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Implementation Report (ETC)

PURPOSE

This document describes the specifications and details related to the **Implementation Report (ETC)** procedure related to the European Territorial Cooperation goal (ETC) under the CPR Regulation.

From **2016**, the IRs are to be submitted by **31st May until 2023**. So, the last Implementation Report is the 2022 one.

For the reports submitted in **2017** and **2019**, deadline shall be **30th June**. For the Final Implementation Report, the deadline for submission is 15th February 2025 or 1st of March 2025 in exceptional cases. (**Article 111 of CPR**)

REGULATIONS

More details about the regulation regarding the **IMPLEMENTATION REPORT (ETC)** can be found in the [About SFC2014](#) section of this portal.

ROLES

Roles involved in the Implementation Report for ETC are:

MS Managing Authority	Record the Implementation Report for ETC Upload the Implementation Report for ETC Consult the Implementation Report for ETC Delete the Implementation Report for ETC Validate the Implementation Report for ETC
-----------------------	---

	Send the Implementation Report for ETC Return the Implementation Report for ETC Create New Version of an Implementation Report for ETC
MS Audit Authority	Consult the Implementation Report for ETC

FUNDS

ETC			
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PRE-CONDITIONS

The ETC Programme is **ADOPTED** by the Commission and when creating the IR the specific Reporting Year doesn't yet exist.

Workflow

This section shows the lifecycle to create and manage the Annual and Final Implementation Report for ETC. If the Commission rejects an IR ETC, the programme is returned and a new version has to be created and go through the whole process. The Commission cannot change an IR – only respond.

Click [here](#) to see the AIR ETC state diagram in high resolution.

Create the Implementation Report (ETC)

REMARK	<p>To create the Implementation Report ETC you must have MS Managing Authority with Update access.</p> <p>An ETC Operational Programme must have been ADOPTED by the Commission in order for you to create the Implementation Report ETC.</p> <p>In tables with achievement year columns, only the years equal and before the Reporting Year will be shown.</p>
---------------	---

1. To access into the **IMPLEMENTATION REPORT ETC** section, first click on the **MONITORING** menu (1) and then on the **Implementation Report (ETC)** link (2).

The screenshot displays the 'SHARED FUND MANAGEMENT COMMON SYSTEM' interface for the 'Period 2014-2020 (SFC2014)'. The breadcrumb trail shows 'European Commission » SFC » SFC2014-FO'. A navigation menu is open, with 'Monitoring' highlighted by a red box and a circled '1'. Within the 'Monitoring' dropdown, 'Implementation report (ETC)' is highlighted by a red box and a circled '2', with a mouse cursor pointing to it. Other menu items include 'Implementation report (IGJ)', 'Implementation report (FEAD)', 'Implementation report (EMFF)', 'Implementation report (AMIF,ISF)', 'Bi-annual indicator data provision (EAFRD)', 'Annual Implementation Report (EAFRD)', 'List of selected operations (EMFF Art.97(1)a)', 'Monitoring committee', 'Annual review meeting', and 'ESF monitoring FAQ'.

2. Click on the [Create New Implementation Report](#) link to create a new Implementation Report (ETC).

European Commission » SFC » SFC2014-FO » Monitoring » Implementation report (ETC)

Strategic planning Application (EGF) Programming Monitoring Commission decision

Search

CCI Status

Version Previous node

Work version Current node

Title

[+ Create new implementation report](#) [Show implementation report](#) [Export to excel](#)

CCI	VERSION	TITLE	STATUS	STATUS DATE	PREVIOUS NODE	CURRENT NODE
-----	---------	-------	--------	-------------	---------------	--------------

You are redirected to the Implementation Report (ETC) creation wizard:

1. General Details

CCI* 1

Reporting year* 2

Report approval date by the monitoring committee 05/03/2019 3

The implementation report will be linked to the version of the programme in force at the time the implementation report is first created in the system.

4

3. Enter or Select the following information:

(1) Select a CCI.

The CCI list contains all adopted ETC Programmes which contain Funds for which the User is registered. The list returns the CCI and the Programme Title.

(2) Select a *Reporting Year*.

The Reporting Year list contains all years smaller than the current year, starting from 2015 for which no Annual Implementation Report exists. The last Annual Report will be for year 2022 and will be submitted in 2023, unless a Final Implementation Report exists. From 1/10/2022 onwards, the list will also contain 9999 for the Final Implementation Report.

(3) Select the *Report approval date by the monitoring committee*.

When work version is 0 the Report approval date by the monitoring committee should not be empty.

(4) Click on the **FINISH** button to confirm the creation.

The status of the Implementation Report is **OPEN**.

REMARK	<p>The Implementation Report is linked to the last adopted version of the Operational Programme, except when a request already exists from the EC to link the IR to another Programme version.</p> <p>The records of the following Tables will automatically be created from the equivalent tables in the linked Cooperation Programme Version:</p> <p>Section 3.1, Indicator Tables (1 and 2), Performance Framework Table (3) and Major Projects (7).</p> <p>The annual achievement values for previous Reporting Years are copied from the Implementation Report of the previous Reporting Year.</p> <p>Also the Financial Instruments data are copied from the Implementation Report of the previous Reporting Year.</p> <p>The Final Implementation Report will contain 3 extra annexes (I, II, III) as described in the Closure Guidelines [R6].</p>
---------------	--

Record/Edit the Implementation Report (ETC)

Find all the information to complete each screen of the IR for ETC. Below are the links to the main sections:

- [General](#)
- [2. Overview](#)
- [3. Implementation](#)
- [4. Synthesis of the evaluations](#)
- [5. Issues affecting performance](#)

- [6. Citizen's summary](#)
- [7. Report on financial instruments](#)
- [8. Progress of Major Projects & joint actions plans](#)
- [9. Assessment of implementation of OP](#)
- [10. Obligatory information and assessment](#)
- [11. Additional information](#)
- [13. Smart, sustainable and inclusive growth](#)
- [14. Issues affecting performance](#)

NOTE	In AIRs 2017, 2019, 2020, 2021 and 2022 the following sections and their sub-sections are shown in the print, but are not shown in the web because they are not editable : 9, 10, 11.1, 11.2, 11.4, 12, 13, 14.
-------------	---


General

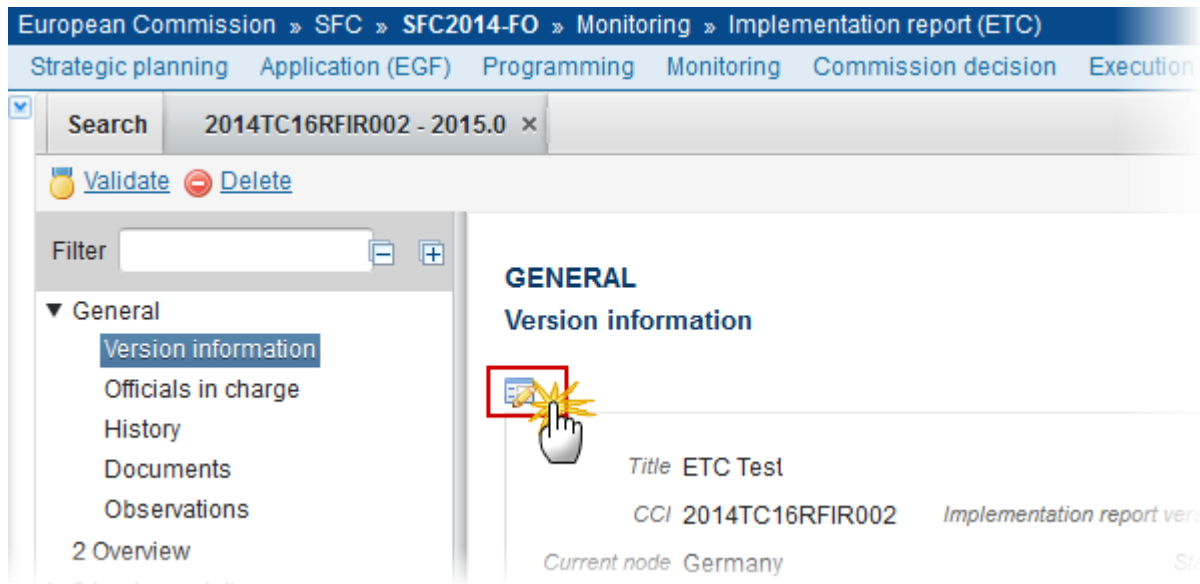
Version Information

The Version Information contains information on the identification and status of the Implementation Report (ETC) version; like the CCI, the Title, the Version Number, the Status, the Current Node, etc. It also shows the results of the last validation done on this Implementation Report version.

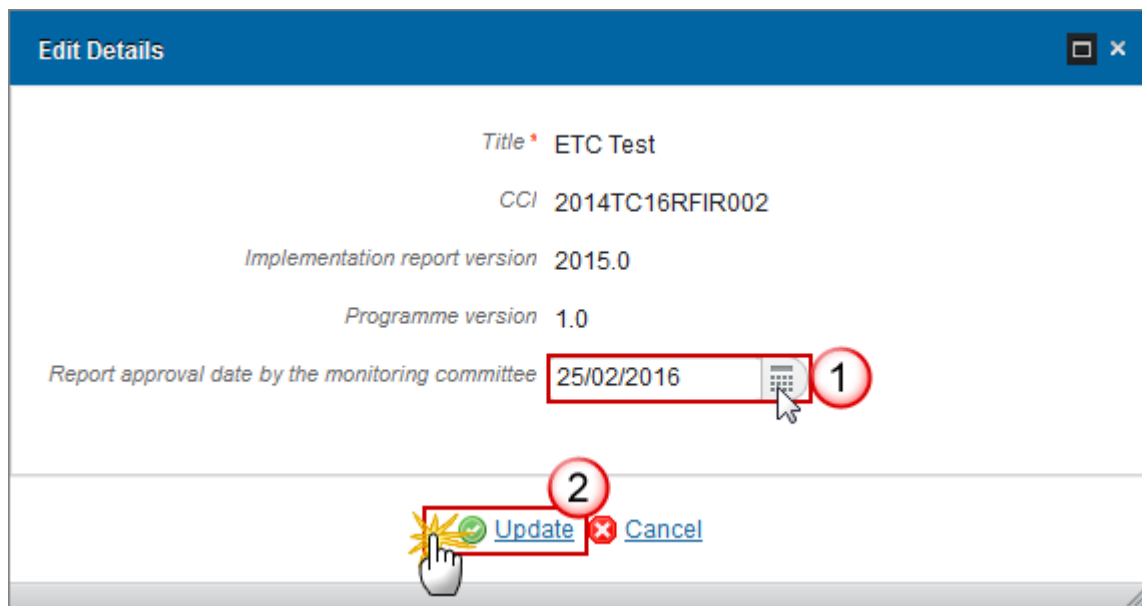
In the Last validation results list, Warning Messages that already existed in the previous minor version will be shown in grey and ordered at the end of the list.

Only the *Report approval date by the monitoring committee* can be updated.

1. Clicking on the **EDIT** button  will enable you the modification of the *Report approval date by the monitoring committee*.



The Edit Details pop-up window appears:



2. Enter or select the modifications:

(1) Enter the new *Report approval date by the monitoring committee*.

(2) Click on the [Update](#) link to save the information.




Officials in Charge

NOTE	Officials in Charge can be updated at any time, independent from the status of the Implementation Report (ETC). The email is directly accessible via the email link.
-------------	---

GENERAL

Officials in charge

NAME	PHONE	EMAIL	VALID FROM	VALID UP

- Click on the **ADD** button  to add a new official in charge.
- Select an official and click in the **EDIT** button  to modify the information of this official.
- Select an official and click on the **REMOVE** button  to delete the official in charge selected.

1. Click on the **ADD** button  to add a new official in charge.

GENERAL

Officials in charge

NAME	PHONE	EMAIL	VALID FROM	VALID UNTIL	LANGUAGE

The Edit Details popup window appears:

Edit Details

Name * 1

Phone

Email * 2

Language

Valid from

Valid until

3

2. Enter the following information:

- (1) Enter the *Name*.
- (2) Enter the *Email*.
- (3) Click on [Update](#) to save the information.

NOTE	Commission Officials (email domain "ec.europa.eu") can only be created/updated/deleted by Commission Users.
-------------	---

History

This section shows all the actions that happened in the Implementation Report (ETC) since it was created, for example:

GENERAL

History

STATUS	ACTION	COMMENTS	BY LEVEL	DATE	USER
Open	Create		Germany	25-Feb-2016 10:54:16	nsupposf support

Documents

The following documents will be foreseen:

Description	Non-Integral	Integral	System	Required
Snapshot of data before send		X	X	X
Acknowledgment of Receipt			X	X
Citizens' Summary		X		
MS Reply to Article 50(8) observations *	X			
MS reply to observations in EC acceptance letter (other than Article 50(8))*	X			
MS request for technical return**	X			

*Only allow on IR versions in status 'Accepted by EC'




**Only allow on IR versions in status 'Accepted by EC (Request technical return allowed) '

REMARK	! The 'Other Member state document' type can no longer be uploaded in the Implementation Report.
---------------	---

REMARK	<p>Integral documents can only be added, updated or deleted when the main object is editable.</p> <p>Integral documents are automatically sent - together with the encoded data – when the AIR is submitted to the EC.</p> <p>Referential/Non-integral Documents (ie. 'MS Reply to Observations in EC Acceptance Letter (other than Article 50(8))') can be sent at any time independently when the status of the IR is 'Accepted by EC'.</p> <p>The non-integral type demands a <u>manual submission</u> (they are NOT sent automatically within the Programme).</p> <p>A document is only visible to the Commission when the SENT DATE is visible.</p>
---------------	---

Uploading & Sending Documents

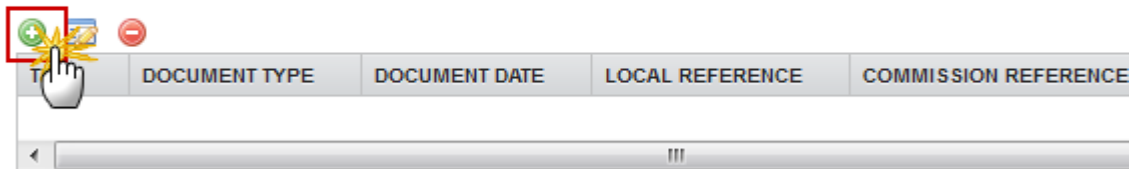
Citizens' Summary document can be uploaded in the Implementation Report (ETC), is optional.

- Clicking on the **ADD** button  will open a pop up window allowing you to add a new document type with attachments.
- Selecting a document row and click in the **EDIT** button  will allow you to modify the document information.
- Selecting a row of a previously uploaded document and click on the **REMOVE** button  to delete the document and associated attachments.

1. Click on the **ADD** button  to add a new document.

GENERAL

Documents annexed to this implementation report



	DOCUMENT TYPE	DOCUMENT DATE	LOCAL REFERENCE	COMMISSION REFERENCE

The document detail pop-up window appears:

Document details Not yet sent

Document type * Citizens' summary **1**

Title * Citizens'summary **2**

Document date * 21/04/16 **3**

Local reference




Commission reference

4 Add files

TITLE	LANGUAGE	FILENAME	
5 Citizens'summary	English 6	port.doc	Select file to upload 7

8 Update Cancel

2. Enter or select the following information:


- (1)** Select a *Document Type*
- (2)** Enter a *Title* for your Document
- (3)** Enter a *Document Date*
- (4)** Click on the **ADD** button  to add a new attachment
 - You can add multiple attachments by clicking on the **ADD** button 
 - You can remove unwanted attachments by selecting the attachment and clicking on the **REMOVE** button 
- (5)** Enter a *Title* for your attachment.
- (6)** Select the *Language* of the document.
- (7)** Select the *file* to upload.
- (8)** Click on Update to save the information.

REMARK

Commission Registration N° is only enabled for Commission Users, while Local Reference is only enabled for Member State Users.

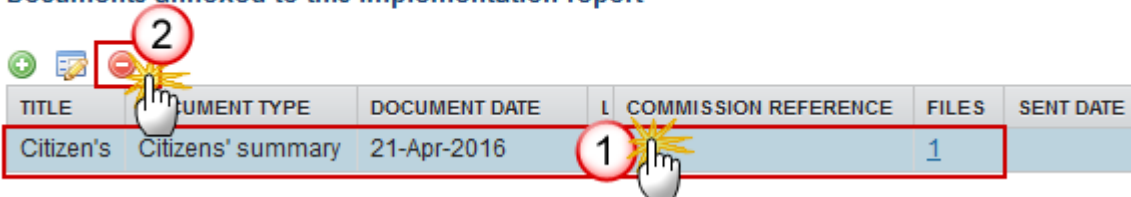
The pop-up window closes and the documents are uploaded.

Deletion of an unsent document

1. Select a row **(1)** of a previously uploaded document and click on the **REMOVE** button  **(2)** to delete the document and associated attachments.

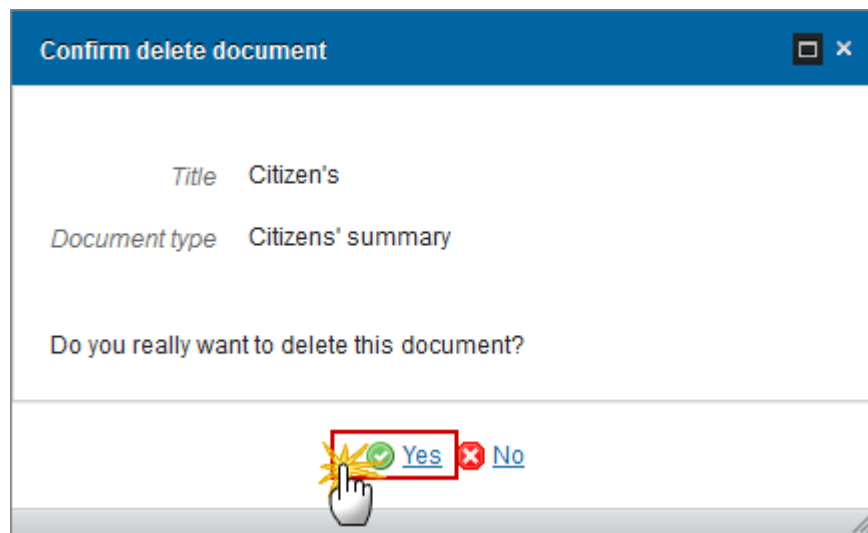
GENERAL

Documents annexed to this implementation report



TITLE	DOCUMENT TYPE	DOCUMENT DATE	L	COMMISSION REFERENCE	FILES	SENT DATE
Citizen's	Citizens' summary	21-Apr-2016	1		1	

A confirmation window appears:



2. Click on [Yes](#) to confirm deletion. Click on [No](#) to return to the Implementation Report (FEAD) documents.

Observations

This section is used to provide any relevant information about the Implementation Report (ETC). It can be used as a type of 'chat' between the Member State and Commission.


(1) Enter an observation.

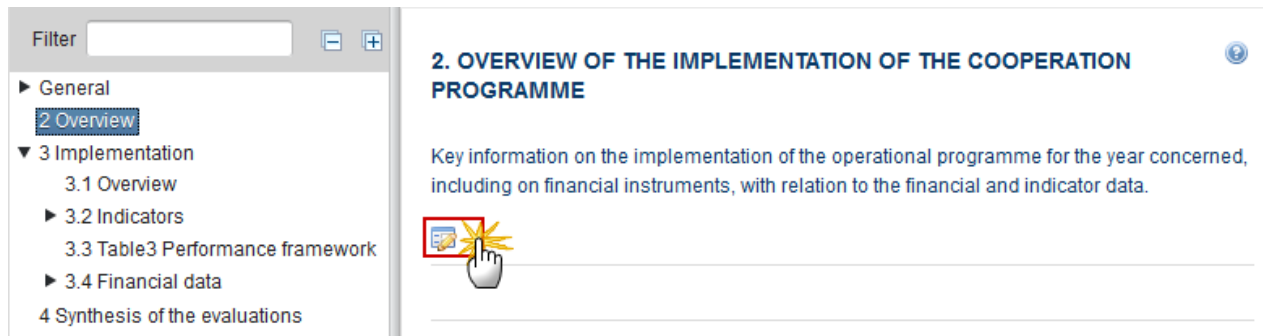
All users who have Read and Observation permission on the Implementation Report will be able to send an Observation and participate in the conversation.

(2) Click on [Add](#) to save the information.

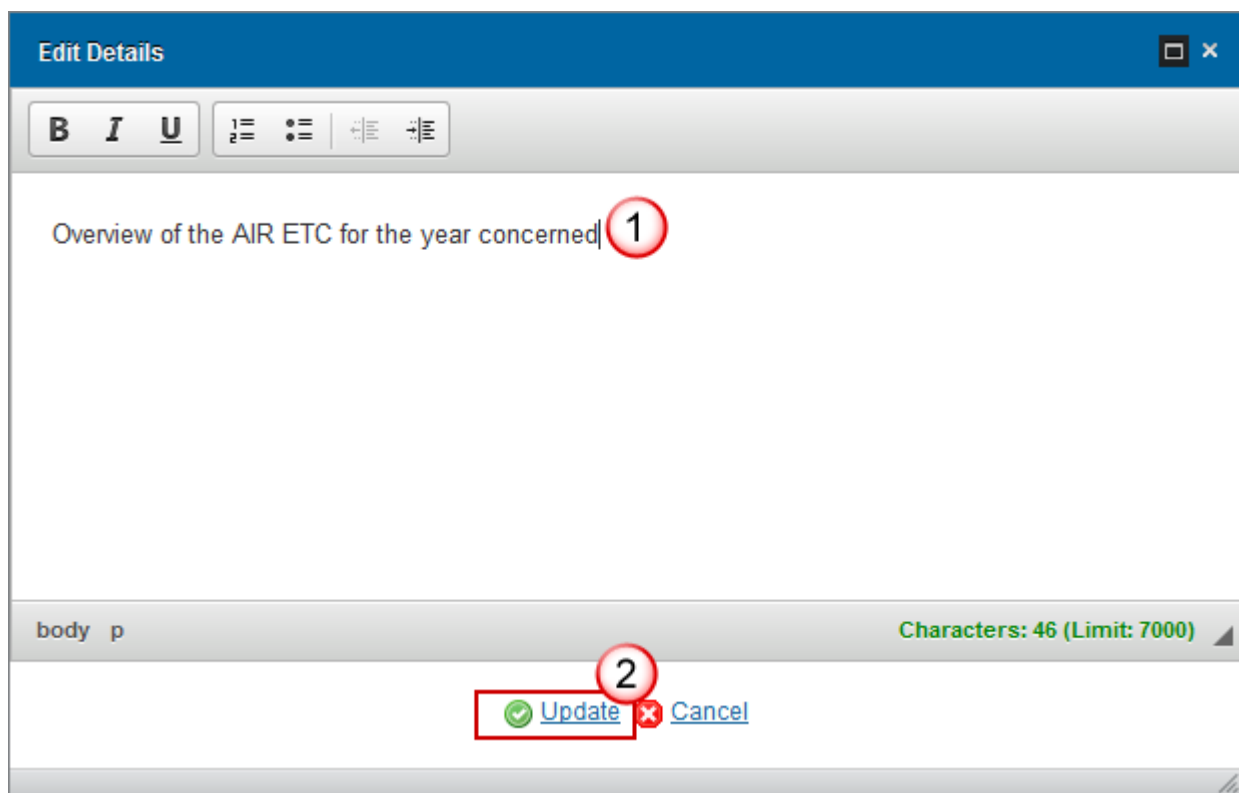
All Observations are kept against the specific version of the Implementation Report (ETC).

2. Overview

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter an **overview of the Implementation of the Cooperation Programme**.



The Edit Details pop-up appears:



2. Enter the following information:

- (1) Enter a description text.
- (2) Click on the [Update](#) link to save the information.


3. Implementation

Implementation of the Priority Axis

3.1 Overview

Key information on the Implementation of the Priority Axis.

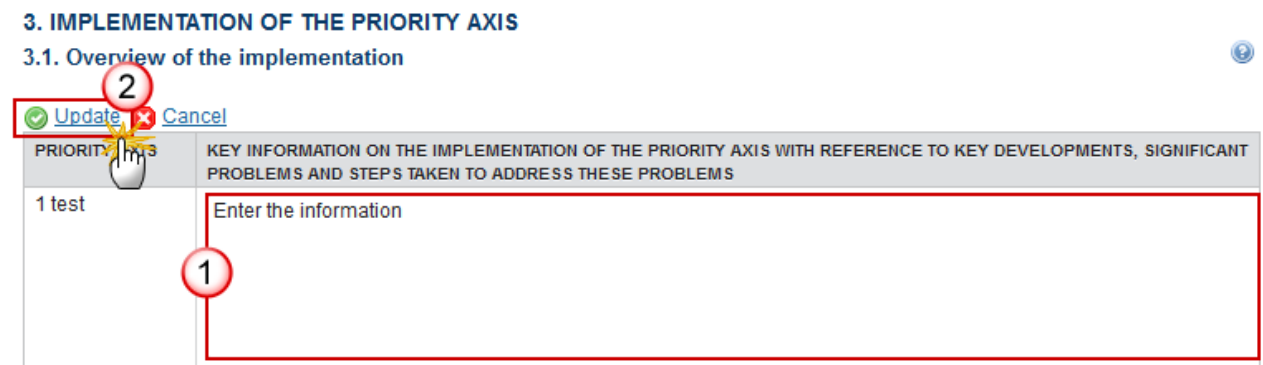
REMARK	The records will be automatically created from the Priority Axes of the linked Programme at creation time.
---------------	--

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the information on the implementation of the Priority Axis.



The Edit Details pop-up appears:

NOTE	The Key Information field is mandatory.
-------------	---



2. Enter the following information:

(1) Enter a description text.

(2) Click on the [Update](#) link to save the information.

3.2 Indicators

Common and programme specific indicators


Non-TA priority Axes

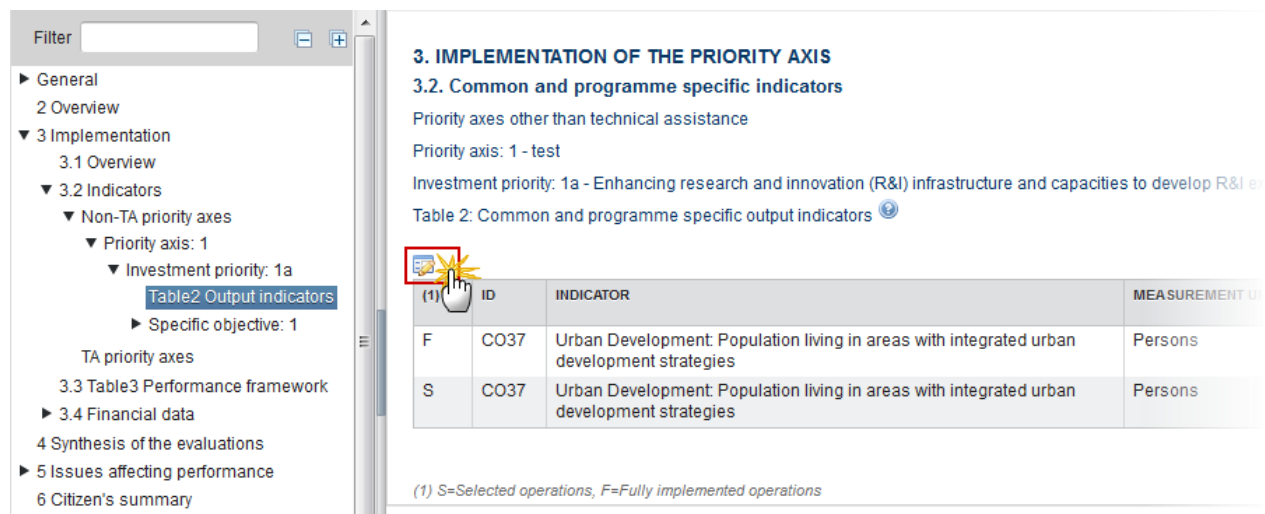
Priority Axes other than technical assistance

Priority Axis 1, Investment priority: 1a

Table 2: Output Indicators

Common and programme specific output indicators

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the amounts for the indicators.




3. IMPLEMENTATION OF THE PRIORITY AXIS

3.2. Common and programme specific indicators

Priority axes other than technical assistance

Priority axis: 1 - test

Investment priority: 1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I es

Table 2: Common and programme specific output indicators 

(1)	ID	INDICATOR	MEASUREMENT UNIT
F	CO37	Urban Development: Population living in areas with integrated urban development strategies	Persons
S	CO37	Urban Development: Population living in areas with integrated urban development strategies	Persons

(1) S=Selected operations, F=Fully implemented operations

The Edit Details pop-up appears:

REMARK	<p>The records will be automatically created from the Table 4 (for non-TA priority Axes) and from Table 11 (for TA Priority Axes) of the linked Programme at creation time. For each record in the Programme, 2 records will be created in Table 2, one for "Outputs to be delivered by selected operations" and one for "Outputs delivered by operations".</p> <p>The Indicators are linked to a Priority Axis/Investment Priority.</p>
---------------	--

3. IMPLEMENTATION OF THE PRIORITY AXIS

3.2. Common and programme specific indicators

Priority axes other than technical assistance

Priority axis: 1 - test

Investment priority: 1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest

Table 2: Common and programme specific output indicators 

4

[Update](#) [Cancel](#)

(1)	ID	INDICATOR	MEASUREMENT UNIT	TARGET VALUE (2023) TOTAL	2015 TOTAL	2014 TOTAL	OBSERVATIONS
F	CO37	Urban Development: Population living in areas with integrated urban development strategies	Persons	1,000,000.00	200.00	100.00	observations
S	CO37	Urban Development: Population living in areas with integrated urban development strategies	Persons	1,000,000.00	200.00	100.00	


(1) S=Selected operations, F=Fully implemented operations

2. Enter the following information:

- (1) Enter the amounts for the *2015 Total*
- (2) Enter the amounts for the *2014 Total*
- (3) Enter the *Observations*
- (4) Click on the [Update](#) link to save the information.

Specific objective: 1

Table 1: Result Indicators

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the amounts for the result indicators.

Filter

- ▶ General
- 2 Overview
- ▼ 3 Implementation
 - 3.1 Overview
 - ▼ 3.2 Indicators
 - ▼ Non-TA priority axes
 - ▼ Priority axis: 1
 - ▼ Investment priority: 1a
 - Table2 Output indicators
 - ▼ Specific objective: 1
 - Table1 Result indicators**
 - TA priority axes
 - 3.3 Table3 Performance framework
 - ▶ 3.4 Financial data

3. IMPLEMENTATION OF THE PRIORITY AXIS

3.2. Common and programme specific indicators

Priority axes other than technical assistance

Priority axis: 1 - test

Investment priority: 1a - Enhancing research and innovation (R&I) infrastructure and capacities to

Specific objective: 1 - test obj

Table 1: Result indicators ⓘ

ID	INDICATOR	MEASUREMENT UNIT	BASELINE VALUE	BASELINE YEAR
1	indicator	unit	1,000,000.00	2014

The Edit Details pop-up appears:

REMARK	<p>The records will be automatically created from Table 3 for non-TA Priority Axes/Investment Priorities/Specific Objectives and from Table 10 for TA Priority Axes/Specific Objectives of the linked Programme at creation time.</p> <p>The Indicators are linked to a Priority Axis/Investment Priority/Specific Objective.</p>
---------------	---

3. IMPLEMENTATION OF THE PRIORITY AXIS

3.2. Common and programme specific indicators

Priority axes other than technical assistance

Priority axis: 1 - test

Investment priority: 1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest

Specific objective: 1 - test

Table 1: Result indicators ⓘ

4 [Update](#) [Cancel](#)

ID	INDICATOR	MEASUREMENT UNIT	BASELINE VALUE	BASELINE YEAR	TARGET VALUE (2023) TOTAL	2015 TOTAL	2015 QUALITATIVE	2014 TOTAL	2014 QUALITATIVE	OBSERVATIONS
1	indicator	unit	1,000,000.00	2014	1,000,000.00	200.00 1		100.00 2		3

2. Enter the following information:

- (1)** Enter the amounts for the *2015 Total*
- (2)** Enter the amounts for the *2014 Total*
- (3)** Enter the *Observations*
- (4)** Click on the [Update](#) link to save the information.


TA Priority Axes

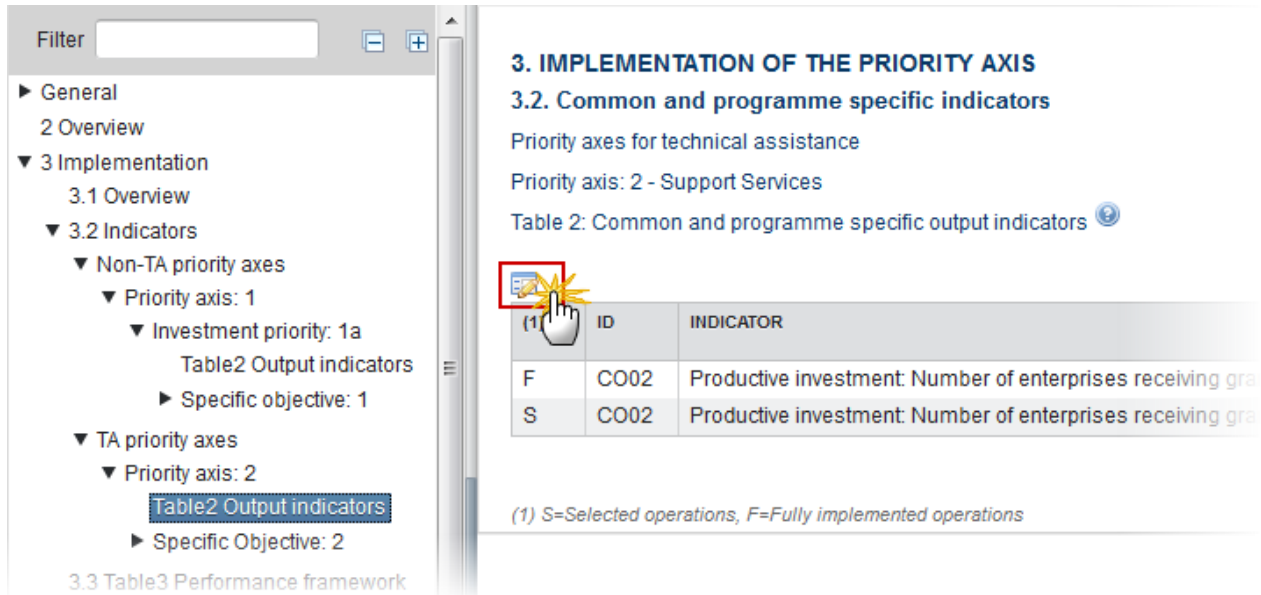
Priority Axes for technical assistance

Priority Axis

Table 2: Output Indicators

Common and programme specific output indicators for TA Priority Axes

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the output indicators.




3. IMPLEMENTATION OF THE PRIORITY AXIS

3.2. Common and programme specific indicators

Priority axes for technical assistance

Priority axis: 2 - Support Services

Table 2: Common and programme specific output indicators 

(1)	ID	INDICATOR
F	CO02	Productive investment: Number of enterprises receiving gra
S	CO02	Productive investment: Number of enterprises receiving gra

(1) S=Selected operations, F=Fully implemented operations

The table becomes editable:



3. IMPLEMENTATION OF THE PRIORITY AXIS

3.2. Common and programme specific indicators

Priority axes for technical assistance

Priority axis: 2 - Support Services

Table 2: Common and programme specific output indicators 

(1)	INDICATOR	MEASUREMENT UNIT	TARGET VALUE (2023) TOTAL	2015 TOTAL	2014 TOTAL	OBSERVATIONS
F	CO02 Productive investment: Number of enterprises receiving grants	Enterprises	1,000,000.00	2,000.00	1,000.00	Observations
S	CO02 Productive investment: Number of enterprises receiving grants	Enterprises	1,000,000.00	2,000.00	1,000.00	Observations


(1) S=Selected operations, F=Fully implemented operations

2. Enter the following information:

- (1) Enter the amounts for the *2015 Total*
- (2) Enter the amounts for the *2014 Total*
- (3) Enter the *Observations*
- (4) Click on the [Update](#) link to save the information.

Specific Objective

Table 1: Result indicators

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the result indicators.

Filter

- ▶ General
 - 2 Overview
 - ▼ 3 Implementation
 - 3.1 Overview
 - ▼ 3.2 Indicators
 - ▼ Non-TA priority axes
 - ▼ Priority axis: 1
 - ▼ Investment priority: 1a
 - Table2 Output indicators
 - ▶ Specific objective: 1
 - ▼ TA priority axes
 - ▼ Priority axis: 2
 - Table2 Output indicators
 - ▼ Specific Objective: 2
 - Table1 Result indicators

3. IMPLEMENTATION OF THE PRIORITY AXIS
3.2. Common and programme specific indicators
 Priority axes for technical assistance
 Priority axis: 2 - Support Services
 Specific Objective: 2 - Support Services
 Table 1: Result indicators

ID	INDICATOR	MEASUREMENT UNIT	BASELINE VALUE	BASELINE YEAR	TARGET VALUE (2023) TOTAL
1	Expertise	Efficiency	100,000.00	2014	1,000,000.00

The table becomes editable:

3. IMPLEMENTATION OF THE PRIORITY AXIS
3.2. Common and programme specific indicators
 Priority axes for technical assistance
 Priority axis: 2 - Support Services
 Specific Objective: 2 - Support Services
 Table 1: Result indicators

Update Cancel


ID	INDICATOR	MEASUREMENT UNIT	BASELINE VALUE	BASELINE YEAR	TARGET VALUE (2023) TOTAL	2015 TOTAL	2015 QUALITATIVE	2014 TOTAL	2014 QUALITATIVE	OBSERVATIONS
1	Expertise	Efficiency	100,000.0	2014	1,000,000.00	2,000.00		1,000.00		Observations

2. Enter the following information:

- (1) Enter the amounts for the *2015 Total*
- (2) Enter the amounts for the *2014 Total*
- (3) Enter the *Observations*
- (4) Click on the [Update](#) link to save the information.

3.3 Table 3: Performance framework

Information on the milestones and targets defined in the performance framework


1. Clicking on the **EDIT** button  will open a pop up window allowing you to update the achievements.

Filter

- ▶ General
- 2 Overview
- ▼ 3 Implementation
 - 3.1 Overview
 - ▶ 3.2 Indicators
 - 3.3 Table3 Performance framework
 - ▶ 3.4 Financial data
- 4 Synthesis of the evaluations
- ▼ 5 Issues affecting performance
 - 5.a Issues and measures taken

3. IMPLEMENTATION OF THE PRIORITY AXIS

3.3 Table 3: Information on the milestones and targets defined in the performance framework





PRIORITY AXIS	IND TYPE	ID	INDICATOR
1	F	F11	Eligible expenditures certified
1	O	OP 2	Number of research institutions participating in cross-border, transnational or interregional research projects

The Table becomes editable:

3. IMPLEMENTATION OF THE PRIORITY AXIS

3.3 Table 3: Information on the milestones and targets defined in the performance framework

 Update  Cancel

PRIORITY AXIS	IND TYPE	ID	INDICATOR	MEASUREMENT UNIT	MILESTONE FOR 2018 TOTAL	FINAL TARGET (2023) TOTAL	2017	2016
1	F	F11	Eligible expenditures certified	EUR	1791939	14,685,060.00	0.00	0.00
1	O	OP 2	Number of research institutions participating in cross-border, transnational or interregional research projects	Organisations	2	15.00	9.00	3.00
1	O	OP 3	Number of services to support enterprises' innovative capacity introduced or improved	Services	1	8.00	0.00	0.00
2	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	2	10.00	0.00	0.00
2	F	F11	Eligible expenditures certified	EUR	6 520 356	53,434,764.00	0.00	0.00
2	O	OP 5	Number of cultural/natural heritage elements with improved attractiveness	Elements	1	8.00	0.00	0.00
2	O	OP 9	Number of cross-border mechanisms in the field of nature, landscape and biological species management	Mechanisms	2	10.00	0.00	0.00
3	O	CO46	Labour Market and Training: Number of participants in joint education and training schemes to support youth employment, educational opportunities and higher and vocational education across borders	Persons	100	700.00	334.00	13.00

2014	OBSERVATIONS
0.00	
0.00	6 organisations in 2017 (ATCZ133, report 1.1), 3 organisations in 2016 (ATCZ14, report 1.1) --> in sum 9 organisations/ research institutions were
0.00	1 service in 2017, 1 service in 2016 --> in sum 2 services have been selected as an indicator however none of them reported so far; tangible outputs can be
0.00	No kilometres of reconstructed or upgraded roads were reported so far
0.00	
0.00	10 elements with improved attractiveness were selected in 2017, no elements were reported so far; tangible outputs can be monitored only through
0.00	12 mechanisms in 2017, 8 mechanisms in 2016 --> in sum 20 mechanisms were selected but none of them reported so far; tangible outputs can be
0.00	13 persons have been reported as an indicator in 2016 (ATCZ5); 321 in 2017 (18 in ATCZ65; 303 in ATCZ35); it means 334 in total by the end of 2017.

