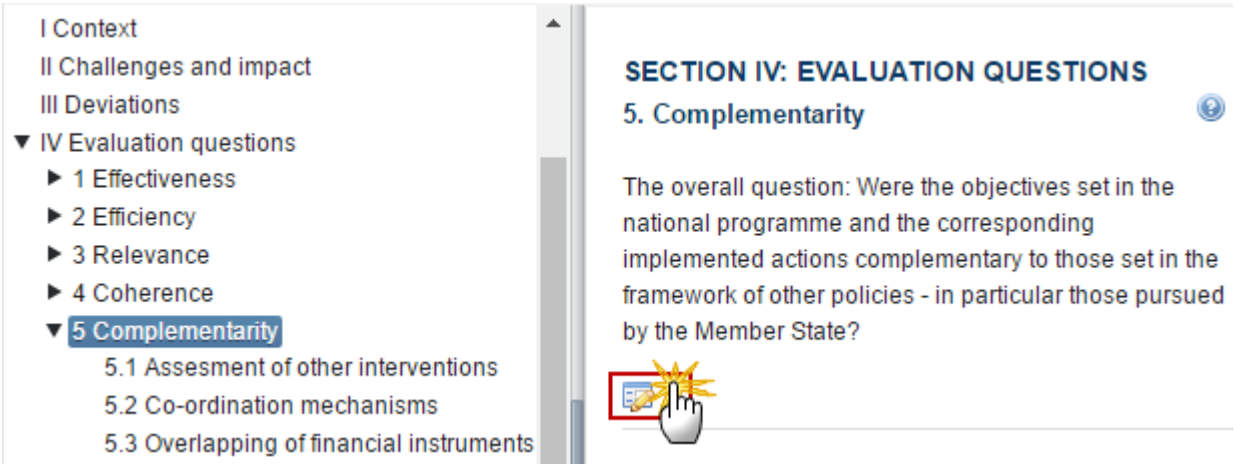
2. Enter the following information:

(1) Enter an explanation to answer the question.

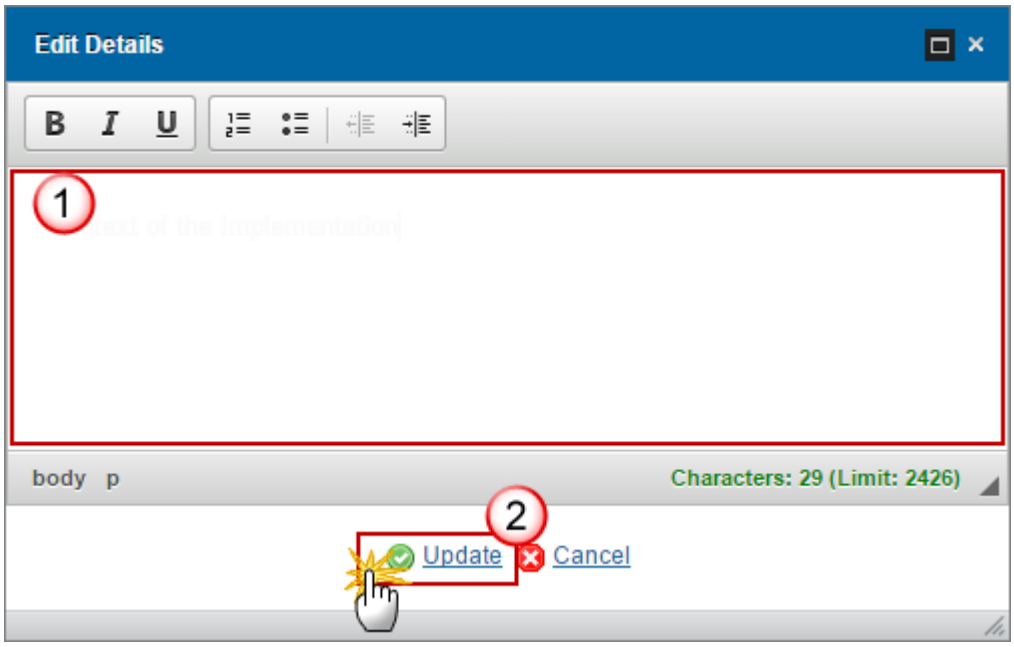
(2) Click on **Update** to save the information.

Complementary

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the complementary overall question.



The Edit details pop-up window appears:



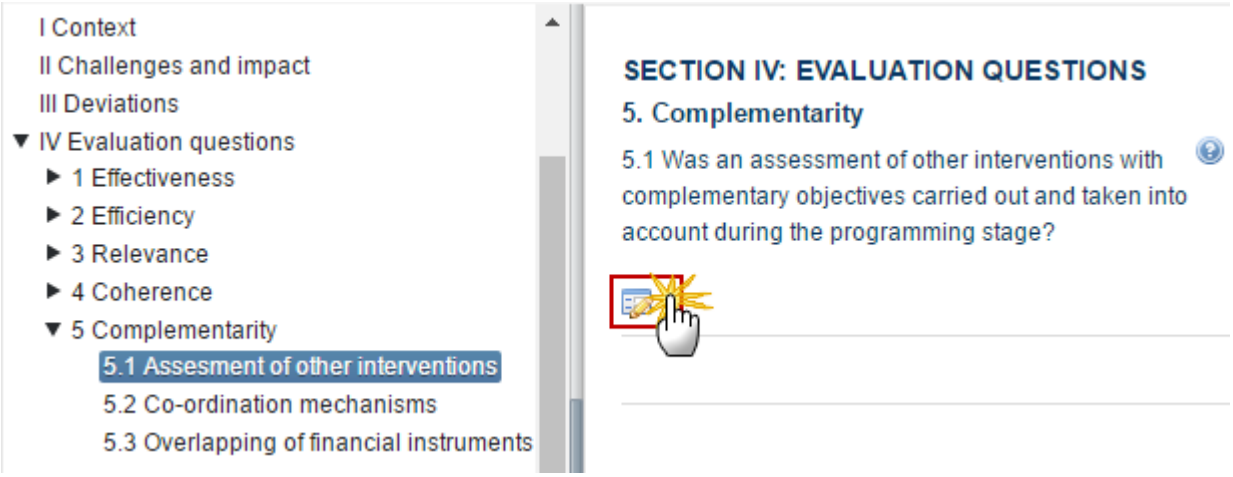
2. Enter the following information:

(1) Enter an explanation to answer the question.

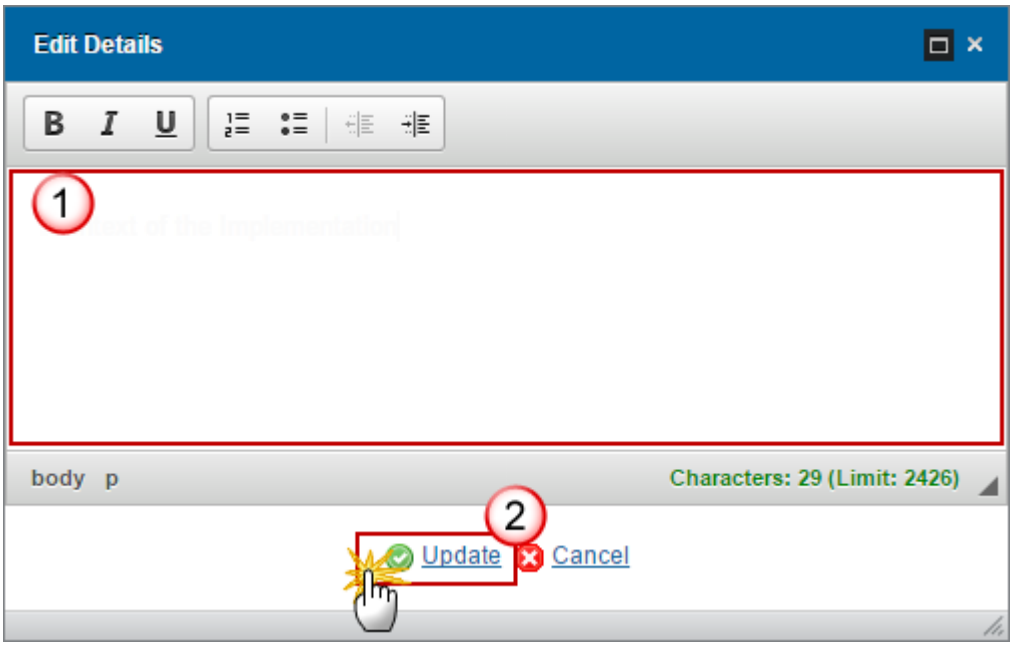
(2) Click on Update to save the information.

5.1 Assessment of other interventions

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the assessment.



The Edit details pop-up window appears:



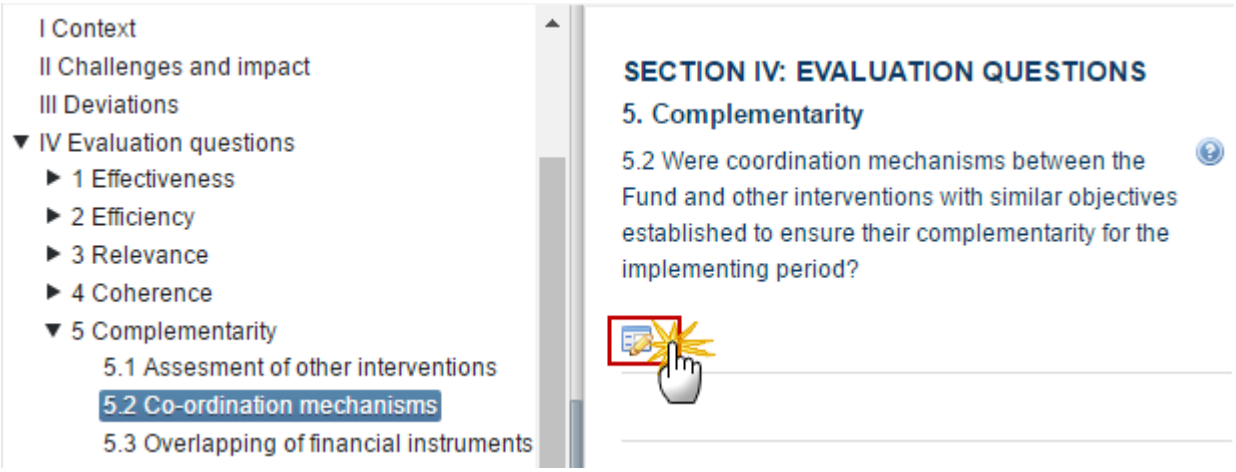
2. Enter the following information:

(1) Enter an explanation to answer the question.

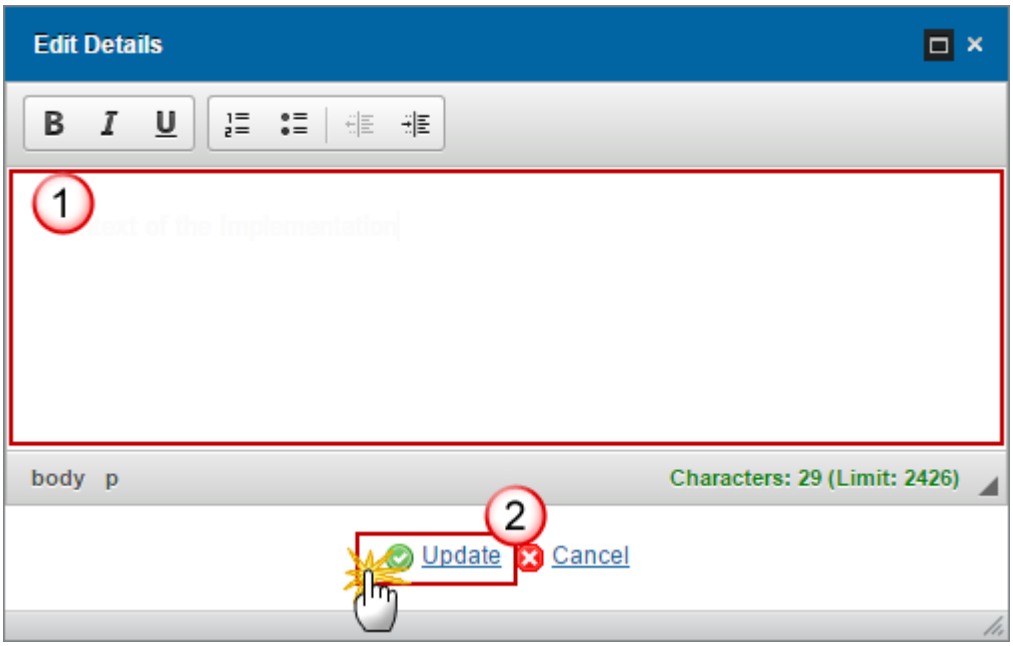
(2) Click on Update to save the information.

5.2 Co-ordination mechanisms

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the co-ordination.



The Edit details pop-up window appears:



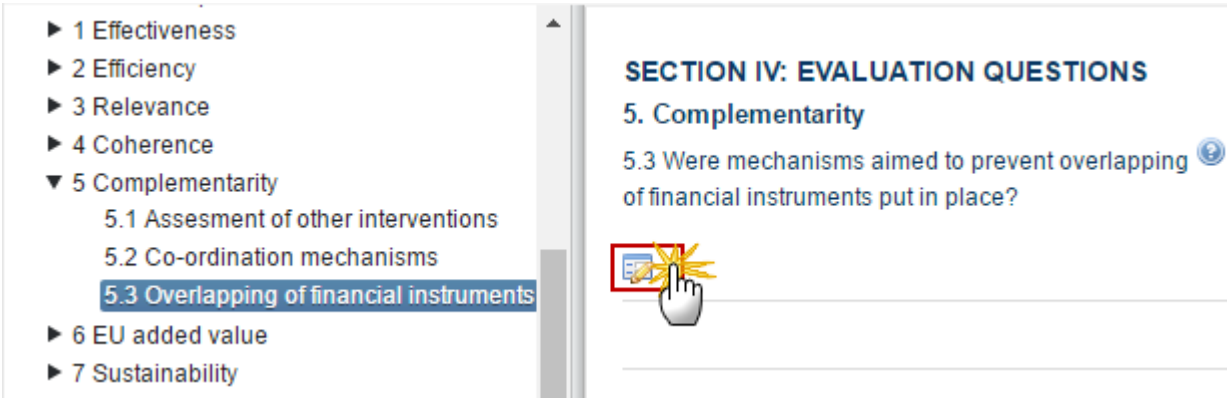
2. Enter the following information:

(1) Enter an explanation to answer the question.

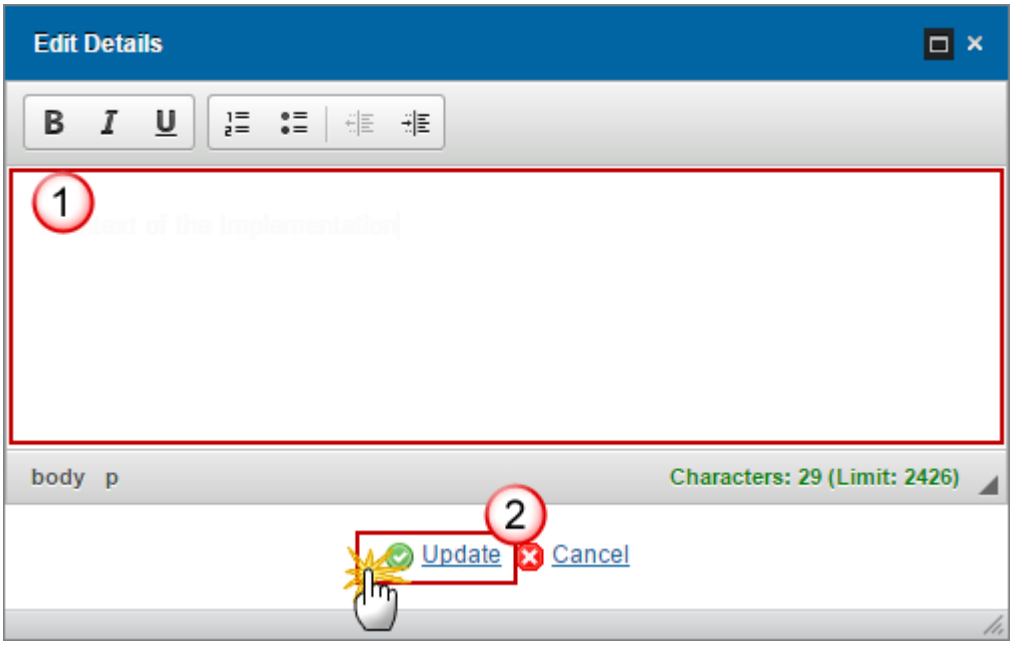
(2) Click on Update to save the information.