2. Enter the following information:

- (1) Enter the amounts for the *2017 Total*
- (2) Enter the amounts for the *2016 Total*
- (3) Enter the amounts for the *2015 Total*
- (4) Enter the amounts for the *2014 Total*
- (5) Enter the *Observations*
- (6) Click on the [Update](#) link to save the information.

NOTE	<p>The records will be automatically created from Table 5 of the linked Programme at creation time.</p> <p>All Achievement values for all types of Indicators need to be encoded. Nothing is calculated.</p> <p>Previous year's Achievement values can always be updated.</p> <p>Indicators with a qualitative target can only exist for Key Implementation Steps for ERDF/CF in the Programme Table 5. If such qualitative Key Implementation Step Indicator exists, Table 3 will be generated with additional target and annual qualitative columns.</p> <p>In the PRINT however, it will appear the quantitative and qualitative values in the same columns 'YYYY CUM TOTAL'.</p>
-------------	---

3.4 Financial Data

Table 4: By Priority Axis

Financial information at priority axis and programme level

REMARK	<p>The table is shown as it exists in Table 1 of the Financial Data submitted for 31/01/Last available Reporting Year. For a Final Implementation Report, the table is shown as it exists in Table 1 of the Financial Data submitted for 31/01/Last available Reporting Year.</p> <p>There is no Section 15 as such in the AIR, this section describes for the 2019 submission an additional column on Table 4 which the MS can find here under section 3.4.</p> <p>The column “Total eligible expenditure incurred by beneficiaries and paid by 31/12/2018 and certified to the Commission” is only displayed in AIR2018. Its value comes from the ‘2018’ value of the Financial Indicators for each Priority Axis and Fund in Table 3.</p> <p>The column “Total eligible expenditure incurred by beneficiaries and paid by 31/12/2023 and certified to the Commission” is only displayed in FIR. Its value comes from the ‘2023’ value of the Financial Indicators for each Priority Axis and Fund in Table 3.</p>
---------------	--

3. IMPLEMENTATION OF THE PRIORITY AXIS


3.4. Financial data

Table 4: Financial information at priority axis and programme level

As set out in Table 1 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for transmission of financial data) and table 16 of model for cooperation programmes under the European territorial cooperation goal

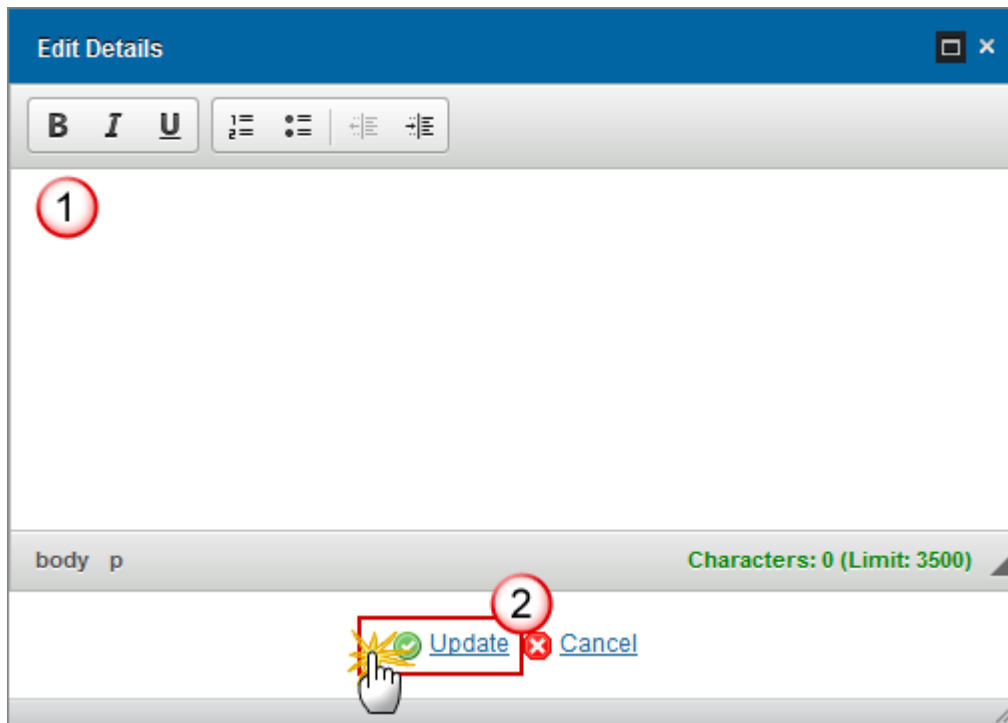
PRIORITY AXIS	FUND	CALCULATION BASIS	TOTAL FUNDING	CO-FINANCING RATE	TOTAL ELIGIBLE COST OF OPERATIONS SELECTED FOR SUPPORT	PROPORTION OF THE TOTAL ALLOCATION COVERED WITH SELECTED OPERATIONS	PUBLIC ELIGIBLE COST OF OPERATION SELECTED FOR SUPPORT	TOTAL ELIGIBLE EXPENDITURE DECLARED BY BENEFICIARIES TO THE MANAGING AUTHORITY	PROPORTION OF THE TOTAL ALLOCATION COVERED BY ELIGIBLE EXPENDITURE DECLARED BY BENEFICIARIES	NUMBER OF OPERATIONS SELECTED	TOTAL ELIGIBLE EXPENDITURE INCURRED BY BENEFICIARIES AND PAID BY 31/12/2018 CERTIFIED TO THE COMMISSION
1	ERDF	Total	76,668,702	65.00%	61,450,691.1	80.15%	54,662,856	12,009,566.36	15.66%	40	
2	ERDF	Total	43,810,686	65.00%	34,962,224.1	79.80%	34,612,785	5,751,896.05	13.13%	16	
3	ERDF	Total	73,930,534	65.00%	65,602,426.1	88.74%	63,915,186	15,286,387.93	20.68%	31	
4	ERDF	Total	38,334,351	65.00%	26,121,576.1	68.14%	26,052,909	5,033,464.44	13.13%	9	
5	ERDF	Total	41,072,518	65.00%	31,309,257.1	76.23%	29,350,327	7,093,587.80	17.27%	24	
6	ERDF	Public	15,147,311	75.00%	15,147,305.1	100.00%	15,147,305	3,590,756.77	23.71%	1	
Total	ERDF		288,964,10	65.52%	234,593,482	81.18%	223,741,37	48,765,659.35	16.88%	121	
←											
Grand tot			288,964,102	65.52%	234,593,482.1	81.18%	223,741,371	48,765,659.35	16.88%	121	

Contribution from third countries

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the use of any contribution from third countries.



The Edit details pop-up window appears:



2. Enter the following information:

- (1) Provide a description of any contribution from a third country
- (2) Click on the [Update](#) link to save the information.

Table 5: By Categories

Breakdown of the cumulative financial data by category of intervention

REMARK	The table is shown as it exists in Table 2 of the Financial Data submission of 31/01/Reporting Year+1. For a Final Implementation Report, the table is shown as it exists in Table 2 of the Financial Data submitted for 31/01/Last available Reporting Year.
---------------	---

3. IMPLEMENTATION OF THE PRIORITY AXIS

3.4. Financial data


Table 5: Breakdown of the cumulative financial data by category of intervention

As set out in Table 2 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for transmission of financial data) and tables 6-9 of Model for cooperation programmes.

PRIORITY AXIS	FUND	INTERVENTION FIELD	FORM OF FINANCE	TERRITORIAL DIMENSION	TERRITORIAL DELIVERY MECHANISM	THEMATIC OBJECTIVE DIMENSION	ESF SECONDARY THEMES	ECONOMIC DIMENSION	LOCATION DIMENSION	TOTAL ELIGIBLE COST OF OPERATIONS SELECTED FOR SUPPORT	PUBLIC ELIGIBLE COST OF OPERATIONS SELECTED FOR SUPPORT	TOTAL ELIGIBLE EXPENDITURE DECLARED BY BENEFICIARIES TO THE MANAGING AUTHORITY	NUMBER OF OPERATIONS SELECTED
1	ERDF	123	01	07	07	01	03, 08, 08, 08, 08, 08, 08	24	DE	10,000.00	10,000.00	10,000.00	1

Table 6: Operations outside

Cumulative cost of all or part of an operation implemented outside the Union part of the programme area

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the financial information.

NOTE	Similar to Table 9 of IGJ, not per Priority Axis but per Operation(s)
-------------	---

Filter

- ▶ General
- 2 Overview
- ▼ 3 Implementation
 - 3.1 Overview
 - ▶ 3.2 Indicators
 - 3.3 Table5 Performance framework
 - ▼ 3.4 Financial data
 - Table6 by priority axis**
 - Table7 by categories
 - Table8 ERDF/ESF cross-financing
 - Table9 ERDF/CF operations outside
- 4 Synthesis of the evaluations
- ▶ 6 Issues affecting performance
- 7 Citizen's summary
- 8 Report on financial instruments

3. IMPLEMENTATION OF THE PRIORITY AXIS

3.4 Financial data

Table 6: Financial information at priority axis and programme level

OPERATION(S)(2)	2 THE AMOUNT OF ERDF SUPPORT (1) ENVISAGED TO BE USED FOR ALL OR PART OF AN OPERATION IMPLEMENTED OUTSIDE THE UNION PART OF THE PROGRAMME AREA BASED ON SELECTED OPERATIONS (EUR)	3 SHARE OF THE TOTAL FINANCIAL ALLOCATION TO ALL OR PART OF AN OPERATION LOCATED OUTSIDE THE UNION PART OF THE PROGRAMME AREA (%) (COLUMN 2/TOTAL AMOUNT ALLOCATED TO THE SUPPORT FROM THE ERDF AT PROGRAMME LEVEL*100)

(1) ERDF support is the Commission decision on the respective cooperation programme.
 (2) In accordance with and subject to ceilings set out Article 20 of Regulation (EU) No 1299/2013

The Edit Details pop-up window appears:

Edit Details □ ×

Operation(s) * **1**

The amount of ERDF support envisaged to be used for all or part of an operation implemented outside the Union part of the programme area based on selected operations (EUR) * **2**

Eligible expenditure of ERDF support incurred in all or part of an operation implemented outside the union part of the programme area declared by the beneficiary to the managing authority (EUR) * **3**


4

2. Enter the following information:

- (1)** Enter the *Operation*.
- (2)** Enter the *amount support to be used of an operation implemented outside the Union*.
- (3)** Enter the *eligible expenditure of support incurred outside the Union part of the Programme area*.
- (4)** Click on the [Update](#) link to save the information.

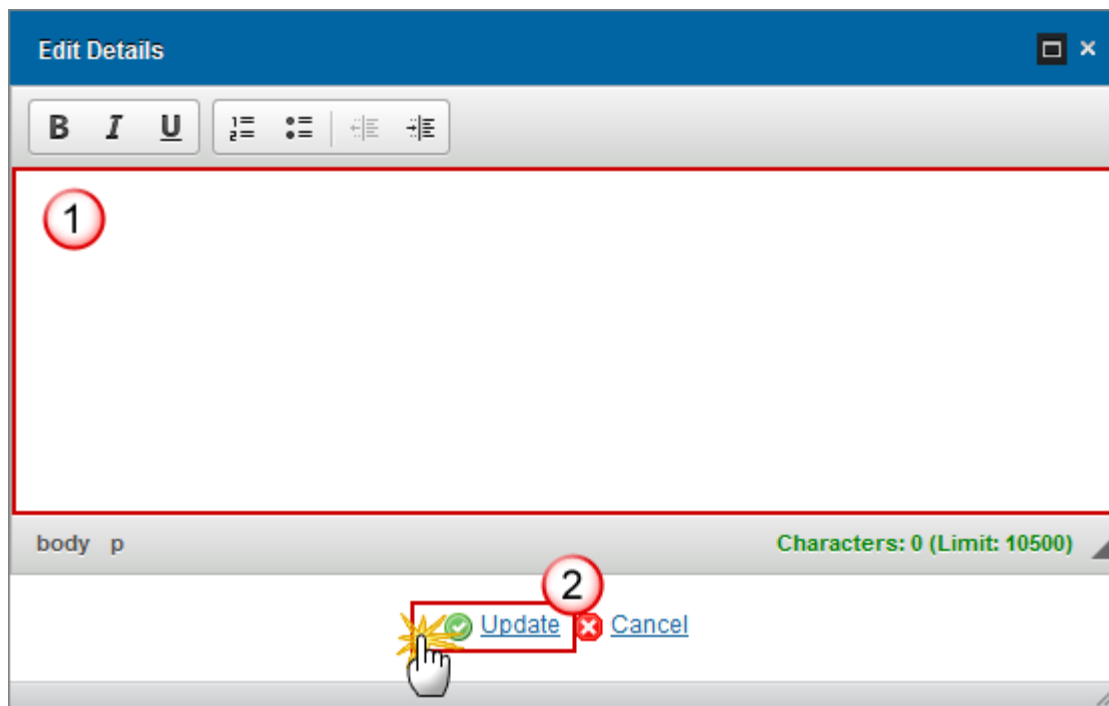
4. Synthesis of the evaluations

Synthesis of the findings of all evaluations of the programme that have become available during previous financial year, with reference of name and reference period of the evaluation reports used.

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the synthesis of the evaluations.




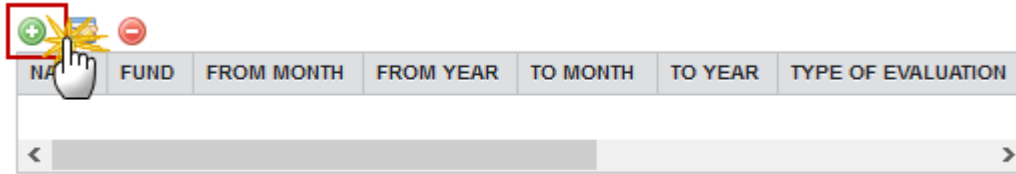
The Edit Details pop-up window appears:



2. Enter the following information:

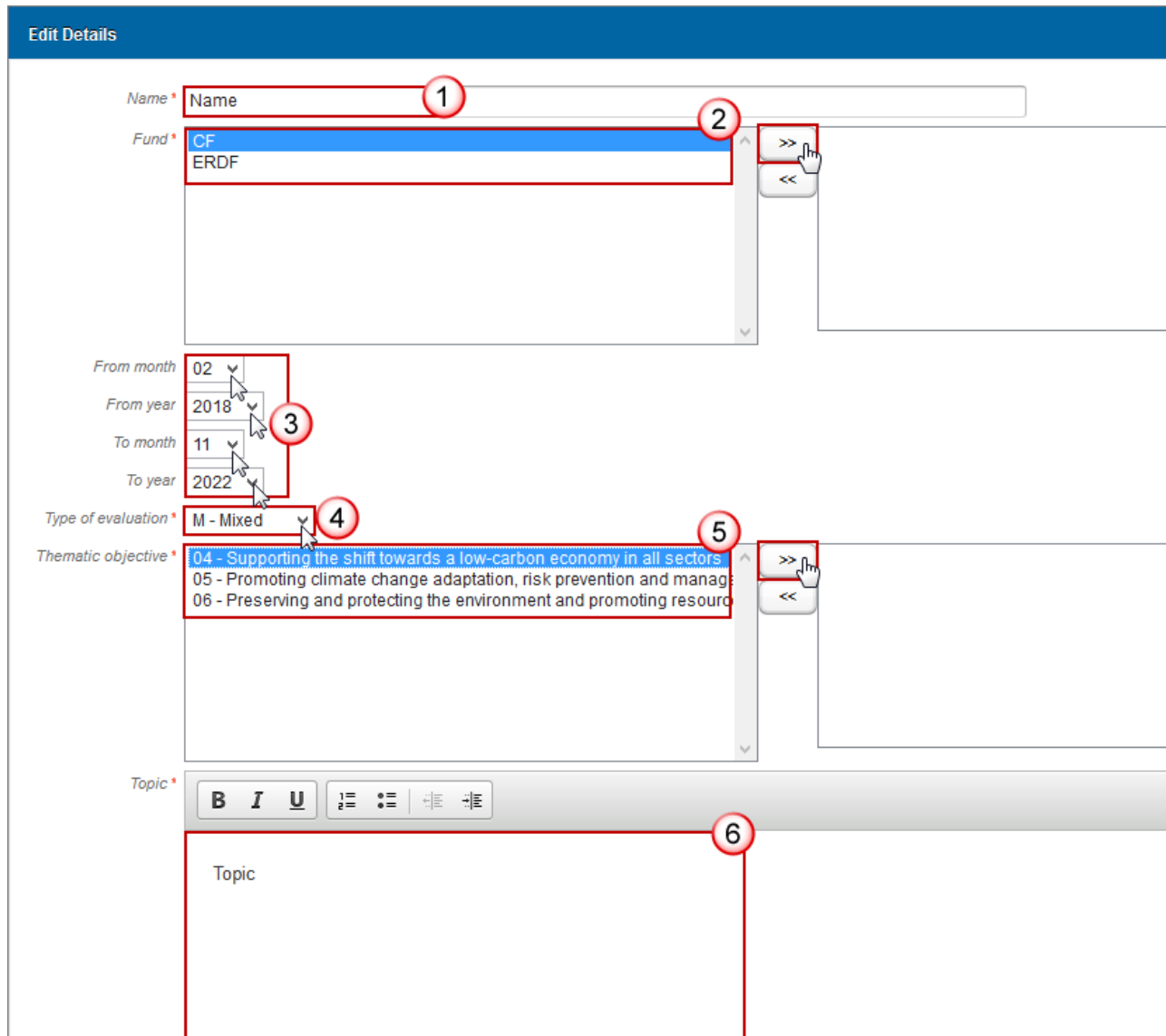
- (1) Provide a description of *the findings of all evaluations of the programme*.
- (2) Click on the [Update](#) link to save the information.

3. Click on the **ADD** button  to add the information in the evaluation table.



NAME	FUND	FROM MONTH	FROM YEAR	TO MONTH	TO YEAR	TYPE OF EVALUATION
------	------	------------	-----------	----------	---------	--------------------

The Edit Details pop-up window appears:



Edit Details

Name * 1

Fund *

CF
ERDF

 2

From month 3

From year 3

To month 3

To year 3

Type of evaluation * 4

Thematic objective *

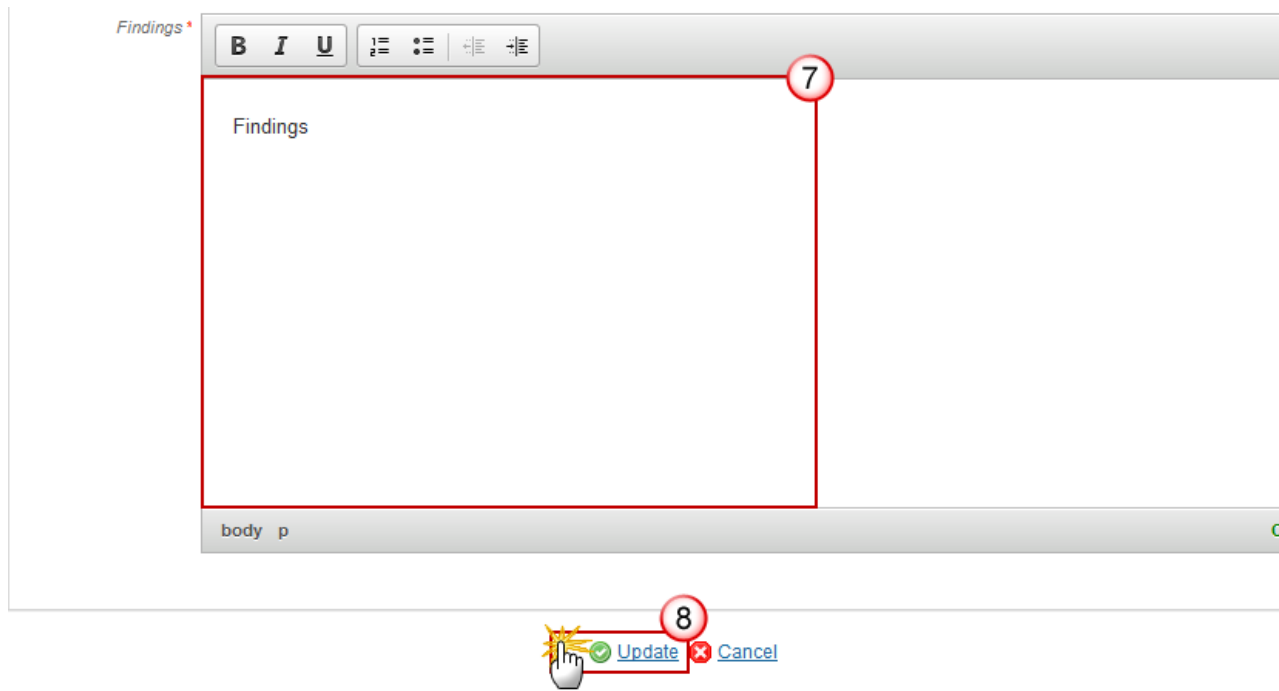
04 - Supporting the shift towards a low-carbon economy in all sectors
05 - Promoting climate change adaptation, risk prevention and management
06 - Preserving and protecting the environment and promoting resource efficiency

 5

Topic *

Topic

 6



4. Select the following information:

(1) Enter the *Name*

(2) Select the *Funds*

The Funds list contains all Funds covered by the Programme.

(3) Enter the reference *period from month, from year & to month, to year.*

(4) Select the *type of evaluation*

(5) Select the *Thematic Objectives*

The Thematic Objectives list contains all Thematic Objectives covered by the Programme.

(6) Enter the *topic*

(7) Enter the *findings*


(8) Click on the [Update](#) link to save the information.

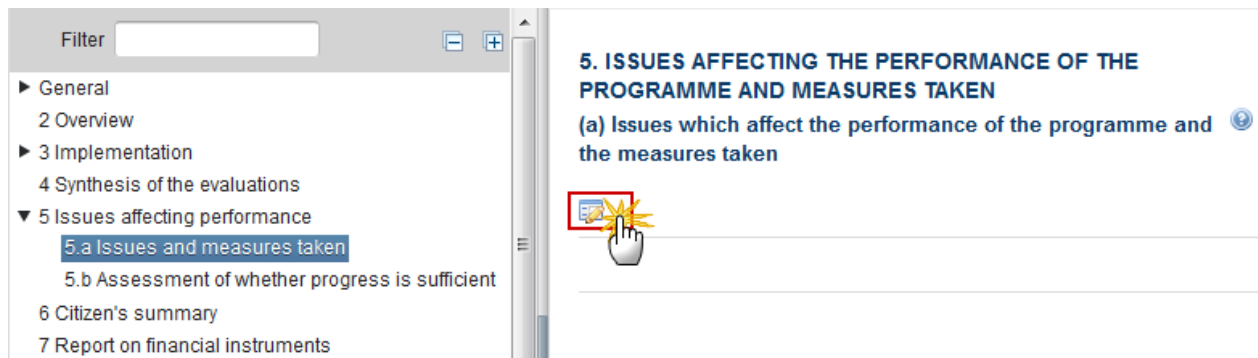
5. Issues affecting performance

Issues affecting the performance of the programme and measures taken.

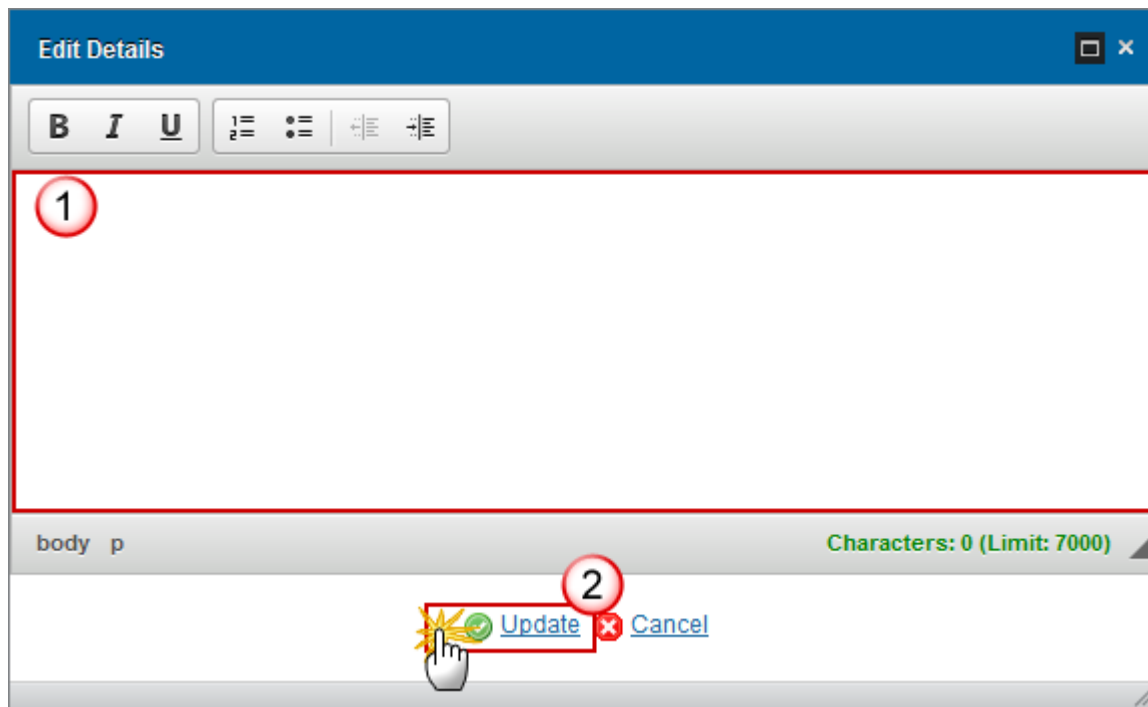
5.a Issues and measures taken

(a) Issues which affect the performance of the programme and the measures taken

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the synthesis of the evaluations.



The Edit Details pop-up window appears:




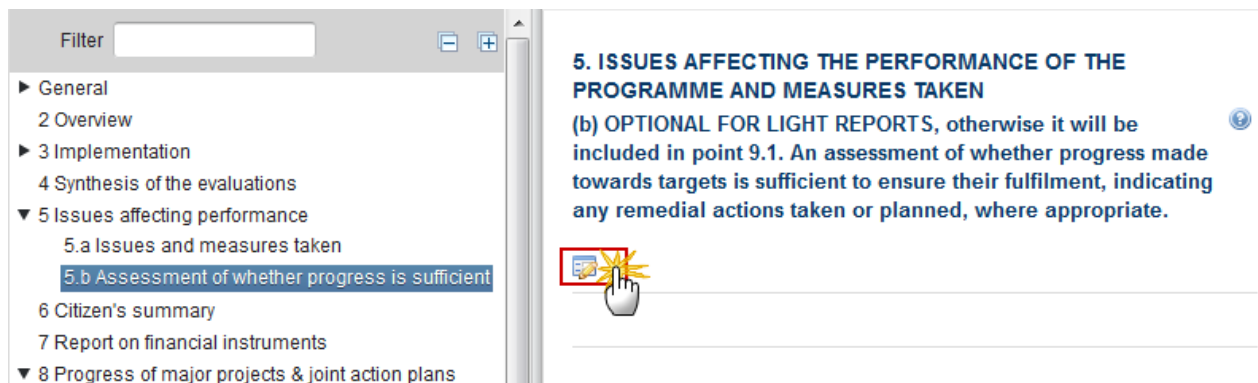
2. Enter the following information:

- (1) Provide a description of *the issues which affect the performance of the programme*.
- (2) Click on the [Update](#) link to save the information.

5.b Assessment of whether progress is sufficient

(b) OPTIONAL FOR LIGHT REPORTS, otherwise it will be included in point 9.1. An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter an assessment of whether progress made is sufficient.



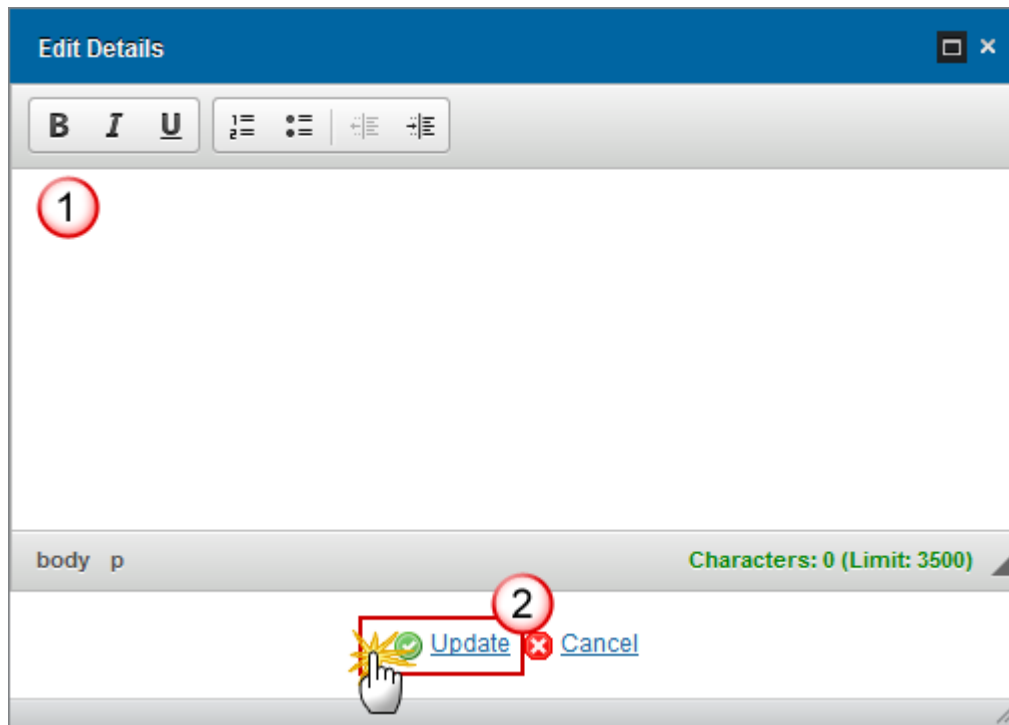
Filter

- ▶ General
- 2 Overview
- ▶ 3 Implementation
- 4 Synthesis of the evaluations
- ▼ 5 Issues affecting performance
 - 5.a Issues and measures taken
 - 5.b Assessment of whether progress is sufficient**
- 6 Citizen's summary
- 7 Report on financial instruments
- ▼ 8 Progress of major projects & joint action plans

5. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN

(b) OPTIONAL FOR LIGHT REPORTS, otherwise it will be included in point 9.1. An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

The Edit Details pop-up window appears:



2. Enter the following information:

- (1) Provide a description of *the issues which affect the performance of the programme*.
- (2) Click on the [Update](#) link to save the information.

6. Citizen's summary

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report.

1. Clicking on the [General > Documents](#) link will open a pop-up window allowing you to upload a citizen's summary.

Filter

- ▼ General
 - Version information
 - Officials in charge
 - History
 - Documents
 - Observations
- 2 Overview
- ▶ 3 Implementation
- 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- 6 Citizen's summary
- 7 Report on financial instruments

6. CITIZEN'S SUMMARY



A citizen's summary of the contents of the annual and the final implementation reports shall be

You can upload/find the Citizen's summary under [General > Documents](#)

2. Click on the **ADD** button  to add a new document:

GENERAL

Documents annexed to this implementation report

T	DOCUMENT TYPE	DOCUMENT DATE	LOCAL REFERENCE	COMMISSION REFERENCE

The document detail pop-up window appears:

The screenshot shows a 'Document details' form with the following elements:

- Document type ***: A dropdown menu (1).
- Title ***: A text input field (2).
- Document date ***: A date picker (3).
- Local reference**: A text input field.
- Commission reference**: A text input field.
- Attached files**: A table with columns: TITLE (5), LANGUAGE (6), FILENAME (null), and a 'Select file to upload' button (7). The table has an 'ADD' button (4) and a 'REMOVE' button.
- Buttons**: 'Update' (8), 'Update & send', and 'Cancel'.

Additional UI elements include a 'Not yet sent' status indicator and a window title bar.

3. Enter or select the following information:


(1) Select the *Citizen's summary document*

(2) Enter a *Title* for your Document

(3) Enter a *Document Date*

(4) Click on the **ADD** button  to add a new attachment

- You can add multiple attachments by clicking on the **ADD** button 

- You can remove unwanted attachments by selecting the attachment and clicking on the **REMOVE** button 

(5) Enter a *Title* for your attachment.

(6) Select the *Language* of the document.

(7) Select the *file* to upload.

(8) Click on [Update](#) to save the information or [Update & Send](#) to send the document to the Commission.


REMARK	Commission Registration N° is only enabled for Commission Users, while Local Reference is only enabled for Member State Users.
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7. Report on Financial Instruments

List of Financial Instruments

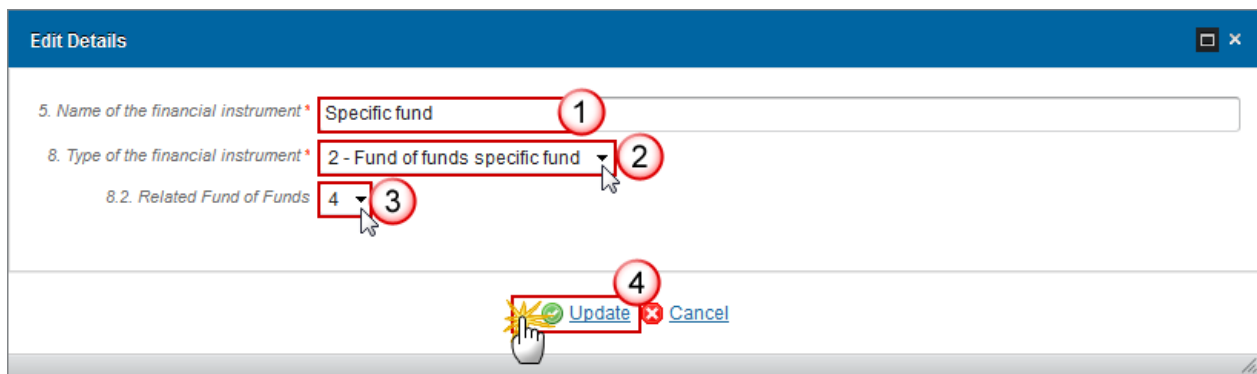
REMARK	<p>There are structural changes implemented in the Financial Instruments from AIR 2017 onwards:</p> <ol style="list-style-type: none">1. Elements 33, 34, 38, 38.3.1, 38.3.2, 39, 39.1, 39.2, 39.3 and 40 will not occur anymore under the Financial Instrument but under the Product(s).2. The calculated achieved leverage effect (39.2) will be persisted so that the reports do not have to implement the calculation formulas.3. Since the calculated achieved leverage effect (39.2) has been moved from FI level to Product level, the formulas to calculate were modified.4. Elements 12 and 12.1 under "MA" are not shown any more from AIR2017 onwards.5. Element 26.1 under "SF" were added from AIR2017 onwards.6. Elements 18, 19 and 20 under "FoF" are not shown any more from AIR2017 onwards.7. The labels for elements 24, 25, 27, 28, 33, 34, 39.1, 39.2 and 39.3 were made product specific. <p>Now on the creation of a new Annual Implementation Report, the Financial Instruments data is also imported from previous Annual Implementation Report year's.</p>
---------------	---

REMARK	<p>There are changes implemented in the Financial Instruments from AIR 2018 onwards:</p> <ol style="list-style-type: none">1. New elements under FOF, SF/FoF, SF: 7.3, 37.3, 38.1.A & 38.2A.2. New elements under Equity & Loan: 38.3A.3. Modified element descriptions: 7.2, 10, III, 11.1, VII, 37, 37.1 & 40.4. Changed Reference Data:<ul style="list-style-type: none">- Implementation Arrangement Type: c changed and renamed to d, new c and changed b- Implementation Body Type: changed b4- Implementation Body Selection Procedure: new 6
---------------	--

1. Clicking on the **ADD** button  will open a pop up window allowing you to add a financial instrument.



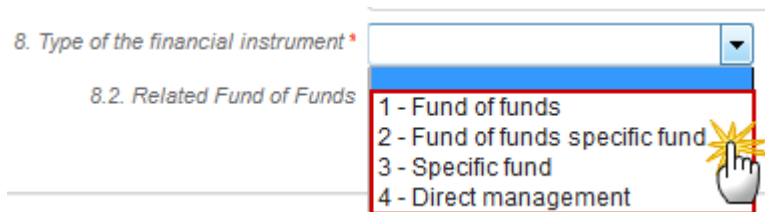
The Edit Details pop-up window appears:



2. Enter the following information:

- (1) Enter the *name of the financial instrument*
- (2) Select the *type of the financial instrument*


There are 4 different options:

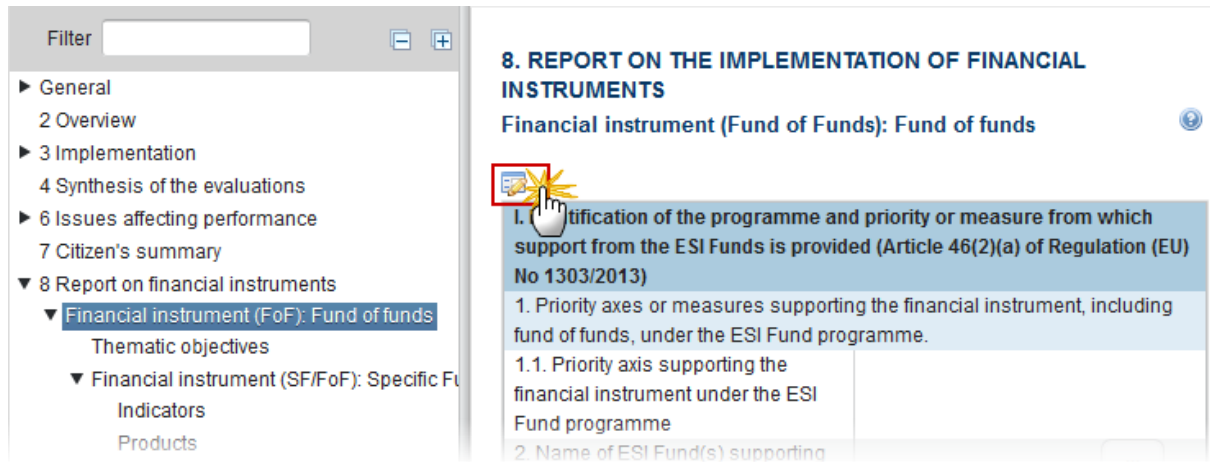


- (3) Select the *related Fund of Funds*
- (4) Click on the [Update](#) link to save the information.

Financial Instrument (FoF)

Financial instrument (Fund of Funds)

1. Clicking on the **EDIT** button  will open the financial instrument table allowing you to enter the information.



Filter

- ▶ General
- 2 Overview
- ▶ 3 Implementation
- 4 Synthesis of the evaluations
- ▶ 6 Issues affecting performance
- 7 Citizen's summary
- ▼ 8 Report on financial instruments
 - ▼ **Financial instrument (FoF): Fund of funds**
 - Thematic objectives
 - ▼ Financial instrument (SF/FoF): Specific Fu
 - Indicators
 - Products

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Fund of Funds): Fund of funds

1. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.
1.1. Priority axis supporting the financial instrument under the ESI Fund programme
2. Name of ESI Fund(s) supporting

The table becomes editable:

PRE-AIR 2017


I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)

1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.

1.1. Priority axis supporting the financial instrument under the ESI Fund programme


1 - 1  

2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure

ERDF  

4. other ESI Fund programmes providing contribution to the financial instrument

4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument

2014DE05M9OP001
2014DE05M9OP003  

30. Date of completion of the ex ante assessment

13/05/16  

31. Selection of bodies implementing financial instrument
31.1. Has selection or designation process already been launched


Yes  

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument

4 


6. Official address/place of business of the financial instrument (name of the country and city)

Address 

7. Implementation arrangements
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions


Yes  

7.1.1. Name of the Union-level financial instrument



Name Union-level 

7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b) and (c) of Article 38(4) of Regulation (EU) No 1303/2013

8. Type of the financial instrument

1 - Fund of funds 

10. Legal status of the financial instrument, pursuant to Article 38(6) of Regulation (EU) No 1303/2013 (for financial

1 - Fiduciary account  

III. Identification of the body implementing the financial instrument as referred to in Articles 38(1)(a), 38(4)(a), (b) and (c) of Regulation (EU) No 1303/2013, and the financial intermediaries referred to in Article 38(5) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) of Regulation (EU) No 1303/2013 (a) existing or newly created legal entity dedicated to implementing financial instruments; (b) a body entrusted with the implementation task or (c) managing authority underwriting implementation task directly (for loans or guarantees only)	b2 - European Investment Fund 10
11.1.1. Name of the body implementing the financial instrument	Name of body 11
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Official address 12
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	
12.1. Description of the other procedure of selecting the body implementing the financial instrument	3 - In-house award 13
13. Date of signature of the funding agreement with the body implementing the financial instrument	12/12/16 14
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	10,000.00 15
14.1. out of which ESI Funds contributions (in EUR)	10,000.00
14.1.1. out of which ERDF (in EUR) (optional)	1,000.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	1,000.00 16
14.1.3. out of which ESF (in EUR) (optional)	1,000.00
14.1.4. out of which EAFRD (in EUR) (optional)	100.00
14.1.5. out of which EMFF (in EUR) (optional)	1,000.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	0.00

15.1. out of which amount of ESI Funds contributions (in EUR)	0.00	
15.1.1. out of which ERDF (in EUR)	200.00	
15.1.2. out of which Cohesion Fund (in EUR)	200.00	
15.1.3. out of which ESF (in EUR)	200.00	17
15.1.4. out of which EAFRD (in EUR)	200.00	
15.1.5. out of which EMFF (in EUR)	200.00	
15.2. out of which total amount of national co-financing (in EUR)		
15.2.1. out of which total amount of national public funding (in EUR)	500.00	18
15.2.2. out of which total amount of national private funding (in EUR)	500.00	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	500.00	19
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,000.00	20
17.1. out of which base remuneration (in EUR)	500.00	21
17.2. out of which performance-based remuneration (in EUR)	500.00	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)		
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)		
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)		
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)		

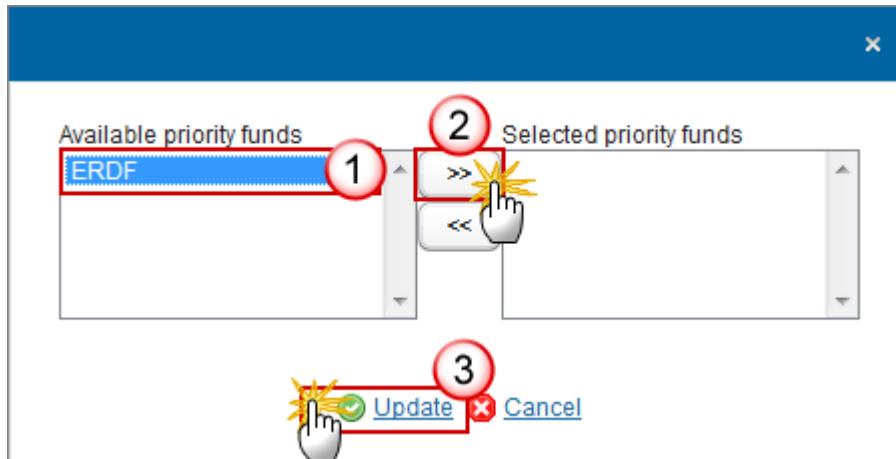
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)		
32. Information whether the financial instrument was still operational at the end of the reporting year	No	22
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	12/12/16	23
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)		
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	1,000.00	24
37. Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds	1,000.00	25
37.1. out of which amounts paid for preferential remuneration of private investors operating under the market economy principle who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	500.00	26
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	200.00	27
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)		
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)		
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,000.00	28
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)		
38.2.1. out of which public contributions (EUR)	200.00	29
38.2.2. out of which private contributions (EUR)	200.00	

2. Enter the following information:

(1) Select the *Priority Axis*

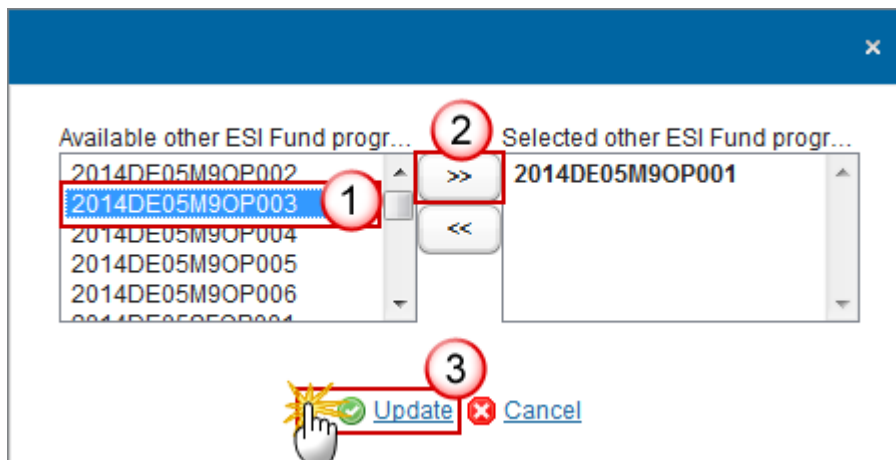
The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.

(2) Select the *name of ESI Fund supporting the financial instrument*:



REMARK	The list of Funds (2) contains all Funds of the selected Priority Axis. When the Priority Axis is mono-Fund, this Fund will be selected by default.
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(3) Select the *CCI number of each other ESI Fund*:



REMARK	The list of Other ESI Fund Programmes (4.1) contains all IGJ, EMFF and EAFRD Programmes of the same Member State as the linked Programme.
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(4) Select the *date of completion of the ex-ante assessment*.

(5) Select *yes* or *no* if the *selection or designation process has been launched*.

(6) Enter the *address of business of the financial instrument*

(7) Select *yes* or *no* if the financial instruments set up at Union level, is managed directly or indirectly by the Commission.

(8) Enter the *Name of the Union-level financial instrument*

The Name of the Union-level financial instrument (7.1.1) is only to be enabled when 7.1 is true.

(9) Select the *legal status*

(10) Select the *type of implementing body*

(11) Enter the *Name of body*

(12) Enter the *official address of business of the body implementing the financial instrument*

(13) Select the *procedure*

(14) Select the *date of signature of the funding agreement with the body implementing the financial instrument*

(15) Enter the *total amount of programme contributions committed in the funding agreement*

(16) Enter the *total amount of programme contributions committed in the funding agreement out of which ESI Funds contributions, ERDF, Cohesion Fund, ESF, EAFRD and EMFF (optional).*

(17) Enter the *total amount of programme contributions paid to the financial instrument out of which ERDF, Cohesion Fund, ESF, EAFRD, and EMFF (in EUR).*

(18) Enter the *total amount of programme contributions paid to the financial instrument out of which total amount of national co-financing out of which total amount of national public funding and private funding.*

(19) Enter the *total amount of programme contributions paid to the financial instrument under YEI.*

(20) Enter the *total amount of management costs and fees paid out of programme contributions.*

(21) Enter the *amount out of which base remuneration and performance-based remuneration.*

(22) Select *whether the financial instrument was still operational at the end of the reporting year.*

(23) Select the *date of the winding-up if it was not operational.*

(24) Enter the *interest and other gains generated by payments from ESI Funds to the financial instrument.*

(25) Enter the *Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds.*

(26) Enter the *Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds out of which amounts paid for preferential remuneration of private investors operating under the market economy principle.*

(27) Enter the *Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument.*

(28) Enter the *total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body.*

(28) Enter the *total amount of other contributions outside the ESI Funds paid to the financial instrument out of which public contributions and private contributions.*

(29) Click on the [Update](#) link to save the information.

REMARK	<p>The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.</p> <p>The Name of the Union-level financial instrument (7.1.1) is only to be enabled when 7.1 is true.</p> <p>The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others'</p> <p>Elements 18 to 21 are only to be enabled when Final Implementation Report.</p> <p>The Date of winding-up (32.1) is only to be enabled when 32 is false.</p>
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2017 AIR Onwards

REMARK	<p>Elements 33, 34, 38, 38.3.1, 38.3.2, 39, 39.1, 39.2, 39.3 and 40 will not occur anymore under the Financial Instrument but under the Product(s).</p> <p>2017AIR onwards, element 21 is only to be enabled when Final Implementation Report.</p> <p>The content for 38.2, 38.2.1, 38.2.2 are optional in the years 2017 and 2019.</p> <p>New elements from AIR 2018 onwards: 7.3, 37.3, 38.1.A & 38.2A.</p>
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Financial instrument (Fund of Funds): Nebylo určeno

12

Update Cancel

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme	1
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	22/05/17
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	No
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Nebylo určeno
6. Official address/place of business of the financial instrument (name of the country and city)	
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	Yes
7.1.1. Name of the Union-level financial instrument	Name
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Investment in the capital of existing or newly created legal entity

7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	Investment in the capital of existing or newly created legal entity
8. Type of the financial instrument	1 - Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	2 - Separate block of finance
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	b2 - European Investment Fund
11.1.1. Name of the body implementing the financial instrument	Name
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Address
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	5 - Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Description
13. Date of signature of the funding agreement with the body	22/03/19

7

IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)

14. Total amount of programme contributions committed in the funding agreement (in EUR)		
14.1. out of which ESI Funds contributions (in EUR)		
14.1.1. out of which ERDF (in EUR) (optional)		
14.1.2. out of which Cohesion Fund (in EUR) (optional)		
14.1.3. out of which ESF (in EUR) (optional)		
14.1.4. out of which EAFRD (in EUR) (optional)		
14.1.5. out of which EMFF (in EUR) (optional)		
15. Total amount of programme contributions paid to the financial instrument (in EUR)	0.00	
15.1. out of which amount of ESI Funds contributions (in EUR)	0.00	
15.1.1. out of which ERDF (in EUR)		
15.1.2. out of which Cohesion Fund (in EUR)		
15.1.3. out of which ESF (in EUR)		
15.1.4. out of which EAFRD (in EUR)		
15.1.5. out of which EMFF (in EUR)		
15.2. out of which total amount of national co-financing (in EUR)		
15.2.1. out of which total amount of national public funding (in EUR)		
15.2.2. out of which total amount of national private funding (in EUR)		
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)		
17. Total amount of management costs and fees paid out of programme contributions (in EUR)		
17.1. out of which base remuneration (in EUR)		
17.2. out of which performance-based remuneration (in EUR)		
21. Contributions of land and/or real estate in the financial		

VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)

32. Information whether the financial instrument was still operational at the end of the reporting year	No	9
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	22/03/19	

VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)

35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	10
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	

VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)

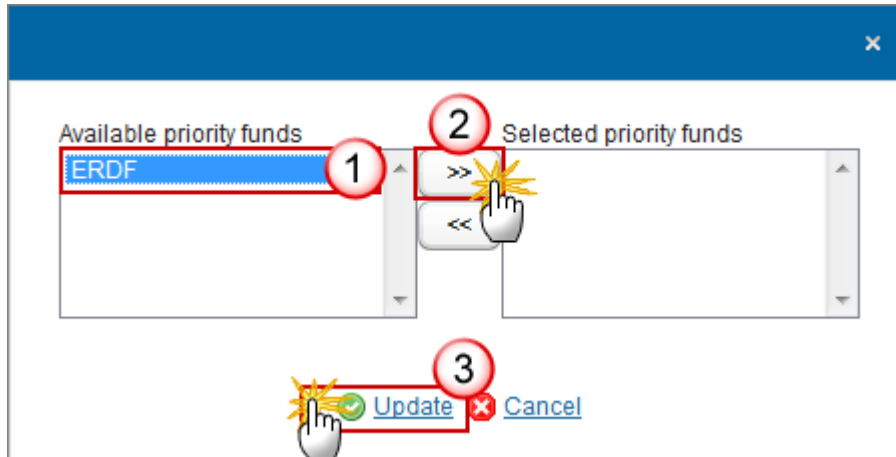
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	11
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

2. Enter the following information:

(1) Select the *Priority Axis*

The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.

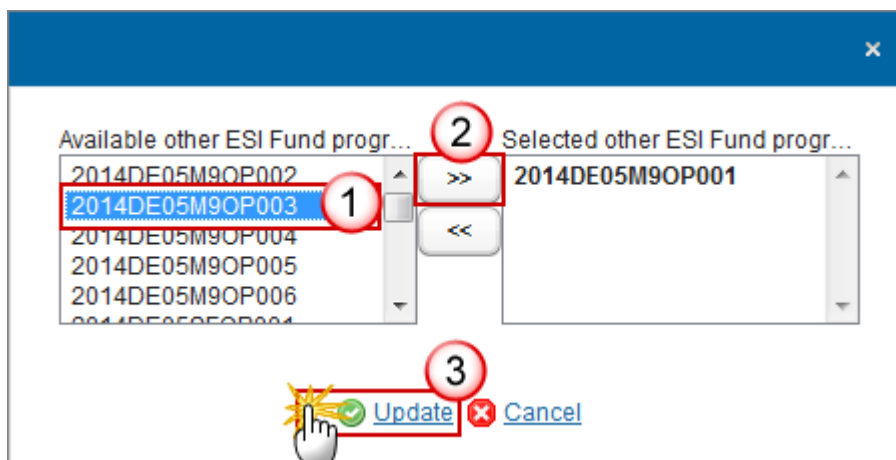
(2) Select the *name of ESI Fund supporting the financial instrument*:



REMARK

The list of Funds (2) contains all Funds of the selected Priority Axis. When the Priority Axis is mono-Fund, this Fund will be selected by default.

(3) Select the *CCI number of each other ESI Fund*:



REMARK

The list of Other ESI Fund Programmes (4.1) contains all IGJ, EMFF and EAFRD Programmes of the same Member State as the linked Programme.

(4) Select the *date of completion of the ex-ante assessment*.

(5) Select **yes** or **no** if the *selection or designation process has been launched*.

(6) Enter the information for *section II. Description of the financial instrument and implementation arrangements*.

The Name of the Union-level financial instrument (7.1.1) is only to be enabled when 7.1 is true.

(7) Enter the information for *section III. Identification of the body implementing the financial, and the body implementing fund of funds where applicable*.

The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others' (code 5).

(8) Enter the information for *section IV. Total amount of programmes contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid*.

2017AIR onwards, element 21 is only to be enabled when Final Implementation Report.


(9) Enter the information for *section VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument*.

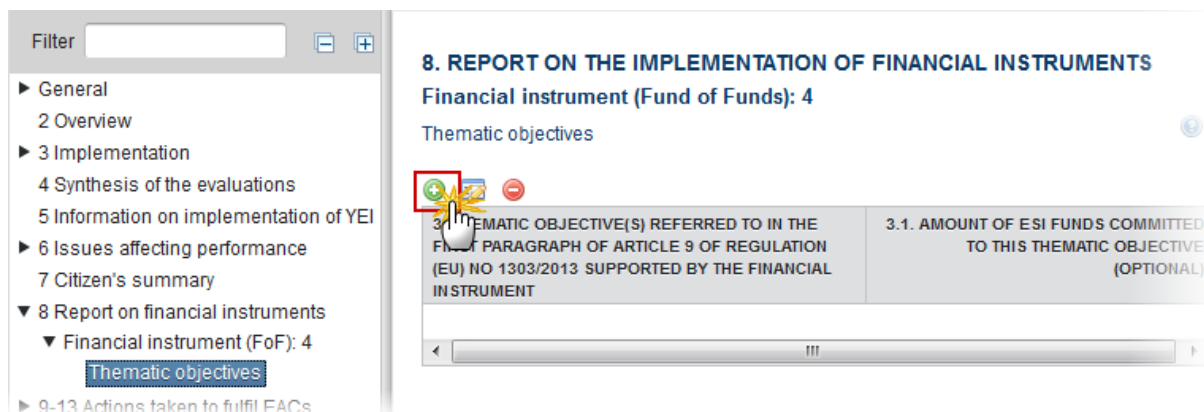
(10) Enter the information for *section VII. Interest and other gains generated by support from the ESI Funds to the financial instrument*.

(11) Enter the information for *section VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments*.

(12) Click on the [Update](#) link to save the information.

Thematic objectives

1. Clicking on the **ADD** button  will open the thematic objectives table allowing you to enter the information.



8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS
Financial instrument (Fund of Funds): 4
Thematic objectives

3. THEMATIC OBJECTIVE(S) REFERRED TO IN THE FIRST PARAGRAPH OF ARTICLE 9 OF REGULATION (EU) NO 1303/2013 SUPPORTED BY THE FINANCIAL INSTRUMENT	3.1. AMOUNT OF ESI FUNDS COMMITTED TO THIS THEMATIC OBJECTIVE (OPTIONAL)

The Edit Details pop-up window appears:

3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument *

3.1. Amount of ESI Funds committed to this thematic objective (optional) 10,000.00

Update Cancel

2. Enter the following information:

(1) Select the *Thematic objective*


The list of Thematic Objectives (3) contains all Thematic Objectives covered by the selected Priority Axis.

(2) Enter the *amount of ESI funds committed to this thematic objective*

(3) Click on the [Update](#) link to save the information.

REMARK	The list of Thematic Objectives (3) contains all Thematic Objectives covered by the selected Priority Axis.
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Financial Instrument (SF/FoF): Specific Fund

1. Clicking on the **EDIT** button  will open the Financial instrument: Specific Fund table allowing you to enter the information.

Filter

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
- 4 Synthesis of the evaluations
- ▶ 6 Issues affecting performance
- 7 Citizen's summary
- ▼ 8 Report on financial instruments
 - ▼ Financial instrument (FoF): Fund of funds
 - Thematic objectives
 - ▼ Financial instrument (SF/FoF): Specific Fund
 - Indicators
 - Products
 - ▶ Financial instrument (SF): Specific fund

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Fund of Funds): Fund of funds

Financial instrument (Specific Fund/Fund of Funds): Specific Fund

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Specific Fund
6. Official address/place of business of the financial instrument (name of the country and city)	
8. Type of the financial instrument	2 - Fund of funds specific fund
8.2. Related Fund of Funds	Fund of funds
8.1. Tailor-made or financial	

The table becomes editable:

PRE-AIR 2017

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Fund of Funds): Fund of funds

Financial instrument (Specific Fund/Fund of Funds): Specific Fund

40

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Specific Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Official address 1
8. Type of the financial instrument	2 - Fund of funds specific fund
8.2. Related Fund of Funds	Fund of funds
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Off-the-shelf-instrument 2
8.1.1. Off-the-shelf instrument type	Capped guarantee 3
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	<input checked="" type="checkbox"/>
9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	<input type="checkbox"/>
9.0.3. Guarantees	<input checked="" type="checkbox"/> 4
9.0.4. Equity	<input type="checkbox"/>
9.0.5. Quasi-equity	<input checked="" type="checkbox"/>
9.0.6. Other financial products	<input checked="" type="checkbox"/>
9.0.7. Other support combined with a financial instrument	<input checked="" type="checkbox"/>
9.1. Description of the other financial product	Description 5
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation	Other Support 6

10. Legal status of the financial instrument, pursuant to Article 38(6) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	1 - Fiduciary account	7
III. Identification of the body implementing the financial instrument as referred to in Articles 38(1)(a), 38(4)(a), (b) and (c) of Regulation (EU) No 1303/2013, and the financial intermediaries referred to in Article 38(5) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)		
11. Body implementing the financial instrument		
11.1. Type of implementing body pursuant to Article 38(4) of Regulation (EU) No 1303/2013 (a) existing or newly created legal entity dedicated to implementing financial instruments; (b) a body entrusted with the implementation task or (c) managing authority underatking implementation task directly (for loans or guarantees only)	b2 - European Investment Fund	8
11.1.1. Name of the body implementing the financial instrument	Name of the body	9
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Official address	10
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	2 - Designation of the EIB, EIF or international	11
12.1. Description of the other procedure of selecting the body implementing the financial instrument		
13. Date of signature of the funding agreement with the body implementing the financial instrument	12/12/16	12

IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)

14. Total amount of programme contributions committed in the funding agreement (in EUR)	10,000.00	13
14.1. out of which ESI Funds contributions (in EUR)	10,000.00	
14.1.1. out of which ERDF (in EUR) (optional)	1,000.00	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	1,000.00	14
14.1.3. out of which ESF (in EUR) (optional)	1,000.00	
14.1.4. out of which EAFRD (in EUR) (optional)	1,000.00	
14.1.5. out of which EMFF (in EUR) (optional)	1,000.00	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	0.00	
15.1. out of which amount of ESI Funds contributions (in EUR)	0.00	
15.1.1. out of which ERDF (in EUR)	200.00	
15.1.2. out of which Cohesion Fund (in EUR)	200.00	
15.1.3. out of which ESF (in EUR)	200.00	15
15.1.4. out of which EAFRD (in EUR)	200.00	
15.1.5. out of which EMFF (in EUR)	200.00	
15.2. out of which total amount of national co-financing (in EUR)		
15.2.1. out of which total amount of national public funding (in EUR)	200.00	16
15.2.2. out of which total amount of national private funding (in EUR)	200.00	17
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	200.00	18
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	200.00	19
17.1. out of which base remuneration (in EUR)	200.00	20
17.2. out of which performance-based remuneration (in EUR)	200.00	21

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	No 22
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	12/12/16 23
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	10 24
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	1,000.00 25
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	1,000.00 26

36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)		
36.1. out of which capital repayments (in EUR)	500.00	27
36.2. out of which gains, other earnings and yields (in EUR)	500.00	28
37. Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds	500.00	29
37.1. out of which amounts paid for preferential remuneration of private investors operating under the market economy principle who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	500.00	30
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	200.00	31
40. Value of investments and participations in equity (in EUR)	500.00	32
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)		
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)		
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,000.00	33
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)		
38.2.1. out of which public contributions (EUR)	400.00	34
38.2.2. out of which private contributions (EUR)	200.00	35
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)		

38.3.1. out of which public contributions (EUR)	500.00	36
38.3.2. out of which private contributions (EUR)	500.00	37
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for loan/guarantee /equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5,000.00	38
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product		
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	5,000.00	39

2. Enter the following information:

- (1) Enter the *Official address of the financial instrument*
- (2) Select the *tailor-made or financial instrument*
- (3) Select the *off-the-self instrument type*
- (4) Select the *type of products provided by financial instrument: loans, micro-loans, guarantees, equity or quasi-equity investments, other financial products or other support combined with a financial instrument*
- (5) Enter a *description of the other financial product*
- (6) Enter the *other support*
- (7) Select the *legal status of the financial instrument*
- (8) Select the *type of implementing body*
- (9) Enter the *name of the body*
- (10) Enter the *official address of the body*
- (11) Select the *procedure of selecting the body*
- (12) Select the *date of signature of the funding agreement with the body*
- (13) Enter the *total amount of programme contributions committed in the funding agreement*
- (14) Enter the *amount of programme contributions committed in the funding agreement out of which ESI Funds contributions (in EUR), ERDF (optional), Cohesion Fund (optional), ESF Fund (optional), EAFRD (optional), EMFF (optional)*
- (15) Enter the *amount of programme contributions paid to the financial instrument out of which amount ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*
- (16) Enter the *amount of programme contributions paid out of which total amount of national public funding*
- (17) Enter the *amount of programme contributions paid out of which total amount of national private funding*

- (18)** Enter the *total amount of programme contributions paid to the financial instrument under YEI*
- (19)** Enter the *total amount of management costs and fees paid out of programme contributions*
- (20)** Enter the *amount of management costs and fees paid out of which base remuneration*
- (21)** Enter the *amount of management costs and fees paid out of which performance-based remuneration*
- (22)** Select yes or no for the *information whether the financial instrument was still operational at the end of the reporting year*
- (23)** Select the *date of the winding-up*
- (24)** Enter the *total number of disbursed loans defaulted or total number of guarantees provided*
- (25)** Enter the *total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided*
- (26)** Enter the *interest and other gains generated by payments from ESI Funds*
- (27)** Enter the *amount repaid to the financial instrument attributable to support from ESI Funds out of which capital repayments*
- (28)** Enter the *amount repaid to the financial instrument attributable to support from ESI Funds out of which gains, other earnings and yields*
- (29)** Enter the *amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds*
- (30)** Enter the *amounts of resources reused which were paid back to the financial instrument out of which amounts paid for preferential remuneration of private investors operating under the market economy principle*
- (31)** Enter the *amounts of resources reused which were paid back to the financial instrument out of which amounts paid for the reimbursement of management costs incurred and payment of management fees*
- (32)** Enter the *amount of value of investments and participations in equity*
- (33)** Enter the *total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body*
- (34)** Enter the *total amount of other contributions, outside the ESI Funds paid to the financial instrument out of which public contributions*
- (35)** Enter the *total amount of other contributions, outside the ESI Funds paid to the financial instrument out of which private contributions*
- (36)** Enter the *total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients out of which public contributions*
- (37)** Enter the *total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients out of which private contributions*
- (38)** Enter the *expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement*
- (39)** Enter the *investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment (optional)*
- (40)** Click on the [Update](#) link to save the information.

REMARK	<p>The Off-the-shelf instrument type (8.1.1) is only to be enabled when 8.1 is true.</p> <p>The Description of the other financial product (9.1) is only to be enabled when 9.0.6 is true.</p> <p>The Description of the other financial product (9.2) is only to be enabled when 9.0.7 is true.</p> <p>The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others' (Internal code 5).</p> <p>Elements 18 to 21 are only to be enabled when Final Implementation Report.</p> <p>The Date of winding-up (32.1) is only to be enabled when 32 is false.</p>
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2017 AIR Onwards

REMARK	Elements 18 to 21 are only to be enabled when Final Implementation Report.
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 Update **29**  Cancel

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Name of the FI
6. Official address/place of business of the financial instrument (name of the country and city)	Address 1
8. Type of the financial instrument	2 - Fund of funds specific fund
8.2. Related Fund of Funds	Name FI
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Off-the-shelf-instrument 2
8.1.1. Off-the-shelf instrument type	Urban development fund 3
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	<input checked="" type="checkbox"/>
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	<input type="checkbox"/>
9.0.3. Guarantees	<input checked="" type="checkbox"/> 4
9.0.4. Equity	<input type="checkbox"/>
9.0.5. Quasi-equity	<input checked="" type="checkbox"/>
9.0.6. Other financial products	<input checked="" type="checkbox"/>
9.0.7. Other support combined with a financial instrument	<input checked="" type="checkbox"/>
9.1. Description of the other financial product	Description 5
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	Other Support 6
10. Legal status of the financial instrument, pursuant to Article 38(6) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(4)(b) only): fiduciary	1 - Fiduciary account 7

III. Identification of the body implementing the financial instrument as referred to in Articles 38(1)(a), 38(4)(a), (b) and (c) of Regulation (EU) No 1303/2013, and the financial intermediaries referred to in Article 38(5) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) of Regulation (EU) No 1303/2013 (a) existing or newly created legal entity dedicated to implementing financial instruments; (b) a body entrusted with the implementation task or (c) managing authority underatking implementation task directly (for loans or guarantees only)	b1 - European Investment Bank 8
11.1.1. Name of the body implementing the financial instrument	Name 9
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Address 10
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	5 - Others 11
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Description 12
13. Date of signature of the funding agreement with the body implementing the financial instrument	12/04/18 13
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	10,000.00 14
14.1. out of which ESI Funds contributions (in EUR)	5,000.00
14.1.1. out of which ERDF (in EUR) (optional)	1,000.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	1,000.00 15
14.1.3. out of which ESF (in EUR) (optional)	1,000.00

14.1.4. out of which EAFRD (in EUR) (optional)	1,000.00	
14.1.5. out of which EMFF (in EUR) (optional)	1,000.00	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	0.00	
15.1. out of which amount of ESI Funds contributions (in EUR)	0.00	
15.1.1. out of which ERDF (in EUR)	2,000.00	
15.1.2. out of which Cohesion Fund (in EUR)	2,000.00	
15.1.3. out of which ESF (in EUR)	2,000.00	16
15.1.4. out of which EAFRD (in EUR)	2,000.00	
15.1.5. out of which EMFF (in EUR)	2,000.00	
15.2. out of which total amount of national co-financing (in EUR)		
15.2.1. out of which total amount of national public funding (in EUR)	2,000.00	17
15.2.2. out of which total amount of national private funding (in EUR)	2,000.00	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	2,000.00	18
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,000.00	
17.1. out of which base remuneration (in EUR)	2,000.00	19
17.2. out of which performance-based remuneration (in EUR)	2,000.00	

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)		
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)		20
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)		
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)		
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)		
32. Information whether the financial instrument was still operational at the end of the reporting year	No	21
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	12/04/18	22
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)		
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	1,000.00	23
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)		
36.1. out of which capital repayments (in EUR)	500.00	24

36.2. out of which gains, other earnings and yields (in EUR)	500.00	
37. Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds	500.00	25
37.1. out of which amounts paid for preferential remuneration of private investors operating under the market economy principle who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	500.00	26
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	200.00	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)		
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)		
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	400.00	27
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)		
38.2.1. out of which public contributions (EUR)	200.00	28
38.2.2. out of which private contributions (EUR)	200.00	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)		

2. Enter the following information:


- (1) Enter the *Official address of the financial instrument*
- (2) Select the *tailor-made or financial instrument*
- (3) Select the *off-the-self instrument type*
- (4) Select the *type of products provided by financial instrument: loans, micro-loans, guarantees, equity or quasi-equity investments, other financial products or other support combined with a financial instrument*
- (5) Enter a *description of the other financial product*
- (6) Enter the *other support*
- (7) Select the *legal status of the financial instrument*
- (8) Select the *type of implementing body*
- (9) Enter the *name of the body*
- (10) Enter the *official address of the body*
- (11) Select the *procedure of selecting the body*
- (12) Enter the *description*
- (13) Select the *date of signature of the funding agreement with the body*

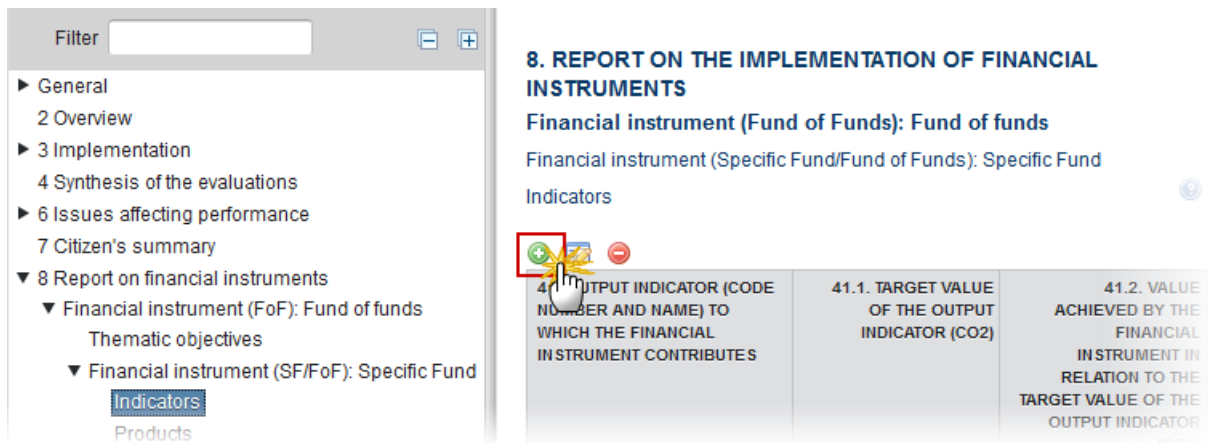
- (14)** Enter the *total amount of programme contributions committed in the funding agreement*
- (15)** Enter the *amount of programme contributions committed in the funding agreement out of which ESI Funds contributions (in EUR), ERDF (optional), Cohesion Fund (optional), ESF Fund (optional), EAFRD (optional), EMFF (optional)*
- (16)** Enter the *amount of programme contributions paid to the financial instrument out of which amount ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*
- (17)** Enter the *amount of programme contributions paid out of which total amount of national public funding*
- (18)** Enter the *amount of programme contributions paid out of which total amount of national private funding*
- (19)** Enter the *total amount of management costs and fees paid out of programme contributions & out of which base remuneration and out of which performance-based.*
- (20)** Elements 18 to 21 are only to be enabled when Final Implementation Report.
- (21)** Select yes or no for the *information whether the financial instrument was still operational at the end of the reporting year*
- (22)** Select the *date of the winding-up*
- (23)** Enter the *interest and other gains generated by payments from ESI funds*
- (24)** Enter the *amount repaid to the financial instrument attributable to support from ESI Funds out of which capital repayments*
- (25)** Enter the *amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds*
- (26)** Enter the *amounts of resources reused which were paid back to the financial instrument out of which amounts paid for preferential remuneration of private investors operating under the market economy principle & out of which amounts paid for the reimbursement of management costs incurred and payment of management fees.*
- (27)** Enter the *total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body.*
- (28)** Enter the *total amount of other contributions, outside the ESI Funds paid to the financial instrument out of which public contributions and out of which private contributions*
- (29)** Click on the [Update](#) link to save the information.

REMARK	<p>The Off-the-shelf instrument type (8.1.1) is only to be enabled when 8.1 is true.</p> <p>The Description of the other financial product (9.1) is only to be enabled when 9.0.6 is true.</p> <p>The Description of the other financial product (9.2) is only to be enabled when 9.0.7 is true.</p> <p>The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others' (Internal code 5).</p>
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Elements 18 to 21 are only to be enabled when Final Implementation Report.
The Date of winding-up (32.1) is only to be enabled when 32 is false.

Indicators

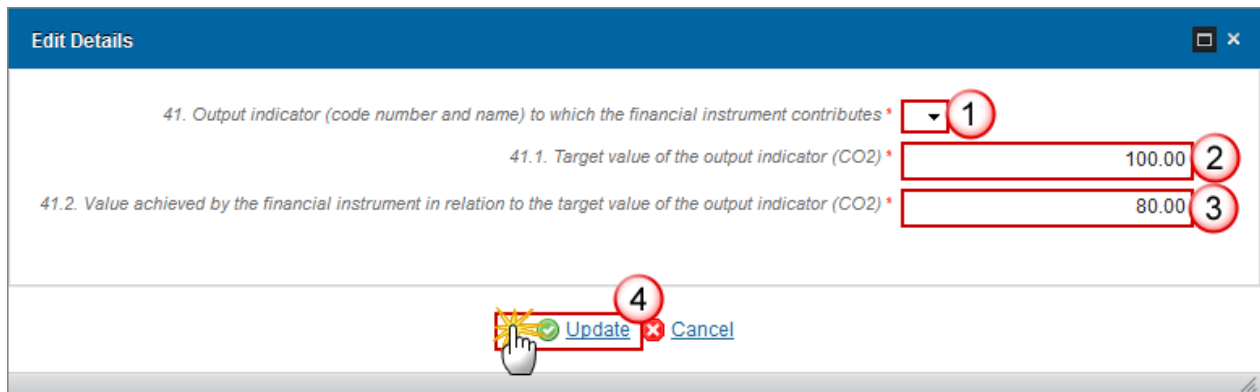
1. Clicking on the **ADD** button  will open the indicators table allowing you to enter the information.



8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS
Financial instrument (Fund of Funds): Fund of funds
Financial instrument (Specific Fund/Fund of Funds): Specific Fund
Indicators

41. OUTPUT INDICATOR (CODE NUMBER AND NAME) TO WHICH THE FINANCIAL INSTRUMENT CONTRIBUTES	41.1. TARGET VALUE OF THE OUTPUT INDICATOR (CO2)	41.2. VALUE ACHIEVED BY THE FINANCIAL INSTRUMENT IN RELATION TO THE TARGET VALUE OF THE OUTPUT INDICATOR
---	--	--

The Edit Details pop-up window appears:



Edit Details

41. Output indicator (code number and name) to which the financial instrument contributes *

41.1. Target value of the output indicator (CO2) * 2

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator (CO2) * 3

4

2. Enter the following information:


- (1) Select the *Output indicator*.
- (2) Enter the *Target value of the output indicator (CO2)*.

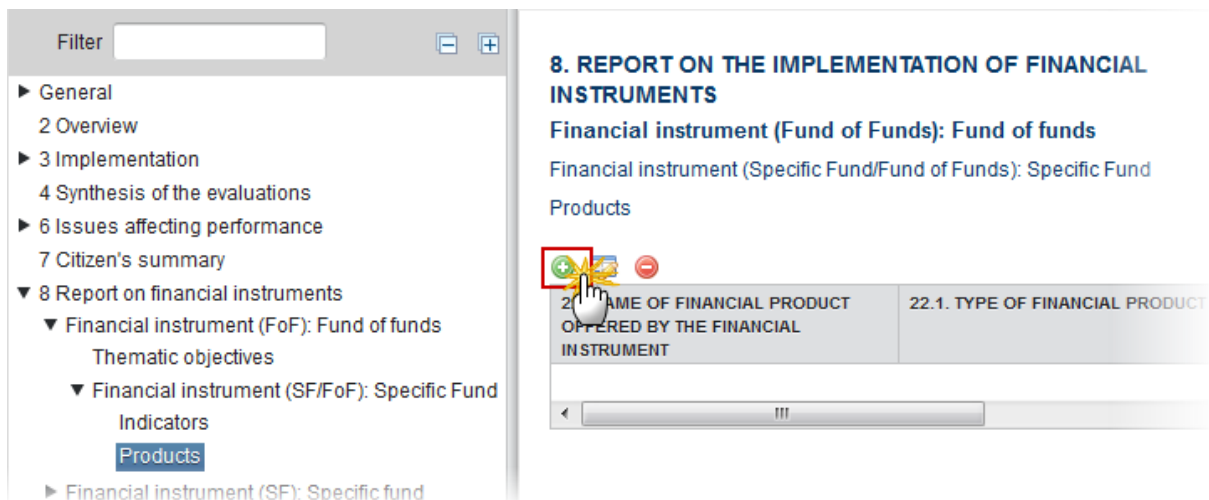
(3) Enter the value achieved by the financial instrument in relation to the *target value of the output indicator (CO2)*.

(4) Click on the [Update](#) link to save the information.

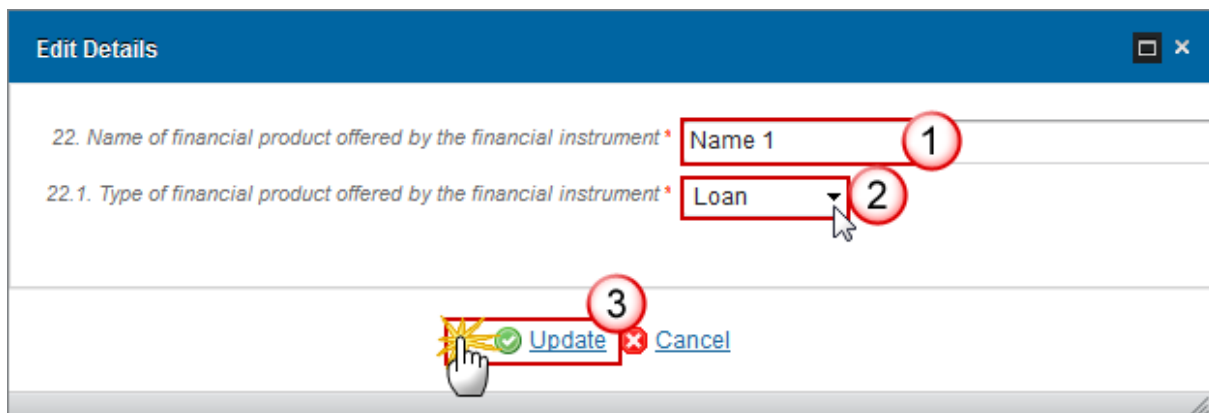
REMARK	The list of Output Indicators (41) contains all Common and Programme Specific Output Indicators used in the linked Programme version under the selected Priority Axis.
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Products

1. Clicking on the **ADD** button  will open the products table allowing you to enter the information.



The Edit Details pop-up window appears:




2. Enter the following information:



(1) Enter the *Name of financial product*

(2) Select the *type of financial product offered*

22.1. *Type of financial product offered by the financial instrument* *

Equity
Guarantee
Loan
Other




 [Update](#)  [Cancel](#)

(3) Click on the [Update](#) link to save the information.