5.3 Overlapping of financial instruments

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the overlapping question.



The Edit details pop-up window appears:



2. Enter the following information:

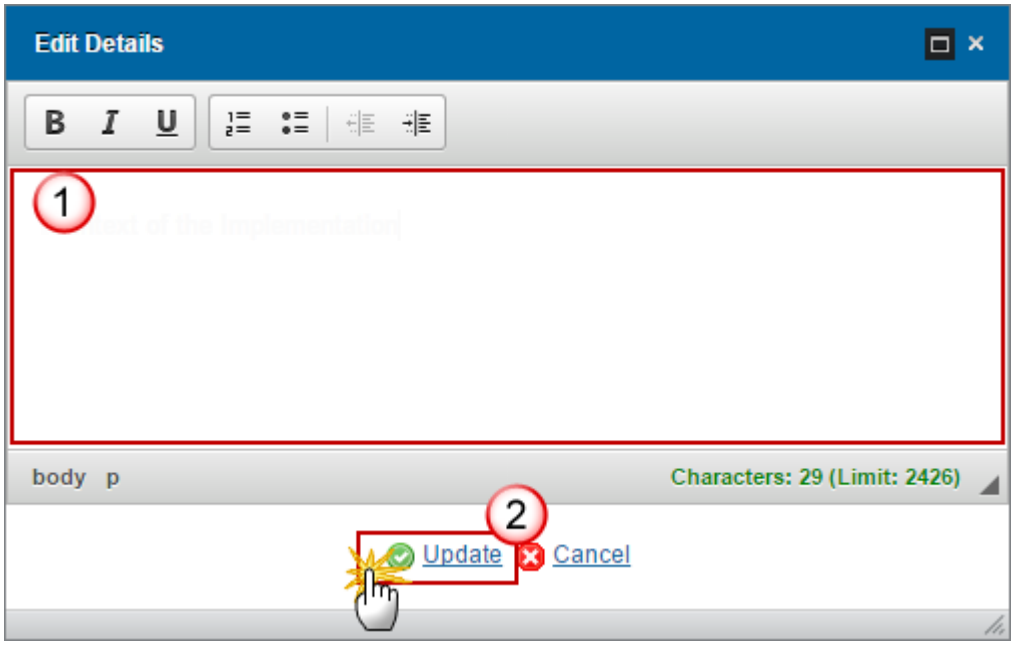
(1) Enter an explanation to answer the question.

(2) Click on Update to save the information.

EU added value

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the EU added value overall question.

The Edit details pop-up window appears:



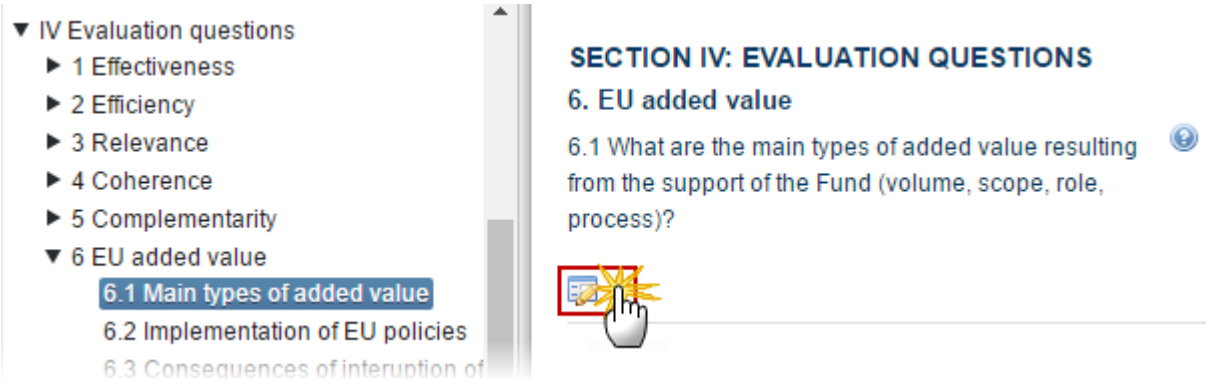
2. Enter the following information:

(1) Enter an explanation to answer the question.

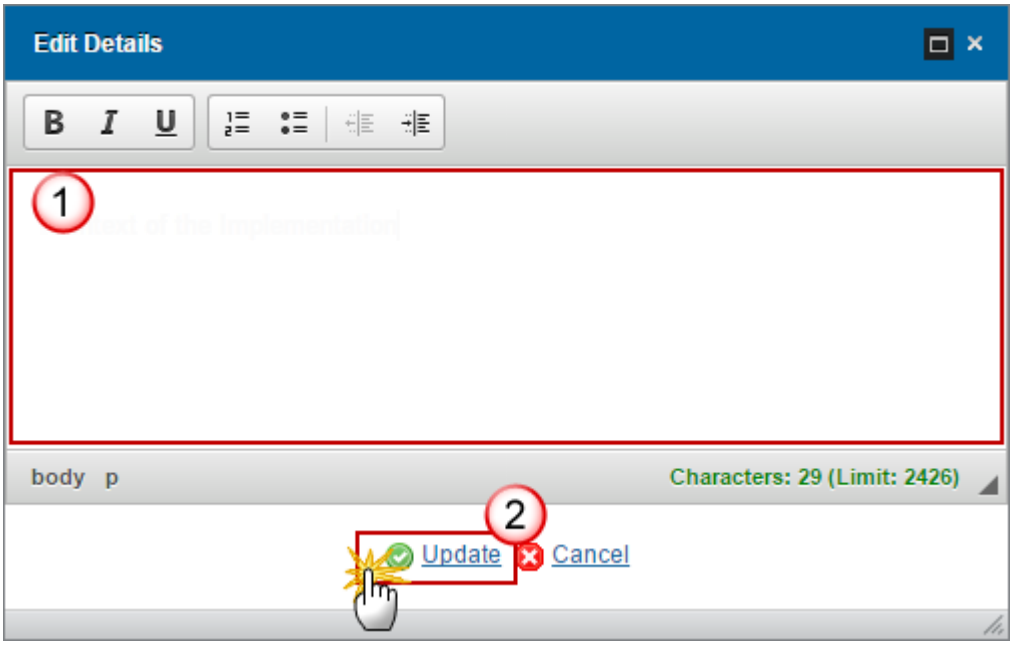
(2) Click on Update to save the information.

Main types of added value

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the main types of added value question.



The Edit details pop-up window appears:



2. Enter the following information:

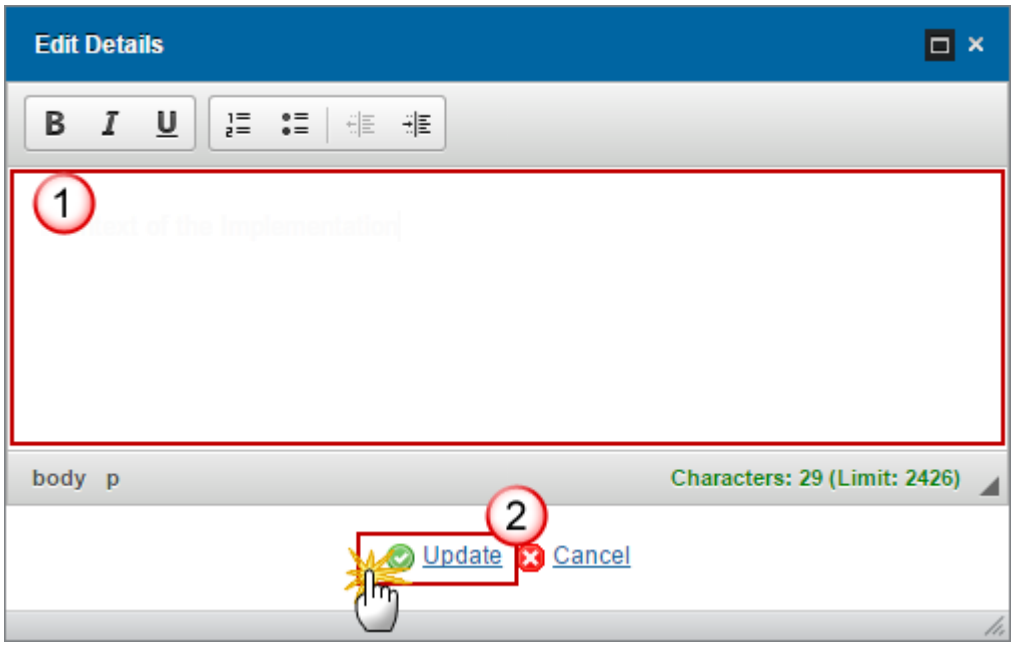
(1) Enter an explanation to answer the question.

(2) Click on **Update** to save the information.

Implementation of EU policies

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the implementation question.

The Edit details pop-up window appears:



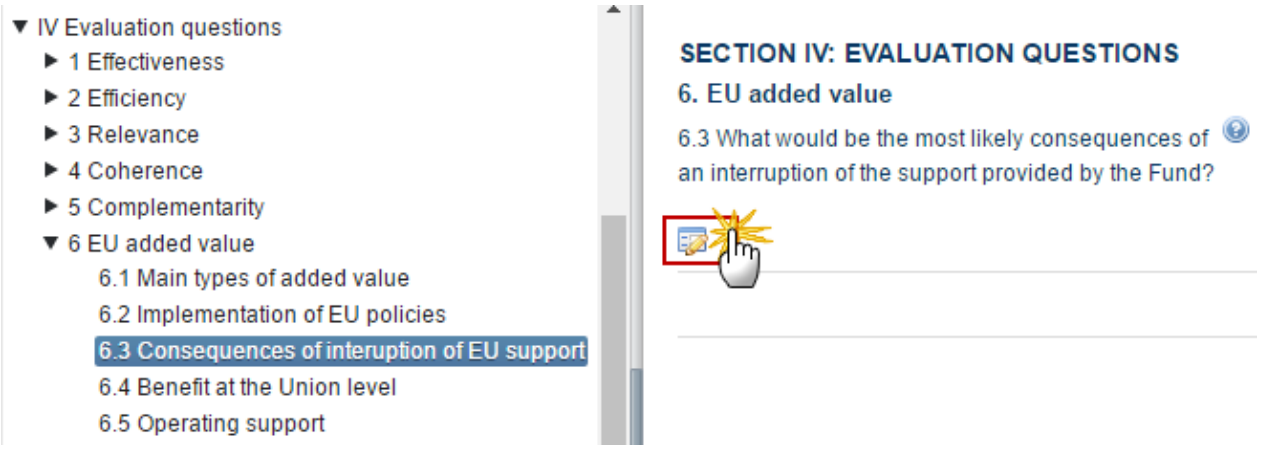
2. Enter the following information:

(1) Enter an explanation to answer the question.

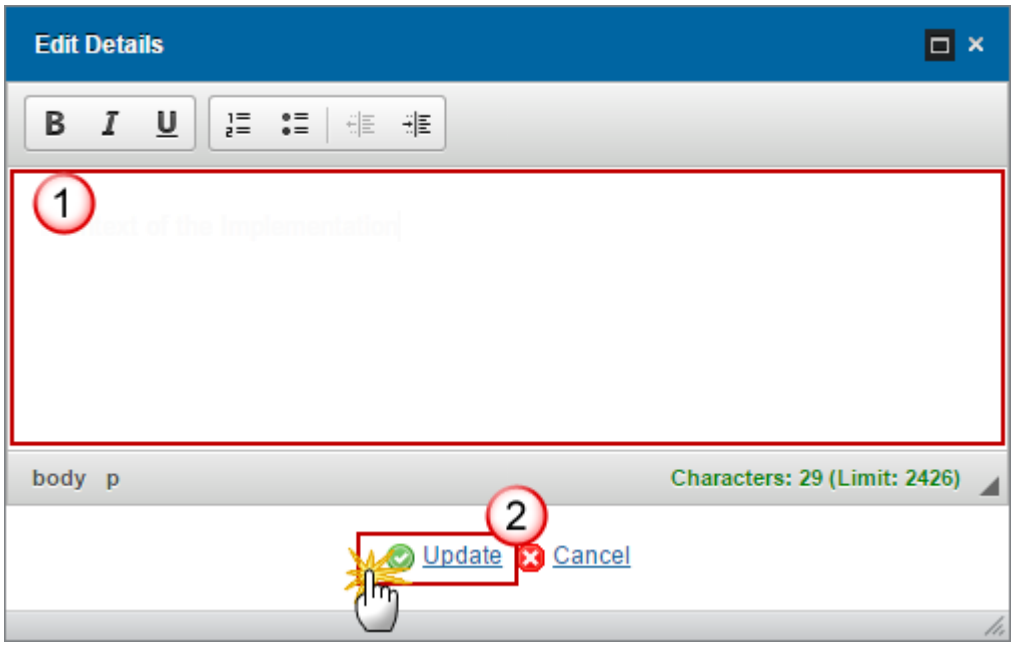
(2) Click on Update to save the information.

Consequences of interruption of EU support

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the consequences of interruption question.



The Edit details pop-up window appears:



2. Enter the following information:

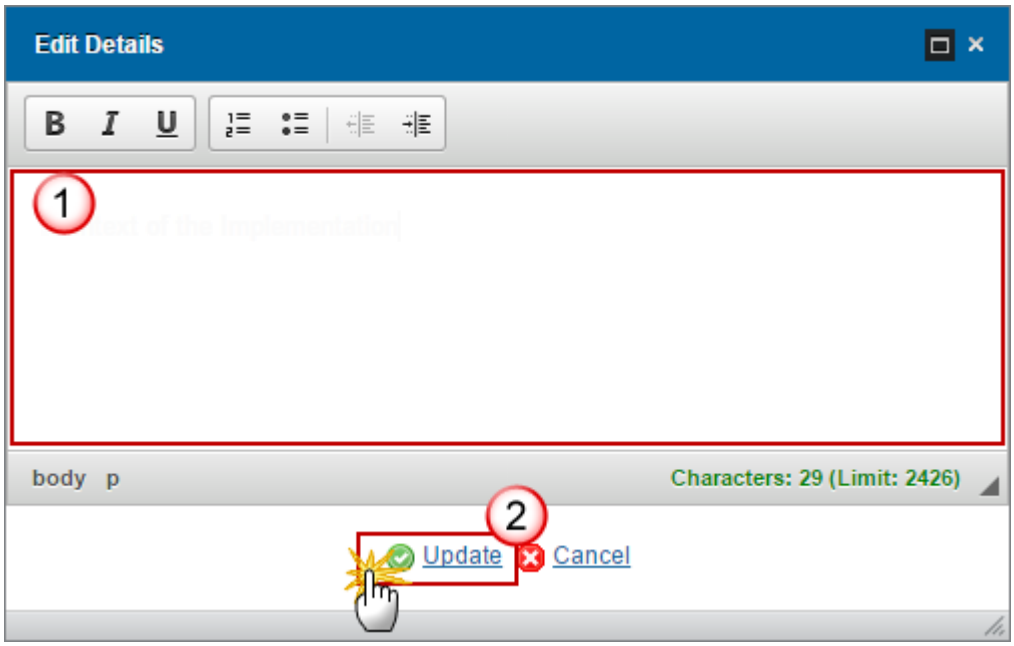
(1) Enter an explanation to answer the question.

(2) Click on **Update** to save the information.

6.4 Benefit at the Union level

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the benefit question.

The Edit details pop-up window appears:



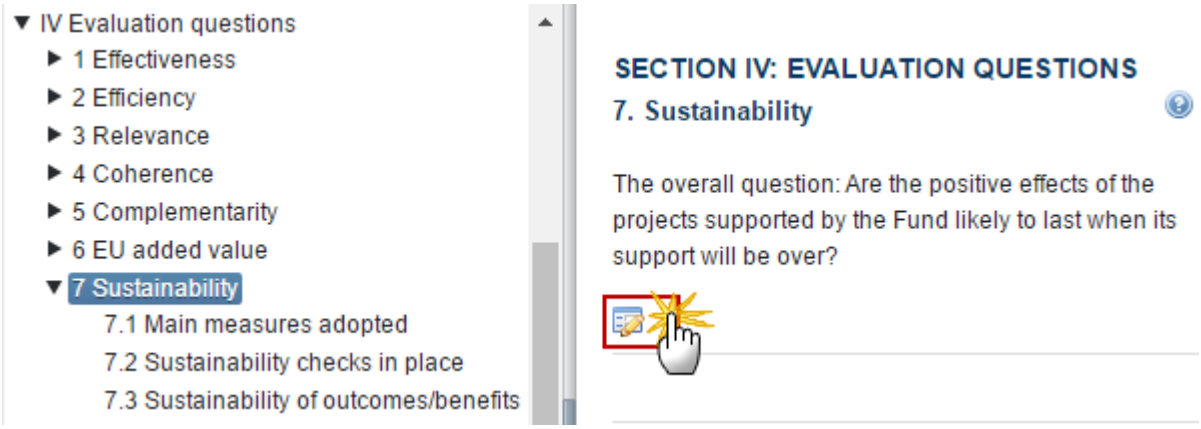
2. Enter the following information:

(1) Enter an explanation to answer the question.