REMARK	The list of Financial Product Types (22.1) contains all the Financial Product Types relevant for the Financial Instrument Type.
---------------	---

Equity

1. Clicking on the **EDIT** button  will open the financial instrument table allowing you to enter the information.

Filter

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 6 Issues affecting performance
 - 7 Citizen's summary
- ▼ 8 Report on financial instruments
 - ▼ Financial instrument (FoF): Fund of funds
 - Thematic objectives
 - ▼ Financial instrument (SF/FoF): Specific Fund
 - Indicators
 - ▼ Products
 - Loan: Name 3
 - Guarantee: Name 2
 - Equity: Name 1**
 - Other: Name 4

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Fund of Funds): Fund of funds

Financial instrument (Specific Fund/Fund of Funds): Specific Fund Products


 Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument	Name 1
22.1. Type of financial product offered by the financial instrument	E - Equity

The table becomes editable:

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12

Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this equity*.

(3) Enter the *total amount of programme contributions committed in this equity out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this equity*.

(5) Enter the *total amount of programme contributions paid to final recipients through this equity paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *amount of programme contributions paid to final recipients through this equity paid to final recipients out of which total amount of national public co-financing*.

(7) Enter the *amount of programme contributions paid to final recipients through this equity paid to final recipients out of which total amount of national private co-financing*.

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

2017 AIR Onwards

12
 Amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name FI	1
22.1. Type of financial product offered by the financial instrument	E - Equity	
24. Total amount of programme contributions committed in equity, quasi-equity contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,000.00	
25. Total amount of programme contributions paid to final recipients through this equity (in EUR)	10,000.00	
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	1,000.00	3
25.1.2. out of which Cohesion Fund (in EUR)	2,000.00	
25.1.3. out of which ESF (in EUR)	1,000.00	
25.1.4. out of which EAFRD (in EUR)	2,000.00	
25.1.5. out of which EMFF (in EUR)	1,000.00	
25.2. out of which total amount of national public co-financing (in EUR)	1,000.00	4
25.3. out of which total amount of national private co-financing (in EUR)	100.00	
27. Number of equity or quasi-equity contracts signed with final recipients	10	5
28. Number of equity or quasi-equity investments made in final	8	6
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	7
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported		8

38.3.1. out of which public contributions (EUR)	1,000.00	9
38.3.2. out of which private contributions (EUR)	1,000.00	
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for loan, by reference to the funding agreement	1,000.00	10
39.2. Achieved leverage effect at the end of reporting year for loan		
39.3. Investment mobilised through ESIF financial instruments for loan (EUR) (Optional)	1,000.00	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this equity & out of which total amount of ESI Funds contributions*.

(3) Enter the *total amount of programme contributions paid to final recipients through this equity, out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(4) Enter the *amount of programme contributions paid to final recipients through this equity paid to final recipients out of which total amount of national public & private co-financing*.

(5) Enter the number of *equity or quasi-equity contracts signed with final recipients*.

(6) Enter the number of *equity or quasi-equity investments made in final*.

(7) Enter the number of *financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(8) Enter the *description of other type of final recipient*.

(9) Enter the *total amount of other contributions outside the ESI funds, out of which public & private contributions*.


(10) Enter the expected leverage effect for equity or quasi-equity investment.

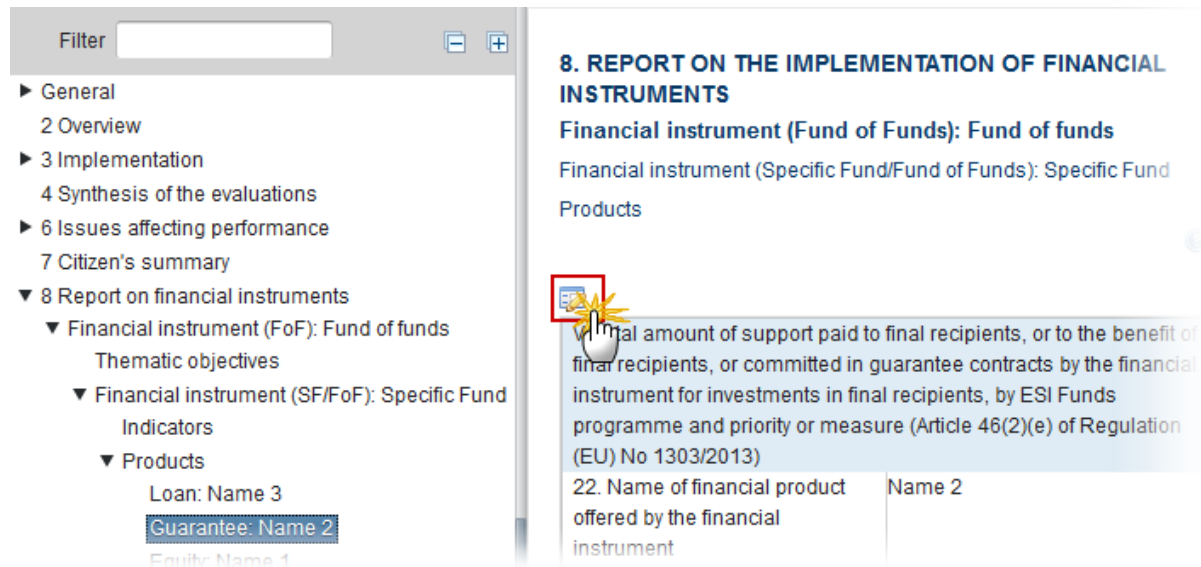
(11) Enter the investment mobilised through ESIF financial instruments for equity and quasi-equity investment.

(12) Click on the [Update](#) link to save the information.

REMARK	<p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p> <p>New element from AIR 2018 onwards: 38.3A.</p>
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Guarantee

1. Clicking on the **EDIT** button  will open the guarantee table allowing you to enter the information.



8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Fund of Funds): Fund of funds

Financial instrument (Specific Fund/Fund of Funds): Specific Fund Products

Initial amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument	Name 2
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The table becomes editable:

PRE-AIR 2017



12

Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this guarantee*.

(3) Enter the *amount out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this guarantee*.

(5) Enter the *total amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national public co-financing*.

(7) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national private co-financing*.

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients in relation to the guarantee contracts signed*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.



(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

REMARK	<p>The Total value of new debt finance (26.1) is only to be enabled when the value of 7.1 in the master Fund of Funds is true.</p> <p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p>
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2017 AIR Onwards

 Update
 Cancel

16 V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1 1
22.1. Type of financial product offered by the financial instrument	G - Guarantee
24. Total amount of programme contributions committed/blocked for guarantee contracts signed (in EUR)	10,000.00 2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00
25. Total amount of programme contributions in the case of guarantee, committed for loans paid to final recipients (in EUR)	1,000.00 3
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	100.00
25.1.2. out of which Cohesion Fund (in EUR)	100.00
25.1.3. out of which ESF (in EUR)	100.00 4
25.1.4. out of which EAFRD (in EUR)	100.00
25.1.5. out of which EMFF (in EUR)	100.00
25.2. out of which total amount of national public co-financing (in EUR)	500.00 5
25.3. out of which total amount of national private co-financing (in EUR)	100.00
26. Total value of loans actually paid to final recipients in relation to the guarantee contracts signed (EUR)	100.00 6

26. Total value of loans actually paid to final recipients in relation to the guarantee contracts signed (EUR)	100.00	7
26.1. Total value of new debt finance created by the SME Initiative (CPR Art. 39 (10) b)		
27. Number of guaranteed loans signed with final recipients	10	8
28. Number of guaranteed loans paid to final recipients	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported		11
33. Total number of guarantees provided and called due to the loan default	10	12
34. Total amount committed for guarantees provided and called due to loan default (in EUR)	1,000.00	13
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for guarantee, by reference to the funding agreement	1,000.00	14
39.2. Achieved leverage effect at the end of reporting year for guarantee		
39.3. Investment mobilised through ESIF financial instruments for guarantee (Optional)	1,000.00	15

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this guarantee and out of which total amount of ESI Funds contributions*.

(3) Enter the *total amount of programme contributions in the case of guarantee, committed for loans paid to final recipients*.

(4) Enter the *total amount of programme contributions paid to final recipients through this equity paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(5) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national public co-financing & private co-financing*.

(6) Enter the *total number number of loans paid to final recipients in relation to the guarantee contracts signed*.


(7) Enter the *total value of new debt finance created by the SME initiative*.

(8) Enter the *number of loans signed with final recipients*.

- (9)** Enter the *number of loans paid to final recipients*.
- (10)** Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.
- (11)** Enter a *description of other type of final recipients supported*.
- (12)** Enter the *total number provided and called due to the loan default*.
- (13)** Enter the *total amount committed for guarantees provided and called due to loan default*.
- (14)** Enter the *expected leverage effect*.
- (15)** Enter the *investment mobilised through ESIF financial instruments*.
- (16)** Click on the [Update](#) link to save the information.

REMARK	<p>The Total value of new debt finance (26.1) is only to be enabled when the value of 7.1 in the master Fund of Funds is true.</p> <p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p> <p>Elements 33, 34, 38, 38.3.1, 38.3.2, 39, 39.1, 39.2, 39.3 and 40 will not occur anymore under the Financial Instrument but under the Product(s).</p>
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Loan

1. Clicking on the **EDIT** button  will open the loan table allowing you to add the information.

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- ▶ General
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 - ▼ Financial instrument (FoF): Fund of funds
 - Thematic objectives
 - ▼ Financial instrument (SF/FoF): Specific Fund
 - Indicators
 - ▼ Products
 - Loan: Name 3
 - Guarantee: Name 2
 - Equity: Name 1

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Fund of Funds): Fund of funds
 Financial instrument (Specific Fund/Fund of Funds): Specific Fund
 Products

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument	Name 3
22.1. Type of financial product	L - Loan

The table becomes editable:

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Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this loan*.

(3) Enter the *total amount of programme contributions committed in this loan out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this loan*.

(5) Enter the *total amount of programme contributions paid to final recipients through this loan out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national public co-financing (in EUR)*

(7) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national private co-financing (in EUR)*

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

REMARK

The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.

2017 AIR Onwards

Update Cancel

16 Amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument *	Name 1	1
22.1. Type of financial product offered by the financial instrument	L - Loan	
24. Total amount of programme contributions committed in loan contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans paid to final recipients (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)	100.00	5
25.1.4. out of which EAFRD (in EUR)	100.00	
25.1.5. out of which EMFF (in EUR)	100.00	
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national private co-financing (in EUR)	100.00	
27. Number of loan contracts signed with final recipients	10	7
28. Number of loan investments made in final recipients	9	8

29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	9
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	10
33. Total number of disbursed loans defaulted	20	11
34. Total amount of disbursed loans defaulted (in EUR)	2,000.00	12
38.3.1. out of which public contributions (EUR)	1,000.00	13
38.3.2. out of which private contributions (EUR)	1,000.00	
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for loan, by reference to the funding agreement	1,000.00	14
39.2. Achieved leverage effect at the end of reporting year for loan		
39.3. Investment mobilised through ESIF financial instruments for loan (EUR) (Optional)	1,000.00	15

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this loan*.

(3) Enter the *total amount of programme contributions committed in this loan out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this loan*.

(5) Enter the *total amount of programme contributions paid to final recipients through this loan paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *amount of programme contributions paid to final recipients through this loan paid to final recipients out of which total amount of national public & private co-financing*.

(7) Enter the *number of loan contracts signed with final recipients*.

(8) Enter *number of loan investments made in final recipients*.

(9) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(10) Enter a *description of other type of final recipients supported*.

(11) Enter the *total number of disbursed loans defaulted*.


(12) Enter the *total amount of disbursed loans*.

- (13) Out of which public & private contributions.
- (14) Enter the expected leverage effect for loan.
- (15) Enter the investment mobilised through ESIF for loan.
- (16) Click on the [Update](#) link to save the information.

REMARK	<p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p> <p>New element: 38.3A.</p>
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Other

Other Support combined within the financial instrument

1. Clicking on the **EDIT** button  will open the other table allowing you to add the information.

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 - ▼ Financial instrument (FoF): Fund of funds
 - Thematic objectives
 - ▼ Financial instrument (SF/FoF): Specific Fund
 - Indicators
 - ▼ Products
 - Loan: Name 3
 - Guarantee: Name 2
 - Equity: Name 1
 - Other: Name 4

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Fund of Funds): Fund of funds

Financial instrument (Specific Fund/Fund of Funds): Specific Fund

Products



Virtual amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument	Name 4
22.1. Type of financial product offered by the financial instrument	O - Other
24. Total amount of programme contributions committed in this loan,	

The table becomes editable:

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Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this loan*.

(3) Enter the *total amount of programme contributions committed in this loan out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this loan*.

(5) Enter the *total amount of programme contributions paid to final recipients through this loan out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national public co-financing (in EUR)*

(7) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national private co-financing (in EUR)*

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

2017 AIR Onwards

7 Amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument *	Name FI	1
22.1. Type of financial product offered by the financial instrument	O - Other support combined with the financial instrument	
25. Total amount of programme contributions paid for the benefit of final recipients through the technical support, interest rate subsidy or guarantee fee subsidy (in EUR)	10,000.00	2
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)	200.00	3
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	4
25.3. out of which total amount of national private co-financing (in EUR)	100.00	
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	5
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	6

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions paid for the benefit*.

(3) Enter the *amount for the contributions paid for the benefit out of which total amount of ESI Funds out of which ERDF, CF, ESF, EAFRD & EMFF (in EUR)*.

(4) Enter the *amount for the contributions paid for the benefit out of which total amount of ESI Funds out of which total amount of national public & private co-financing (in EUR)*


(5) Enter the *number of financial recipients out of which large enterprises, SMEs, microenterprises, individual/natural persons, other type of final recipients*.

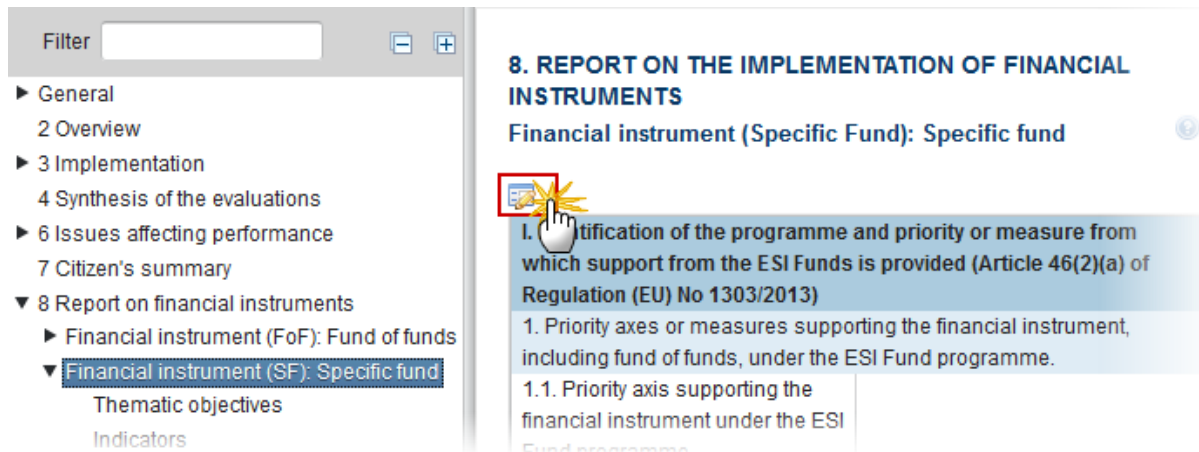
(6) Enter the *description of other type of final recipients*.

(7) Click on the [Update](#) link to save the information.

Financial Instrument (SF): Specific fund

Financial instrument (Specific Fund): Specific fund

1. Clicking on the **EDIT** button  will open the specific fund table allowing you to enter the information.



Filter

- ▶ General
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- 7 Citizen's summary
- ▼ 8 Report on financial instruments
 - ▶ Financial instrument (FoF): Fund of funds
 - ▼ **Financial instrument (SF): Specific fund**
 - Thematic objectives
 - Indicators

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Specific Fund): Specific fund

1. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.
1.1. Priority axis supporting the financial instrument under the ESI Fund programme.

The table becomes editable:

PRE-AIR 2017

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Specific Fund): Specific fund



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Update Cancel

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)

1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.

1.1. Priority axis supporting the financial instrument under the ESI Fund programme

2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure

4. other ESI Fund programmes providing contribution to the financial instrument

4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument

30. Date of completion of the ex ante assessment

31. Selection of bodies implementing financial instrument

31.1. Has selection or designation process already been launched

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument

6. Official address/place of business of the financial instrument (name of the country and city)

7. Implementation arrangements

7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions

1 - Test title	1
CF	2
2014DE05M9OP001 2014DE05M9OP002	3
12/12/16	4
Yes	5
Specific fund	
Address	6
Yes	7

7.1.1. Name of the Union-level financial instrument	Name of the Union-level 8
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b) and (c) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation 9
8. Type of the financial instrument	3 - Specific fund
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Off-the-shelf-instrument 10
8.1.1. Off-the-shelf instrument type	Capped guarantee 11
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	<input checked="" type="checkbox"/>
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	<input type="checkbox"/>
9.0.3. Guarantees	<input checked="" type="checkbox"/> 12
9.0.4. Equity	<input type="checkbox"/>
9.0.5. Quasi-equity	<input checked="" type="checkbox"/>
9.0.6. Other financial products	<input checked="" type="checkbox"/>
9.0.7. Other support combined with a financial instrument	<input checked="" type="checkbox"/>
9.1. Description of the other financial product	Description 13
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	Other support 14

<p>10. Legal status of the financial instrument, pursuant to Article 38(6) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution</p>	<p>1 - Fiduciary account 15</p>
<p>III. Identification of the body implementing the financial instrument as referred to in Articles 38(1)(a), 38(4)(a), (b) and (c) of Regulation (EU) No 1303/2013, and the financial intermediaries referred to in Article 38(5) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)</p>	
<p>11. Body implementing the financial instrument</p>	
<p>11.1. Type of implementing body pursuant to Article 38(4) of Regulation (EU) No 1303/2013 (a) existing or newly created legal entity dedicated to implementing financial instruments; (b) a body entrusted with the implementation task or (c) managing authority underatking implementation task directly (for loans or guarantees only)</p>	<p>b2 - European Investment Fund 16</p>
<p>11.1.1. Name of the body implementing the financial instrument</p>	<p>Name of the body 17</p>
<p>11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument</p>	<p>Address 18</p>
<p>12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure</p>	<p>5 - Others 19</p>
<p>12.1. Description of the other procedure of selecting the body implementing the financial instrument</p>	<p>Description 20</p>
<p>13. Date of signature of the funding agreement with the body implementing the financial instrument</p>	<p>12/12/16 21</p>

IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)

14. Total amount of programme contributions committed in the funding agreement (in EUR)	10,000.00	22
14.1. out of which ESI Funds contributions (in EUR)	10,000.00	23
14.1.1. out of which ERDF (in EUR) (optional)	1,000.00	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	1,000.00	
14.1.3. out of which ESF (in EUR) (optional)	1,000.00	24
14.1.4. out of which EAFRD (in EUR) (optional)	1,000.00	
14.1.5. out of which EMFF (in EUR) (optional)	1,000.00	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	0.00	
15.1. out of which amount of ESI Funds contributions (in EUR)	0.00	
15.1.1. out of which ERDF (in EUR)	200.00	
15.1.2. out of which Cohesion Fund (in EUR)	200.00	
15.1.3. out of which ESF (in EUR)	200.00	25
15.1.4. out of which EAFRD (in EUR)	200.00	
15.1.5. out of which EMFF (in EUR)	200.00	
15.2. out of which total amount of national co-financing (in EUR)		
15.2.1. out of which total amount of national public funding (in EUR)	500.00	26
15.2.2. out of which total amount of national private funding (in EUR)	500.00	

16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	500.00	27
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,000.00	28
17.1. out of which base remuneration (in EUR)	500.00	29
17.2. out of which performance-based remuneration (in EUR)		
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)		
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)		
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)		
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)		
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)		
32. Information whether the financial instrument was still operational at the end	No	30

32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	12/12/16	31
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	10	32
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	1,000.00	33
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)		
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	1,000.00	34
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)		
36.1. out of which capital repayments (in EUR)	500.00	35
36.2. out of which gains, other earnings and yields (in EUR)	500.00	
37. Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds	1,000.00	36
37.1. out of which amounts paid for preferential remuneration of private investors operating under the market economy principle who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final	500.00	37

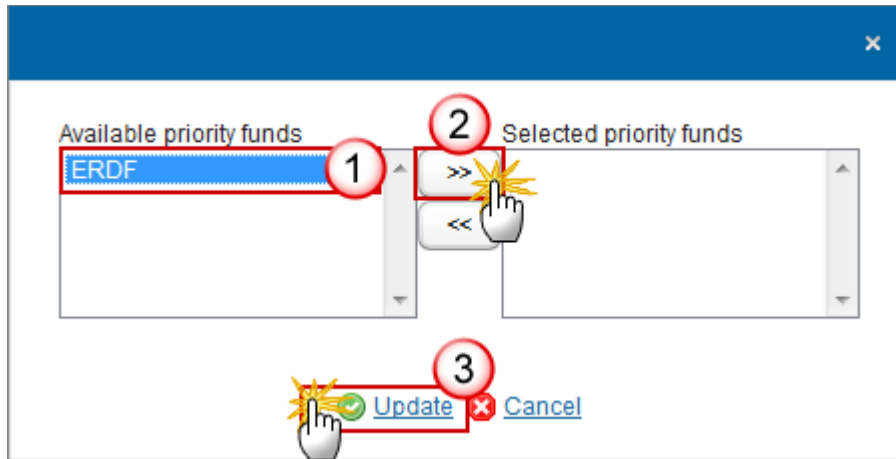
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	200.00	38
40. Value of investments and participations in equity (in EUR)	1,000.00	39
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)		
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)		
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,000.00	40
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)		
38.2.1. out of which public contributions (EUR)	450.00	41
38.2.2. out of which private contributions (EUR)	200.00	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)		
38.3.1. out of which public contributions (EUR)	200.00	42
38.3.2. out of which private contributions (EUR)	200.00	
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1,000.00	43
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product		
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee /equity and quasi-equity investment, by product (Optional)	1,000.00	44

2. Enter the following information:

(1) Select the *Priority Axis*

The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.

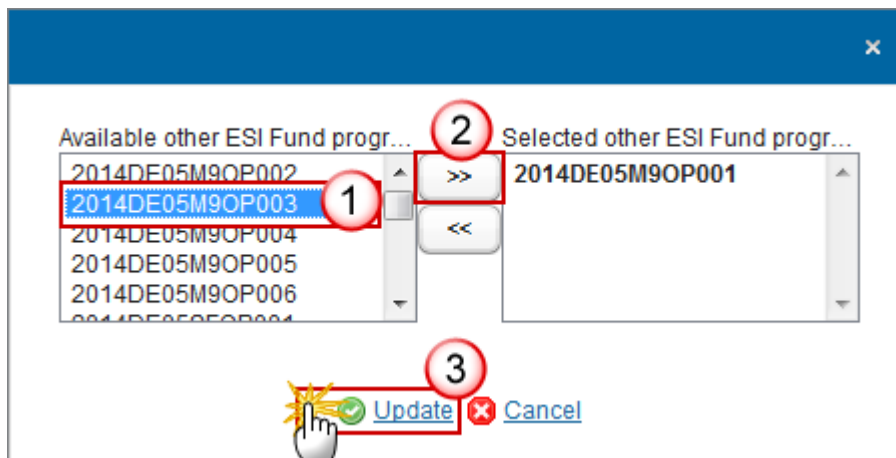
(2) Select the *name of ESI Fund supporting the financial instrument*:



REMARK

The list of Funds (2) contains all Funds of the selected Priority Axis. When the Priority Axis is mono-Fund, this Fund will be selected by default.

(3) Select the *CCI number of each other ESI Fund*:



REMARK

The list of Other ESI Fund Programmes (4.1) contains all IGJ, EMFF and EAFRD Programmes of the same Member State as the linked Programme.

- (4) Select the *date of completion of the ex-ante assessment*.
- (5) Select **yes** or **no** if the *selection or designation process has been launched*.
- (6) Enter the *address of business of the financial instrument*.
- (7) Select **yes** or **no** if the financial instruments set up at Union level, is managed directly or indirectly by the Commission.
- (8) Enter the *Name of the Union-level financial instrument*.
- The Name of the Union-level financial instrument (7.1.1) is only to be enabled when 7.1 is true.
- (9) Select the *financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority*.
- (10) Select the *tailor-made or financial instruments*.
- (11) Enter the *off-the-shelf instrument type*.
- (12) Select the *type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support*.
- (13) Enter the *description of the other financial product*.
- (14) Enter the *other support combined within the financial instrument*.
- (15) Select the *legal status*.
- (16) Select the *type of implementing body*.
- (17) Enter the *name of the body*.
- (18) Enter the *official address of the body*.
- (19) Select the *procedure of selecting the body*.
- (20) Enter the *description of the other procedure of selecting the body*.
- (21) Select the *date of signature of the funding agreement with the body*.
- (22) Enter the *total amount of programme contributions committed in the funding agreement*.
- (23) Enter the *amount of programme contributions committed in the funding agreement out of which ESI Funds contributions (in EUR)*.
- (24) Enter the *amount of programme contributions committed in the funding agreement out of which ERDF (optional), Cohesion Fund (optional), ESF Fund (optional), EAFRD (optional), EMFF (optional)*.
- (25) Enter the *amount of programme contributions paid to the financial instrument out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*.
- (26) Enter the *amount of programme contributions paid to the financial instrument out of which total amount of national public funding and private funding*.
- (27) Enter the *total amount of programme contributions paid to the financial instrument under YEI*.
- (28) Enter the *total amount of management costs and fees paid out of programme contributions*.
- (29) Enter the *amount out of which base remuneration and performance-based remuneration*.

(30) Select yes or no for the information whether the financial instrument was still operational at the end of the reporting year

(31) Select the date of the winding-up.

(32) Enter the total number of disbursed loans defaulted or total number of guarantees provided.

(33) Enter the total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided.

(34) Enter the interest and other gains generated by payments from ESI Funds.

(35) Enter the amount repaid to the financial instrument attributable to support from ESI Funds out of which capital repayments and out of which gains, other earnings and yields.

(36) Enter the amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds.

(37) Enter the amounts of resources reused which were paid back to the financial instrument out of which amounts paid for preferential remuneration of private investors operating under the market economy principle.

(38) Enter the amounts of resources reused which were paid back to the financial instrument out of which amounts paid for the reimbursement of management costs incurred and payment of management fees.

(39) Enter the value of investments and participants in equity.

(40) Enter the total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body.

(41) Enter the total amount of other contributions, outside the ESI Funds paid to the financial instrument out of which public and private contributions.

(42) Enter the total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients out of which public and private contributions

(43) Enter the expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement

(44) Enter the investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment (optional)

(45) Click on the [Update](#) link to save the information.

REMARK	<p>The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.</p> <p>The Name of the Union-level financial instrument (7.1.1) is only to be enabled when 7.1 is true.</p> <p>The Off-the-shelf instrument type (8.1.1) is only to be enabled when 8.1 is true.</p> <p>The Description of the other financial product (9.1) is only to be enabled when 9.0.6 is true.</p> <p>The Description of the other financial product (9.2) is only to be enabled when 9.0.7 is true.</p>
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	<p>The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others' (Internal code 5).</p> <p>Elements 18 to 21 are only to be enabled when Final Implementation Report.</p> <p>The Date of winding-up (32.1) is only to be enabled when 32 is false.</p>
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2017 AIR Onwards

Financial instrument (Specific Fund): *Environmentální rizika*

13

Update
Cancel

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 42(a) of Regulation (EU) No 1303/2013)

1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	...
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	...
30. Date of completion of the ex ante assessment	22/02/17
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Environmentální rizika
6. Official address/place of business of the financial instrument (name of the country and city)	Czech republic, Praha
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4)	Entrustment of implementation tasks, through the direct av

7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	3 - Specific fund
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
8.1.1. Off-the-shelf instrument type	
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	<input checked="" type="checkbox"/>
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	<input type="checkbox"/>
9.0.3. Guarantees	<input type="checkbox"/>
9.0.4. Equity	<input type="checkbox"/>
9.0.5. Quasi-equity	<input type="checkbox"/>
9.0.6. Other financial products	<input type="checkbox"/>
9.0.7. Other support combined with a financial instrument	<input type="checkbox"/>
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	1 - Fiduciary account

7

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument		8
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	b2 - European Investment Fund	
11.1.1. Name of the body implementing the financial instrument	Name of the body	
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Official address	
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	4 - Interadministrative cooperation	
12.1. Description of the other procedure of selecting the body implementing the financial instrument		
13. Date of signature of the funding agreement with the body implementing the financial instrument	19/09/17	

IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)

14. Total amount of programme contributions committed in the funding agreement (in EUR)	18,717,099.00	
14.1. out of which ESI Funds contributions (in EUR)	18,717,099.00	
14.1.1. out of which ERDF (in EUR) (optional)		
14.1.2. out of which Cohesion Fund (in EUR) (optional)		9

14.1.3. out of which ESF (in EUR) (optional)		
14.1.4. out of which EAFRD (in EUR) (optional)		
14.1.5. out of which EMFF (in EUR) (optional)		
15. Total amount of programme contributions paid to the financial instrument (in EUR)	0.00	
15.1. out of which amount of ESI Funds contributions (in EUR)	0.00	
15.1.1. out of which ERDF (in EUR)		0.00
15.1.2. out of which Cohesion Fund (in EUR)		0.00
15.1.3. out of which ESF (in EUR)		0.00
15.1.4. out of which EAFRD (in EUR)		0.00
15.1.5. out of which EMFF (in EUR)		0.00
15.2. out of which total amount of national co-financing (in EUR)	0.00	
15.2.1. out of which total amount of national public funding (in EUR)		0.00
15.2.2. out of which total amount of national private funding (in EUR)		0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)		
17. Total amount of management costs and fees paid out of programme contributions (in EUR)		0.00
17.1. out of which base remuneration (in EUR)		0.00
17.2. out of which performance-based remuneration (in EUR)		0.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)		
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)		

20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)		
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)		
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)		
32. Information whether the financial instrument was still operational at the end of the reporting year	<input type="text" value="v"/>	10
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	<input type="text" value=""/>	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)		
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)		0.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	0.00	
36.1. out of which capital repayments (in EUR)		0.00
36.2. out of which gains, other earnings and yields (in EUR)		0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a		0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)		0.00
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of		0.00

11

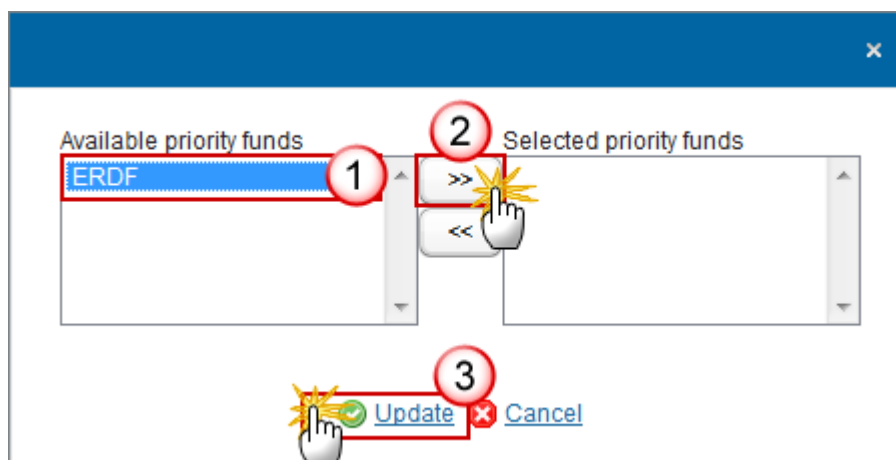
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	12
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

2. Enter the following information:

(1) Select the *Priority Axis*

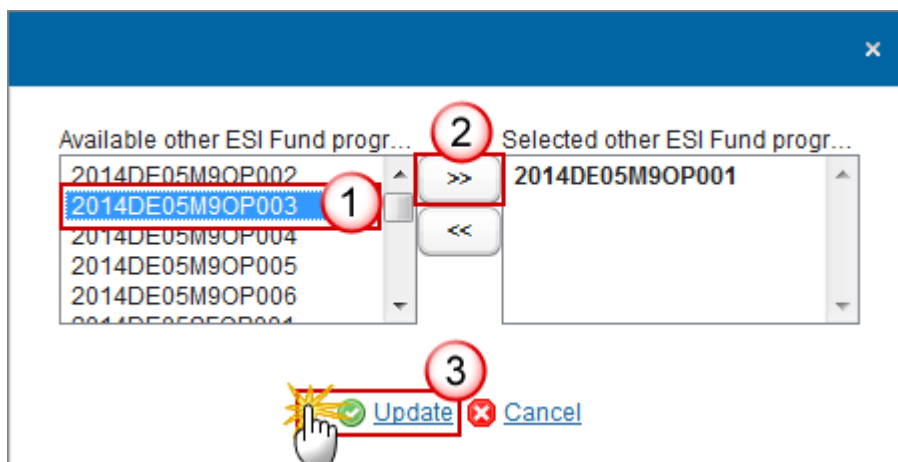
The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.

(2) Select the *name of ESI Fund supporting the financial instrument*:



REMARK	The list of Funds (2) contains all Funds of the selected Priority Axis. When the Priority Axis is mono-Fund, this Fund will be selected by default.
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(3) Select the CCI number of each other ESI Fund:



REMARK	The list of Other ESI Fund Programmes (4.1) contains all IGJ, EMFF and EAFRD Programmes of the same Member State as the linked Programme.
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REMARK	<p>The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.</p> <p>The Name of the Union-level financial instrument (7.1.1) is only to be enabled when 7.1 is true.</p> <p>The Off-the-shelf instrument type (8.1.1) is only to be enabled when 8.1 is true.</p> <p>The Description of the other financial product (9.1) is only to be enabled when 9.0.6 is true.</p> <p>The Description of the other financial product (9.2) is only to be enabled when 9.0.7 is true.</p> <p>The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others' (Internal code 5).</p> <p>Elements 18 to 21 are only to be enabled when Final Implementation Report.</p> <p>The Date of winding-up (32.1) is only to be enabled when 32 is false.</p> <p>The content for 38.2, 38.2.1, 38.2.2 are optional in the years 2017 and 2019.</p> <p>New elements from AIR 2018 onwards: 7.3, 37.3, 38.1.A & 38.2A.</p>
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(4) Select the *date of completion of the ex-ante assessment*.

(5) Select **yes** or **no** if the *selection or designation process has been launched*.

(6) Enter the information for *section II. Description of the financial instrument and implementation arrangements*.

The Name of the Union-level financial instrument (7.1.1) is only to be enabled when 7.1 is true.

(7) Enter the *type of products provided by financial instrument loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument*.

(8) Enter the information for the *section III. Identification of the body implementing the financial, and the body implementing fund of funds where applicable*.

The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others' (code 5).

(9) Enter the information for *section IV. Total amount of programmes contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid*.

2017AIR onwards, element 21 is only to be enabled when Final Implementation Report.

(10) Enter the information for *section VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument*.

The Date of the winding-up (32.1) is only to be enabled when 32 is no.

(11) Enter the information for *section VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments*.


(12) Enter the information for *section VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments*.

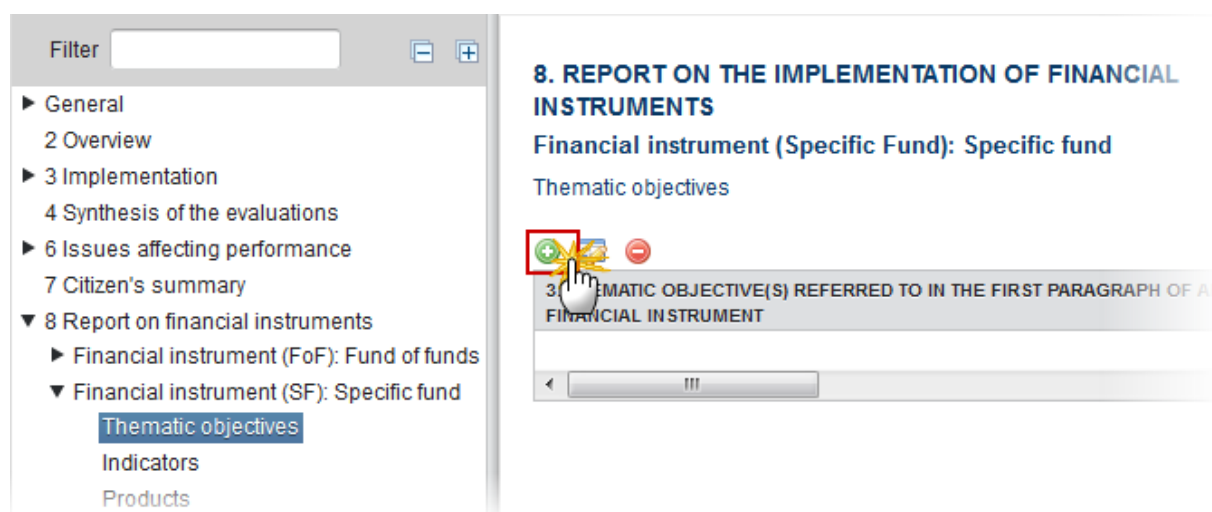
(12) Click on the [Update](#) link to save the information.

REMARK	<p>The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.</p> <p>The Name of the Union-level financial instrument (7.1.1) is only to be enabled when 7.1 is true.</p> <p>The Off-the-shelf instrument type (8.1.1) is only to be enabled when 8.1 is true.</p> <p>The Description of the other financial product (9.1) is only to be enabled when 9.0.6 is true.</p> <p>The Description of the other financial product (9.2) is only to be enabled when 9.0.7 is true.</p> <p>The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others' (Internal code 5).</p> <p>Elements 18 to 21 are only to be enabled when Final Implementation Report.</p> <p>The Date of winding-up (32.1) is only to be enabled when 32 is false.</p>
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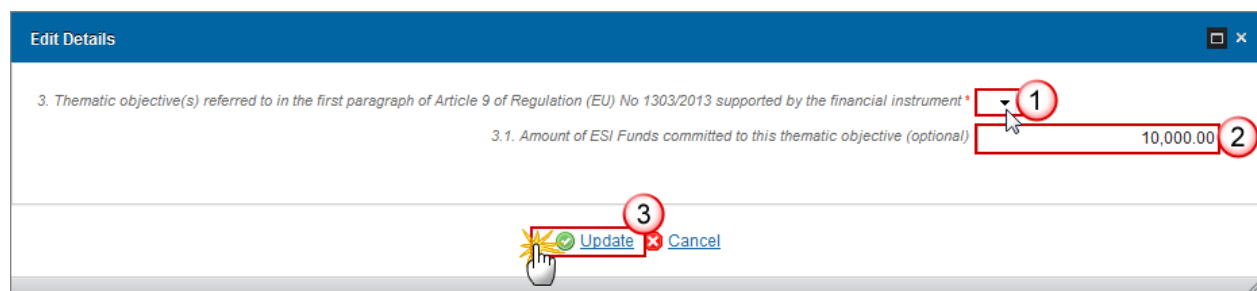
	<p>The content for 38.2, 38.2.1, 38.2.2, 38.3.1 & 38.3.2 are optional in the years 2017 and 2019.</p> <p>New elements from AIR 2018 onwards: 7.3, 37.3, 38.1.A & 38.2A.</p>
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Thematic objectives

1. Clicking on the **ADD** button  will open the thematic objectives table allowing you to enter the information.



The Edit Details pop-up window appears:



2. Enter the following information:

(1) Select the *Thematic objective*

The list of Thematic Objectives (3) contains all Thematic Objectives covered by the selected Priority Axis.

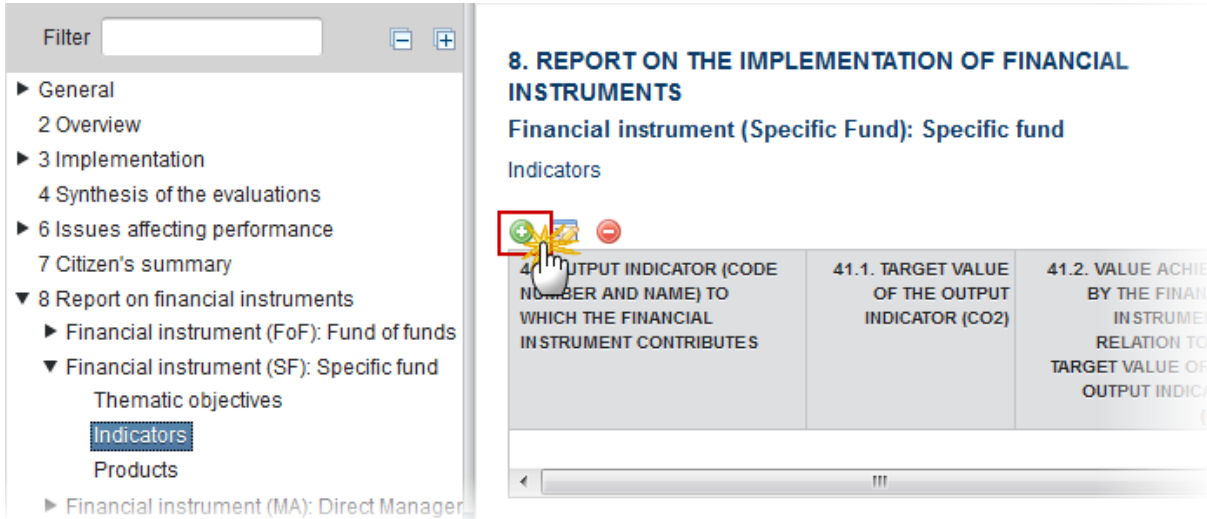
(2) Enter the *amount of ESI funds committed to this thematic objective*

(3) Click on the [Update](#) link to save the information.