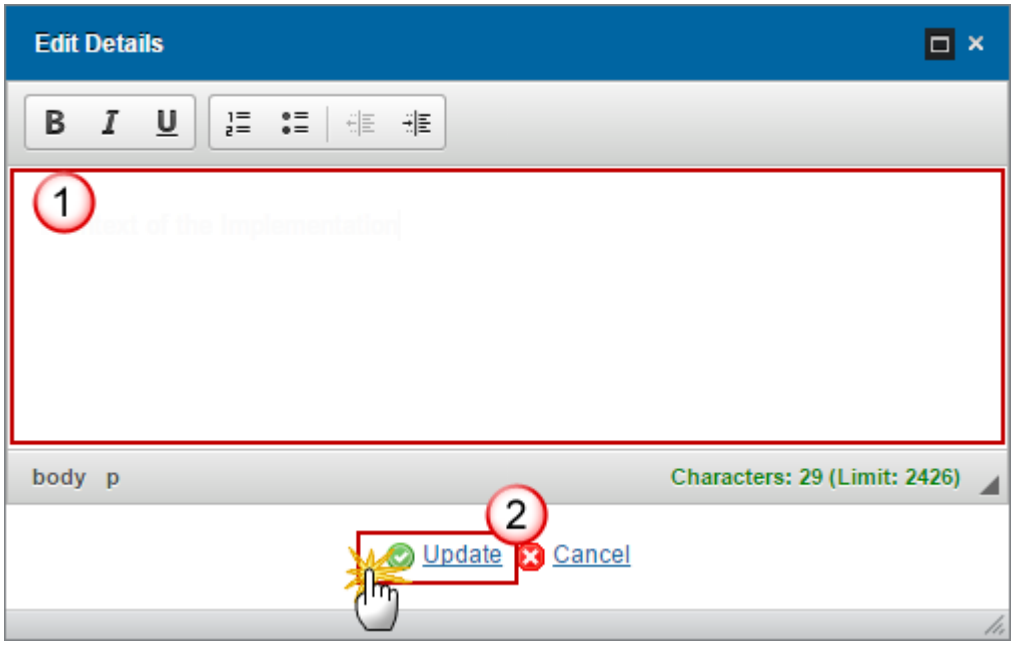
(2) Click on Update to save the information.

Sustainability

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the sustainability overall question.



The Edit details pop-up window appears:



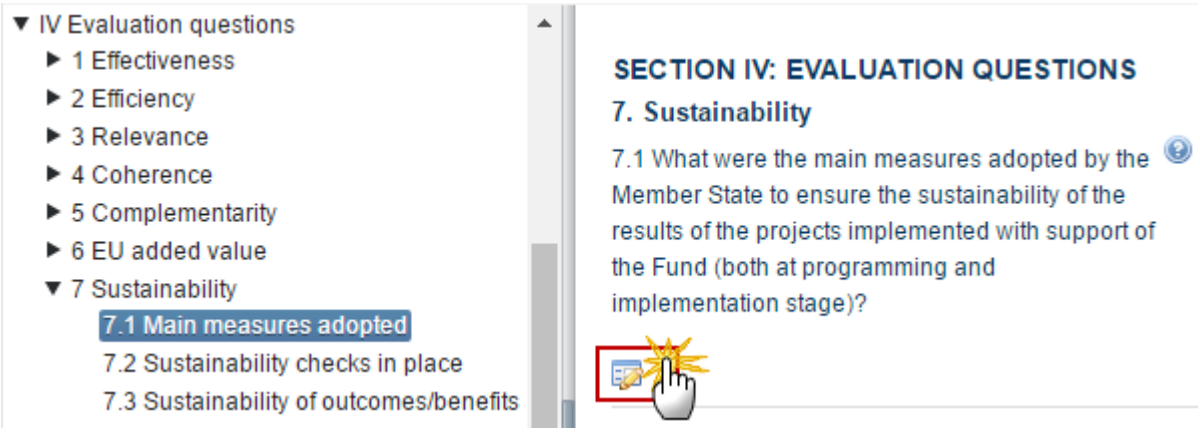
2. Enter the following information:

(1) Enter an explanation to answer the question.

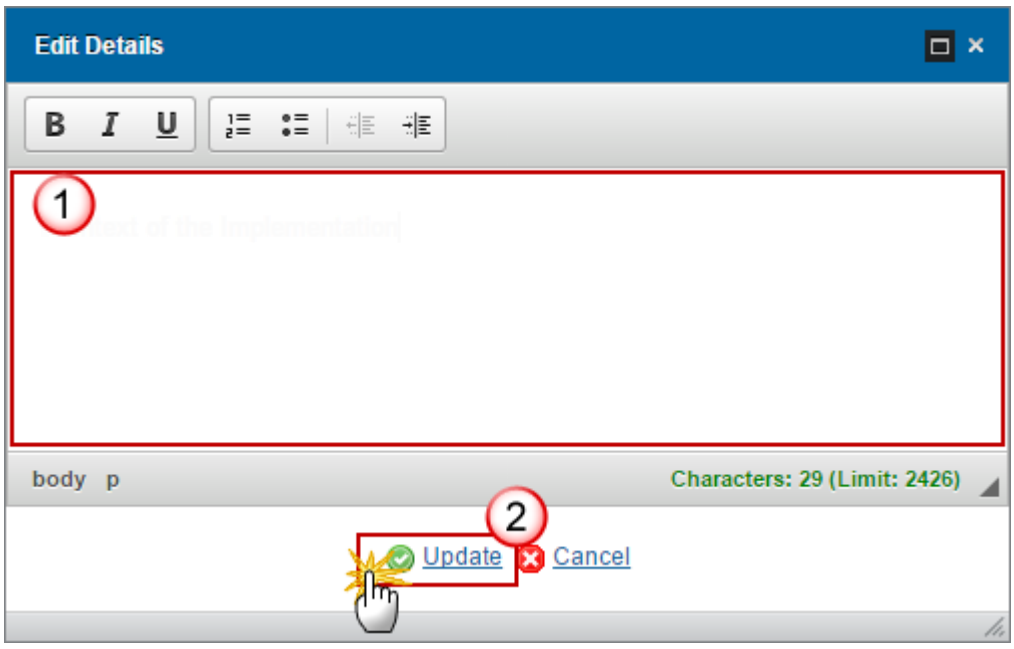
(2) Click on Update to save the information.

Main measures adopted

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the main measures adopted question.



The Edit details pop-up window appears:



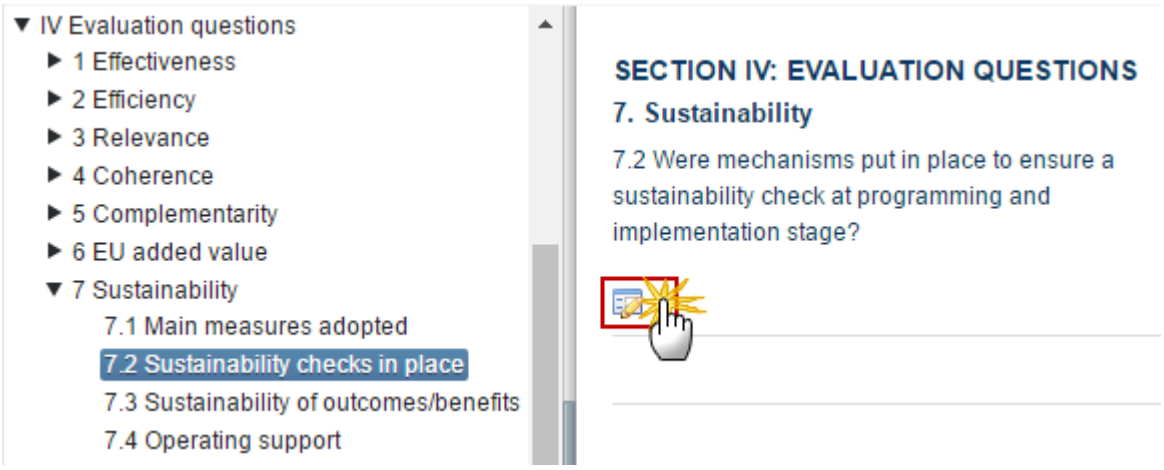
2. Enter the following information:

(1) Enter an explanation to answer the question.

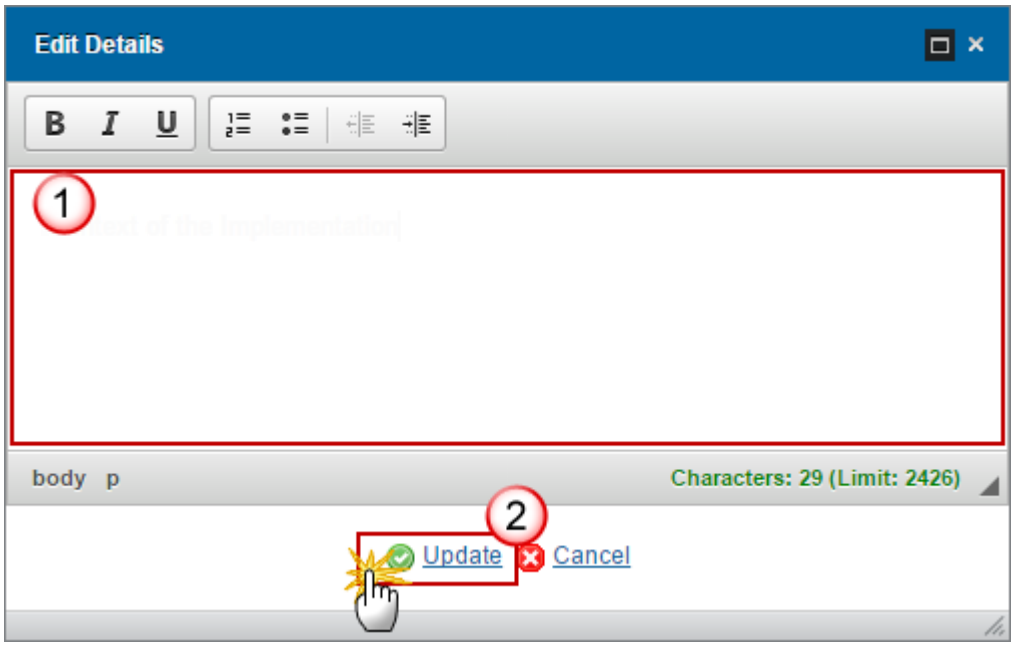
(2) Click on Update to save the information.

Sustainability checks in place

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the checks in place question.



The Edit details pop-up window appears:



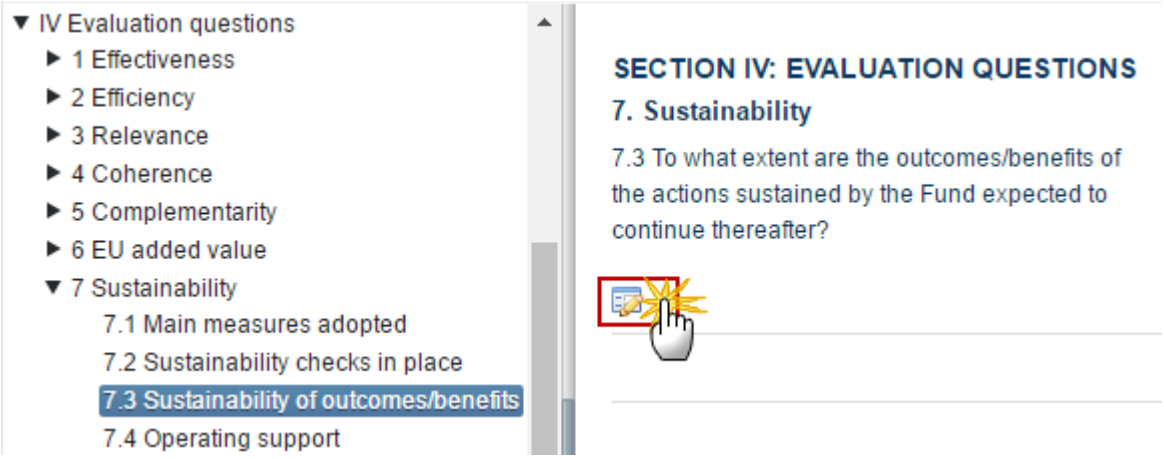
2. Enter the following information:

(1) Enter an explanation to answer the question.

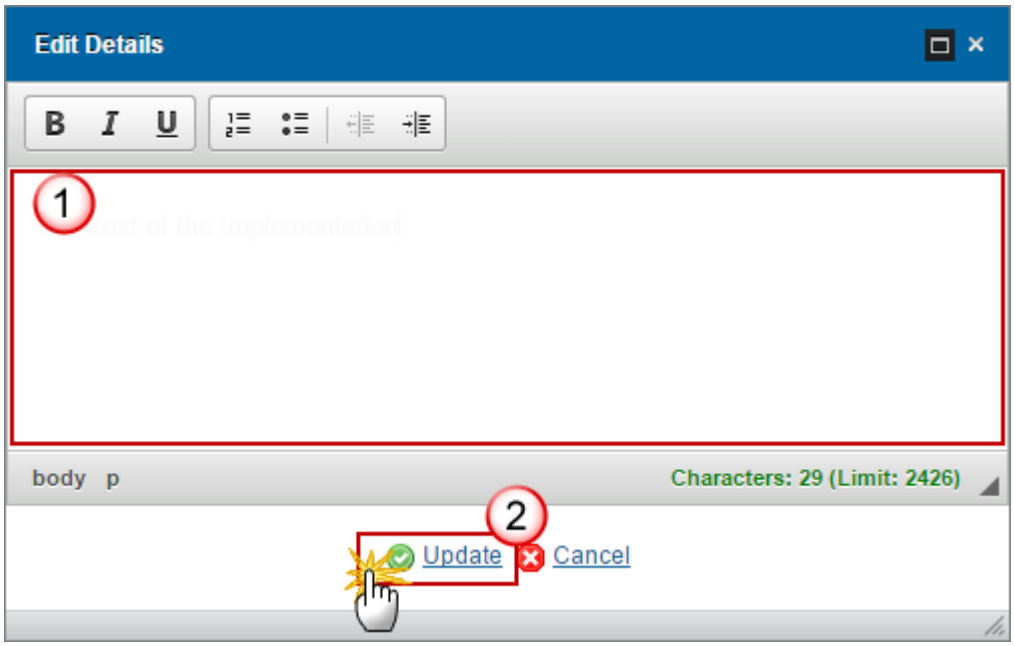
(2) Click on **Update** to save the information.

7.3 Sustainability of outcomes/benefits

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the outcomes/benefits question.



The Edit details pop-up window appears:



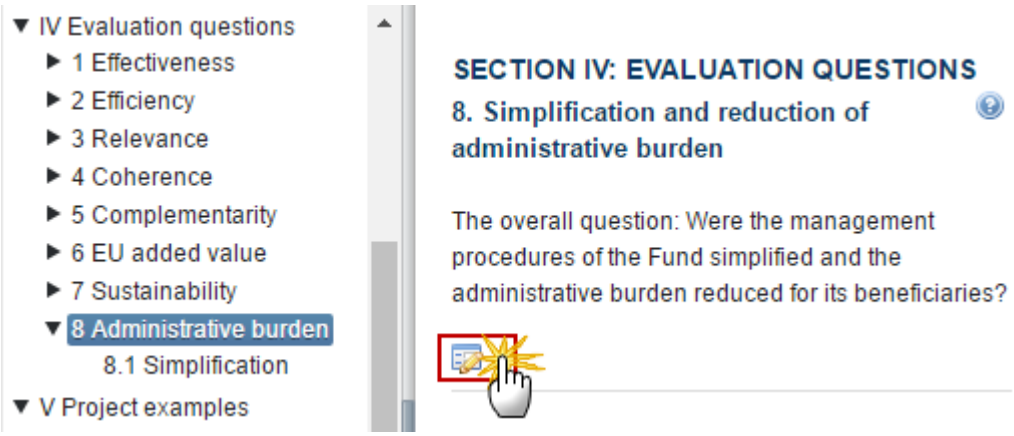
2. Enter the following information:

(1) Enter an explanation to answer the question.