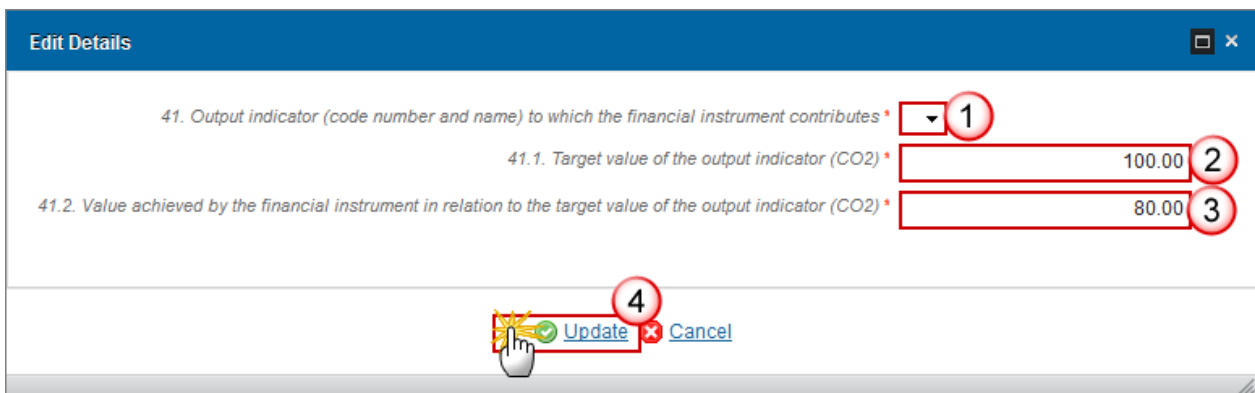
REMARK	The list of Thematic Objectives (3) contains all Thematic Objectives covered by the selected Priority Axis.
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Indicators

1. Clicking on the **Add** button  will open the indicators table allowing you to enter the information.



The Edit Details pop-up window appears:



2. Enter the following information:

(1) Select the *Output indicator*.


(2) Enter the *Target value of the output indicator (CO2)*.

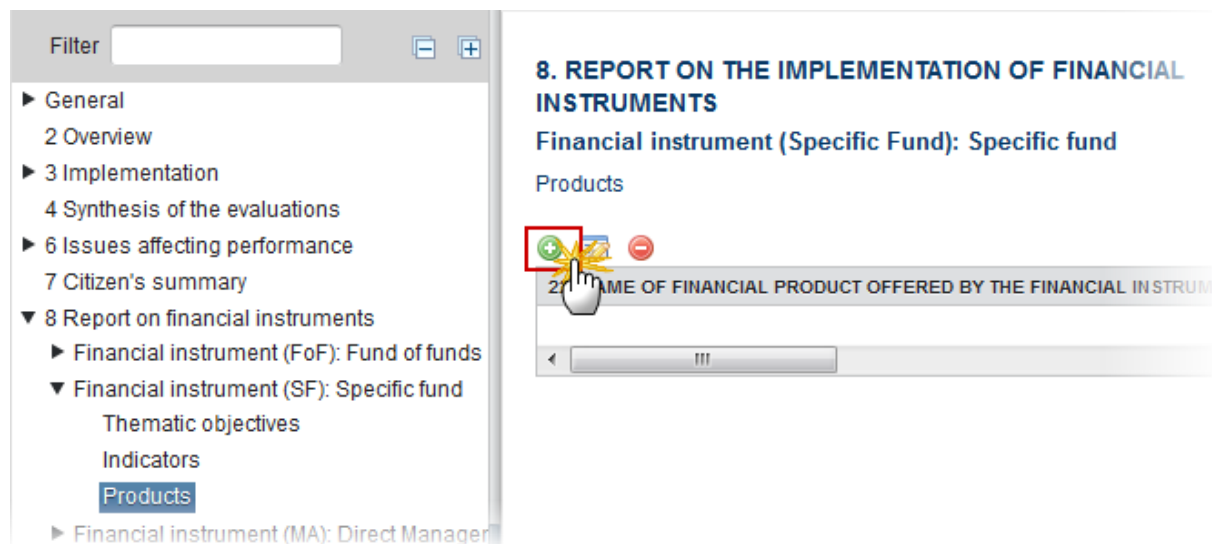
(3) Enter the value achieved by the financial instrument in relation to the *target value of the output indicator (CO2)*.

(4) Click on the [Update](#) link to save the information.

REMARK	The list of Output Indicators (41) contains all Common and Programme Specific Output Indicators used in the linked Programme version under the selected Priority Axis.
---------------	--

Products

1. Clicking on the **ADD** button  will open the products table allowing you to enter the information.



The Edit Details pop-up window appears:

Edit Details

22. Name of financial product offered by the financial instrument * 1

22.1. Type of financial product offered by the financial instrument * 2

3

2. Enter the following information:

- (1) Enter the *Name of financial product*
- (2) Select the *type of financial product offered*


22.1. Type of financial product offered by the financial instrument *

Equity
Guarantee
Loan
Other

(3) Click on the [Update](#) link to save the information.

REMARK	The list of Financial Product Types (22.1) contains all the Financial Product Types relevant for the Financial Instrument Type.
---------------	---

Equity

1. Clicking on the **EDIT** button  will open the financial instrument table allowing you to enter the information.


Filter

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 6 Issues affecting performance
 - 7 Citizen's summary
- ▼ 8 Report on financial instruments
 - ▶ Financial instrument (FoF): Fund of funds
 - ▼ Financial instrument (SF): Specific fund
 - Thematic objectives
 - Indicators
 - ▼ Products
 - Loan: Name 3
 - Guarantee: Name 2
 - Equity: Name 1**
 - Other: Name 4

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Specific Fund): Specific fund

Products



Virtual amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument	Name 1
22.1. Type of financial product offered by the financial instrument	E - Equity

The table becomes editable:

PRE-AIR 2017



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Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this equity*.

(3) Enter the *total amount of programme contributions committed in this equity out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this equity*.

(5) Enter the *total amount of programme contributions paid to final recipients through this equity paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *amount of programme contributions paid to final recipients through this equity paid to final recipients out of which total amount of national public co-financing*.

(7) Enter the *amount of programme contributions paid to final recipients through this equity paid to final recipients out of which total amount of national private co-financing*.

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

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 Amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name FI	1
22.1. Type of financial product offered by the financial instrument	E - Equity	
24. Total amount of programme contributions committed in equity, quasi-equity contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,000.00	
25. Total amount of programme contributions paid to final recipients through this equity (in EUR)	10,000.00	
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	1,000.00	3
25.1.2. out of which Cohesion Fund (in EUR)	2,000.00	
25.1.3. out of which ESF (in EUR)	1,000.00	
25.1.4. out of which EAFRD (in EUR)	2,000.00	
25.1.5. out of which EMFF (in EUR)	1,000.00	
25.2. out of which total amount of national public co-financing (in EUR)	1,000.00	4
25.3. out of which total amount of national private co-financing (in EUR)	100.00	
27. Number of equity or quasi-equity contracts signed with final recipients	10	5
28. Number of equity or quasi-equity investments made in final	8	6
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	7
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported		8

38.3.1. out of which public contributions (EUR)	1,000.00	9
38.3.2. out of which private contributions (EUR)	1,000.00	
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for loan, by reference to the funding agreement	1,000.00	10
39.2. Achieved leverage effect at the end of reporting year for loan		
39.3. Investment mobilised through ESIF financial instruments for loan (EUR) (Optional)	1,000.00	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this equity & out of which total amount of ESI Funds contributions*.

(3) Enter the *total amount of programme contributions paid to final recipients through this equity, out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(4) Enter the *amount of programme contributions paid to final recipients through this equity paid to final recipients out of which total amount of national public & private co-financing*.

(5) Enter the number of *equity or quasi-equity contracts signed with final recipients*.

(6) Enter the number of *equity or quasi-equity investments made in final*.

(7) Enter the number of *financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(8) Enter the *description of other type of final recipient*.

(9) Enter the *total amount of other contributions outside the ESI funds, out of which public & private contributions*.


(10) Enter the expected leverage effect for equity or quasi-equity investment.

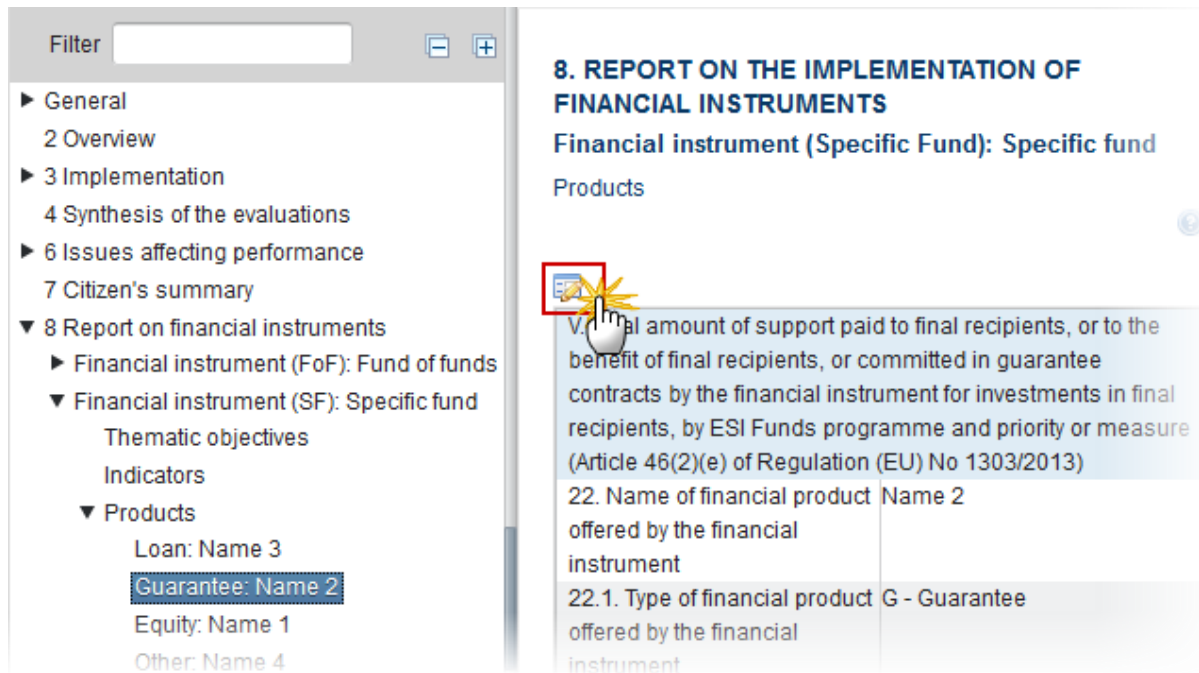
(11) Enter the investment mobilised through ESIF financial instruments for equity and quasi-equity investment.

(12) Click on the [Update](#) link to save the information.

REMARK	<p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p> <p>New element from AIR 2018 onwards: 38.3A.</p>
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Guarantee

1. Clicking on the **EDIT** button  will open the guarantee table allowing you to enter the information.



Filter

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 6 Issues affecting performance
- ▶ 7 Citizen's summary
- ▼ 8 Report on financial instruments
 - ▶ Financial instrument (FoF): Fund of funds
 - ▼ Financial instrument (SF): Specific fund
 - Thematic objectives
 - Indicators
 - ▼ Products
 - Loan: Name 3
 - Guarantee: Name 2**
 - Equity: Name 1
 - Other: Name 4

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Specific Fund): Specific fund Products

Virtual amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Name 2
22.1. Type of financial product offered by the financial instrument	G - Guarantee

The table becomes editable:

PRE-AIR 2017



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Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this guarantee*.

(3) Enter the *amount out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this guarantee*.

(5) Enter the *total amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national public co-financing*.

(7) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national private co-financing*.

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients in relation to the guarantee contracts signed*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.



(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

REMARK	<p>The Total value of new debt finance (26.1) is only to be enabled when the value of 7.1 in the master Fund of Funds is true.</p> <p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p>
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2017 AIR Onwards

 Update
 Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1 1
22.1. Type of financial product offered by the financial instrument	G - Guarantee
24. Total amount of programme contributions committed/blocked for guarantee contracts signed (in EUR)	10,000.00 2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00
25. Total amount of programme contributions in the case of guarantee, committed for loans paid to final recipients (in EUR)	1,000.00 3
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	100.00
25.1.2. out of which Cohesion Fund (in EUR)	100.00
25.1.3. out of which ESF (in EUR)	100.00 4
25.1.4. out of which EAFRD (in EUR)	100.00
25.1.5. out of which EMFF (in EUR)	100.00
25.2. out of which total amount of national public co-financing (in EUR)	500.00 5
25.3. out of which total amount of national private co-financing (in EUR)	100.00
26. Total value of loans actually paid to final recipients in relation to the guarantee contracts signed (EUR)	100.00 6

26. Total value of loans actually paid to final recipients in relation to the guarantee contracts signed (EUR)	100.00	7
26.1. Total value of new debt finance created by the SME Initiative (CPR Art. 39 (10) b)		
27. Number of guaranteed loans signed with final recipients	10	8
28. Number of guaranteed loans paid to final recipients	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported		11
33. Total number of guarantees provided and called due to the loan default	10	12
34. Total amount committed for guarantees provided and called due to loan default (in EUR)	1,000.00	13
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for guarantee, by reference to the funding agreement	1,000.00	14
39.2. Achieved leverage effect at the end of reporting year for guarantee		
39.3. Investment mobilised through ESIF financial instruments for guarantee (Optional)	1,000.00	15

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this guarantee and out of which total amount of ESI Funds contributions*.

(3) Enter the *total amount of programme contributions in the case of guarantee, committed for loans paid to final recipients*.

(4) Enter the *total amount of programme contributions paid to final recipients through this equity paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(5) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national public co-financing & private co-financing*.

(6) Enter the *total number number of loans paid to final recipients in relation to the guarantee contracts signed*.

(7) Enter the *total value of new debt finance created by the SME initiative*.

(8) Enter the *number of loans signed with final recipients*.

(9) Enter the *number of loans paid to final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported.*

(11) Enter a *description of other type of final recipients supported.*

(12) Enter the *total number provided and called due to the loan default.*

(13) Enter the *total amount committed for guarantees provided and called due to loan default.*


(14) Enter the *expected leverage effect.*

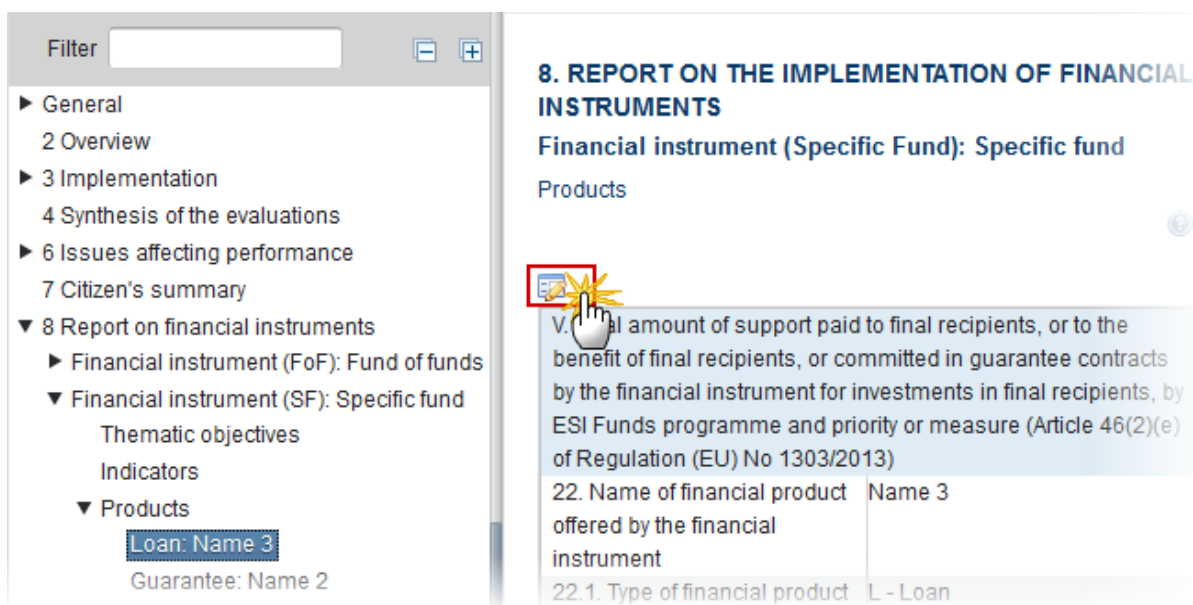
(15) Enter the *investment mobilised through ESIF financial instruments.*

(16) Click on the [Update](#) link to save the information.

REMARK	<p>The Total value of new debt finance (26.1) is only to be enabled when the value of 7.1 in the master Fund of Funds is true.</p> <p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p> <p>Elements 33, 34, 38, 38.3.1, 38.3.2, 39, 39.1, 39.2, 39.3 and 40 will not occur anymore under the Financial Instrument but under the Product(s).</p>
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Loan

1. Clicking on the **EDIT** button  will open the loan table allowing you to add the information.



8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Specific Fund): Specific fund

Products

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Name 3
22.1. Type of financial product	L - Loan

The table becomes editable:

PRE-AIR 2017

Products

12

Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this loan*.

(3) Enter the *total amount of programme contributions committed in this loan out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this loan*.

(5) Enter the *total amount of programme contributions paid to final recipients through this loan out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national public co-financing (in EUR)*

(7) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national private co-financing (in EUR)*

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

REMARK

The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.

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<input checked="" type="button" value="Update"/> <input type="button" value="Cancel"/>	
16 Amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument*	Name 1 1
22.1. Type of financial product offered by the financial instrument	L - Loan
24. Total amount of programme contributions committed in loan contracts with final recipients (in EUR)	10,000.00 2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00 3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans paid to final recipients (in EUR)	1,000.00 4
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	100.00
25.1.2. out of which Cohesion Fund (in EUR)	100.00
25.1.3. out of which ESF (in EUR)	100.00 5
25.1.4. out of which EAFRD (in EUR)	100.00
25.1.5. out of which EMFF (in EUR)	100.00
25.2. out of which total amount of national public co-financing (in EUR)	500.00 6
25.3. out of which total amount of national private co-financing (in EUR)	100.00
27. Number of loan contracts signed with final recipients	10 7
28. Number of loan investments made in final recipients	9 8

29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	9
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	10
33. Total number of disbursed loans defaulted	20	11
34. Total amount of disbursed loans defaulted (in EUR)	2,000.00	12
38.3.1. out of which public contributions (EUR)	1,000.00	
38.3.2. out of which private contributions (EUR)	1,000.00	13
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for loan, by reference to the funding agreement	1,000.00	14
39.2. Achieved leverage effect at the end of reporting year for loan		
39.3. Investment mobilised through ESIF financial instruments for loan (EUR) (Optional)	1,000.00	15

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this loan*.

(3) Enter the *total amount of programme contributions committed in this loan out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this loan*.

(5) Enter the *total amount of programme contributions paid to final recipients through this loan paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *amount of programme contributions paid to final recipients through this loan paid to final recipients out of which total amount of national public & private co-financing*.

(7) Enter the *number of loan contracts signed with final recipients*.

(8) Enter *number of loan investments made in final recipients*.

(9) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(10) Enter a *description of other type of final recipients supported*.


(11) Enter the *total number of disbursed loans defaulted*.

(12) Enter the *total amount of disbursed loans*.

- (13) Out of which public & private contributions.
- (14) Enter the expected leverage effect for loan.
- (15) Enter the investment mobilised through ESIF for loan.
- (16) Click on the [Update](#) link to save the information.

REMARK	<p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p> <p>New element from AIR 2018 onwards: 38.3A.</p>
---------------	---

Other

1. Clicking on the **EDIT** button  will open the other table allowing you to add the information.


Filter

- ▶ General
- 2 Overview
- ▶ 3 Implementation
- 4 Synthesis of the evaluations
- ▶ 6 Issues affecting performance
- 7 Citizen's summary
- ▼ 8 Report on financial instruments
 - ▶ Financial instrument (FoF): Fund of funds
 - ▼ Financial instrument (SF): Specific fund
 - Thematic objectives
 - Indicators
 - ▼ Products
 - Loan: Name 3
 - Guarantee: Name 2
 - Equity: Name 1
 - Other: Name 4
- ▶ Financial instrument (MA): Direct Manager

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Specific Fund): Specific fund

Products

<div style="border: 1px solid red; display: inline-block; padding: 2px;">  </div>	V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)
22. Name of financial product offered by the financial instrument	Name 4
22.1. Type of financial product offered by the financial instrument	O - Other
24. Total amount of	

The table becomes editable:

PRE-AIR2017

Products



12

Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this loan*.

(3) Enter the *total amount of programme contributions committed in this loan out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this loan*.

(5) Enter the *total amount of programme contributions paid to final recipients through this loan out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national public co-financing (in EUR)*

(7) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national private co-financing (in EUR)*

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

2017 AIR Onwards

7 Amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument *	Name FI	1
22.1. Type of financial product offered by the financial instrument	O - Other support combined within the financial instrument	
25. Total amount of programme contributions paid for the benefit of final recipients through the technical support, interest rate subsidy or guarantee fee subsidy (in EUR)	10,000.00	2
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)	200.00	3
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	4
25.3. out of which total amount of national private co-financing (in EUR)	100.00	
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	5
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	6

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions paid for the benefit*.

(3) Enter the *amount for the contributions paid for the benefit out of which total amount of ESI Funds out of which ERDF, CF, ESF, EAFRD & EMFF (in EUR)*.

(4) Enter the *amount for the contributions paid for the benefit out of which total amount of ESI Funds out of which total amount of national public & private co-financing (in EUR)*


(5) Enter the *number of financial recipients out of which large enterprises, SMEs, microenterprises, individual/natural persons, other type of final recipients*.

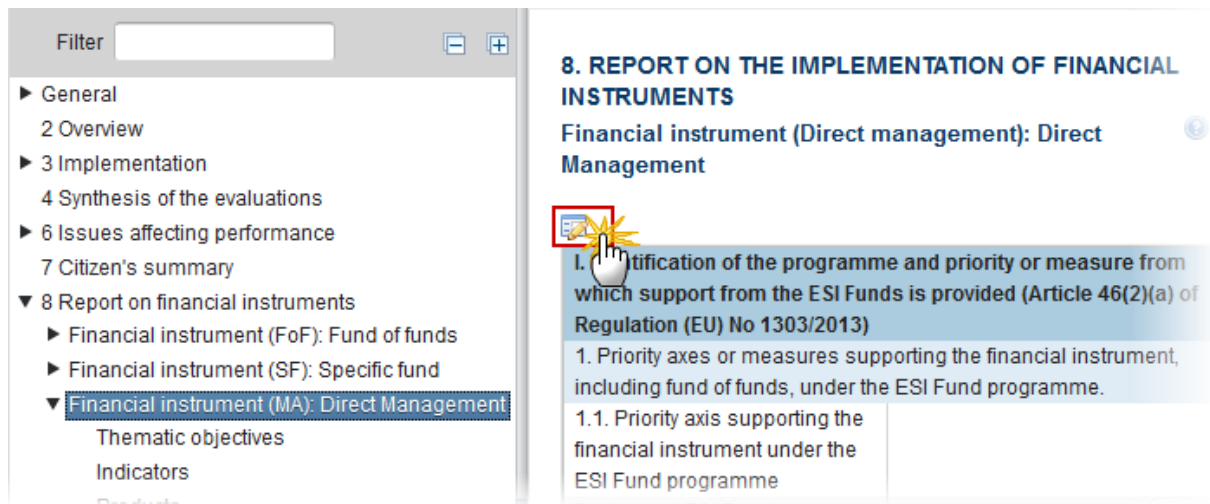
(6) Enter the *description of other type of final recipients*.

(7) Click on the [Update](#) link to save the information.

Financial Instrument (MA): Direct Management

Financial instrument (Direct management): Direct Management

1. Clicking on the **EDIT** button  will open the direct management table allowing you to enter the information.



The screenshot displays a web application interface. On the left, a navigation menu is visible with a 'Filter' input field at the top. The menu items are: General, 2 Overview, 3 Implementation, 4 Synthesis of the evaluations, 6 Issues affecting performance, 7 Citizen's summary, 8 Report on financial instruments (expanded), and Financial instrument (MA): Direct Management (highlighted). Under '8 Report on financial instruments', there are sub-items: Financial instrument (FoF): Fund of funds, Financial instrument (SF): Specific fund, and Financial instrument (MA): Direct Management. The main content area on the right shows a table with the following structure:

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS	
Financial instrument (Direct management): Direct Management	
1. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	

The table becomes editable:

PRE-AIR 2017

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Direct management): Direct Management



21

Update Cancel

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)

1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.

1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Test title	1
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	CF	2
4. other ESI Fund programmes providing contribution to the financial instrument		
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	2014DE05M9OP001 2014DE05M9OP002	3
30. Date of completion of the ex ante assessment	12/12/16	4

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Direct Management	
6. Official address/place of business of the financial instrument (name of the country and city)	Address	5
7. Implementation arrangements		
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b) and (c) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation	6
8. Type of the financial instrument	4 - Direct management	
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013		
9.0.1. Loans (\geq EUR 25,000)	<input checked="" type="checkbox"/>	7
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	<input type="checkbox"/>	
9.0.3. Guarantees	<input checked="" type="checkbox"/>	
9.0.7. Other support combined with a financial	<input type="checkbox"/>	

9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013

III. Identification of the body implementing the financial instrument as referred to in Articles 38(1)(a), 38(4)(a), (b) and (c) of Regulation (EU) No 1303/2013, and the financial intermediaries referred to in Article 38(5) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument

11.1. Type of implementing body pursuant to Article 38(4) of Regulation (EU) No 1303/2013 (a) existing or newly created legal entity dedicated to implementing financial instruments; (b) a body entrusted with the implementation task or (c) managing authority underwriting implementation task directly (for loans or guarantees only)

b1 - European Investment Bank

8

11.1.1. Name of the body implementing the financial instrument

Name of body

9

11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument

Address

10

12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure

1 - Selection in accordance with the provisions of t

11

12.1. Description of the other procedure of selecting the body implementing the financial instrument

VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)

32. Information whether the financial instrument was still operational at the end of the reporting year

No

12

32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up

12/12/16

13

33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default

10

14

34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)

1,000,00

15

VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)

36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)		
36.1. out of which capital repayments (in EUR)	500.00	16
36.2. out of which gains, other earnings and yields (in EUR)	500.00	
37. Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds	1,000.00	17

VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)

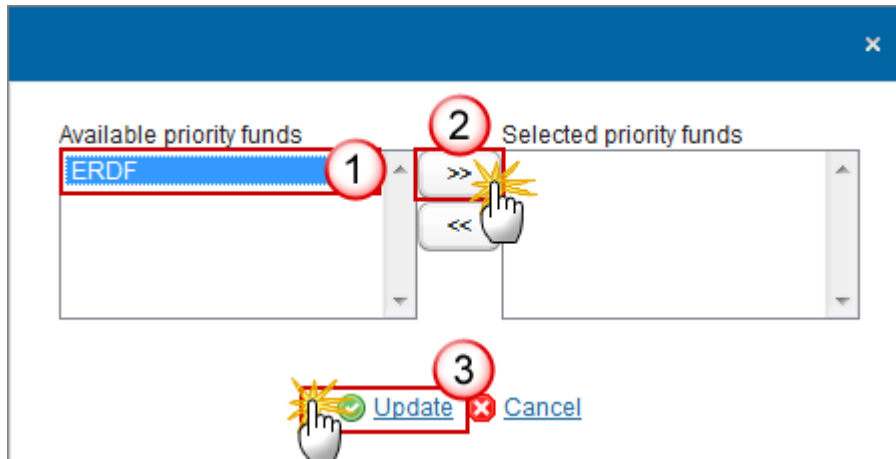
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)		
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)		
38.3.1. out of which public contributions (EUR)	200.00	18
38.3.2. out of which private contributions (EUR)	200.00	
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5,000.00	19
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product		
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	5,000.00	20

2. Enter the following information:

(1) Select the *Priority Axis*

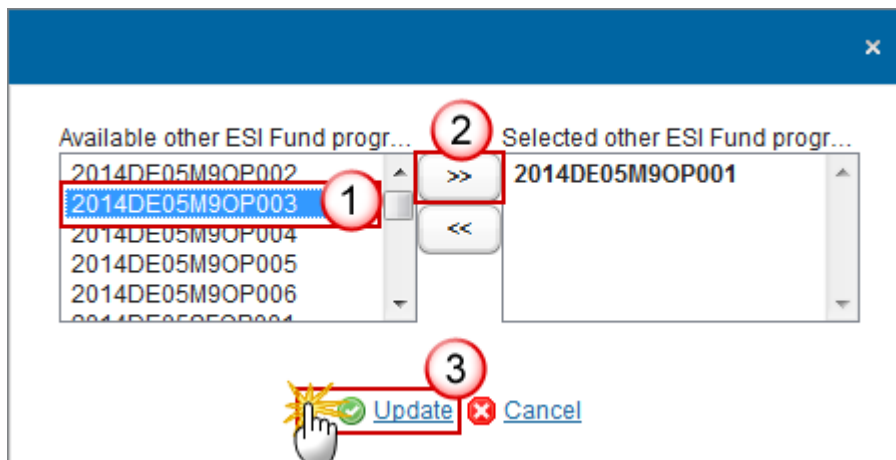
The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.

(2) Select the *name of ESI Fund(s) supporting the financial instrument*:



REMARK	The list of Funds (2) contains all Funds of the selected Priority Axis. When the Priority Axis is mono-Fund, this Fund will be selected by default.
---------------	---

(3) Select the *CCI number of each other ESI Fund providing contributions*:



REMARK	The list of Other ESI Fund Programmes (4.1) contains all IGJ, EMFF and EAFRD Programmes of the same Member State as the linked Programme.
---------------	---

(4) Select the *date of completion of the ex-ante assessment*.

(5) Enter the *address of business of the financial instrument*.

(6) Select the *financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority*.

- (7)** Select the type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument.
- (8)** Select the type of implementing body.
- (9)** Enter the name of the body.
- (10)** Enter the address of business of the body.
- (11)** Select the procedure of selecting the body implementing the financial instrument.
- (12)** Select yes or no whether the financial instrument was still operational at the end of the reporting year.
- (13)** Select the date of the winding-up.
- (14)** Enter the total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default.
- (15)** Enter the total number of disbursed loans defaulted or total committed for guarantees provided.
- (16)** Enter the amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year out of which capital repayments and out of which gains, other earnings and yields.
- (17)** Enter the amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds.
- (18)** Enter the total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients out of which public contributions and out of which private contributions.
- (19)** Enter the expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product.
- (20)** Enter the investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment.
- (21)** Click on the [Update](#) link to save the information.

REMARK	<p>The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.</p> <p>The Description of the other financial product (9.2) is only to be enabled when 9.0.7 is true.</p> <p>The Description of the other procedure (12.1) is only to be enabled when the value of 12 = 'Others' (Internal code 5).</p> <p>The Date of winding-up (32.1) is only to be enabled when 32 is false.</p>
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2017 AIR Onwards

13 I. Confirmation of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)

1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.

1.1. Priority axis supporting the financial instrument under the ESI Fund programme **1**

2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure

2

4. other ESI Fund programmes providing contribution to the financial instrument

4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument **3**

30. Date of completion of the ex ante assessment **4**

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument

6. Official address/place of business of the financial instrument (name of the country and city) **5**

7. Implementation arrangements

7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b) and (c) of Article 38(4) of Regulation (EU) No 1303/2013 **6**

8. Type of the financial instrument

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013

9.0.1. Loans (\geq EUR 25,000)

7

9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final

9.0.3. Guarantees	<input checked="" type="checkbox"/>
9.0.7. Other support combined with a financial instrument	<input checked="" type="checkbox"/>
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	Other Support 8
III. Identification of the body implementing the financial instrument as referred to in Articles 38(1)(a), 38(4)(a), (b) and (c) of Regulation (EU) No 1303/2013, and the financial intermediaries referred to in Article 38(5) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) of Regulation (EU) No 1303/2013 (a) existing or newly created legal entity dedicated to implementing financial instruments; (b) a body entrusted with the implementation task or (c) managing authority underwriting implementation task directly (for loans or guarantees only)	b3 - International Financial Institutions 9
11.1.1. Name of the body implementing the financial instrument. Official name of the managing authority or intermediate body designated/entrusted by a managing authority according to Article 123(6) and (7) CPR.	Name Body
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Address
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	No 10
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	16/04/18
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	2,000.00 11
36.2. out of which gains, other earnings and yields (in EUR)	2,000.00
37. Amounts of resources reused which were paid back to the financial instrument and are attributable to ESI Funds	1,000.00 12


2. Enter the following information:

- (1) Enter the *Priority Axis*.
- (2) Select the *fund*.
- (3) Select the *CCI number*.
- (4) Select the *date of completion of the ex-ante assessment*.

- (5) Enter the *address*.
- (6) Select the *Financial instrument*.
- (7) Select the *type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support*.
- (8) Enter the *Other support*.
- (9) Select the *type of implementing body and enter the name of the body and the official address*.
- (10) Select *yes or no the information whether the financial instrument was still operational at the end of the reporting year. If no, select the date of the winding-up*.
- (11) Enter the *amounts repaid out of which capital repayments and out of which gains, other earnings and yields*.
- (12) Enter the *amounts of resources reused which were paid back to the financial instrument*.
- (13) Click on the [Update](#) link to save the information.

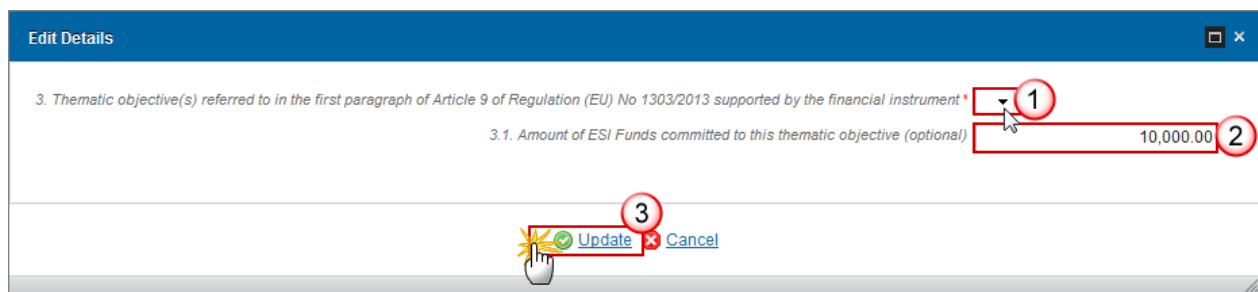
REMARK	<p>The list of Priority Axes (1.1) contains all Priority Axes of the linked Programme Version.</p> <p>The Description of the other financial product (9.2) is only to be enabled when 9.0.7 is true.</p> <p>The Date of winding-up (32.1) is only to be enabled when 32 is false.</p> <p>The content for 38.2, 38.2.1, 38.2.2 are optional in the years 2017 and 2019.</p>
---------------	--

Thematic objectives

1. Clicking on the **ADD** button  will open the thematic objectives table allowing you to enter the information.



The Edit Details pop-up window appears:



2. Enter the following information:

(1) Select the *Thematic objective*

The list of Thematic Objectives (3) contains all Thematic Objectives covered by the selected Priority Axis.

(2) Enter the *amount of ESI funds committed to this thematic objective*

(3) Click on the [Update](#) link to save the information.

REMARK	The list of Thematic Objectives (3) contains all Thematic Objectives covered by the selected Priority Axis.
---------------	---

Indicators

1. Clicking on the **ADD** button  will open the indicators table allowing you to enter the information.

The Edit Details pop-up window appears:

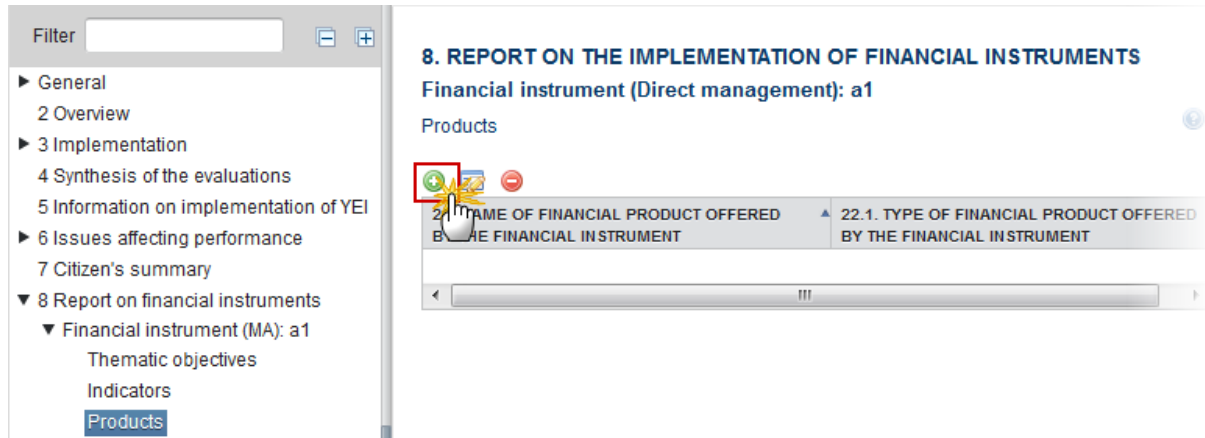
2. Enter the following information:

- (1) Select the *Output indicator*.
- (2) Enter the *Target value of the output indicator (CO2)*.
- (3) Enter the value achieved by the financial instrument in relation to the *target value of the output indicator (CO2)*.
- (4) Click on the [Update](#) link to save the information.

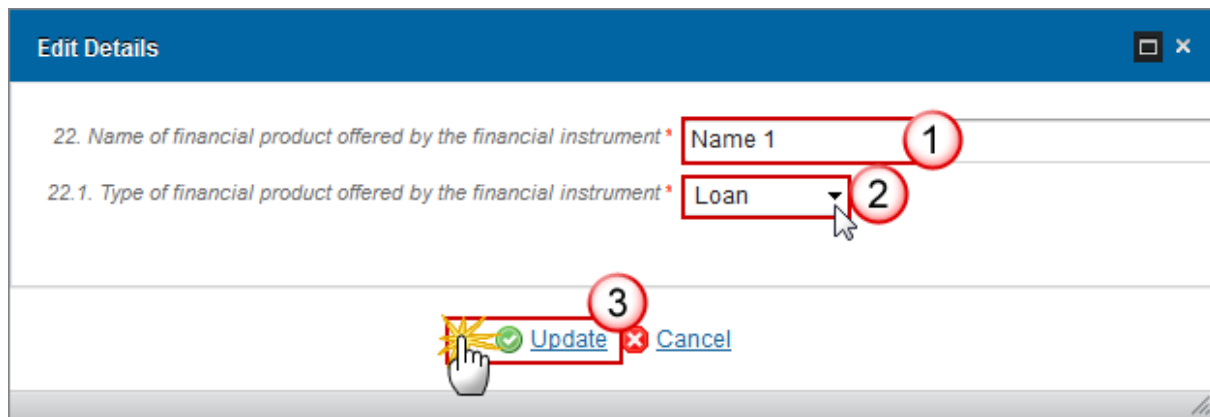
REMARK	The list of Output Indicators (41) contains all Common and Programme Specific Output Indicators used in the linked Programme version under the selected Priority Axis.
---------------	--

Products

1. Clicking on the **ADD** button  will open the Products table allowing you to enter the information.




The Edit Details pop-up window appears:



2. Enter the following information:
 - (1) Enter the *Name of financial product*
 - (2) Select the *type of financial product offered*
 - (3) Click on the [Update](#) link to save the information.

REMARK	The list of Financial Product Types (22.1) contains all the Financial Product Types relevant for the Financial Instrument Type.
---------------	---

Loan

1. Clicking on the **EDIT** button  will open the loan table allowing you to add the information.



Filter

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
 - 5 Information on implementation of YEI
- ▶ 6 Issues affecting performance
 - 7 Citizen's summary
- ▼ 8 Report on financial instruments
 - ▼ Financial instrument (MA): a1
 - Thematic objectives
 - Indicators
 - ▼ Products
 - Loan: Name 1

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Direct management): a1

Products 

Virtual amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Name 1

The table becomes editable:

PRE-AIR 2017



12

Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this loan*.

(3) Enter the *total amount of programme contributions committed in this loan out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this loan*.

(5) Enter the *total amount of programme contributions paid to final recipients through this loan out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national public co-financing (in EUR)*

(7) Enter the *total amount of programme contributions paid to final recipients through this loan out of which total amount of national private co-financing (in EUR)*

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

REMARK

The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.

2017 AIR Onwards

<input checked="" type="button" value="Update"/> <input type="button" value="Cancel"/>	
16 Amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument*	Name 1 1
22.1. Type of financial product offered by the financial instrument	L - Loan
24. Total amount of programme contributions committed in loan contracts with final recipients (in EUR)	10,000.00 2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00 3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans paid to final recipients (in EUR)	1,000.00 4
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	100.00
25.1.2. out of which Cohesion Fund (in EUR)	100.00
25.1.3. out of which ESF (in EUR)	100.00 5
25.1.4. out of which EAFRD (in EUR)	100.00
25.1.5. out of which EMFF (in EUR)	100.00
25.2. out of which total amount of national public co-financing (in EUR)	500.00 6
25.3. out of which total amount of national private co-financing (in EUR)	100.00
27. Number of loan contracts signed with final recipients	10 7
28. Number of loan investments made in final recipients	9 8

29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	9
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	10
33. Total number of disbursed loans defaulted	20	11
34. Total amount of disbursed loans defaulted (in EUR)	2,000.00	12
38.3.1. out of which public contributions (EUR)	1,000.00	13
38.3.2. out of which private contributions (EUR)	1,000.00	
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for loan, by reference to the funding agreement	1,000.00	14
39.2. Achieved leverage effect at the end of reporting year for loan		
39.3. Investment mobilised through ESIF financial instruments for loan (EUR) (Optional)	1,000.00	15

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this loan*.

(3) Enter the *total amount of programme contributions committed in this loan out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this loan*.

(5) Enter the *total amount of programme contributions paid to final recipients through this loan paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *amount of programme contributions paid to final recipients through this loan paid to final recipients out of which total amount of national public & private co-financing*.

(7) Enter the *number of loan contracts signed with final recipients*.

(8) Enter *number of loan investments made in final recipients*.

(9) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(10) Enter a *description of other type of final recipients supported*.


(11) Enter the *total number of disbursed loans defaulted*.

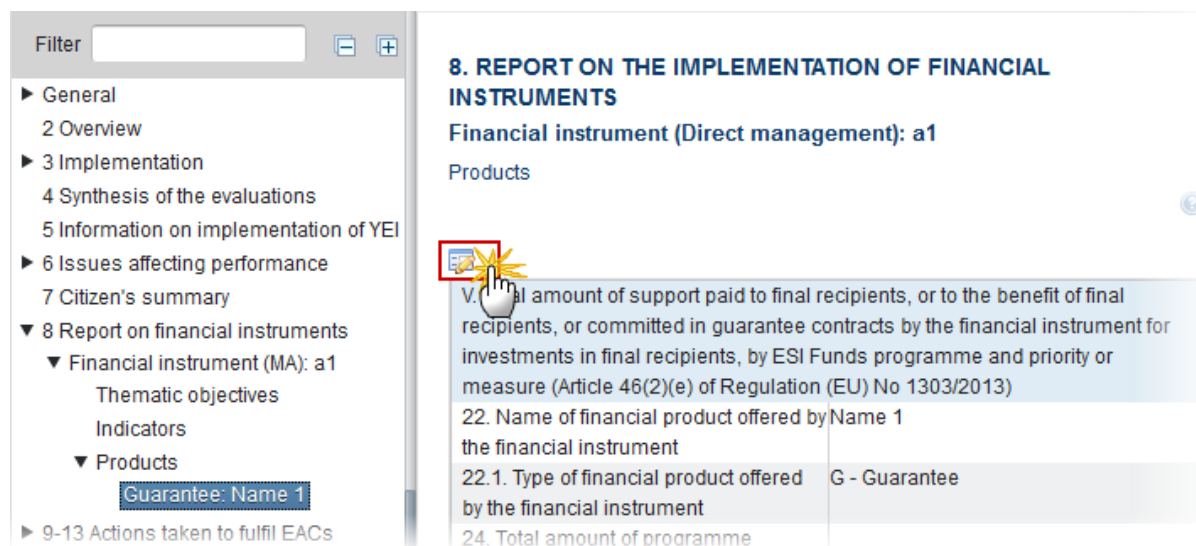
(12) Enter the *total amount of disbursed loans*.

- (13) Out of which public & private contributions.
- (14) Enter the expected leverage effect for loan.
- (15) Enter the investment mobilised through ESIF for loan.
- (16) Click on the [Update](#) link to save the information.

REMARK	The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.
---------------	--

Guarantee

1. Clicking on the **EDIT** button  will open the guarantee table allowing you to enter the information.



8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

Financial instrument (Direct management): a1

Products

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Name 1
22.1. Type of financial product offered by the financial instrument	G - Guarantee
24. Total amount of programme	

The table becomes editable:

PRE-AIR 2017



12

Update Cancel

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1	1
22.1. Type of financial product offered by the financial instrument	Equity	
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,000.00	2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00	3
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,000.00	4
25.1. out of which total amount of ESI Funds contributions (in EUR)		
25.1.1. out of which ERDF (in EUR)	100.00	
25.1.2. out of which Cohesion Fund (in EUR)	100.00	
25.1.3. out of which ESF (in EUR)		5
25.1.4. out of which EAFRD (in EUR)		
25.1.5. out of which EMFF (in EUR)		
25.2. out of which total amount of national public co-financing (in EUR)	500.00	6
25.3. out of which total amount of national	100.00	7

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported	Description	11

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this guarantee*.

(3) Enter the *amount out of which total amount of ESI Funds contributions*.

(4) Enter the *total amount of programme contributions paid to final recipients through this guarantee*.

(5) Enter the *total amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(6) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national public co-financing*.

(7) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national private co-financing*.

(8) Enter the *number of loan/guarantee/equity financial product contracts signed with final recipients in relation to the guarantee contracts signed*.

(9) Enter the *number of loan/guarantee/equity financial product investments signed with final recipients*.



(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported*.

(11) Enter a *description of other type of final recipients supported*.

(12) Click on the [Update](#) link to save the information.

REMARK	<p>The Total value of new debt finance (26.1) is only to be enabled when the value of 7.1 in the master Fund of Funds is true.</p> <p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p>
---------------	---

2017 AIR Onwards

 Update
 Cancel

16 V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)

22. Name of financial product offered by the financial instrument*	Name 1 1
22.1. Type of financial product offered by the financial instrument	G - Guarantee
24. Total amount of programme contributions committed/blocked for guarantee contracts signed (in EUR)	10,000.00 2
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,000.00
25. Total amount of programme contributions in the case of guarantee, committed for loans paid to final recipients (in EUR)	1,000.00 3
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	100.00
25.1.2. out of which Cohesion Fund (in EUR)	100.00
25.1.3. out of which ESF (in EUR)	100.00 4
25.1.4. out of which EAFRD (in EUR)	100.00
25.1.5. out of which EMFF (in EUR)	100.00
25.2. out of which total amount of national public co-financing (in EUR)	500.00 5
25.3. out of which total amount of national private co-financing (in EUR)	100.00
26. Total value of loans actually paid to final recipients in relation to the guarantee contracts signed (EUR)	100.00 6

26. Total value of loans actually paid to final recipients in relation to the guarantee contracts signed (EUR)	100.00	7
26.1. Total value of new debt finance created by the SME Initiative (CPR Art. 39 (10) b)		
27. Number of guaranteed loans signed with final recipients	10	8
28. Number of guaranteed loans paid to final recipients	9	9
29. Number of financial recipients supported by the financial product		
29.1. out of which large enterprises	12	
29.2. out of which SMEs	3	
29.2.1. out of which microenterprises	3	10
29.3. out of which individuals/natural persons	3	
29.4. out of which other type of final recipients supported	3	
29.4.1. description of other type of final recipients supported		11
33. Total number of guarantees provided and called due to the loan default	10	12
34. Total amount committed for guarantees provided and called due to loan default (in EUR)	1,000.00	13
39. Expected and achieved leverage effect, by reference to the funding agreement		
39.1. Expected leverage effect for guarantee, by reference to the funding agreement	1,000.00	14
39.2. Achieved leverage effect at the end of reporting year for guarantee		
39.3. Investment mobilised through ESIF financial instruments for guarantee (Optional)	1,000.00	15

2. Enter the following information:

(1) Enter the *Name of financial product*.

(2) Enter the *total amount of programme contributions committed in this guarantee and out of which total amount of ESI Funds contributions*.

(3) Enter the *total amount of programme contributions in the case of guarantee, committed for loans paid to final recipients*.

(4) Enter the *total amount of programme contributions paid to final recipients through this equity paid to final recipients out of which ERDF, Cohesion Fund, ESF, EAFRD, EMFF (in EUR)*

(5) Enter the *amount of programme contributions paid to final recipients through this guarantee paid to final recipients out of which total amount of national public co-financing & private co-financing*.

(6) Enter the *total number number of loans paid to final recipients in relation to the guarantee contracts signed*.

(7) Enter the *total value of new debt finance created by the SME initiative*.

(8) Enter the *number of loans signed with final recipients*.

(9) Enter the *number of loans paid to final recipients*.

(10) Enter the *number of financial recipients supported by the financial product out of which large enterprises, out of which SMEs, out of which microenterprises, out of which individuals/natural persons, out of which other type of final recipients supported.*

(11) Enter a *description of other type of final recipients supported.*

(12) Enter the *total number provided and called due to the loan default.*

(13) Enter the *total amount committed for guarantees provided and called due to loan default.*

(14) Enter the *expected leverage effect.*

(15) Enter the *investment mobilised through ESIF financial instruments.*

(16) Click on the [Update](#) link to save the information.


REMARK	<p>The Total value of new debt finance (26.1) is only to be enabled when the value of 7.1 in the master Fund of Funds is true.</p> <p>The Description of other type of financial recipients (29.4.1) is only to be enabled when the value of 29.4 > 0.</p> <p>Elements 33, 34, 38, 38.3.1, 38.3.2, 39, 39.1, 39.2, 39.3 and 40 will not occur anymore under the Financial Instrument but under the Product(s).</p>
---------------	---

8. Progress of Major Projects & Joint Action Plans

Progress in preparation and implementation of Major Projects and Joint Action Plans (JAP)

8.1 Major Projects

Table 7: Major Projects

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the Major Projects.

Filter

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- 6 Citizen's summary
- 7 Report on financial instruments
- ▼ 8 Progress of major projects & joint action plans
 - ▼ 8.1 Major projects
 - Table7 Major projects**
 - Problems encountered

8. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS

8.1. Major projects

Table 7: Major projects

PROJECT	CCI	STATUS OF MP	

The Edit Details pop-up window appears:

REMARK	<p>All Major Project records from the linked Programme version will be automatically created at creation time. Unfortunately, these do not contain a CCI number and even now it is not yet certain that all Major Project CCI numbers are allocated.</p> <p>The list of CCIs contains all allocated Major Project CCIs for the same country as the Programme.</p> <p>Please check that <u>all Major Projects</u> are included on this Table.</p> <p>All fields are mandatory if the Project is not withdrawn.</p>
---------------	---

Project * project1

CCI 2015DE16M1MP003

Status of MP * 3 - Submitted

Total investments * 100,000.00

Total eligible costs * 5,000.00

Date of tacit agreement/approval by Commission 02/03/16

Current state of realisation - Financial progress (% of expenditure certified to commission compared to total eligible cost) * 25.00

Current state of realisation - physical progress Main implementation stage of the project * 3 - Construction

Main outputs * Main outputs

Date of signature of first works contract 06/03/18

Observations Observations

Update Cancel

2. Enter or select the following information:

(1) Select the *CCI*

The list of CCIs contains all allocated Major Project CCIs for the same country as the Programme.

(2) Select the *Status of MP*

1 - Completed

2 - Approved

3 - Submitted

4 - Planned for notification/submission to EC

(3) Enter the *Total investments*

(4) Enter the *Total eligible costs*

(5) Select the *Date of tacit agreement/approval by Commission*

(6) Enter the *current state of realisation - Financial progress*

(7) Select the *Current state of realisation - physical progress Main implementation stage of the project*


- 1 - Completed/In operation
- 2 - Advanced construction
- 3 - Construction
- 4 - Procurement
- 5 - Design

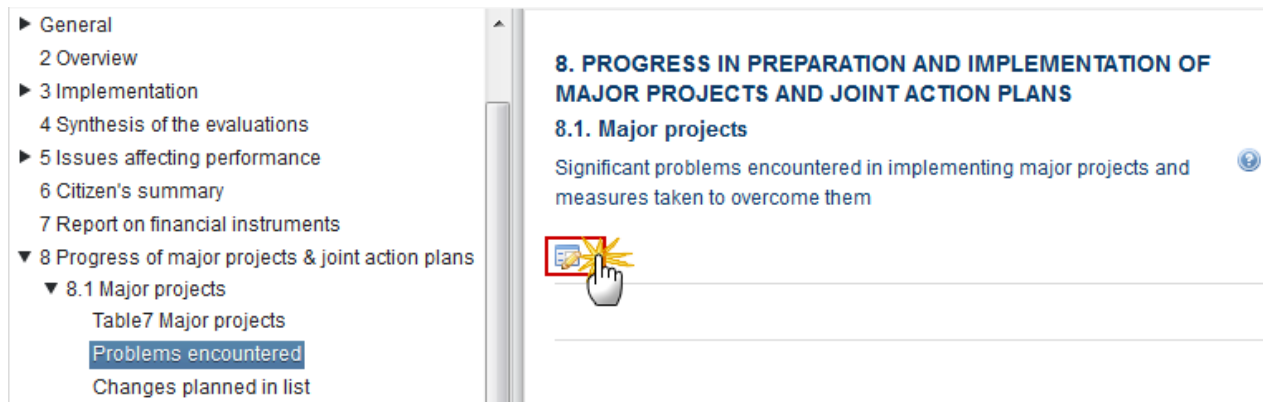
- (8) Enter the *Main outputs*
- (9) Enter the *Date of signature of first works contract*
- (10) Enter the *observations*
- (11) Click on [Update](#) to save the information

NOTE	<p>Some fields are mandatory depending of the Status of MP:</p> <ul style="list-style-type: none"> - when "5 - Withdrawn and kept as a non-major project in the OP" or "6 - Withdrawn and taken out of the OP": Project, Status of MP - when "4 - Planned for notification/submission to EC": Project, Status of MP, Total investments, Total eligible costs, Planned notification/submission date, Planned start of implementation, Planned completion date, Priority axis/investment priority, Current state of realization financial progress (%), Current state of realization physical progress , Main outputs. - when "3 - submitted" or "2 - approved" or "1 - completed": Project, CCI, Status of MP, Total investments, Total eligible costs, Planned notification/submission date, Planned start of implementation, Planned completion date, Priority axis/investment priority, Current state of realization financial progress (%), Current state of realization physical progress , Main outputs, Date of signature of first works contract.
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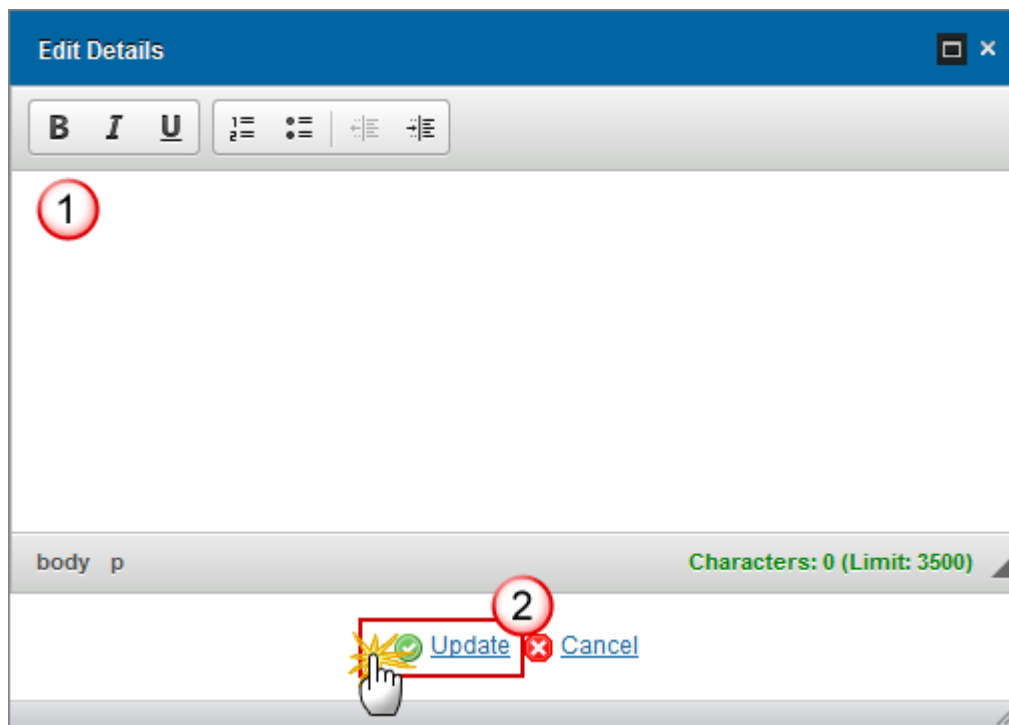
Problems encountered

Significant problems encountered in implementing major projects and measures taken to overcome them

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the significant problems and measures taken.



The Edit Details pop-up window appears:




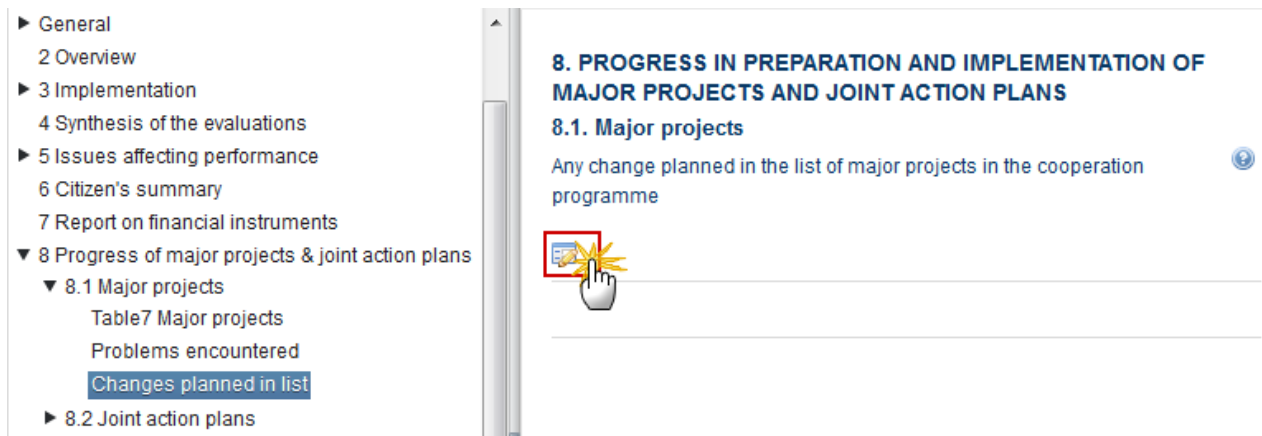
2. Enter the following information:

- (1) Provide a description of *the problems and measures taken*.
- (2) Click on the [Update](#) link to save the information.

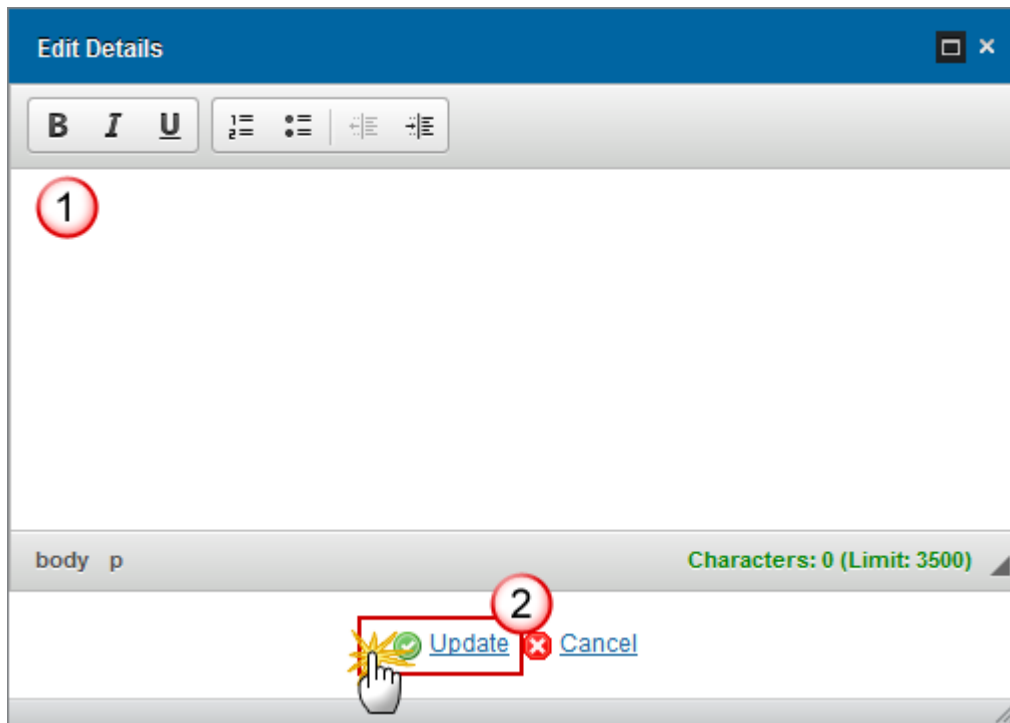
Changes planned in list

Any change planned in the list of major projects in the cooperation programme

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter any change planned in the list of MP.



The Edit Details pop-up window appears:




2. Enter the following information:
(1) Provide a description of *the changes planned*.

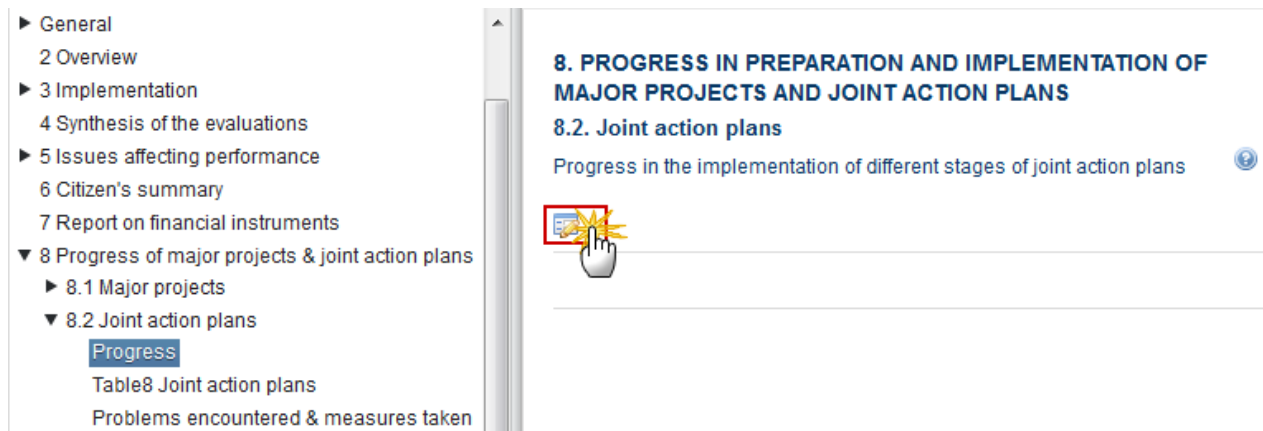
(2) Click on the [Update](#) link to save the information.

8.2 Joint action plans

Progress

Progress in the implementation of different stages of joint action plans

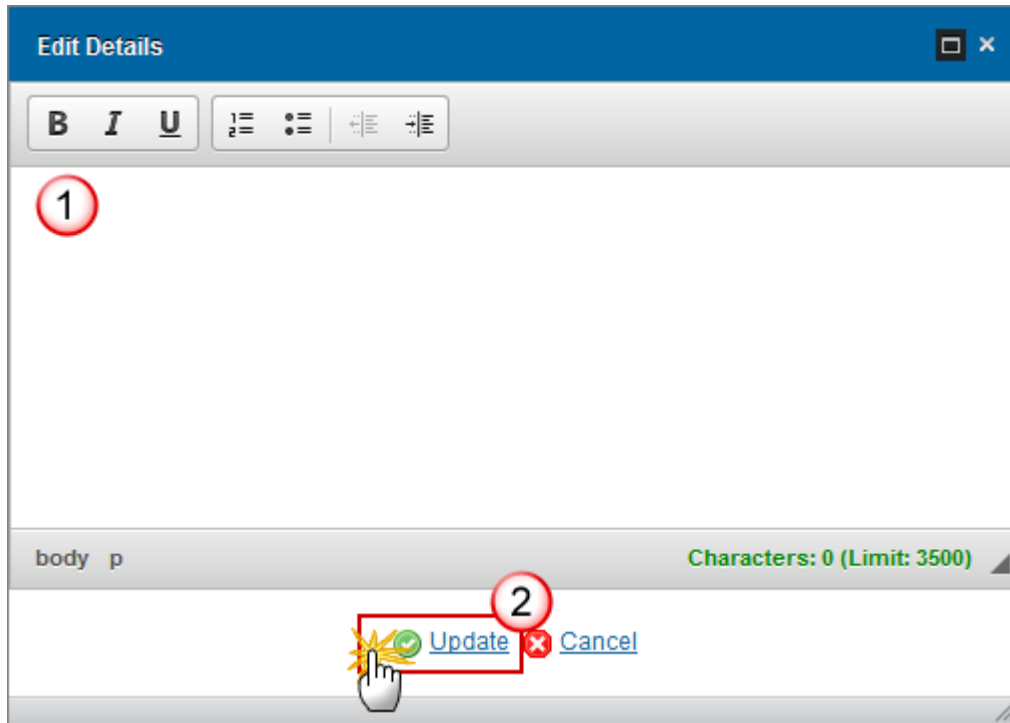
1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the progress of joint action plans.



▶ General
2 Overview
▶ 3 Implementation
4 Synthesis of the evaluations
▶ 5 Issues affecting performance
6 Citizen's summary
7 Report on financial instruments
▼ 8 Progress of major projects & joint action plans
▶ 8.1 Major projects
▼ 8.2 Joint action plans
 Progress
 Table8 Joint action plans
 Problems encountered & measures taken

8. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS
8.2. Joint action plans
Progress in the implementation of different stages of joint action plans

The Edit Details pop-up window appears:




2. Enter the following information:

- (1) Provide a description of *the progress of joint action plans*.
- (2) Click on the [Update](#) link to save the information.

Table 8: Joint Action Plans


REMARK	<p>There are no JAPs in the Programme, so they will have to be inserted manually. It is possible that not all JAPs have already a CCI number allocated.</p> <p>The list of Priority Axes contains all Priority Axes from the linked Programme version.</p>
---------------	--

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the joint action plans.

► General
2 Overview
► 3 Implementation
4 Synthesis of the evaluations
► 5 Issues affecting performance
6 Citizen's summary
7 Report on financial instruments
▼ 8 Progress of major projects & joint action plans
► 8.1 Major projects
▼ 8.2 Joint action plans
Progress
Table8 Joint action plans
Problems encountered & measures taken

8. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS

8.2. Joint action plans

Table 8: Joint action plans (JAP) 

NAME OF THE JAP	CCI	STAGE OF IMPLEMENTATION OF JAP	TOTAL ELIGIBLE COSTS
[Empty table body with a scrollbar]			

The Edit Details pop-up window appears:

Edit Details

Title of the JAP * 1

CCI 2

Stage of implementation of JAP * 3

Total eligible costs * 4

Total public support * 5

OP contribution amount * 6

Priority axis * 7

Type of JAP * 8

(Planned) Submission to the commission * 9

(Planned) Start of implementation * 10

(Planned) Completion * 11

Main outputs and results * 12

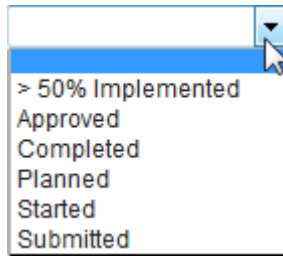
Total eligible expenditure certified to the commission * 13

Observations * 14

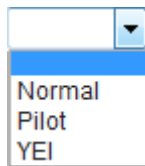
15

2. Enter the following information:

- (1) Enter the *Title of the JAP*
- (2) Select the CCI
- (3) Select the *Stage of implementation of JAP*




- (4) Enter the *Total eligible costs*
- (5) Enter the *Total public support*
- (6) Enter the *OP contribution amount*
- (7) Select the *Priority Axis*
- (8) Select the *Type of JAP*

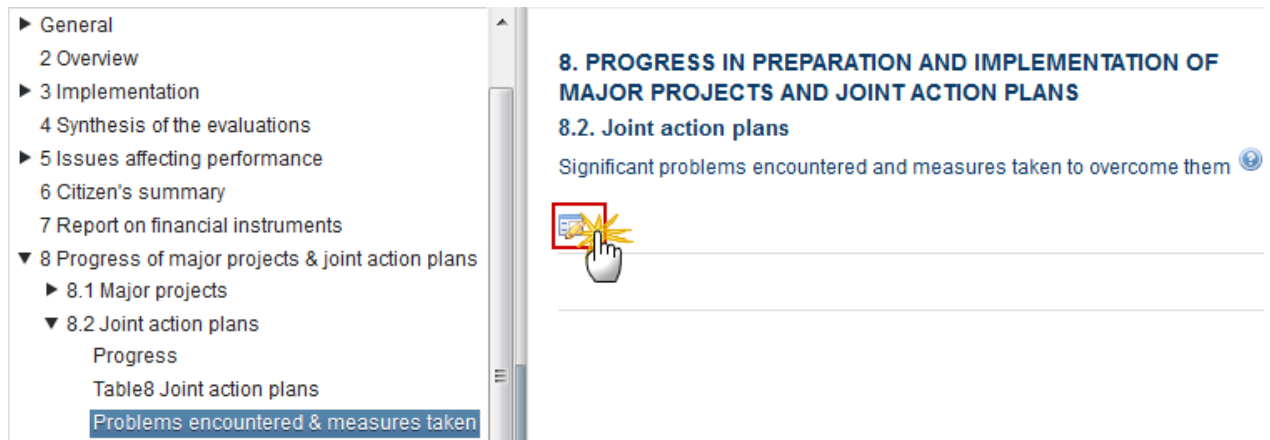


- (9) Select the *planned date submission to the Commission*
- (10) Select the *start date of implementation*
- (11) Select the *planned date completion*
- (12) Enter the *main outputs and results*
- (13) Enter the *Total eligible expenditure certified to the commission*
- (14) Enter the *Observations*
- (15) Click on the [Update](#) link to save the information.

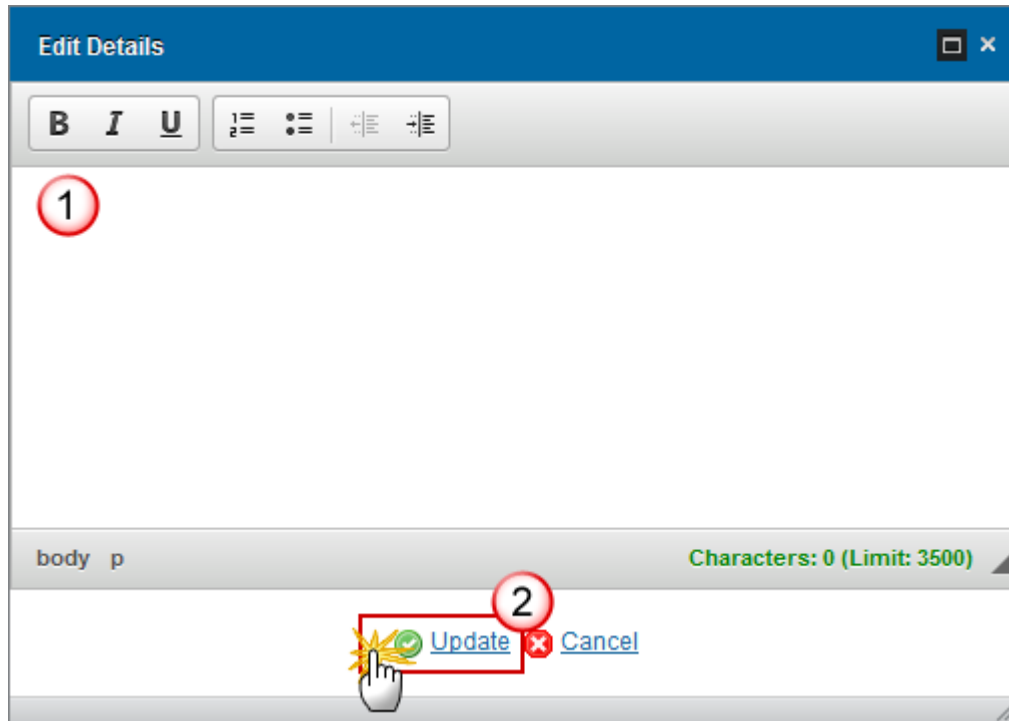
Problems encountered & measures taken

Significant problems encountered and measures taken to overcome them

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the problems and measures taken.



The Edit Details pop-up window appears:



2. Enter the following information:

- (1) Provide a description of *the problems encountered and measures taken*
- (2) Click on the [Update](#) link to save the information.

9. Assessment of implementation of OP


ASSESSMENT OF THE IMPLEMENTATION OF THE COOPERATION PROGRAMME

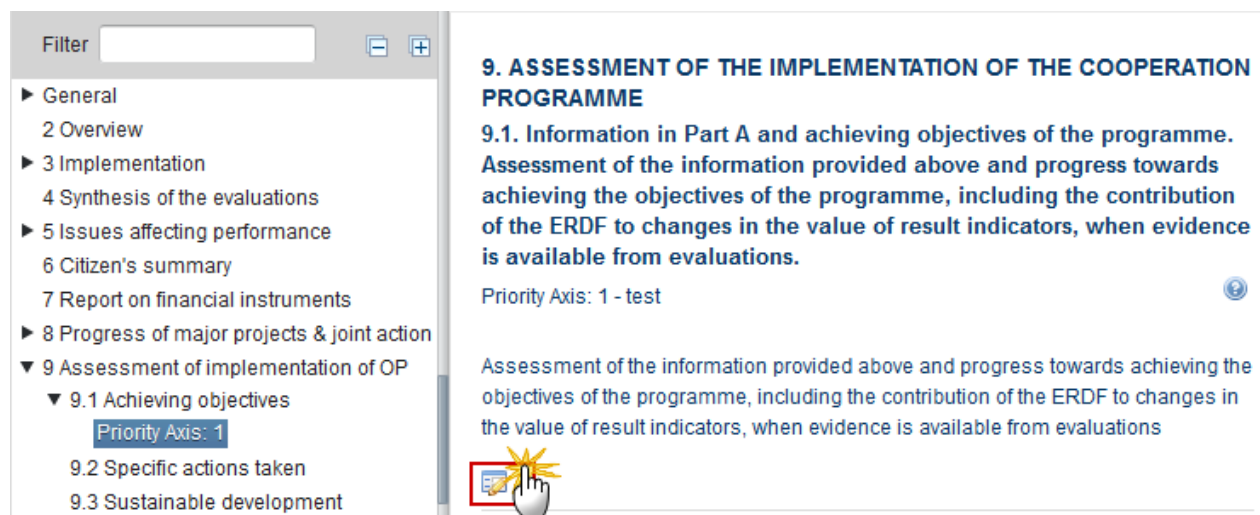
NOTE	In AIRs 2017, 2019, 2020, 2021 and 2022 the following sections and their sub-sections are shown in the print, but are not shown in the web because they are not editable : 9, 10, 11.1, 11.2, 11.4, 12, 13, 14.
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9.1 Achieving objectives

Information in Part A and achieving objectives of the programme. Assessment of the information provided above and progress towards achieving the objectives of the programme, including the contribution of the ERDF to changes in the value of result indicators, when evidence is available from evaluations.

Priority Axis: 1

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the information and achieving objectives of the programme.



Filter

- ▶ General
- 2 Overview
- ▶ 3 Implementation
- 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- 6 Citizen's summary
- 7 Report on financial instruments
- ▶ 8 Progress of major projects & joint action
- ▼ 9 Assessment of implementation of OP
 - ▼ 9.1 Achieving objectives
 - Priority Axis: 1**
 - 9.2 Specific actions taken
 - 9.3 Sustainable development

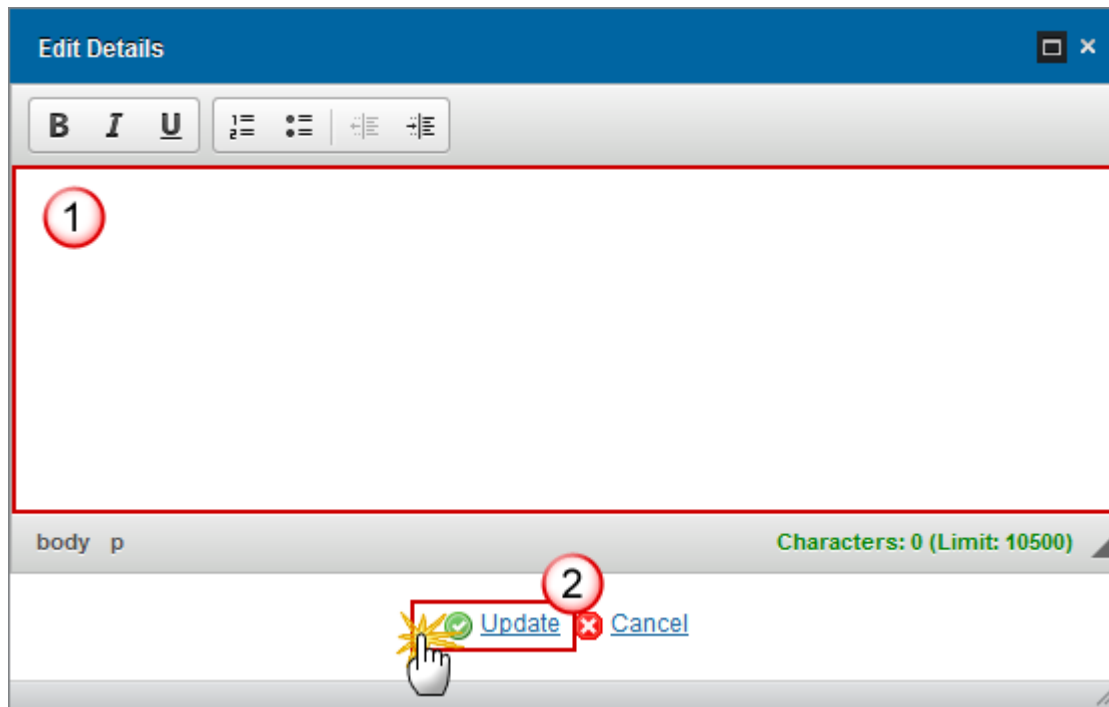
9. ASSESSMENT OF THE IMPLEMENTATION OF THE COOPERATION PROGRAMME

9.1. Information in Part A and achieving objectives of the programme. Assessment of the information provided above and progress towards achieving the objectives of the programme, including the contribution of the ERDF to changes in the value of result indicators, when evidence is available from evaluations.

Priority Axis: 1 - test

Assessment of the information provided above and progress towards achieving the objectives of the programme, including the contribution of the ERDF to changes in the value of result indicators, when evidence is available from evaluations

The Edit Details pop-up window appears:




2. Enter the following information:

- (1) Provide a description towards achieving the objectives.
- (2) Click on the [Update](#) link to save the information.