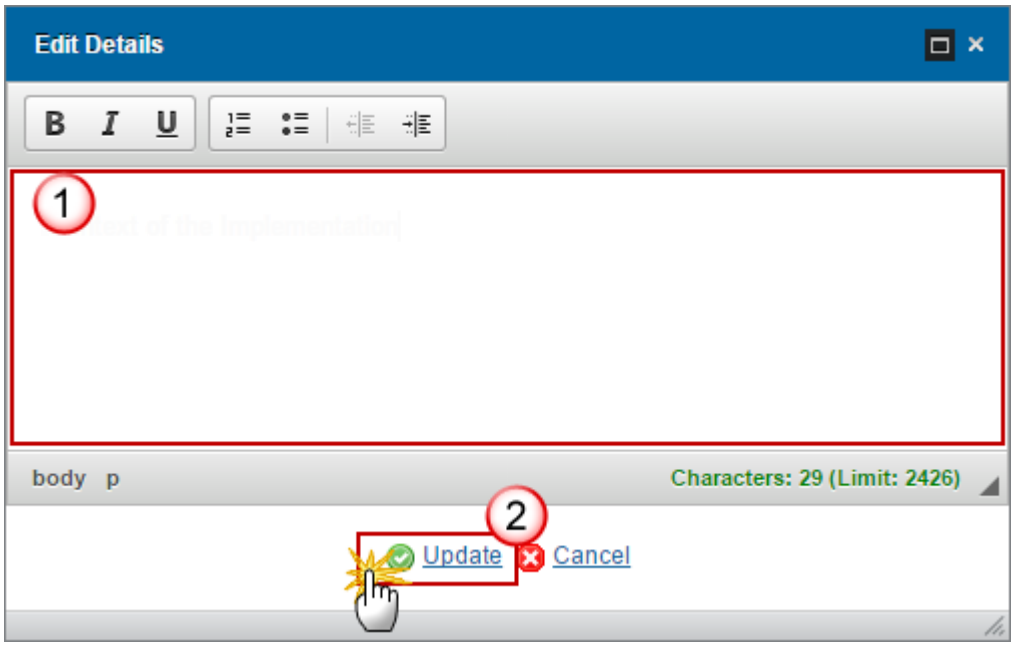
(2) Click on Update to save the information.

Administrative burden

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the administrative burden overall question.



The Edit details pop-up window appears:



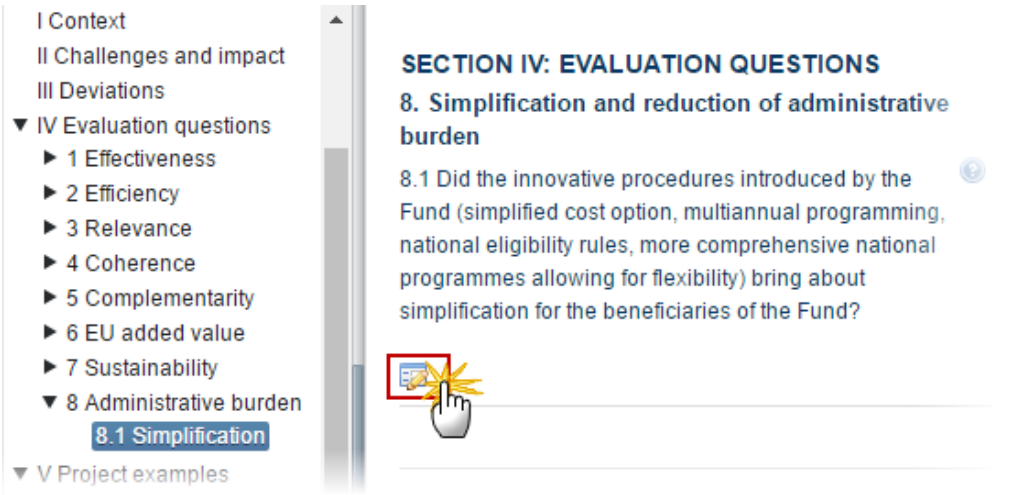
2. Enter the following information:

(1) Enter an explanation to answer the question.

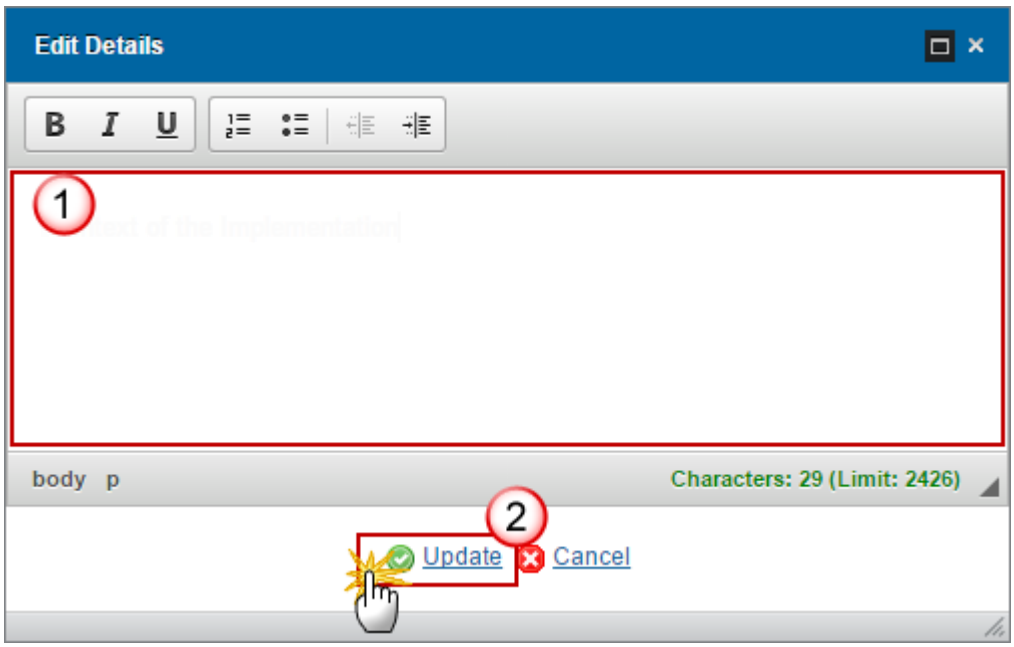
(2) Click on **Update** to save the information

Simplification

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the simplification of the beneficiaries' question.



The Edit details pop-up window appears:



2. Enter the following information:

(1) Enter an explanation to answer the question.

(2) Click on Update to save the information

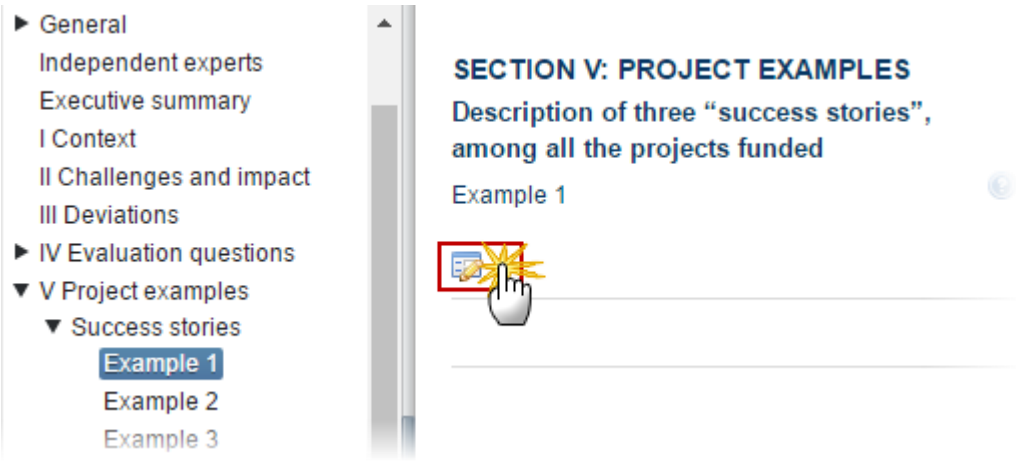
V. Project examples

Success stories

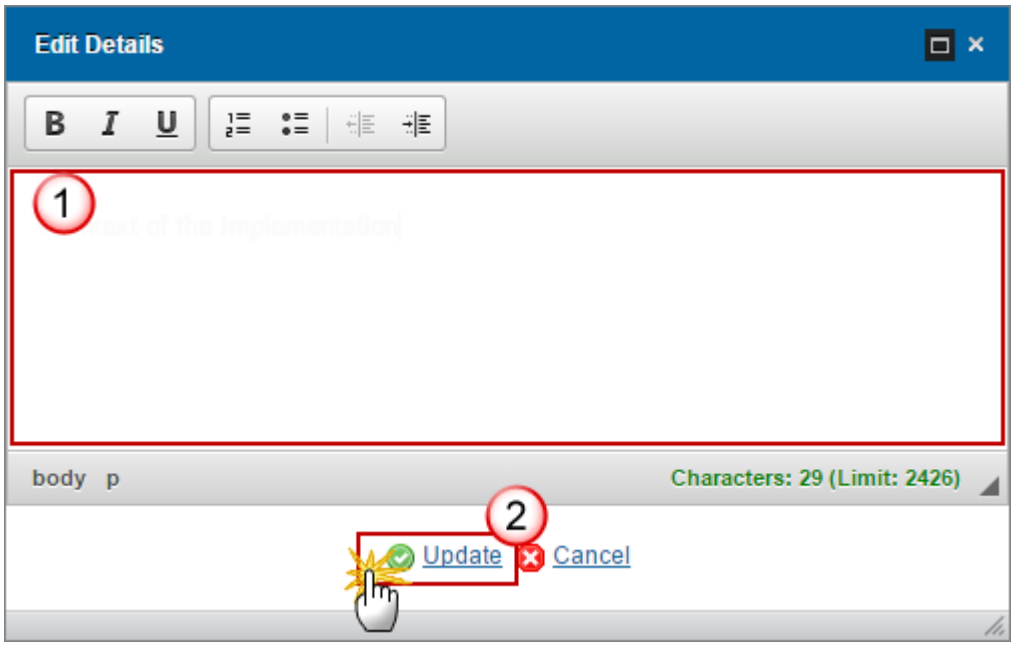
Description of three “success stories”, among all the projects funded.

Examples 1 to 3

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the examples.



The Edit details pop-up window appears:



2. Enter the following information:

(1) Enter the examples.

(2) Click on Update to save the information

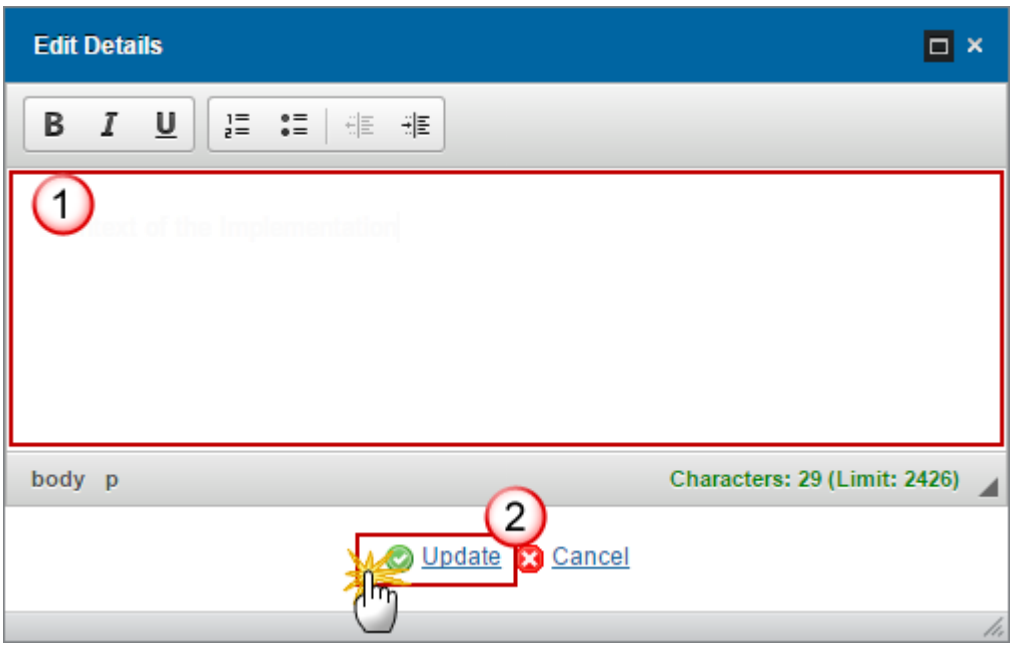
Failure

Description of one 'failure', among all the projects funded.

Example

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the example.

The Edit details pop-up window appears:



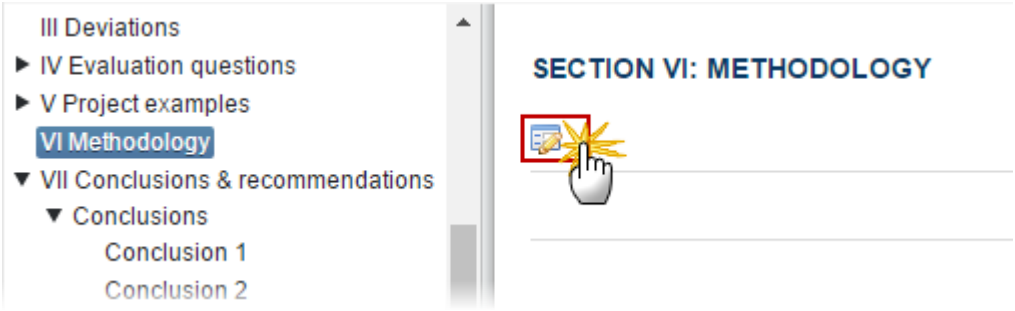
2. Enter the following information:

(1) Enter the failure example.

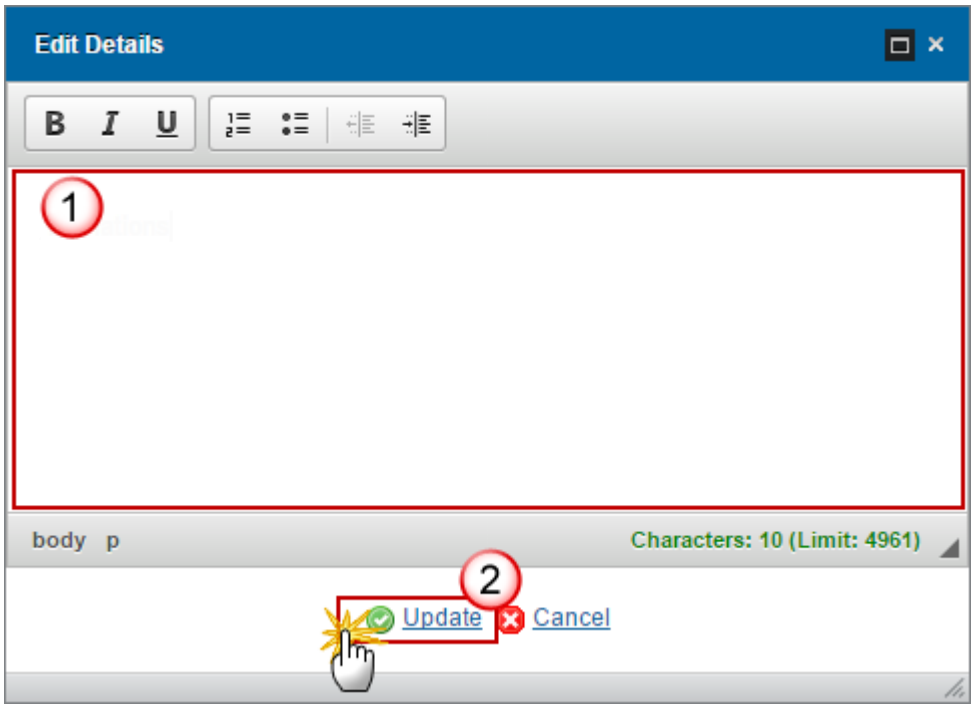
(2) Click on Update to save the information

VI. Methodology

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the Methodology.



The Edit Details pop-up window appears:



2. Enter the following information:

(1) Enter an explanation for the *Methodology*.