9.2 Specific actions taken

Specific actions taken to promote equality between men and women and to promote non-discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the cooperation programme and operations.

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the specific actions taken.

Filter

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- ▶ 6 Citizen's summary
- ▶ 7 Report on financial instruments
- ▶ 8 Progress of major projects & joint action
- ▼ 9 Assessment of implementation of OP
 - ▼ 9.1 Achieving objectives
 - Priority Axis: 1
 - 9.2 Specific actions taken
 - 9.3 Sustainable development
 - 9.4 Support used for climate change ob.
 - 9.5 Role of partners
- ▶ 10 Obligatory information and assessment
- ▶ 11 Additional information

9. ASSESSMENT OF THE IMPLEMENTATION OF THE COOPERATION PROGRAMME

9.2. Specific actions taken to promote equality between men and women and to promote non-discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the cooperation programme and operations

An assessment of the implementation of specific actions to take into account the principles set out in Article 7 of Regulation (EU) No 1303/2013 on promotion of equality between men and women and non-discrimination, including, where relevant, depending on the content and objectives of the cooperation programme, an overview of specific actions taken to promote equality between men and women and to promote non-discrimination, including accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the cooperation programme and operations

The Edit Details pop-up window appears:

Edit Details [Close]

B I U [Bulleted List] [Numbered List] [Indent] [Outdent]

1

body p Characters: 0 (Limit: 3500)


[Update] [Cancel]

2. Enter the following information:

(1) Provide a description of *the actions taken*

(2) Click on the [Update](#) link to save the information.

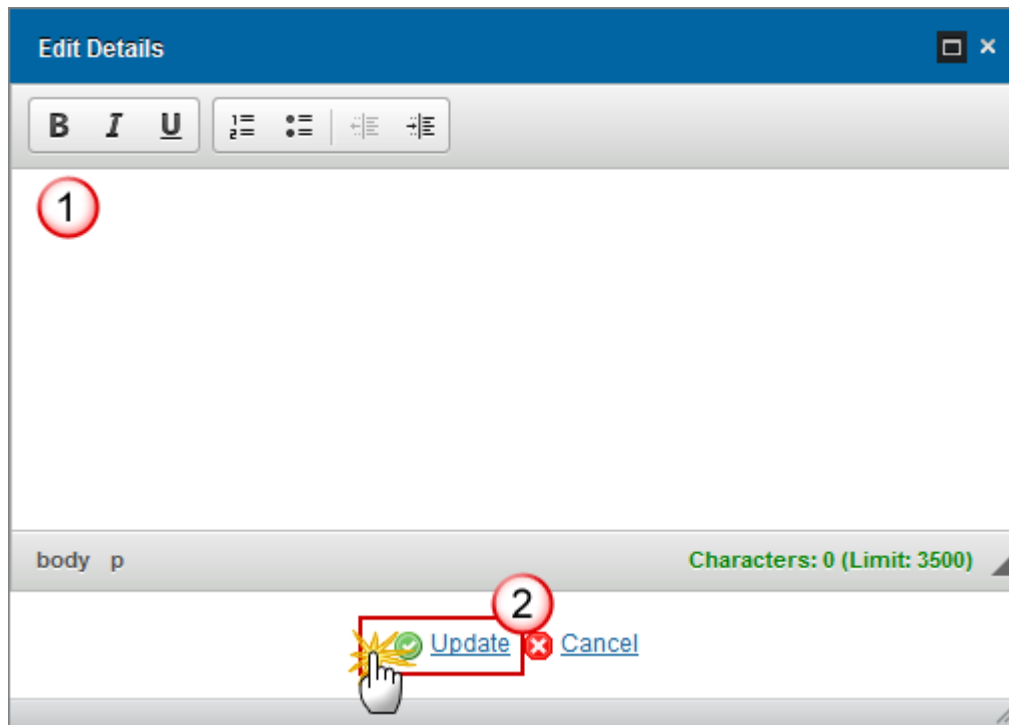
9.3 Sustainable development

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the actions on sustainable development.



The screenshot displays a web interface with a left-hand navigation menu and a main content area. The navigation menu includes a 'Filter' input field and a list of sections: 'General', '2 Overview', '3 Implementation', '4 Synthesis of the evaluations', '5 Issues affecting performance', '6 Citizen's summary', '7 Report on financial instruments', '8 Progress of major projects & joint action', '9 Assessment of implementation of OP', and its sub-items '9.1 Achieving objectives', '9.2 Specific actions taken', '9.3 Sustainable development' (which is highlighted with a blue background), and '9.4 Support used for climate change obj'. The main content area features the heading '9. ASSESSMENT OF THE IMPLEMENTATION OF THE COOPERATION PROGRAMME' and the sub-section '9.3. Sustainable development'. Below the heading is a paragraph of text: 'An assessment of the implementation of actions to take into account the principles set out in Article 8 of Regulation (EU) No 1303/2013 on sustainable development, including, where relevant, depending on the content and objectives of the cooperation programme, an overview of the actions taken to promote sustainable development in accordance with that Article'. A red box highlights an 'EDIT' button (represented by a document icon) located below the text, with a hand cursor pointing at it.


The Edit Details pop-up window appears:



2. Enter the following information:

- (1) Provide a description of *the actions taken*
- (2) Click on the [Update](#) link to save the information.

9.4 Support used for climate change objectives

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the actions **on support for climate change objectives**.

9. ASSESSMENT OF THE IMPLEMENTATION OF THE COOPERATION PROGRAMME

9.4. Reporting on support used for climate change objectives ?

Calculated amount of support to be used for climate change objectives based on the cumulative financial data by category of intervention in Table 7

PRIORITY AXIS	AMOUNT OF SUPPORT TO BE USED FOR CLIMATE CHANGE OBJECTIVES (EUR)	PROPORTION OF TOTAL ALLOCATION TO THE OPERATIONAL PROGRAMME (%)
Total	0.00	0.00%



The Edit Details pop-up window appears:


2. Enter the following information:

- (1) Provide a description of *the actions taken*.
- (2) Click on the [Update](#) link to save the information.

REMARK	<p>The "Amount of Support to be used for Climate Change Objectives" is calculated by multiplying the Union Support of the Priority Axis with a Climate Change Rate.</p> <p>Get the Union Support and the Climate Change Rate from the Intervention Fields defined in Table 5 (Comes from Financial Data Table 2). Since in Table 5 there is no Union Support but only a Total Eligible Cost and a Public Eligible Cost per PA/Fund, multiply either of these two (based on the calculation basis of the PA/Fund) with the co-financing rate of the PA/Fund in Table 16 of the linked Programme version.</p> <p>If the Climate Change Rate for an Intervention Field = 0, then check that all Investment Priorities of the Priority Axis are related to TO4 or TO5 only. Only in this case, apply 40% as co-financing rate.</p> <p>We only show those Priority Axes which have a calculated amount > 0.</p> <p>The "Proportion of total allocation to the cooperation programme" is calculated by dividing the "Amount of support to be used for climate change objectives" by the Total Union Support (A) of Table 16 of the linked Programme version.</p>
---------------	---

9.5 Role of partners

Role of partners in the implementation of the cooperation programme

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the actions to take into account the role of partners.




Filter

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- 6 Citizen's summary
- 7 Report on financial instruments
- ▶ 8 Progress of major projects & joint action plans
- ▼ 9 Assessment of implementation of OP
 - ▶ 9.1 Achieving objectives
 - 9.2 Specific actions taken
 - 9.3 Sustainable development
 - 9.4 Support used for climate change objectives
 - 9.5 Role of partners**

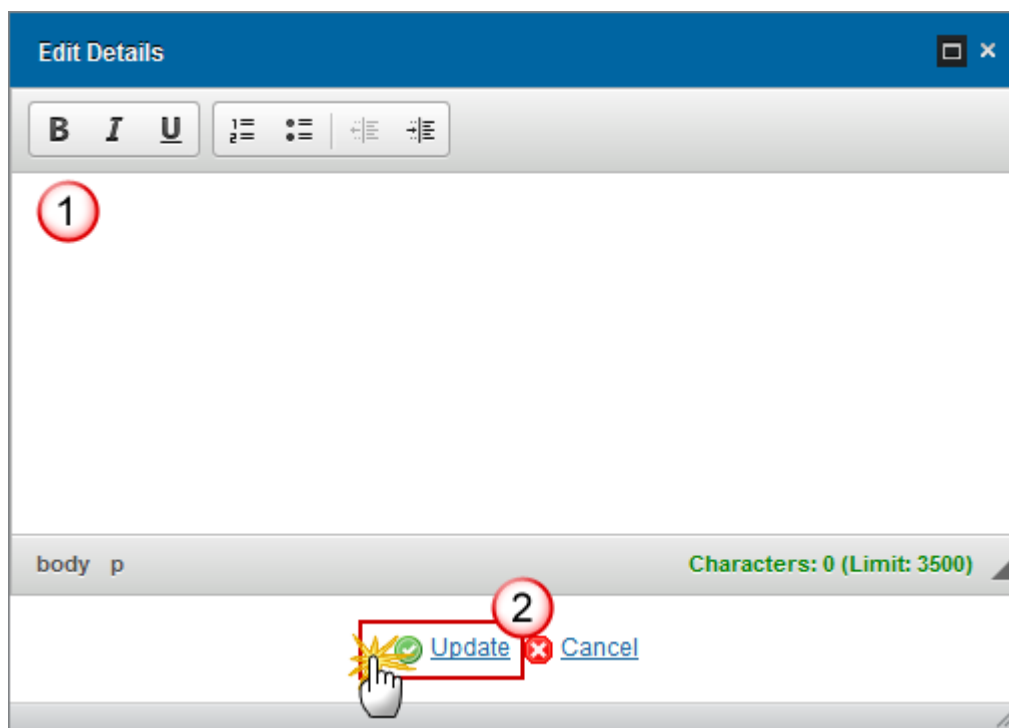
9. ASSESSMENT OF THE IMPLEMENTATION OF THE COOPERATION PROGRAMME

9.5.Role of partners in the implementation of the cooperation programme

Assessment of the implementation of actions to take into account the role of partners referred to in Article 5 of Regulation (EU) No 1303/2013, including involvement of the partners in the implementation, monitoring and evaluation of the cooperation programme



The Edit Details pop-up window appears:



2. Enter the following information:


- (1) Provide a description of *the actions taken*.
- (2) Click on the [Update](#) link to save the information.

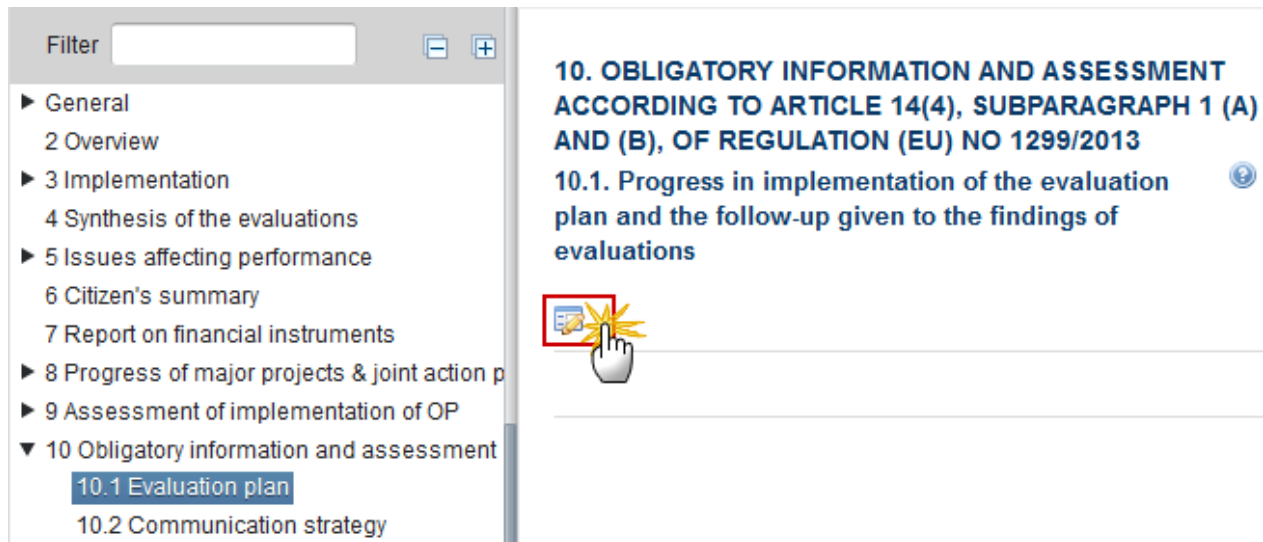
10. Obligatory information and assessment

OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 14(4), SUBPARAGRAPH 1 (A) AND (B), OF REGULATION (EU) NO 1299/2013

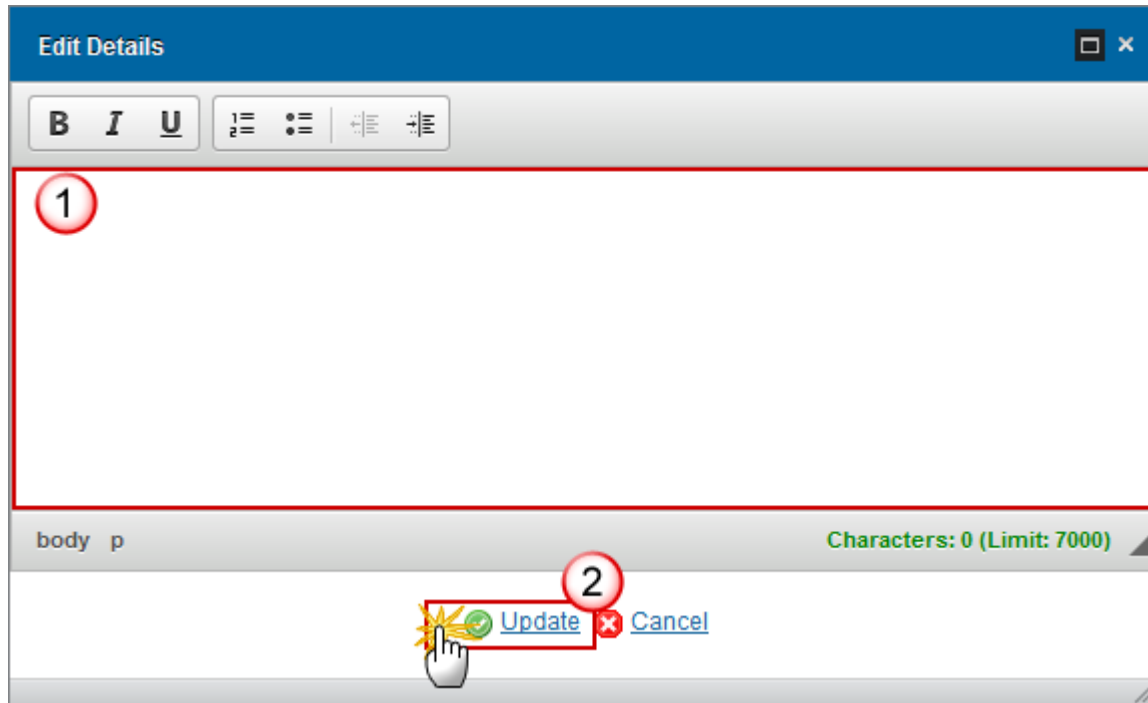
NOTE	In AIRs 2017, 2019, 2020, 2021 and 2022 the following sections and their sub-sections are shown in the print, but are not shown in the web because they are not editable : 9, 10, 11.1, 11.2, 11.4, 12, 13, 14.
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10.1 Evaluation Plan

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the progress in implementation of the evaluation plan.




The Edit Details pop-up window appears:

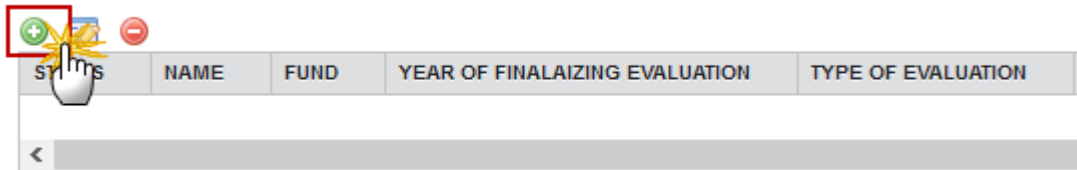


2. Enter the following information:

(1) Provide a description of *the progress in implementation of the evaluation plan*.

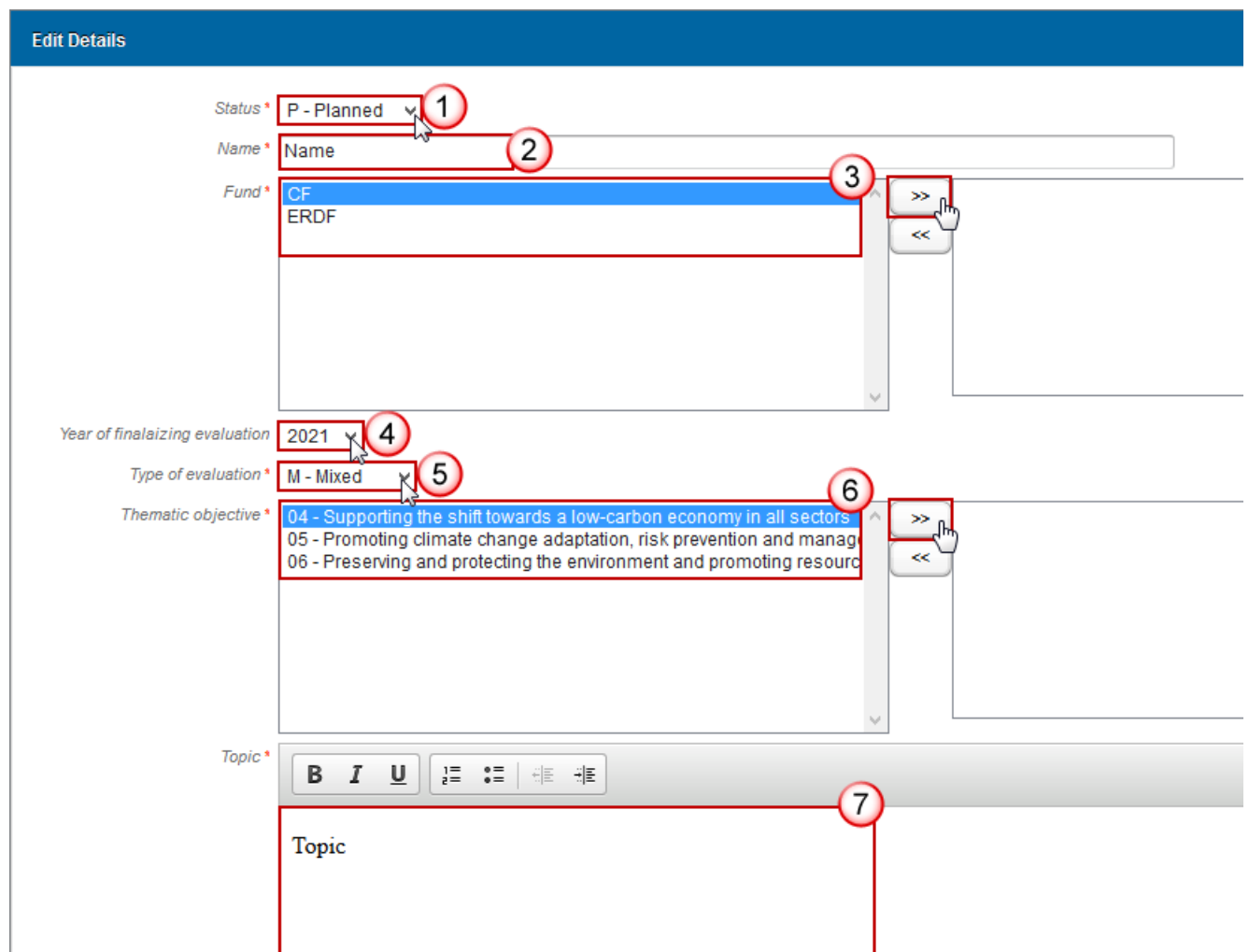
(2) Click on the [Update](#) link to save the information.

3. Click on the **ADD** button  to add the information in the evaluation table.



SYMBOLS	NAME	FUND	YEAR OF FINALIZING EVALUATION	TYPE OF EVALUATION
<				

The Edit Details pop-up window appears:



Edit Details

Status * P - Planned **1**

Name * Name **2**

Fund * CF
ERDF **3**

Year of finalizing evaluation 2021 **4**

Type of evaluation * M - Mixed **5**

Thematic objective * 04 - Supporting the shift towards a low-carbon economy in all sectors
05 - Promoting climate change adaptation, risk prevention and manag
06 - Preserving and protecting the environment and promoting resourc **6**

Topic * **7**

B I U [List icons]

Findings (in case of executed)

B I U [List Icons] [List Icons]

8

body p


Follow up (in case of executed)

B I U [List Icons] [List Icons]

9

body p

10

 Update Cancel

4. Select the following information:

- (1) Select the *Status*
- (2) Enter the *Name*
- (3) Select the *Funds*

The Funds list contains all Funds covered by the Programme.

- (4) Select the *year of finalizing evaluation*
- (5) Select the *type of evaluation*

(6) Select the *Thematic Objectives*

The Thematic Objectives list contains all Thematic Objectives covered by the Programme.


(7) Enter the *topic*

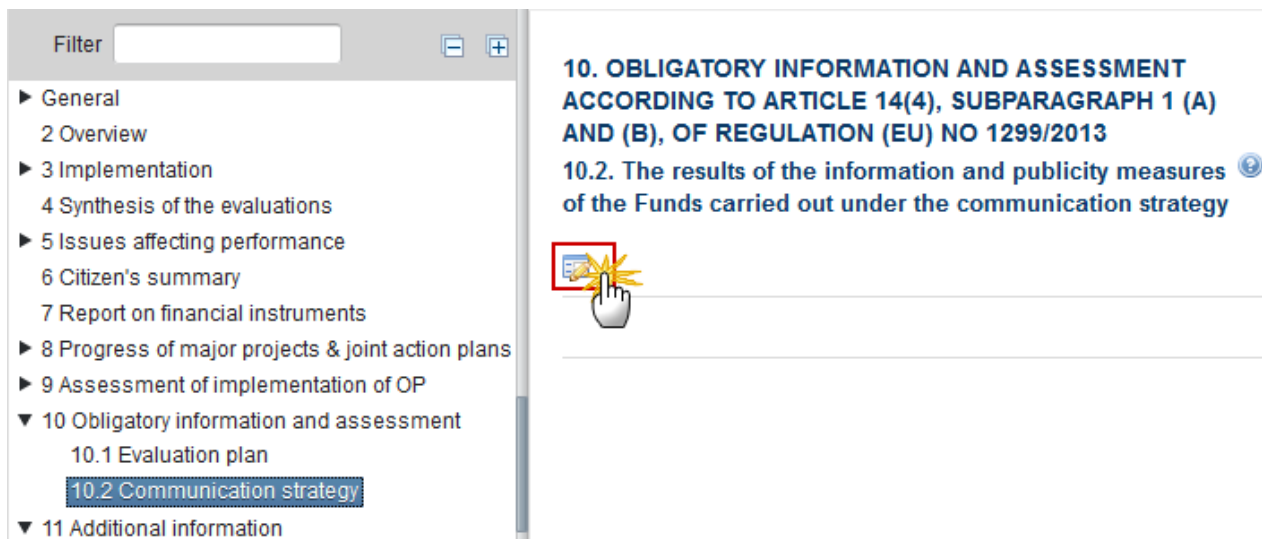
(8) Enter the *findings*

(9) Enter the *follow up* explanation

(10) Click on the [Update](#) link to save the information.

10.2 Communication strategy

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the results under the communication strategy.





Filter

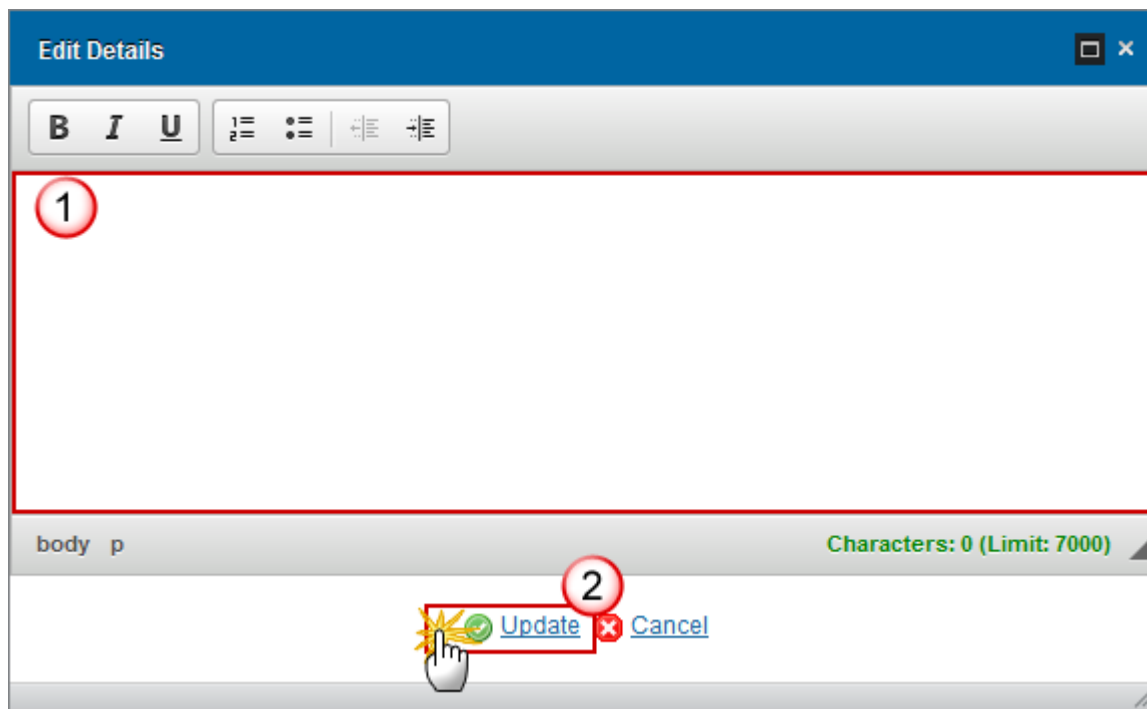
- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- 6 Citizen's summary
- 7 Report on financial instruments
- ▶ 8 Progress of major projects & joint action plans
- ▶ 9 Assessment of implementation of OP
- ▼ 10 Obligatory information and assessment
 - 10.1 Evaluation plan
 - 10.2 Communication strategy**
- ▼ 11 Additional information

10. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 14(4), SUBPARAGRAPH 1 (A) AND (B), OF REGULATION (EU) NO 1299/2013

10.2. The results of the information and publicity measures of the Funds carried out under the communication strategy

The Edit Details pop-up window appears:



2. Enter the following information:


- (1) Provide a description of *the communication strategy*.
- (2) Click on the [Update](#) link to save the information.

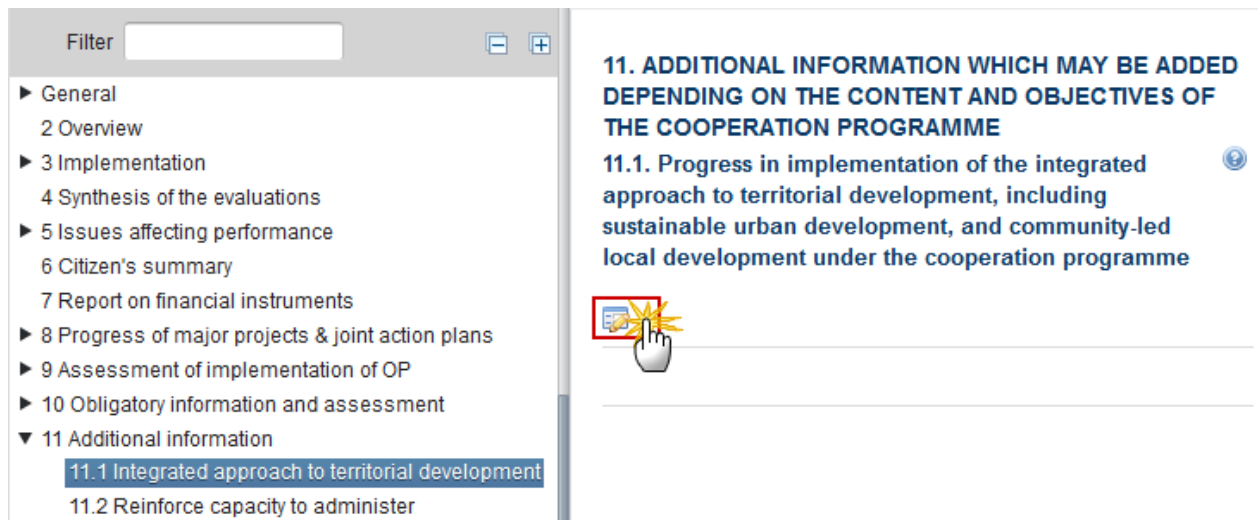
11. Additional information

ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE COOPERATION PROGRAMME

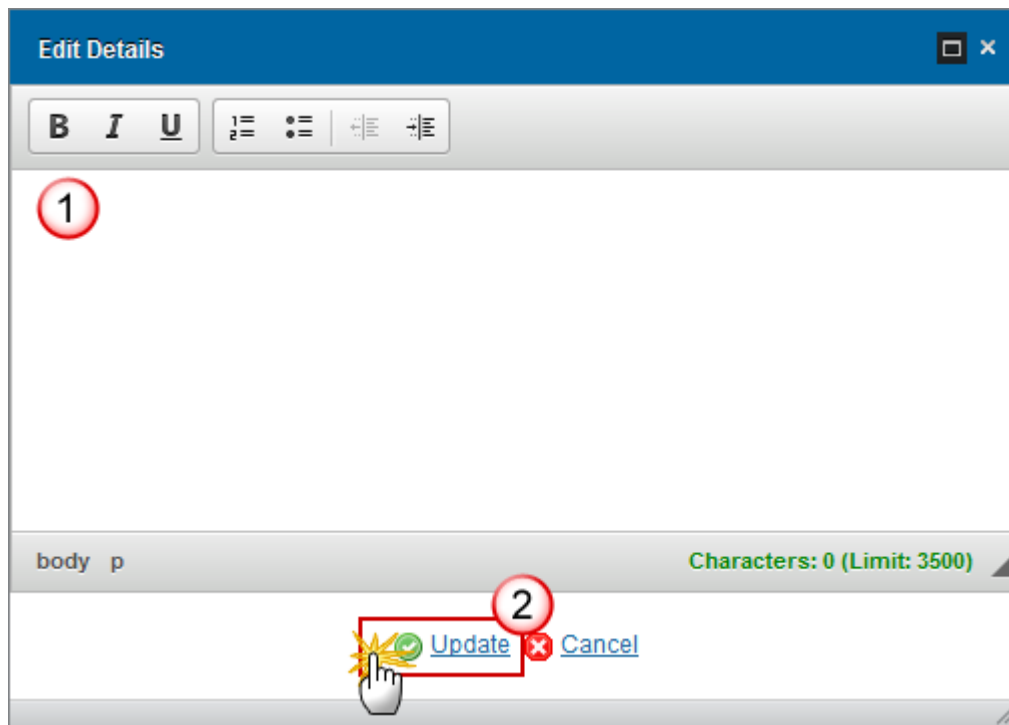
11.1 Integrated approach to territorial development

NOTE	In AIRs 2017, 2019, 2020, 2021 and 2022 the following sections and their sub-sections are shown in the print, but are not shown in the web because they are <u>not editable</u> : 9, 10, 11.1, 11.2, 11.4, 12, 13, 14.
-------------	--

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the progress in implementation of the integrated approach to territorial development.



The Edit Details pop-up window appears:




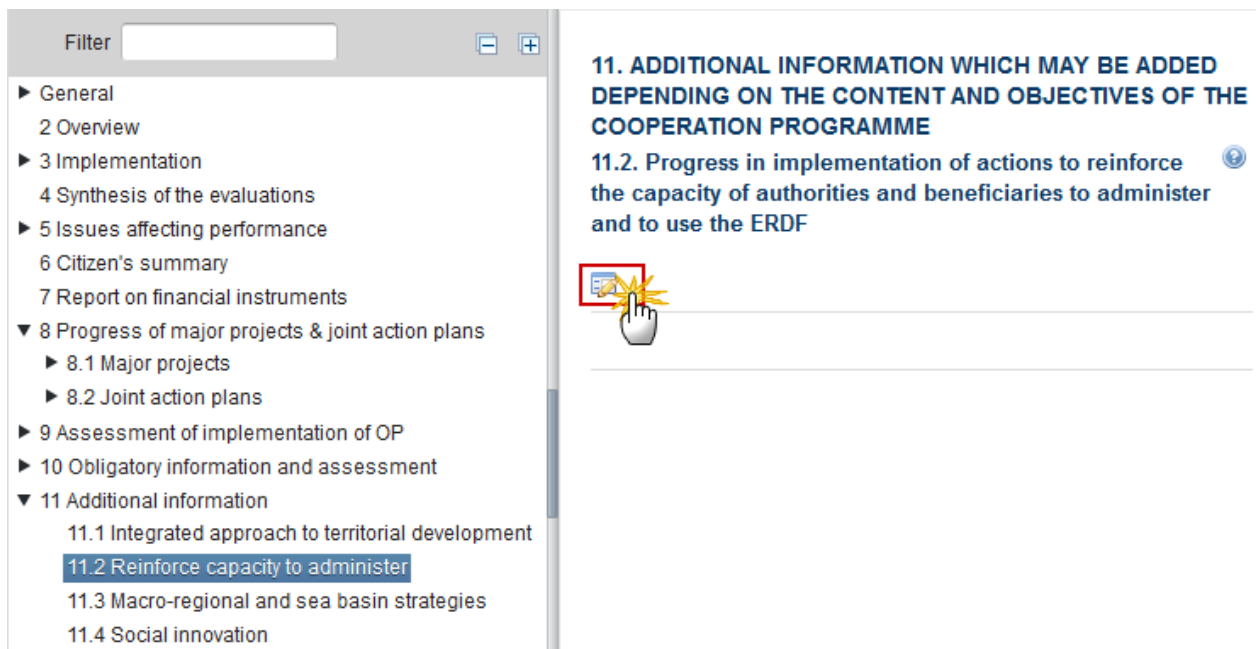
2. Enter the following information:

- (1) Provide a description for the progress in implementation of the integrated approach to territorial development.
- (2) Click on the [Update](#) link to save the information.

11.2 Reinforce capacity to administer

NOTE	In AIRs 2017, 2019, 2020, 2021 and 2022 the following sections and their sub-sections are shown in the print, but are not shown in the web because they are not editable : 9, 10, 11.1, 11.2, 11.4, 12, 13, 14.
-------------	---

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter the progress in implementation of actions to reinforce the capacity of authorities to administer and to use the ERDF.




Filter

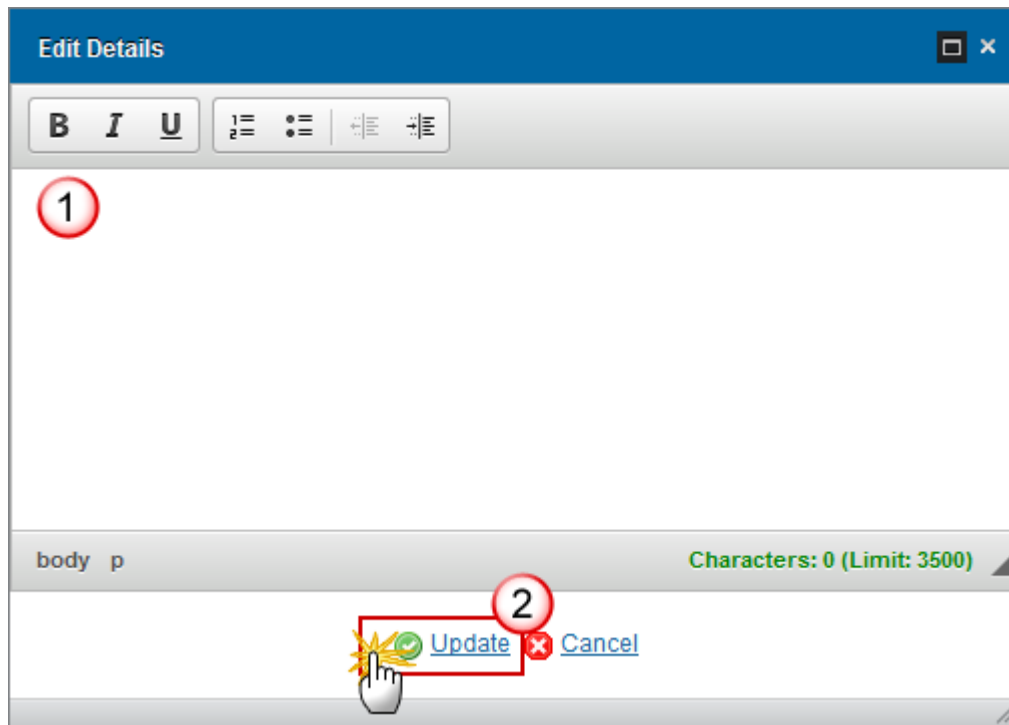
- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- 6 Citizen's summary
- 7 Report on financial instruments
- ▼ 8 Progress of major projects & joint action plans
 - ▶ 8.1 Major projects
 - ▶ 8.2 Joint action plans
- ▶ 9 Assessment of implementation of OP
- ▶ 10 Obligatory information and assessment
- ▼ 11 Additional information
 - 11.1 Integrated approach to territorial development
 - 11.2 Reinforce capacity to administer**
 - 11.3 Macro-regional and sea basin strategies
 - 11.4 Social innovation

11. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE COOPERATION PROGRAMME

11.2. Progress in implementation of actions to reinforce the capacity of authorities and beneficiaries to administer and to use the ERDF




The Edit Details pop-up window appears:

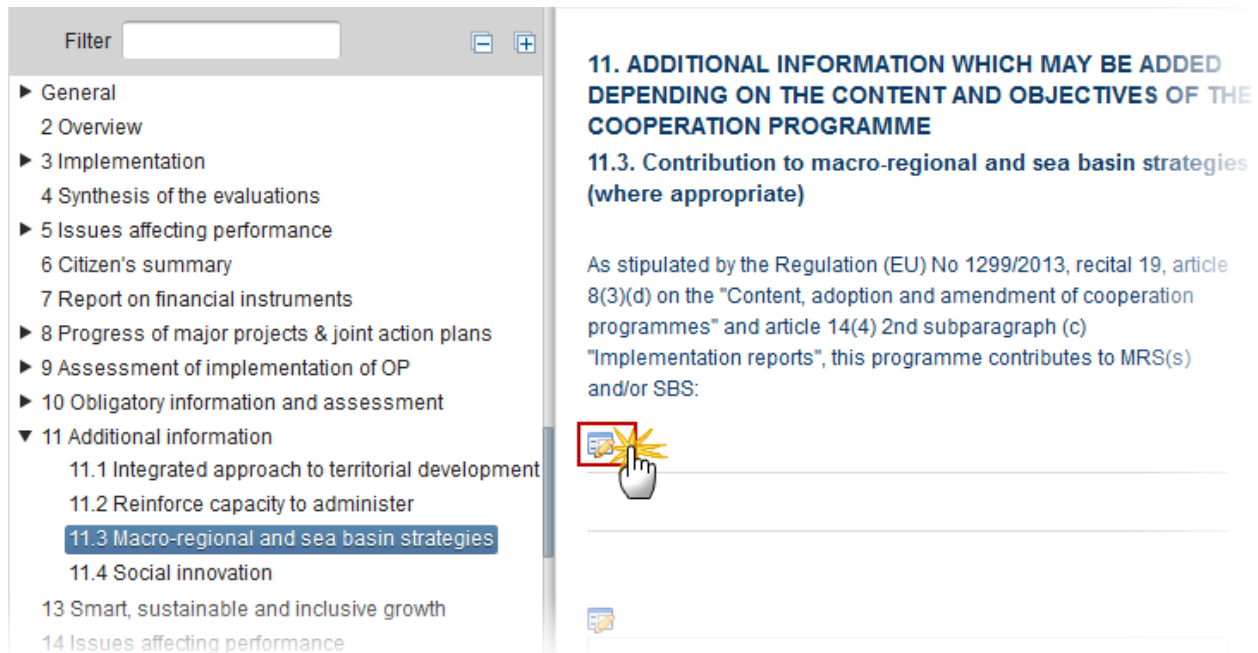


2. Enter the following information:

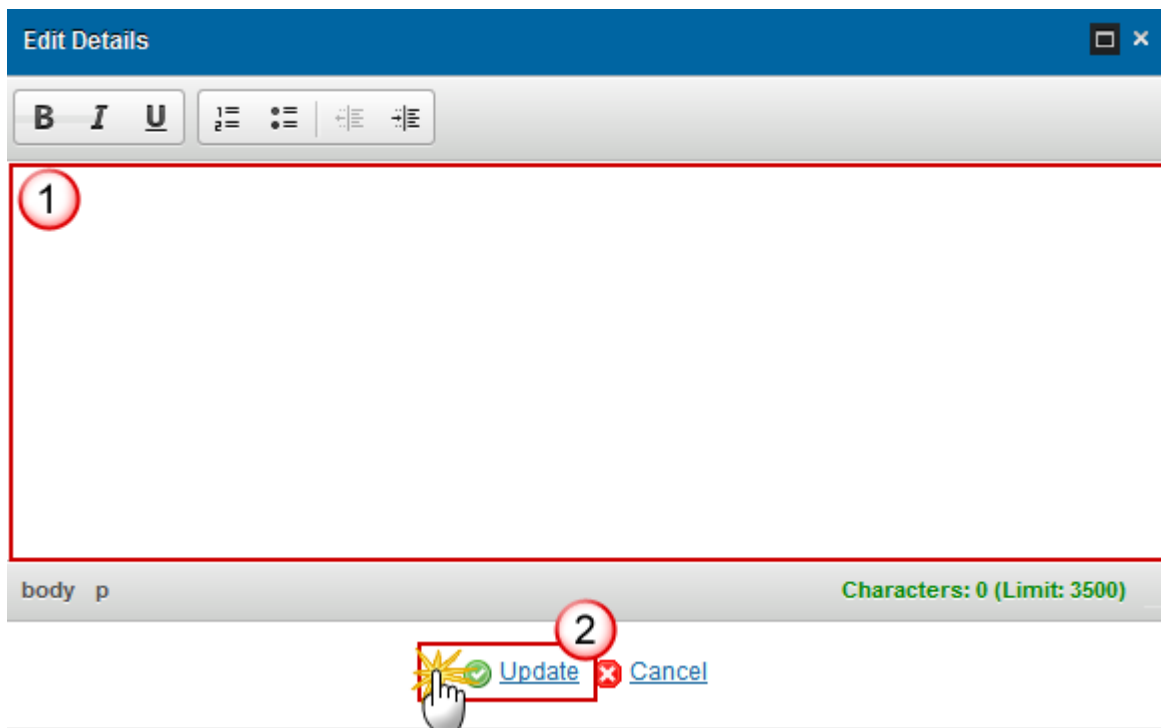
- (1) Provide a description for *the progress in implementation of the capacity to administer and to use the ERDF*.
- (2) Click on the [Update](#) link to save the information.