(2) Click on the **Update** link to confirm and save the information

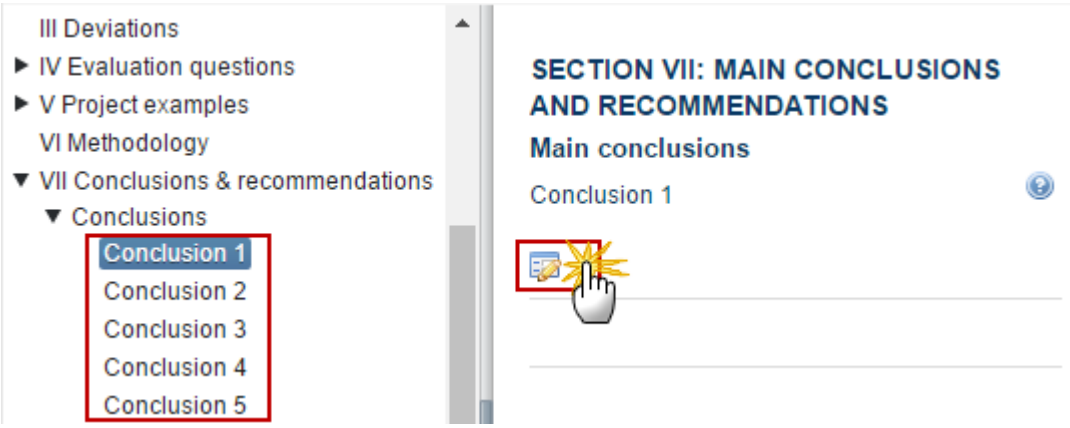
VII. Conclusions & Recommendations

Conclusions

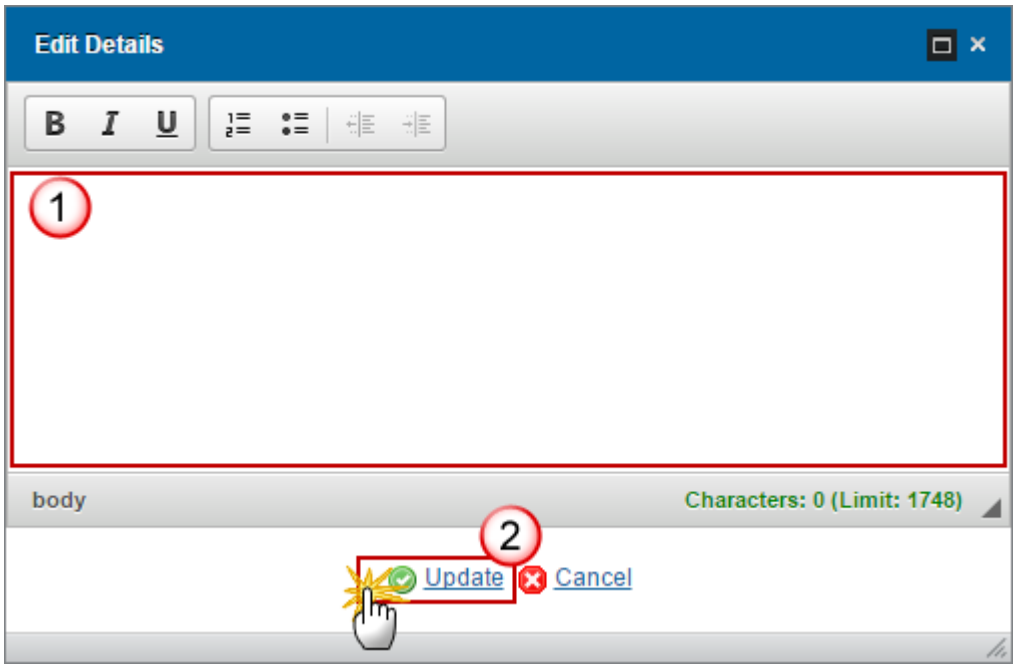
Main conclusions.

Conclusion 1 to 5

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the Main Conclusions.



The Edit Details pop-up window appears:



2. Enter the following information:

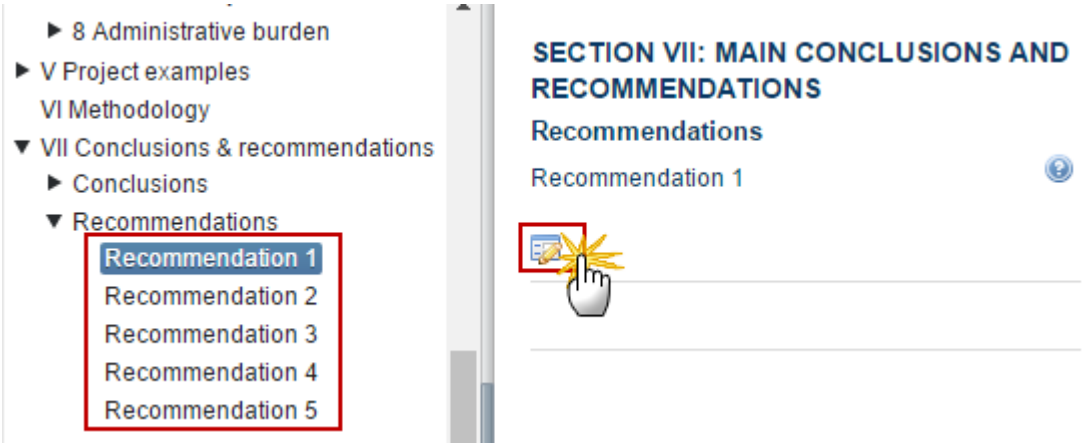
(1) Enter the *conclusion*.

(2) Click on the **Update** link to confirm and save the information.

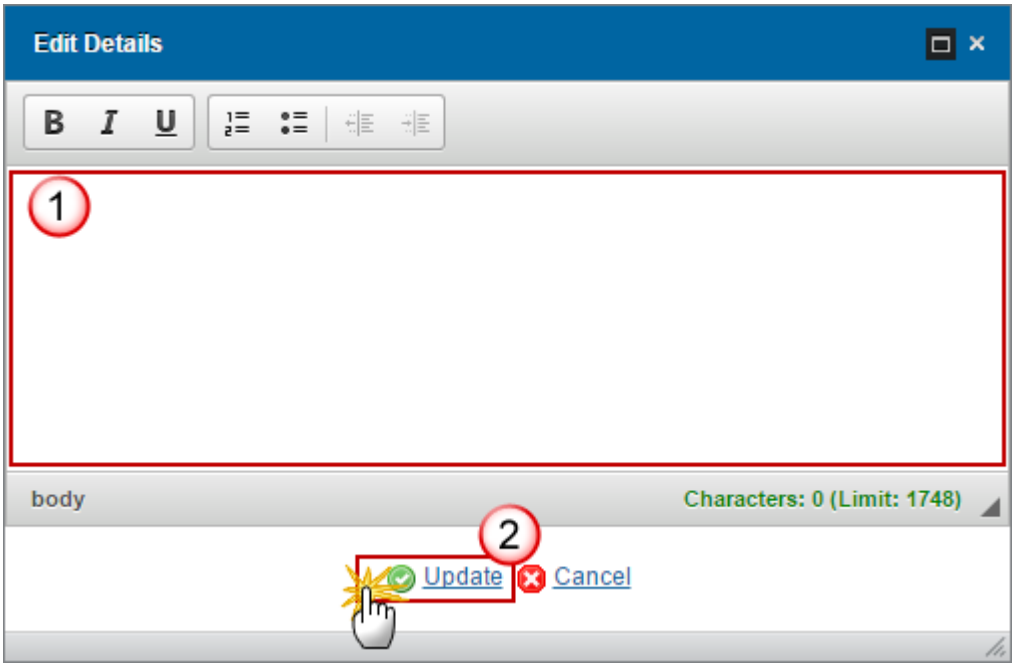
Recommendations

Recommendation 1 to 5

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the recommendations.



The Edit Details pop-up window appears:



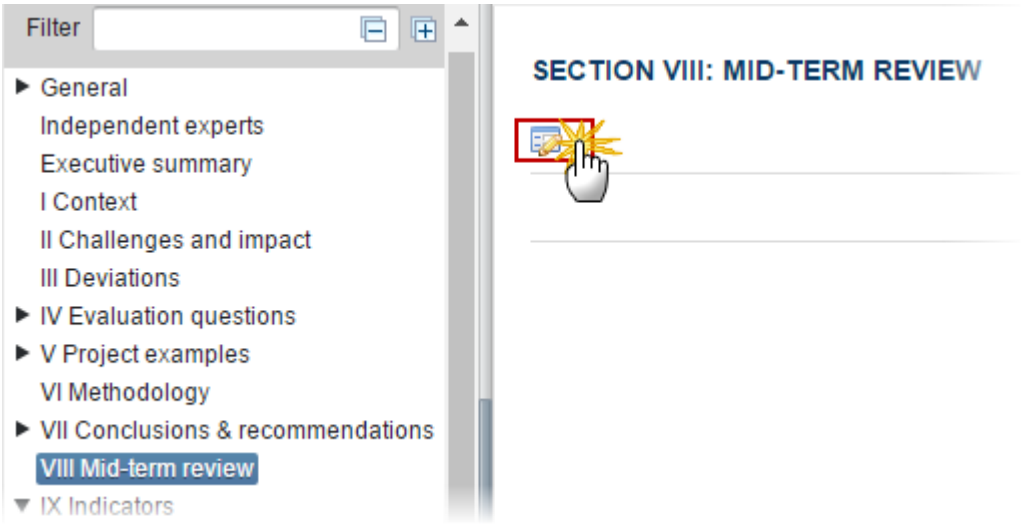
2. Enter the following information:

(1) Enter the *recommendation.*

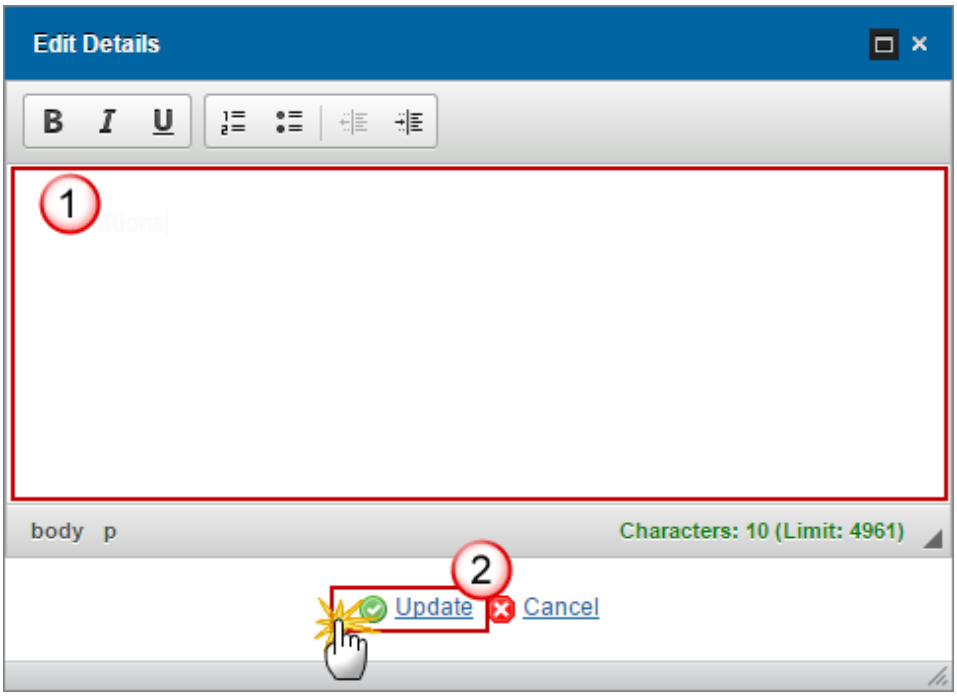
(2) Click on the **Update** link to confirm and save the information.

VIII. Mid-term review

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the mid-term review.



The Edit Details pop-up window appears:



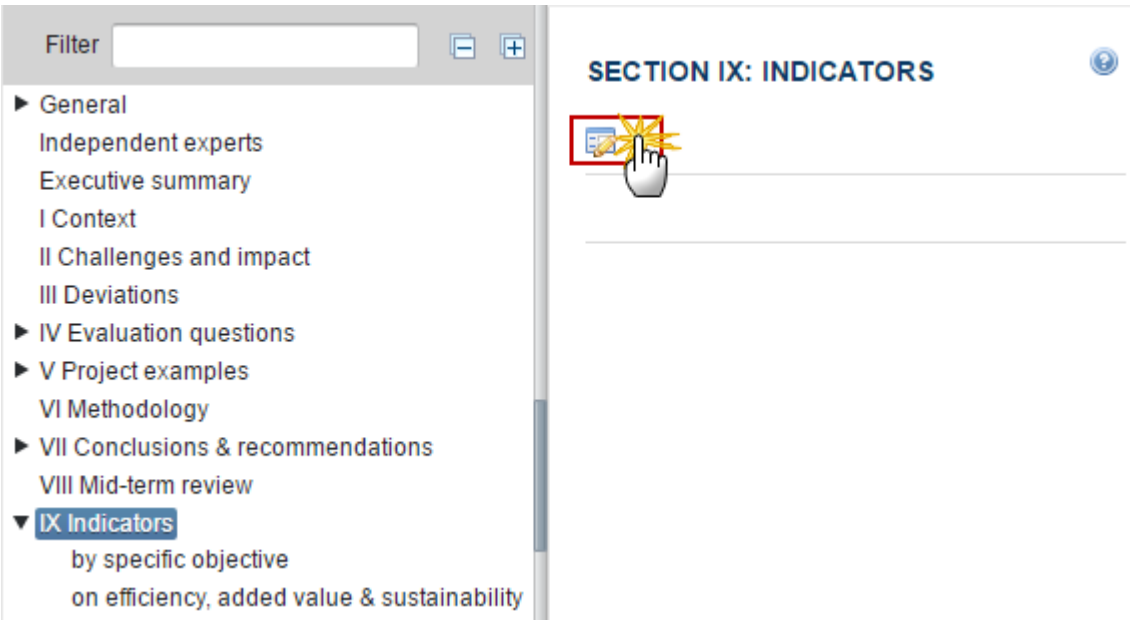
2. Enter the following information:

(1) Enter an explanation for the *Mid-term review.*

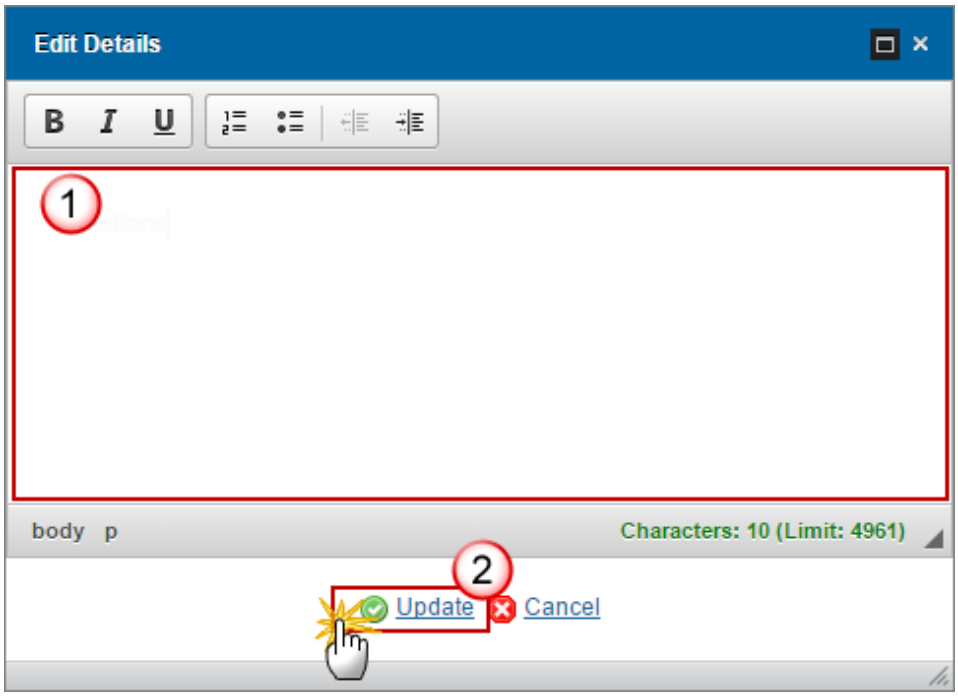
(2) Click on the **Update** link to confirm and save the information

IX. Indicators

1. Clicking on the **EDIT** button will open the edit details screen allowing you to enter an explanation for the indicators.



The Edit Details pop-up window appears:



2. Enter the following information:

(1) Enter an explanation for the *indicators.*

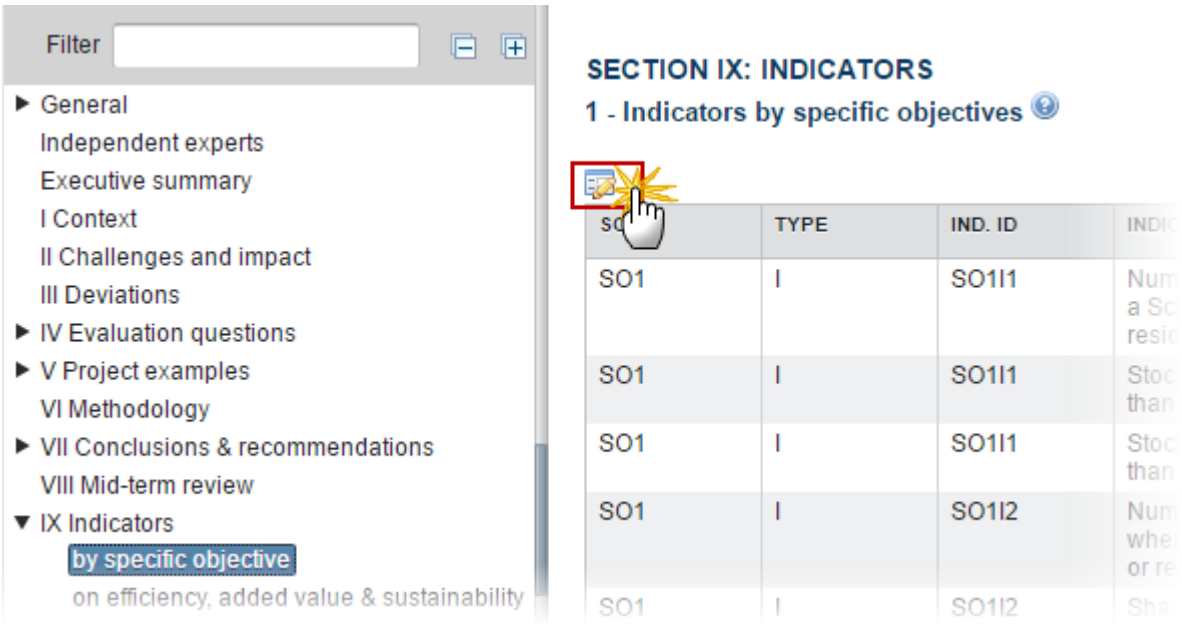
(2) Click on the Update link to confirm and save the information

By specific objective

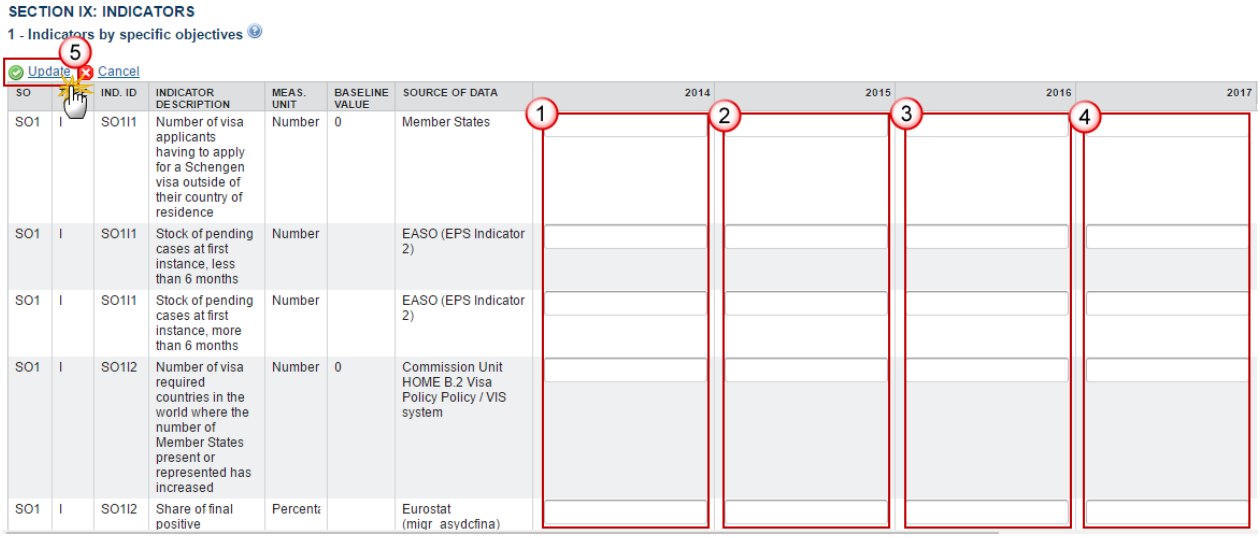
1 - Indicators by specific objectives

REMARK	For the Interim Evaluation Report only years 2014-2017 will be shown. For the initial version (work version 0) the values will be initiated with the values pre-encoded by the Commission and for Indicators with a Common Indicator equivalent in the Implementation Report, with the values from that Implementation Report. For the Interim Evaluation Report, retrieve from the 2016 AIR & for the Final Evaluation Report, retrieve from the Final Implementation Report. The "AIR Sync" button will only be available when the preconditions for Edit (Member State users) are met.

1. Clicking on the **EDIT** button will allow you to enter the indicators by specific objectives.



The Table becomes editable:



2. Enter the following information:

(1, 2, 3 & 4) Enter the amounts for the 2014-2017 years.

For the Interim Evaluation Report only years 2014-2017 will be shown.

(5) Click on the **Update** link to confirm and save the information

REMARK	The editable by on the Indicator definition will indicate if an Indicator is editable by the

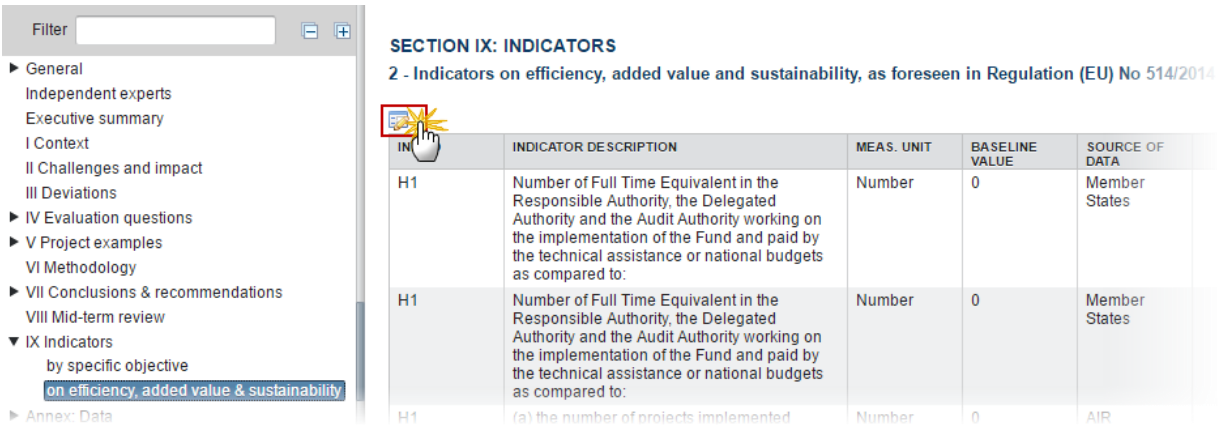
	Member State. The Year columns and the Baseline Value column are only updateable when indicated on the Indicator definition.

On efficiency, added value & sustainability

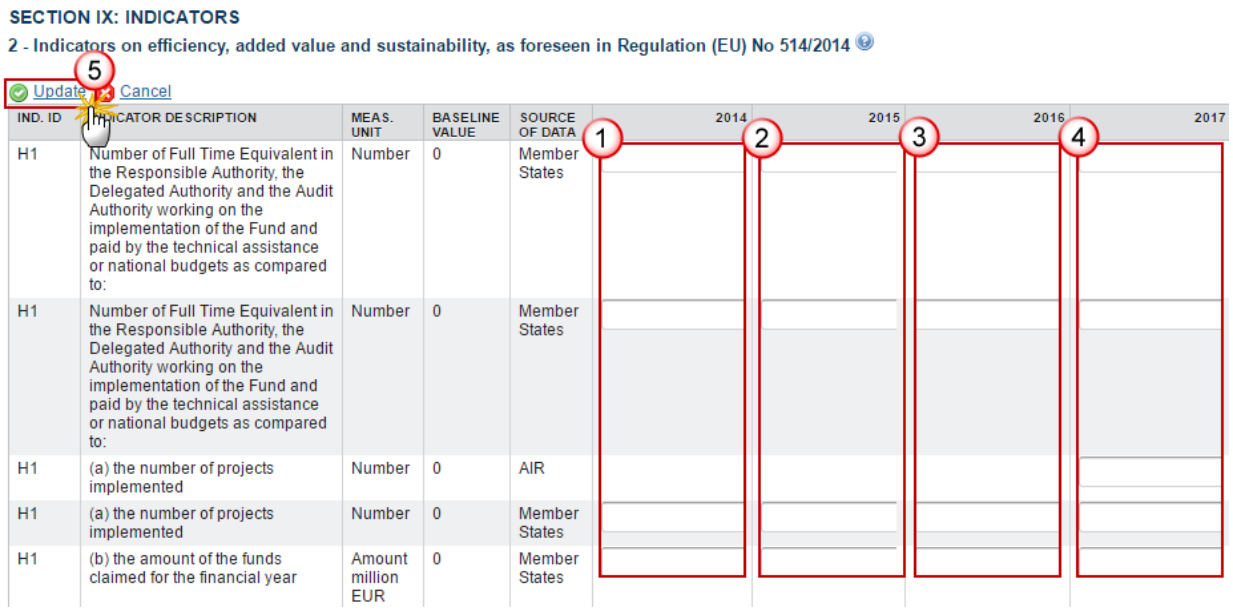
2 - Indicators on efficiency, added value and sustainability, as foreseen in Regulation (EU) No 514/2014

REMARK	For the Interim Evaluation Report only years 2014-2017 will be shown. On the creation of the final report, the Indicator Year columns for the H indicators are now automatically calculated and populated based on the template. For the initial version (= work version 0) their values will be initiated with the values pre-encoded by the Commission and for Indicators with a Common Indicator equivalent in the Implementation Report, with the values from that Implementation Report. For the Interim Evaluation Report they will be retrieved from the 2016 AIR, for the Final Evaluation Report from the FIR. For Horizontal Indicators (H) the values will come from the Accounts as follows: • H1a (=h1v1a): comes from relevant Accounts but is same source as Evaluation Module, Annex Data Table2 Projects by SO (the row Total 1+2 of finished project) • H1b (=h1v1b): comes from relevant Accounts, D Data Summary, TOTAL • H2b (=h2v1b): comes from relevant Accounts, D Data Summary, TOTAL • H3a (=h3v1a): comes from relevant Accounts, D Data Summary, TOTAL • H3b (=h3v1b): comes from NP, section 7, table 1, financing plan of the programme, TOTAL, the value 2014 should be the initial NP (version 1), the next year values should be the version adopted during that year (if any), the year 2017 should be the latest adopted version.

1. Clicking on the **EDIT** button of the efficiency, added value & sustainability will open a pop-up window allowing you to enter the amounts.



The Table becomes editable:



2. Enter the following information:

(1, 2, 3 & 4) Enter the amounts for the 2014-2017 years.

(5) Click on the Update link to confirm and save the information

Annex: Data

REMARK	Annex Table 1, 2 & 3 records are created based on the available SO/NO/SA combinations in the last adopted programme version because if they don't exist in the Accounts, you should

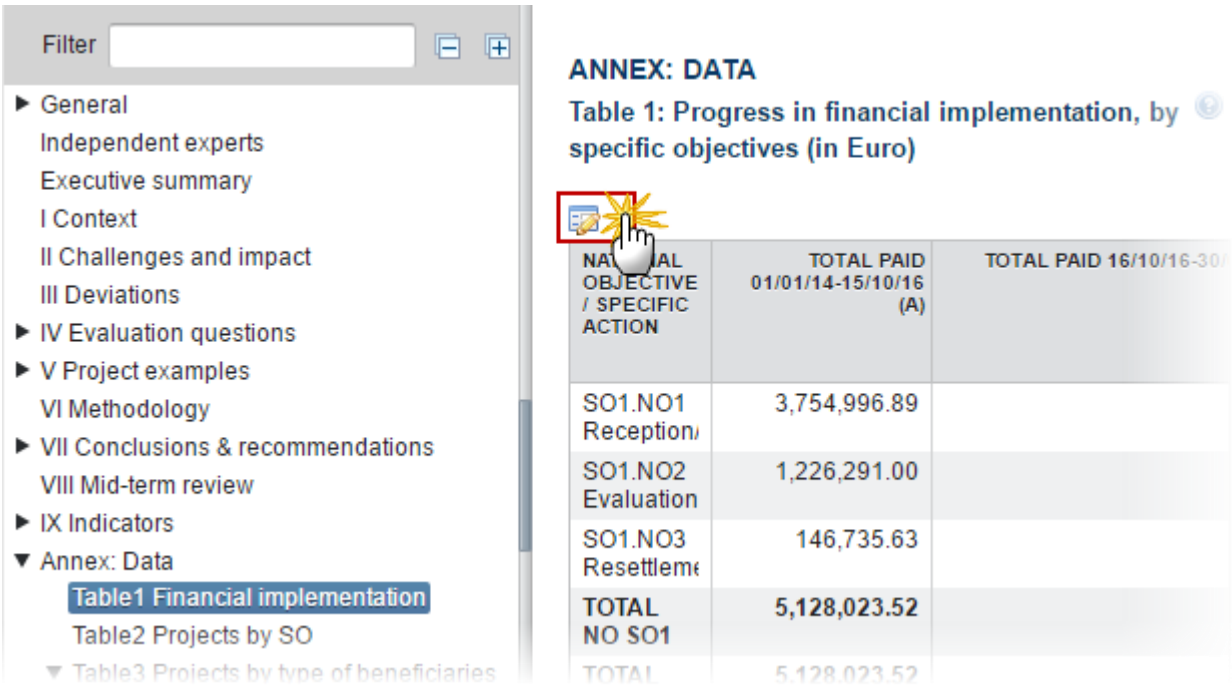
	still be able to encode expenditure for the period after the Accounts submission.

Table 1: Financial implementation

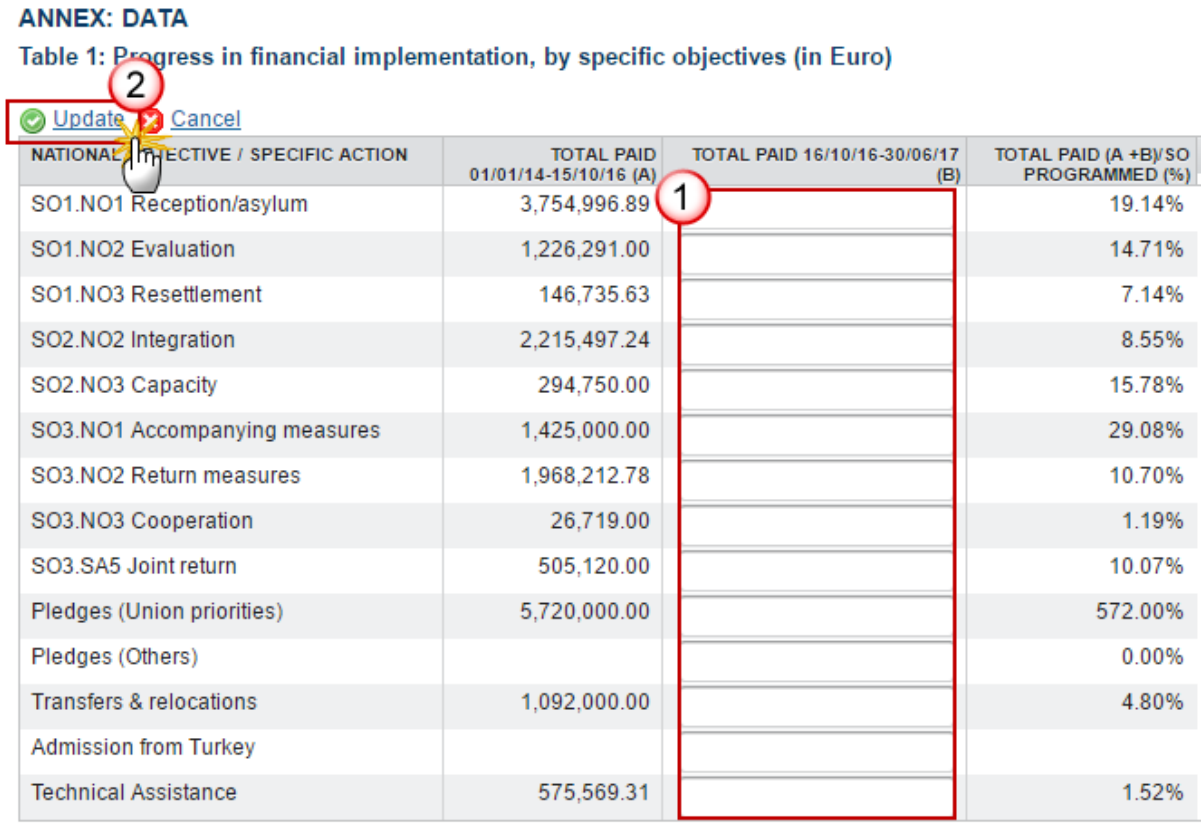
Table 1: Progress in financial implementation, by specific objectives (in Euro)

REMARK	Annex Table 1 records are created based on the available SO/NO/SA combinations in the last adopted programme version because if they don't exist in the Accounts, you should still be able to encode expenditure for the period after the Accounts submission, and the total paid amounts are populated with the payments declared in the last version sent to the Commission of the relevant Annual Accounts (Sum of Section I.D.Table AMIF/ISF-P/ISF-B over the relevant Annual Accounts). The data for the 01/01/2014-15/10/2016 period comes from the 2015 and 2016 Accounts (Sum of Section I.D.Data Summary Table AMIF/ISF over 2015 and 2016 Accounts) and is persisted on creation of the Evaluation Report. The SO Programmed used to calculate the % comes from the adopted Programme version linked to the 2016 (Interim) and to the 2023 (Final) Accounts or from the last adopted Programme version when no Accounts exist.

1. Clicking on the **EDIT** button of the financial report will open the table allowing you to enter the amounts.



The Table becomes editable:



2. Enter the following information:

(1) Enter the *Total paid*.

(2) Click on the **Update** link to confirm and save the information

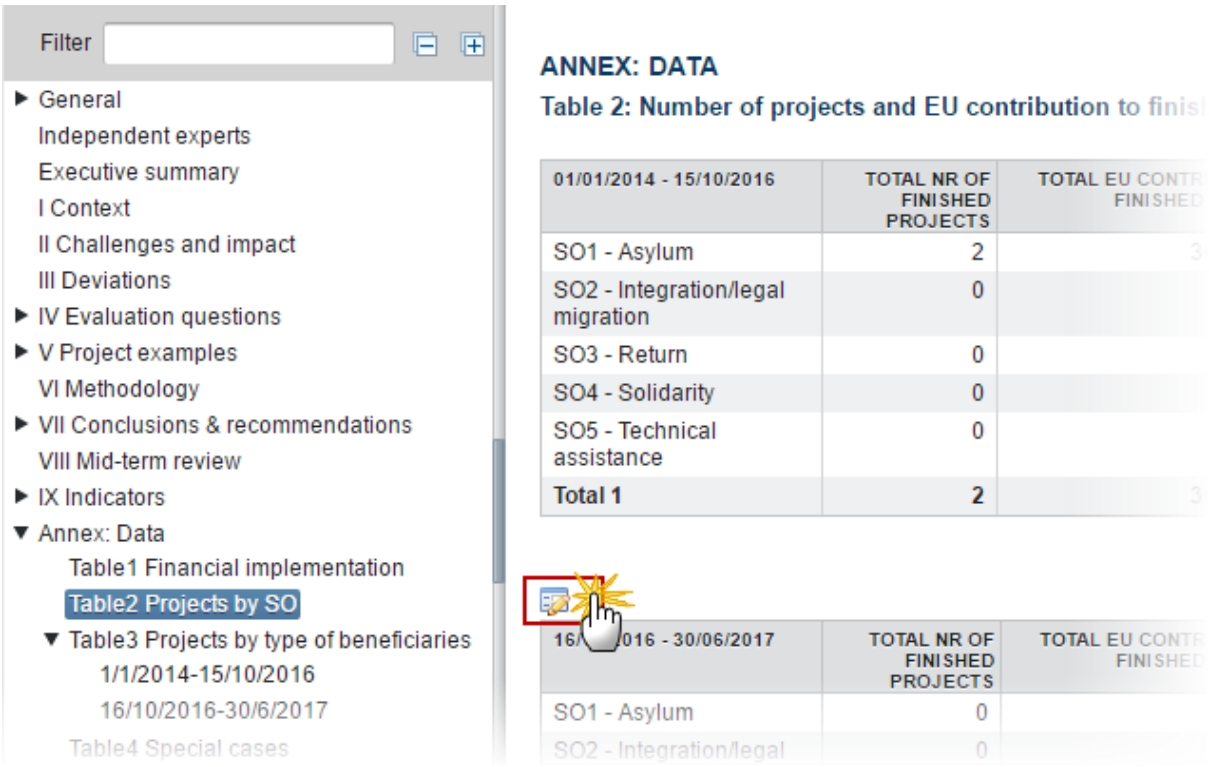
REMARK	The data for the 01/01/2014-15/10/2016 period comes from the 2015 and 2016 Accounts (Sum of Section I.D.Data Summary Table AMIF/ISF over 2015 and 2016 Accounts) and is persisted on creation of the Evaluation Report.

Table 2: Projects by SO

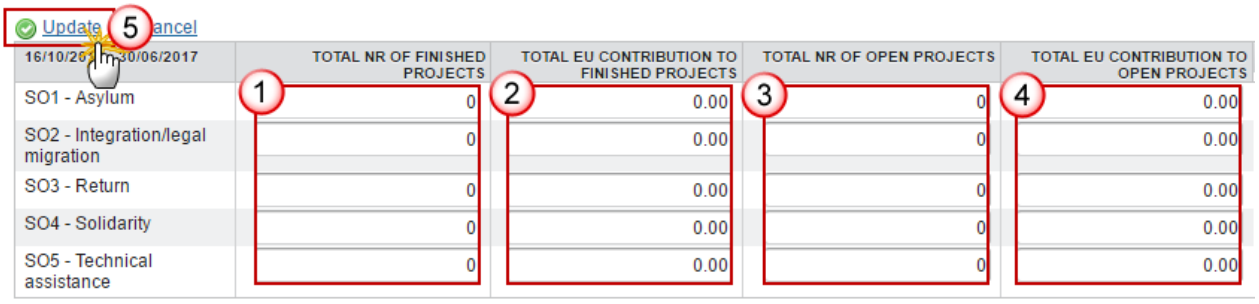
Number of Projects and EU contributions to finished and open projects by specific objectives (in Euro).

REMARK	Annex Table 2 and 3 records are created based on the available Specific Objectives in the last adopted programme version and project values are based on projects data in the last version sent to the Commission of the relevant Annual Accounts. The data for the 01/01/2014-15/10/2016 period comes from the 2015 and 2016 Accounts and is persisted on creation of the Evaluation Report. Finished Projects are projects with a Final Payment. The Total EU Contribution is the sum of all payments declared on those Projects in the relevant Accounts.

1. Clicking on the **EDIT** button will open the table allowing you to enter the amounts.



The Table becomes editable:



2. Enter the following information:

(1) Enter the Total nr of finished projects.

(2) Enter the total EU Contribution to finished projects.

(3) Enter the total nr of open projects.

(4) Enter the total EU contribution to open projects.

(5) Click on the **Update** link to confirm and save the information

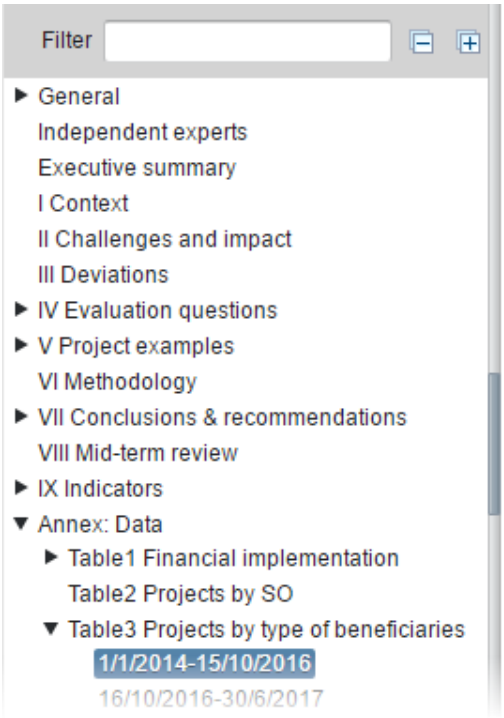
Table 3: Projects by type of beneficiaries

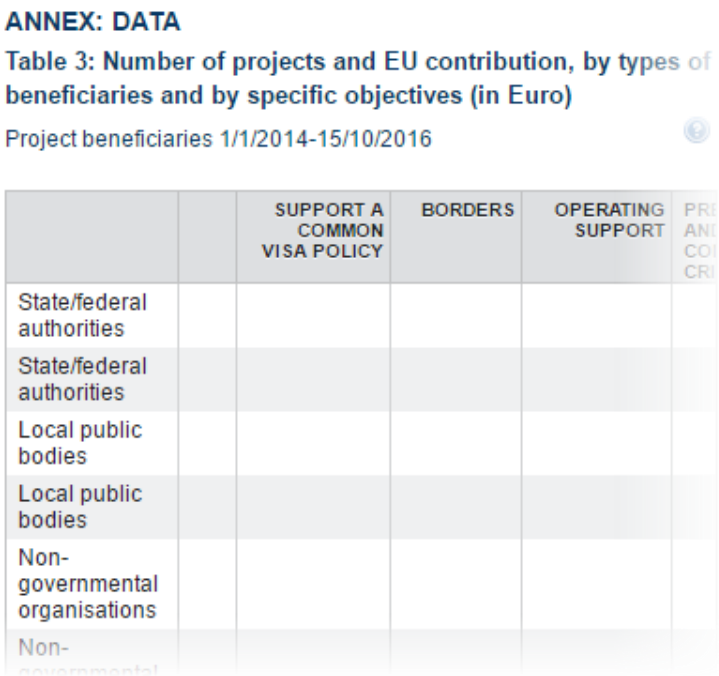
REMARK	Annex Table 2 and 3 records are created based on the available Specific Objectives in the last adopted programme version and project values are based on projects data in the last version sent to the Commission of the relevant Annual Accounts.

Project beneficiaries 01/01/2014-15/10/2016

Number of Projects and EU contribution, by types of beneficiaries and by specific objectives (in Euro) for 01/01/2014-15/10/2016.

REMARK	The data for the 01/01/2014-15/10/2016 period comes from the 2015 and 2016 Accounts and is persisted on creation of the Evaluation Report. All Projects, Finished and Open are taken. The EU Contribution is the sum of all payments declared on those Projects in the relevant Accounts.

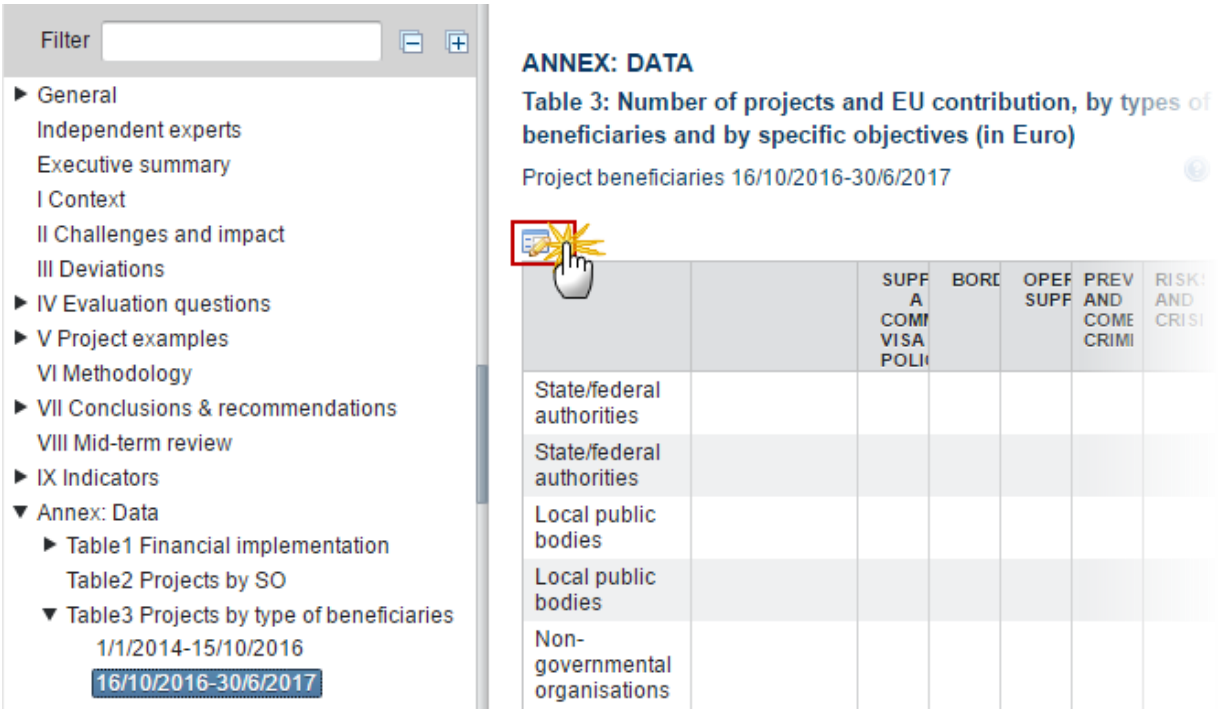




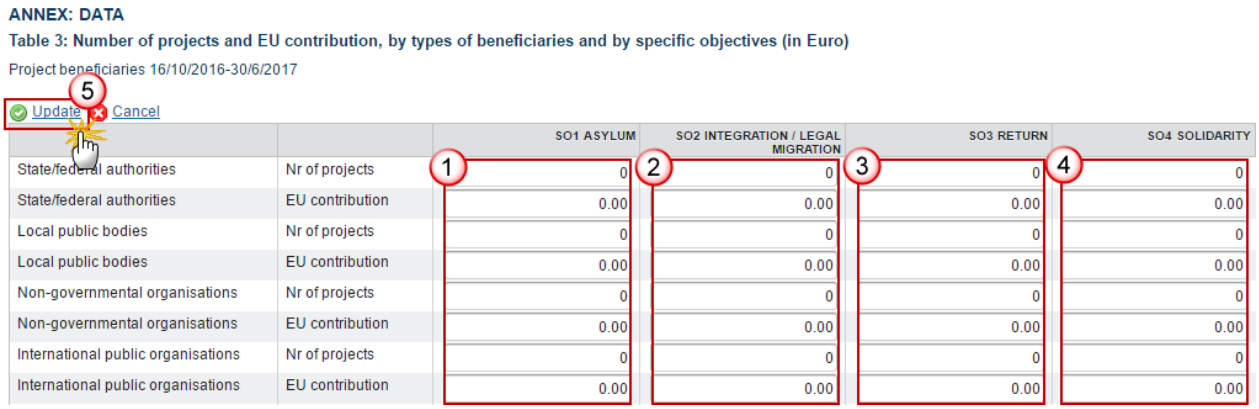
Project beneficiaries 16/10/2016-30/06/2017

Number of projects and EU contributions by type of beneficiaries and specific objective for 16/10/2016-30/06/2017

1. Clicking on the **EDIT** button will open the table allowing you to enter the amounts.



The Table becomes editable:



2. Enter the following information:

(1) Enter the SO1 Asylum.

(2) Enter the SO2 Integration.

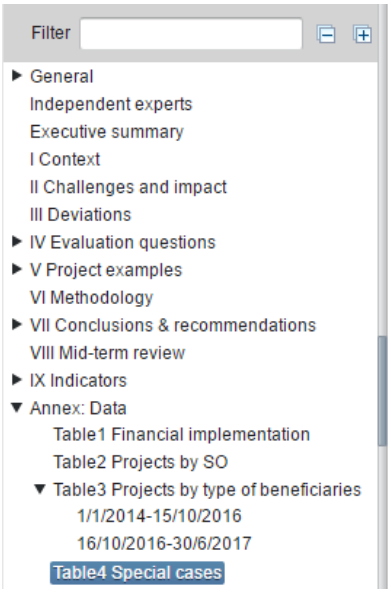
(3) Enter the *SO3 return*.

(4) Enter the SO4 Solidarity.

(5) Click on the **Update** link to confirm and save the information.

Table 4: Special cases

REMARK	Annex Table 4 (AMIF Special Cases) based on pledges from the Programme and actual data from the last version sent to the Commission of the relevant Annual Accounts.



ANNEX: DATA

Table 4: Special cases

SPECIAL CASES		2014-2015	2016-2017	2018-2020	TOTAL
Resettlement Union Priorities	Pledged				
Resettlement Union Priorities	Actual				
Resettlement Others	Pledged				
Resettlement Others	Actual				
Transfer & relocation	Pledged				
Transfer & relocation	Actual				
Admission from Turkey	Pledged				
Admission from Turkey	Actual				
Total	**Pledged**	**0.00**	**0.00**	**0.00**	**0.00**
Total	**Actual**	**0.00**	**0.00**	**0.00**	**0.00**

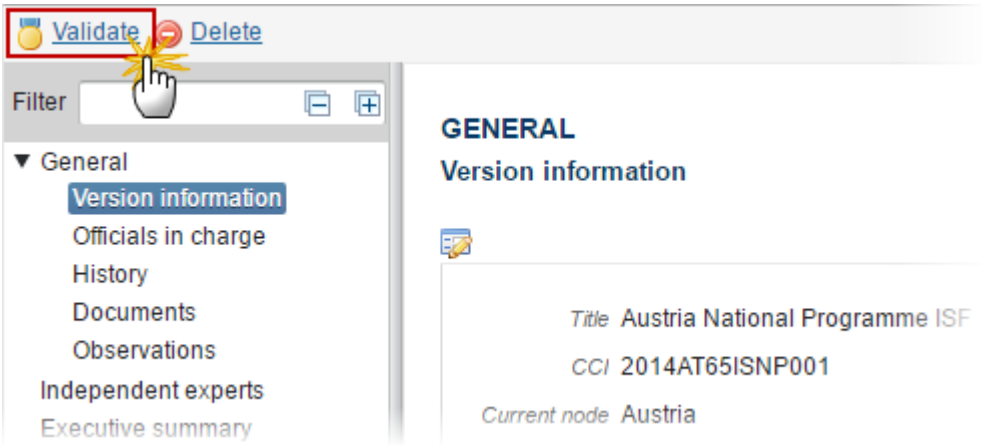
The actual data comes from the 2015 and 2016 Accounts and is persisted on creation of the Evaluation Report.

The pledges data comes from the adopted Programme version linked to the 2016 Accounts and is persisted on creation of the Evaluation Report.

Validate the Evaluation report (AMIF)

REMARK	The Evaluation Report for ISF can be validated only if its status is **'OPEN'.** It is a must to have the role of **MS Responsible Authority** with Update rights.

1. Click on the Validate link to validate the Evaluation Report.



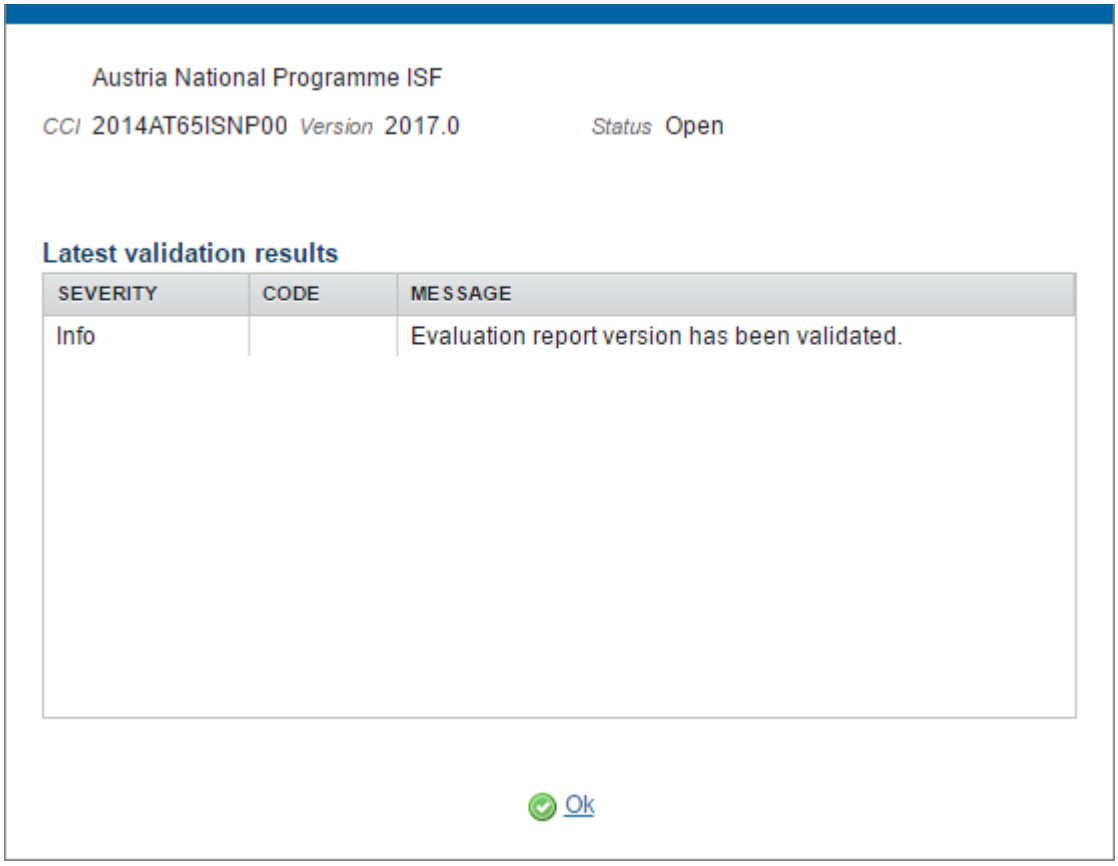
REMARK	An **ERROR** will block you from sending the Evaluation Report. The error(s) should be resolved and the Evaluation Report must be revalidated. **NOTE** that a **WARNING** does not block you from sending the Evaluation Report.

The system validates the following information:

Code	Validation Rule	Severity
2	The system validates the integrity of the input fields	
2.1	Validate that at least one Official in Charge of the Member State exists	**WARNING**
2.2	Validate that the CCI code matches the following regular expression (implicit in web): (…65…)	**ERROR**
2.3	Validate that all integral documents have at least one attachment with a length > 0	**ERROR**

After all errors have been resolved the status of the evaluation Report becomes **READY TO SEND**.

An example of a validation window:

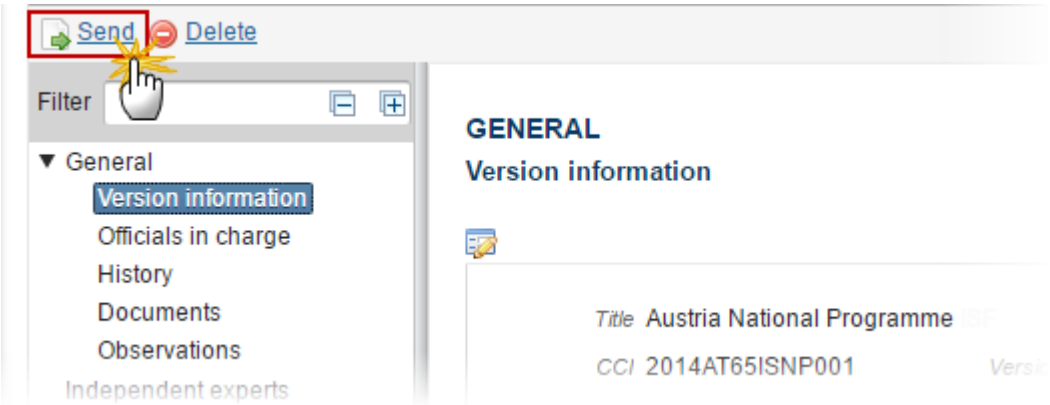


Send the Evaluation Report (AMIF)

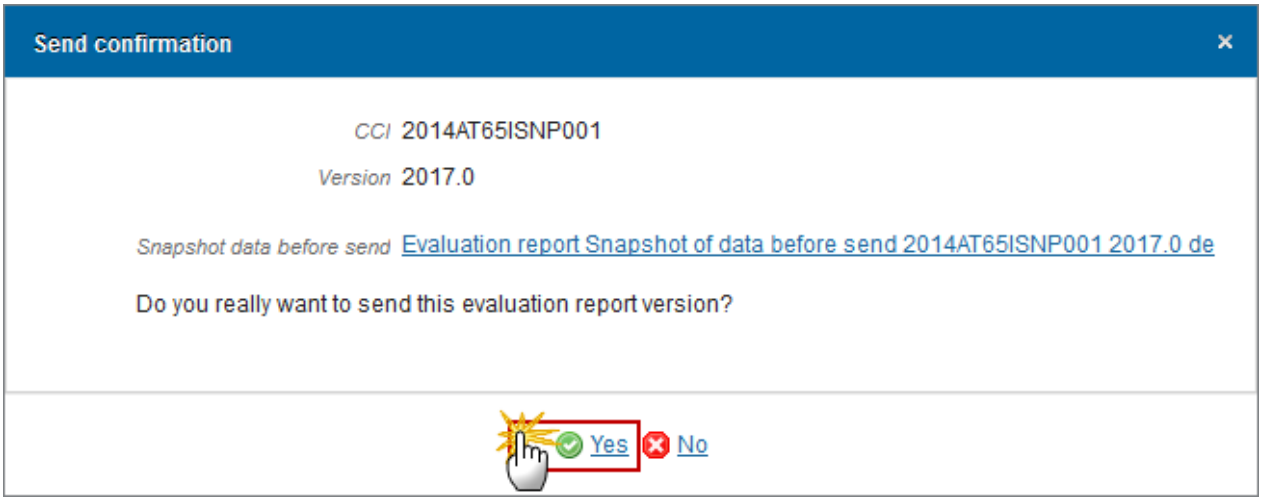
REMARK	The Evaluation Report can only be sent once the **Validation Errors** have been removed and the status is '**READY TO SEND' OR 'SENT'.** It is a must to have the privilege to send the Evaluation Report, the user has the role **MS Responsible Authority Send.** **Sending of the Interim Evaluation Report will only be allowed from 01/07/2017 onwards and from 01/07/2023 onwards for the Final Evaluation Report.** The "**4 eye principle**" must be respected. Therefore, the user sending must be different from the user who last validated. The **Sending** of information by a Member State to the Commission should be **electronically signed in accordance with Directive 1999/93/EC**. Sending of the different objects is generating a snapshot document and after the sending an acknowledge document is

	generated by the European Commission.

1. Click on the **Send** link to send the Evaluation Report to the Commission or to an upper Node.



The system will ask you to confirm the send action:



2. Click on **Yes** to confirm or click on **No** to return to Evaluation Report.
3. The Sending of information by a Member State to the Commission should be electronically signed in accordance with **Directive 1999/93/EC.**

Sending of the different objects is generating a snapshot document and after the sending an acknowledge document is generated by the European Commission.

This acknowledge document is signed but the Member State was not signing the snapshot document. The EU Login now provides a functionality of signing without forcing the user to have a certificate. The action to sign will only be triggered when sending to the European Commission:

(1) Enter your SFC2014 *Password*

(2) Click on the '**SIGN**' button

On success, the Evaluation Report version has been sent to the Commission or to an upper Node and the status is set to **SENT**.

REMARK	**Sending of the Interim Evaluation Report will only be allowed from 01/07/2017 onwards and from 01/07/2023 onwards for the Final Evaluation Report.**

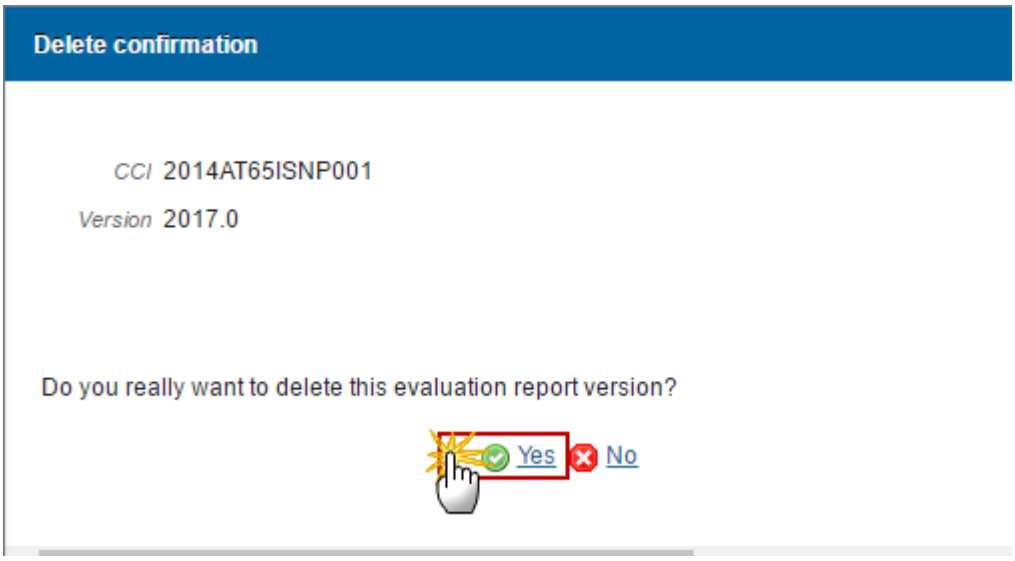
Delete the Evaluation Report (AMIF)

REMARK	It is a must to have the privilege to delete the Evaluation Report, the user has the role of MS Responsible Authority Update.

	The Evaluation Report can only be deleted when the status is **OPEN**, **READY TO SEND** or **RETURNED FOR MODIFICATION BY MS**, and has **never been sent to the Commission** before and has **no sent documents** attached. The delete is a physical delete from the system.

1. Click on the **Delete** link to remove the evaluation Report from the system.

The system will ask you to confirm the delete action:

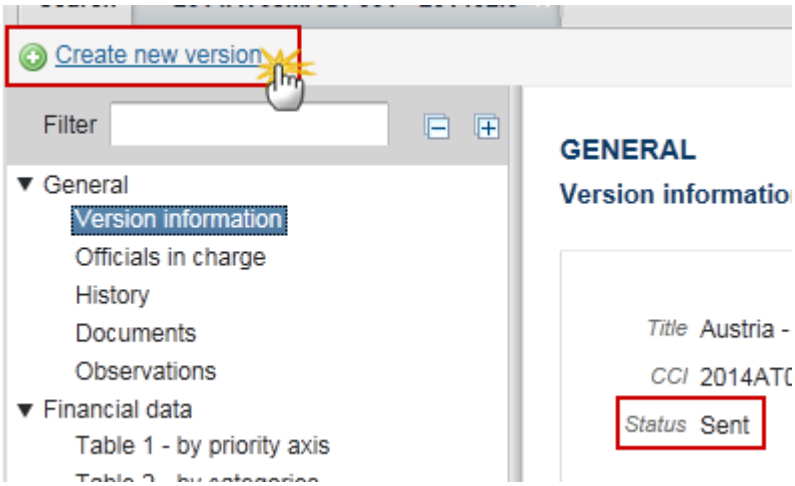


2. Click on **Yes** to confirm or click on **No** to the Evaluation Report.

Create a New Version of the Evaluation Report (AMIF)

REMARK	It is a must to have the privilege to create a new version of the Evaluation Report, **only the user with the role of MS Responsible Authority Update can create it.** A New Version of the Evaluation Report can only be created when the last version of the same Type has the status **'RETURNED FOR MODIFICATION BY EC'.**

1. Click on the Create New Version link to create a new version of the Evaluation Report.



The system will ask you to confirm the creation of a new version.

2. Click on Yes to confirm. Click on No to return to the Evaluation Report.

On success, a new version of the evaluation Report has been created as a copy of the previous version, with a version number identical to the previous and a working version number incremented by one. Its status was set to **'OPEN'**.

Additional Functionality

Early warning notification

- An early warning notification job will run on the **1st of June 2017**. It will select all adopted AMIF and ISF Programmes for which no Interim Evaluation Report have been sent to the Commission.

For these Programmes, an email notification will be sent to the Responsible Authority of the Programme and to the subscribers of this Event Type. The notification will say:

"The period for submitting the Interim Evaluation Report for Programme [CCI] has been opened. Please submit it before the 31st of December 2017."

- An early warning notification job will run on **1st of June 2023**. It will select all adopted AMIF and ISF Programmes for which no Final Evaluation Report have been sent to the Commission.

For these Programmes, an email notification will be sent to the Responsible Authority of the Programme and to the subscribers of this Event Type. The notification will say:

"The period for submitting the Final Evaluation Report for Programme [CCI] has been opened. Please submit it before the 31st of December 2023."

- An early warning notification job will run on **1, 15, 20, 26, 27, 28, 29, 30 and 31 of December of 2017.** It will select all adopted AMIF and ISF Programmes for which no Interim Evaluation Report have been sent to the Commission.

For these Programmes, an email notification will be sent to the Responsible Authority of the Programme and to the subscribers of this Event Type. The notification will say:

"The Interim Evaluation Report for Programme [CCI] has not yet been sent to the Commission. Please submit it as soon as possible."

Indicator data to be provided by the Commission before the submission period

The values of a number of Indicators are coming from non-MS sources like the Commission, Eurostat, EASO, Frontex, EU-Lisa and SIS II Annual Report, EMCDDA and Europol.

The values for these Indicators will be gathered by the Commission into an Excel file and uploaded in the system by the SFC team before the start of the submission periods. When the MS creates the first version of its Evaluation Report, these values on these Indicators will be automatically provided. Where the template indicates that the MS can override these values, SFC will allow that.