11.3 Macro-regional and sea basin strategies

1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter an explanation for the contribution and strategies.




The Edit Details pop-up window appears:



2. Enter the following information:

(1) Enter a description text.

(2) Click on the [Update](#) link to save the information.

3. Clicking on the **EDIT** button  will open a pop-up window allowing you to select the EU Strategy.



EU Strategy for the Baltic Sea Region (EUSBSR)

EU Strategy for the Danube Region (EUSDR)


EU Strategy for the Adriatic and Ionian Region (EUSAIR)

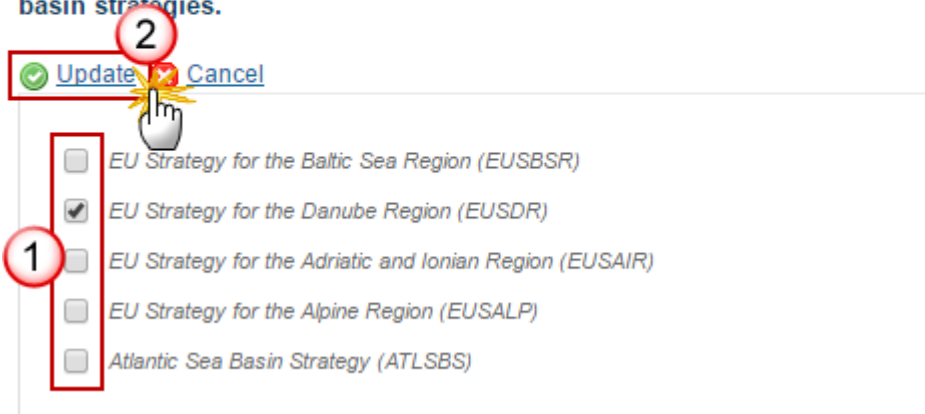
EU Strategy for the Alpine Region (EUSALP)

Atlantic Sea Basin Strategy (ATLSBS)

The table becomes editable:

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME

14.4 Where appropriate, the contribution to macro-regional and sea basin strategies. 



[Update](#)

EU Strategy for the Baltic Sea Region (EUSBSR)

EU Strategy for the Danube Region (EUSDR)

EU Strategy for the Adriatic and Ionian Region (EUSAIR)

EU Strategy for the Alpine Region (EUSALP)

Atlantic Sea Basin Strategy (ATLSBS)

4. Enter the following information:


(1) Select the *EU Strategy*.

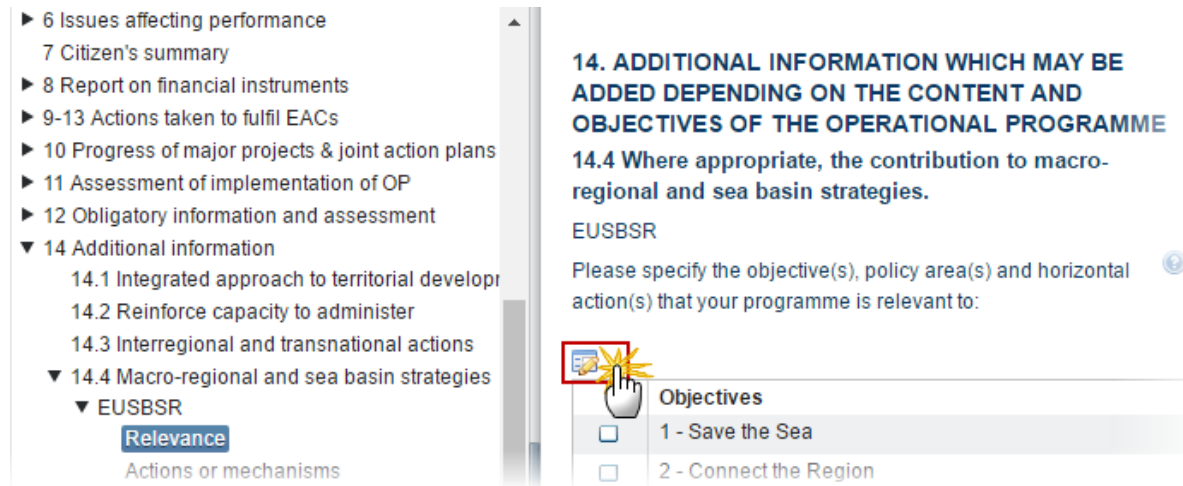
(2) Click on the [Update](#) link to save the information.

EUSBSR

EU Strategy for the Baltic Sea Region.

Relevance

1. Clicking on the **EDIT** button  will open a pop up window allowing you to enter the relevance of EUSBSR (2016 onwards).



▶ 6 Issues affecting performance
7 Citizen's summary
▶ 8 Report on financial instruments
▶ 9-13 Actions taken to fulfil EACs
▶ 10 Progress of major projects & joint action plans
▶ 11 Assessment of implementation of OP
▶ 12 Obligatory information and assessment
▼ 14 Additional information
14.1 Integrated approach to territorial develop
14.2 Reinforce capacity to administer
14.3 Interregional and transnational actions
▼ 14.4 Macro-regional and sea basin strategies
▼ EUSBSR
Relevance
Actions or mechanisms

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME
14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.
EUSBSR
Please specify the objective(s), policy area(s) and horizontal action(s) that your programme is relevant to:

Objectives	
<input type="checkbox"/>	1 - Save the Sea
<input type="checkbox"/>	2 - Connect the Region

The table becomes editable:


<input checked="" type="checkbox"/> Update <input type="checkbox"/> Cancel	
Objectives	
<input checked="" type="checkbox"/>	1 - Save the Sea
<input type="checkbox"/>	2 - Connect the Region
<input type="checkbox"/>	3 - Increase Prosperity
Policy areas	
<input type="checkbox"/>	4.1 - Bioeconomy
<input type="checkbox"/>	4.2 - Culture
<input type="checkbox"/>	4.3 - Education
<input type="checkbox"/>	4.4 - Energy
<input type="checkbox"/>	4.5 - Hazards
<input type="checkbox"/>	4.6 - Health
<input checked="" type="checkbox"/>	4.7 - Innovation
<input type="checkbox"/>	4.8 - Nutri
<input type="checkbox"/>	4.9 - Safe
<input type="checkbox"/>	4.10 - Secure
<input type="checkbox"/>	4.11 - Ship
<input type="checkbox"/>	4.12 - Tourism
<input type="checkbox"/>	4.13 - Transport
Horizontal actions	
<input checked="" type="checkbox"/>	5.1 - Capacity
<input type="checkbox"/>	5.2 - Climate
<input type="checkbox"/>	5.3 - Neighbours

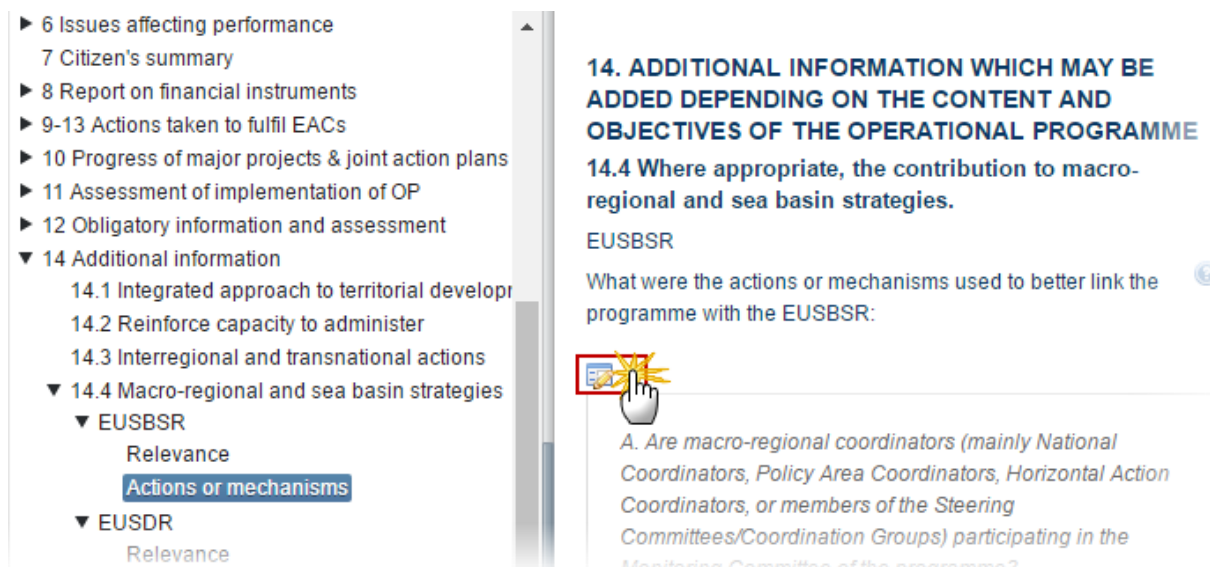
2. Enter the following information:

- (1) Select the Objectives.
- (2) Select the *Policy Areas*.
- (3) Select the Horizontal actions.
- (4) Click on the [Update](#) link to save the information.

NOTE	<p>By default, all items will be un-ticked.</p> <p>At least one relevance must be selected.</p>
-------------	---

Actions or mechanisms

1. Clicking on the **EDIT** button  will open a table allowing you to enter the actions or mechanisms used to better link the programme with the EUSBSR.



▶ 6 Issues affecting performance
7 Citizen's summary
▶ 8 Report on financial instruments
▶ 9-13 Actions taken to fulfil EACs
▶ 10 Progress of major projects & joint action plans
▶ 11 Assessment of implementation of OP
▶ 12 Obligatory information and assessment
▼ 14 Additional information
14.1 Integrated approach to territorial development
14.2 Reinforce capacity to administer
14.3 Interregional and transnational actions
▼ 14.4 Macro-regional and sea basin strategies
▼ EUSBSR
Relevance
Actions or mechanisms
▼ EUSDR
Relevance

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME

14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.

EUSBSR

What were the actions or mechanisms used to better link the programme with the EUSBSR:

EDIT

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?

The table becomes editable:

 [Update](#) **13** [cancel](#)

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?

Yes No **1**

Please provide name and function:

Name and function **2**

B. In selection criteria, have extra points been attributed to specific measures supporting the EUSBSR?

Yes No **3**

a) Are targeted calls for proposals planned in relation to EUSBSR

Yes No **4**

b) How many macro-regional projects/actions are already supported by the programme? (Number)

3 **5**

c) Were extra points/bonus given to a project/action with high macro-regional significance or impact? If yes, please elaborate (1 specific sentence)

6

d) Other actions (e.g. planned strategic projects). Please elaborate (1 specific sentence)

7

C. Has the programme invested EU funds in the EUSBSR?

Yes No **8**

Approximate or exact amount in Euro invested in the EUSBSR:

ERDF
CF
ESF

EAFRD **9**
EMFF
ENI
any other funds

If "any other funds" please name the funds

10

D. Please indicate the obtained results in relation to the EUSBSR (n.a. for 2016)

11

E. Does your programme address the EUSBSR sub-objectives (with corresponding to specific targets and indicators) as stated in the "EUSBSR Action Plan"? (Please specify the target and the indicator)

12

2. Enter the following information:

(1) Select Yes or No if the macro-regional coordinators are participating in the Monitoring Committee of the programme.


- (2) If Yes, it is a must to provide a name and function.
- (3) Select *Yes* or *No* if *extra-points have been attributed to specific measures*.
- (4) If Yes, select *Yes* or *No* if *there are targeted calls for proposals*.
- (5) If Yes, *enter the number of macro-regional projects/actions already supported by the programme*.
- (6) Enter *Yes* or *No* if *were given extra points to a project (if yes, elaborate one sentence)*.
- (7) Enter *Other actions*.
- (8) Select *Yes* or *No* if *the programme has invested EU funds*.
- (9) If Yes, enter the *amount invested*.
- It is a must to enter at least the amount for one fund.
- (10) *If any other funds, enter the funds*.
- (11) *Indicate the obtained results*.
- (12) *Specify the target and the indicator when your programme address the EUSBSR*.
- (13) Click on the [Update](#) link to save the information.

NOTE	Questions A, B and C must be answered.
-------------	--

EUSDR

EU Strategy for the Danube Region.

Relevance

1. Clicking on the **EDIT** button  will open a pop up window allowing you to enter the relevance of EUSDR (2016 onwards).

5 Information on implementation of YEI

- ▶ 6 Issues affecting performance
- 7 Citizen's summary
- ▶ 8 Report on financial instruments
- ▶ 9-13 Actions taken to fulfil EACs
- ▶ 10 Progress of major projects & joint action plans
- ▶ 11 Assessment of implementation of OP
- ▶ 12 Obligatory information and assessment
- ▼ 14 Additional information
 - 14.1 Integrated approach to territorial development
 - 14.2 Reinforce capacity to administer
 - 14.3 Interregional and transnational actions
 - ▼ 14.4 Macro-regional and sea basin strategies
 - ▶ EUSBSR
 - ▼ EUSDR
 - Relevance**
 - Actions or mechanisms

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME

14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.

EUSDR

Please specify the pillar(s) and priority area(s) that your programme is relevant to:

Pillar	Priority Area
<input type="checkbox"/> 1 - Connecting the Danube region	1.1 - Mobility - waterways
<input type="checkbox"/> 1 - Connecting the Danube region	1.2 - Mobility - rail, road & air

The table becomes editable:

[Update](#) **2** [Cancel](#)

Pillar	Priority Area
<input checked="" type="checkbox"/> 1 - Connecting the Danube region	1.1 - Mobility - waterways
<input type="checkbox"/> 1 - Connecting the Danube region	1.2 - Mobility - rail, road & air
<input type="checkbox"/> 1 - Connecting the Danube region	1.3 - Energy
<input type="checkbox"/> 1 - Connecting the Danube region	1.4 - Culture and tourism
<input type="checkbox"/> 2 - Protecting the environment in the Danube region	2.1 - Water quality
<input checked="" type="checkbox"/> 1 2 - Protecting the environment in the Danube region	2.2 - Environmental risks
<input type="checkbox"/> 2 - Protecting the environment in the Danube region	2.3 - Biodiversity, landscapes, air
<input type="checkbox"/> 3 - Building prosperity in the Danube region	3.1 - Knowledge society
<input checked="" type="checkbox"/> 3 - Building prosperity in the Danube region	3.2 - Competitiveness
<input type="checkbox"/> 3 - Building prosperity in the Danube region	3.3 - People & skills
<input type="checkbox"/> 4 - Strengthening the Danube region	4.1 - Institutional capacity & coop
<input checked="" type="checkbox"/> 4 - Strengthening the Danube region	4.2 - Security


2. Enter the following information:

(1) Select the *pillars*.

(2) Click on the [Update](#) link to save the information.

NOTE	<p>By default, all items will be un-ticked.</p> <p>At least one relevance must be selected.</p>
-------------	---

Actions or mechanisms


1. Clicking on the **EDIT** button  will open a table allowing you to enter the actions or mechanisms used to better link the programme with the EUSDR.

- 5 Information on implementation of YEI
- ▶ 6 Issues affecting performance
- 7 Citizen's summary
- ▶ 8 Report on financial instruments
- ▶ 9-13 Actions taken to fulfil EACs
- ▶ 10 Progress of major projects & joint action plans
- ▶ 11 Assessment of implementation of OP
- ▶ 12 Obligatory information and assessment
- ▼ 14 Additional information
 - 14.1 Integrated approach to territorial develop
 - 14.2 Reinforce capacity to administer
 - 14.3 Interregional and transnational actions
 - ▼ 14.4 Macro-regional and sea basin strategies
 - ▶ EUSBSR
 - ▼ EUSDR
 - Relevance
 - Actions or mechanisms**
- ▼ EUSAIR

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME

14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.

EUSDR

What were the actions or mechanisms used to better link the programme with the EUSDR: 

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?

The table becomes editable:

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?

Yes No 1

Please provide name and function:

Name and function 2

B. In selection criteria, have extra points been attributed to specific measures supporting the EUSBSR?

Yes No 3

a) Are targeted calls for proposals planned in relation to EUSBSR?

Yes No 4

b) How many macro-regional projects/actions are already supported by the programme? (Number)

3 5

c) Were extra points/bonus given to a project/action with high macro-regional significance or impact? If yes, please elaborate (1 specific sentence)

6

d) Other actions (e.g. planned strategic projects). Please elaborate (1 specific sentence)

7

C. Has the programme invested EU funds in the EUSBSR?

Yes No 8

Approximate or exact amount in Euro invested in the EUSBSR:

ERDF	
CF	
ESF	

EAFRD	
EMFF	
ENI	
any other funds	

 9

If "any other funds" please name the funds

10

D. Please indicate the obtained results in relation to the EUSBSR (n.a. for 2016)

11

E. Does your programme address the EUSBSR sub-objectives (with corresponding to specific targets and indicators) as stated in the "EUSBSR Action Plan"? (Please specify the target and the indicator)

12

2. Enter the following information:

(1) Select Yes or No if the macro-regional coordinators are participating in the Monitoring Committee of the programme.


- (2) If Yes, it is a must to provide a name and function.
- (3) Select Yes or No if extra-points have been attributed to specific measures.
- (4) If Yes, select Yes or No if there are targeted calls for proposals.
- (5) If Yes, enter the number of macro-regional projects/actions already supported by the programme.
- (6) Enter Yes or No if were given extra points to a project (if yes, elaborate one sentence).
- (7) Enter Other actions.
- (8) Select Yes or No if the programme has invested EU funds.
- (9) If Yes, enter the amount invested.
- (10) If any other funds, enter the funds.
- (11) Indicate the obtained results.
- (12) Specify the target and the indicator when your programme address the EUSDR.
- (13) Click on the [Update](#) link to save the information.

NOTE	Questions A, B and C must be answered.
-------------	--

EUSAIR

EU Strategy for the Adriatic and Ionian Region.

Relevance

1. Clicking on the **EDIT** button  will open a pop up window allowing you to enter the relevance of EUSAIR (2016 onwards).

► 3 Implementation
 4 Synthesis of the evaluations
 5 Information on implementation of YEI
 ► 6 Issues affecting performance
 7 Citizen's summary
 ► 8 Report on financial instruments
 ► 9-13 Actions taken to fulfil EACs
 ► 10 Progress of major projects & joint action plans
 ► 11 Assessment of implementation of OP
 ► 12 Obligatory information and assessment
 ▼ 14 Additional information
 14.1 Integrated approach to territorial development
 14.2 Reinforce capacity to administer
 14.3 Interregional and transnational actions
 ▼ 14.4 Macro-regional and sea basin strategies
 ► EUSBSR
 ► EUSDR
 ▼ EUSAIR
 Relevance
 Actions or mechanisms

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME
14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.
 EUSAIR
 Please specify the pillar(s), topic(s) and/or cross cutting issue(s) that your programme is relevant to:

Pillar	Topic / Cross cutting issue
<input type="checkbox"/> 1 - Blue growth	1.1.1 - Blue technologies
<input type="checkbox"/> 1 - Blue growth	1.1.2 - Fisheries and aquaculture
<input type="checkbox"/> 1 - Blue growth	1.1.3 - Maritime and marine governance and services
<input type="checkbox"/> 2 - Connecting the region	2.1.1 - Maritime transport
<input type="checkbox"/> 2 - Connecting	2.1.2 - Intermodal connections to the

The Table becomes editable:

EUSAIR
 Please specify the pillar(s), topic(s) and/or cross cutting issue(s) that your programme is relevant to:

Update Cancel

Pillar	Topic / Cross cutting issue
<input type="checkbox"/> 1 - Blue growth	1.1.1 - Blue technologies
<input type="checkbox"/> 1 - Blue growth	1.1.2 - Fisheries and aquaculture
<input checked="" type="checkbox"/> 1 - Blue growth	1.1.3 - Maritime and marine governance and services
<input type="checkbox"/> 2 - Connecting the region	2.1.1 - Maritime transport
<input checked="" type="checkbox"/> 2 - Connecting the region	2.1.2 - Intermodal connections to the hinterland
<input checked="" type="checkbox"/> 2 - Connecting the region	2.1.3 - Energy networks
<input type="checkbox"/> 3 - Environmental quality	3.1.1 - The marine environment
<input type="checkbox"/> 3 - Environmental quality	3.1.2 - Transnational terrestrial habitats and biodiversity
<input checked="" type="checkbox"/> 4 - Sustainable tourism	4.1.1 - Diversified tourism offer (products and services)
<input type="checkbox"/> 4 - Sustainable tourism	4.1.2 - Sustainable and responsible tourism management(innovation)


2. Enter the following information:

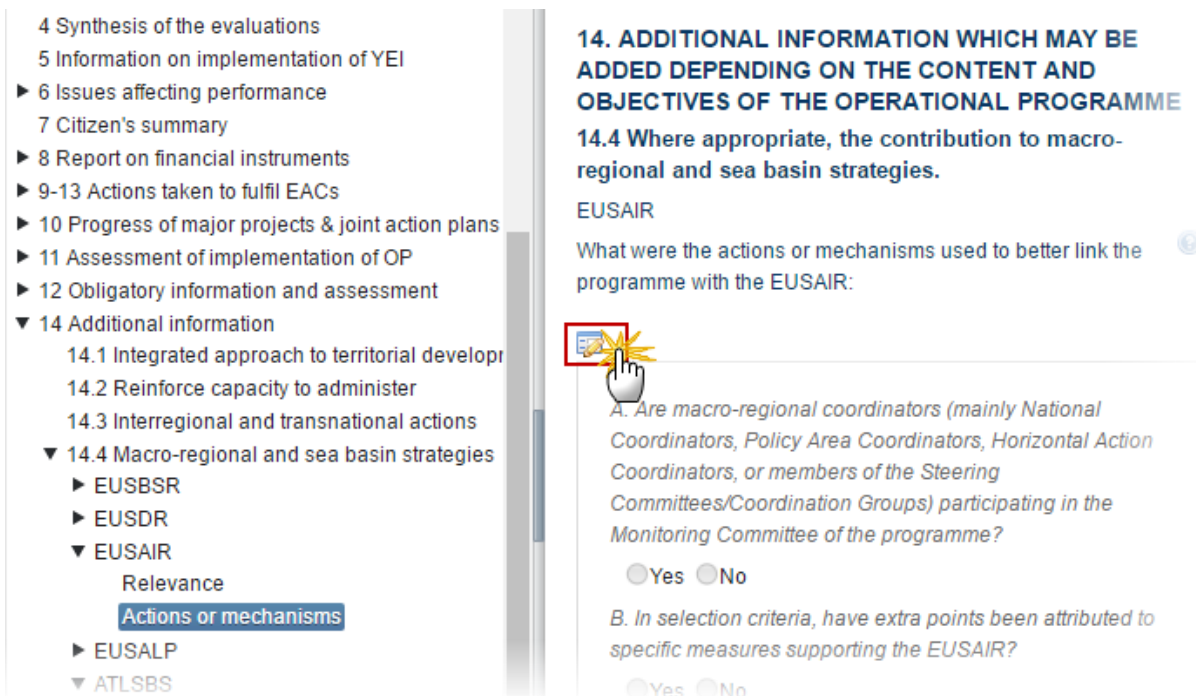
(1) Select the *pillars*.

(2) Click on the [Update](#) link to save the information.


NOTE	By default, all items will be un-ticked. At least one relevance must be selected.
-------------	--

Actions or mechanisms

1. Clicking on the **EDIT** button  will open a table allowing you to enter the actions or mechanisms used to better link the programme with the EUSAIR.



4 Synthesis of the evaluations
5 Information on implementation of YEI
▶ 6 Issues affecting performance
7 Citizen's summary
▶ 8 Report on financial instruments
▶ 9-13 Actions taken to fulfil EACs
▶ 10 Progress of major projects & joint action plans
▶ 11 Assessment of implementation of OP
▶ 12 Obligatory information and assessment
▼ 14 Additional information
14.1 Integrated approach to territorial development
14.2 Reinforce capacity to administer
14.3 Interregional and transnational actions
▼ 14.4 Macro-regional and sea basin strategies
▶ EUSBSR
▶ EUSDR
▼ EUSAIR
Relevance
Actions or mechanisms
▶ EUSALP
▼ ATLSBS

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME
14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.
EUSAIR
What were the actions or mechanisms used to better link the programme with the EUSAIR: 

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?
 Yes No

B. In selection criteria, have extra points been attributed to specific measures supporting the EUSAIR?
 Yes No

The table becomes editable:

 Update **13** [Cancel](#)

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?

Yes No **1**

Please provide name and function:

Name and function **2**

B. In selection criteria, have extra points been attributed to specific measures supporting the EUSBSR?

Yes No **3**

a) Are targeted calls for proposals planned in relation to EUSBSR?

Yes No **4**

b) How many macro-regional projects/actions are already supported by the programme? (Number)

3 **5**

c) Were extra points/bonus given to a project/action with high macro-regional significance or impact? If yes, please elaborate (1 specific sentence)

6

d) Other actions (e.g. planned strategic projects). Please elaborate (1 specific sentence)

7

C. Has the programme invested EU funds in the EUSBSR?

Yes No **8**

Approximate or exact amount in Euro invested in the EUSBSR:

ERDF
CF
ESF

EAFRD **9**
EMFF
ENI
any other funds

If "any other funds" please name the funds

10

D. Please indicate the obtained results in relation to the EUSBSR (n.a. for 2016)

11

E. Does your programme address the EUSBSR sub-objectives (with corresponding to specific targets and indicators) as stated in the "EUSBSR Action Plan"? (Please specify the target and the indicator)

12

2. Enter the following information:

(1) Select Yes or No if the macro-regional coordinators are participating in the Monitoring Committee of the programme.


- (2) If Yes, it is a must to provide a name and function.
- (3) Select Yes or No if extra-points have been attributed to specific measures.
- (4) If Yes, select Yes or No if there are targeted calls for proposals.
- (5) If Yes, enter the number of macro-regional projects/actions already supported by the programme.
- (6) Enter Yes or No if were given extra points to a project (if yes, elaborate one sentence).
- (7) Enter Other actions.
- (8) Select Yes or No if the programme has invested EU funds.
- (9) If Yes, enter the amount invested.
- (10) If any other funds, enter the funds.
- (11) Indicate the obtained results.
- (12) Specify the target and the indicator when your programme address the EUSAIR.
- (13) Click on the [Update](#) link to save the information.

NOTE	Questions A, B and C must be answered.
-------------	--

EUSALP

EU Strategy for the Alpine Region.

Relevance

1. Clicking on the **EDIT** button  will open a pop up window allowing you to enter the relevance of EUSALP (2016 onwards).

- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
 - 5 Information on implementation of YEI
- ▶ 6 Issues affecting performance
 - 7 Citizen's summary
- ▶ 8 Report on financial instruments
- ▶ 9-13 Actions taken to fulfil EACs
- ▶ 10 Progress of major projects & joint action plans
- ▶ 11 Assessment of implementation of OP
- ▶ 12 Obligatory information and assessment
- ▼ 14 Additional information
 - 14.1 Integrated approach to territorial development
 - 14.2 Reinforce capacity to administer
 - 14.3 Interregional and transnational actions
 - ▼ 14.4 Macro-regional and sea basin strategies
 - ▼ EUSALP
 - Relevance**
 - Actions or mechanisms

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME

14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.


EUSALP

Please specify the thematic policy area(s) and action(s), and/or the horizontal issue (governance) that your programme is relevant to:

Thematic policy area	Action / Horizontal issue
<input type="checkbox"/> 1 - Economic growth and innovation	1 - Research and innovation ecosystem
<input type="checkbox"/> 1 - Economic growth and innovation	2 - Economic potential of strategic sectors

The table becomes editable:

EUSALP

Please specify the thematic policy area(s) and action(s), and/or the horizontal issue (governance) that your programme is relevant to: 

2

[Update](#) [Cancel](#)

	Thematic policy area	Action / Horizontal issue
<input checked="" type="checkbox"/>	1 - Economic growth and innovation	1 - Research and innovation ecosystem
<input type="checkbox"/>	1 - Economic growth and innovation	2 - Economic potential of strategic sectors
<input type="checkbox"/>	1 - Economic growth and innovation	3 - Economic and social environment of economic operators in strategic sectors (incl. labour market, education and training)
<input type="checkbox"/>	1 - Economic growth and innovation	1 - Governance
<input type="checkbox"/>	2 - Mobility and Connectivity	4 - Intermodality and interoperability in passenger and freight transport
<input checked="" type="checkbox"/>	2 - Mobility and Connectivity	5 - E-connect people (digital agenda) and accessibility to public services
<input checked="" type="checkbox"/>	2 - Mobility and Connectivity	1 - Governance
<input type="checkbox"/>	3 - Environment and energy	6 - Natural resources (incl. water and cultural resources)
<input type="checkbox"/>	3 - Environment and energy	7 - Ecological connectivity
<input checked="" type="checkbox"/>	3 - Environment and energy	8 - Risk and climate change management (incl. major natural risks prevention)
<input checked="" type="checkbox"/>	3 - Environment and energy	9 - Energy efficiency and renewable energy
<input type="checkbox"/>	3 - Environment and energy	1 - Governance


1

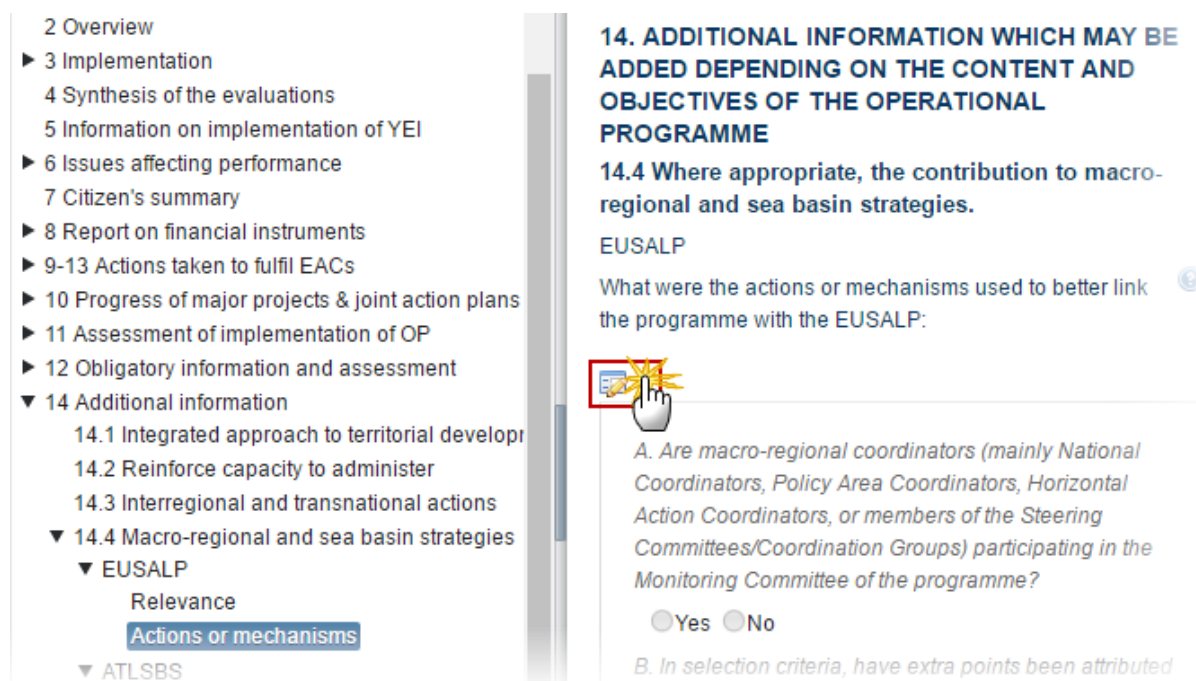
2. Enter the following information:

- (1) Select the thematic policy area and the action/horizontal issue.
- (2) Click on the [Update](#) link to save the information.

NOTE	By default, all items will be un-ticked. At least one relevance must be selected.
-------------	--

Actions or mechanisms

1. Clicking on the **EDIT** button  will open a table allowing you to enter the actions or mechanisms used to better link the programme with the EUSALP.



2 Overview

- ▶ 3 Implementation
- 4 Synthesis of the evaluations
- 5 Information on implementation of YEI
- ▶ 6 Issues affecting performance
- 7 Citizen's summary
- ▶ 8 Report on financial instruments
- ▶ 9-13 Actions taken to fulfil EACs
- ▶ 10 Progress of major projects & joint action plans
- ▶ 11 Assessment of implementation of OP
- ▶ 12 Obligatory information and assessment
- ▼ 14 Additional information
 - 14.1 Integrated approach to territorial development
 - 14.2 Reinforce capacity to administer
 - 14.3 Interregional and transnational actions
 - ▼ 14.4 Macro-regional and sea basin strategies
 - ▼ EUSALP
 - Relevance
 - Actions or mechanisms**
 - ▼ ATLSBS

The table becomes editable:

 Update **13** [Cancel](#)

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?

Yes No **1**

Please provide name and function:

Name and function **2**

B. In selection criteria, have extra points been attributed to specific measures supporting the EUSBSR?

Yes No **3**

a) Are targeted calls for proposals planned in relation to EUSBSR?

Yes No **4**

b) How many macro-regional projects/actions are already supported by the programme? (Number)

3 **5**

c) Were extra points/bonus given to a project/action with high macro-regional significance or impact? If yes, please elaborate (1 specific sentence)

6

d) Other actions (e.g. planned strategic projects). Please elaborate (1 specific sentence)

7

C. Has the programme invested EU funds in the EUSBSR?

Yes No **8**

Approximate or exact amount in Euro invested in the EUSBSR:

ERDF
CF
ESF

EAFRD **9**
EMFF
ENI
any other funds

If "any other funds" please name the funds

10

D. Please indicate the obtained results in relation to the EUSBSR (n.a. for 2016)

11

E. Does your programme address the EUSBSR sub-objectives (with corresponding to specific targets and indicators) as stated in the "EUSBSR Action Plan"? (Please specify the target and the indicator)

12

2. Enter the following information:

(1) Select Yes or No if the macro-regional coordinators are participating in the Monitoring Committee of the programme.


- (2) If Yes, it is a must to provide a name and function.
- (3) Select Yes or No if extra-points have been attributed to specific measures.
- (4) If Yes, select Yes or No if there are targeted calls for proposals.
- (5) If Yes, enter the number of macro-regional projects/actions already supported by the programme.
- (6) Enter Yes or No if were given extra points to a project (if yes, elaborate one sentence).
- (7) Enter Other actions.
- (8) Select Yes or No if the programme has invested EU funds.
- (9) If Yes, enter the amount invested.
- (10) If any other funds, enter the funds.
- (11) Indicate the obtained results.
- (12) Specify the target and the indicator when your programme address the EUSALP.
- (13) Click on the [Update](#) link to save the information.

NOTE	Questions A, B and C must be answered.
-------------	--

ATLSBS

Atlantic Sea Basin Strategy.

Relevance

1. Clicking on the **EDIT** button  will open a pop up window allowing you to enter the priorities and objectives relevant of ATLSBS (2016 onwards).

Filter


- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
 - 5 Information on implementation of YEI
- ▶ 6 Issues affecting performance
- 7 Citizen's summary
- ▶ 8 Report on financial instruments
- ▶ 9-13 Actions taken to fulfil EACs
- ▶ 10 Progress of major projects & joint action plans
- ▶ 11 Assessment of implementation of OP
- ▶ 12 Obligatory information and assessment
- ▼ 14 Additional information
 - 14.1 Integrated approach to territorial development
 - 14.2 Reinforce capacity to administer
 - 14.3 Interregional and transnational actions
 - ▼ 14.4 Macro-regional and sea basin strategies
 - ▼ ATLSBS
 - Relevance**
 - Actions or mechanisms

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME

14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.

ATLSBS

Please specify the priority(s) and objective(s) that your programme is relevant to:



	Priority	Objective
<input type="checkbox"/>	1 - Promote entrepreneurship and innovation	1.1 - Sharing knowledge between higher education organisations, companies and research centers
<input type="checkbox"/>	1 - Promote entrepreneurship and innovation	1.2 - Enhancement of competitiveness and innovation capacities in the maritime economy of the Atlantic area
<input type="checkbox"/>	1 - Promote entrepreneurship and innovation	1.3 - Fostering adaptation and diversification of economic activities by promoting the

The table becomes editable:

Please specify the priority(s) and objective(s) that your programme is relevant to:



2

Update Cancel

	Priority	Objective
<input type="checkbox"/>	1 - Promote entrepreneurship and innovation	1.1 - Sharing knowledge between higher education organisations, companies and research centers
<input type="checkbox"/>	1 - Promote entrepreneurship and innovation	1.2 - Enhancement of competitiveness and innovation capacities in the maritime economy of the Atlantic area
<input type="checkbox"/>	1 - Promote entrepreneurship and innovation	1.3 - Fostering adaptation and diversification of economic activities by promoting the potential of the Atlantic area
<input type="checkbox"/>	2 - Protect, secure and develop the potential of the Atlantic marine and coastal environment	2.1 - Improving maritime safety and security
<input type="checkbox"/>	2 - Protect, secure and develop the potential of the Atlantic marine and coastal environment	2.2 - Exploring and protecting marine waters and coastal zones
<input type="checkbox"/>	2 - Protect, secure and develop the potential of the Atlantic marine and coastal environment	2.3 - Sustainable management of marine resources
<input type="checkbox"/>	2 - Protect, secure and develop the potential of the Atlantic marine and coastal environment	2.4 - Exploitation of the renewable energy potential of the Atlantic area's marine and coastal environment
<input type="checkbox"/>	3 - Improve accessibility and connectivity	3.1 - Promoting cooperation between ports
<input type="checkbox"/>	4 - Create a socially inclusive and sustainable model of regional development	4.1 - Fostering better knowledge of social challenges in the Atlantic area
<input type="checkbox"/>	4 - Create a socially inclusive and sustainable model of regional development	4.2 - Preserving and promoting the Atlantic's cultural heritage


1

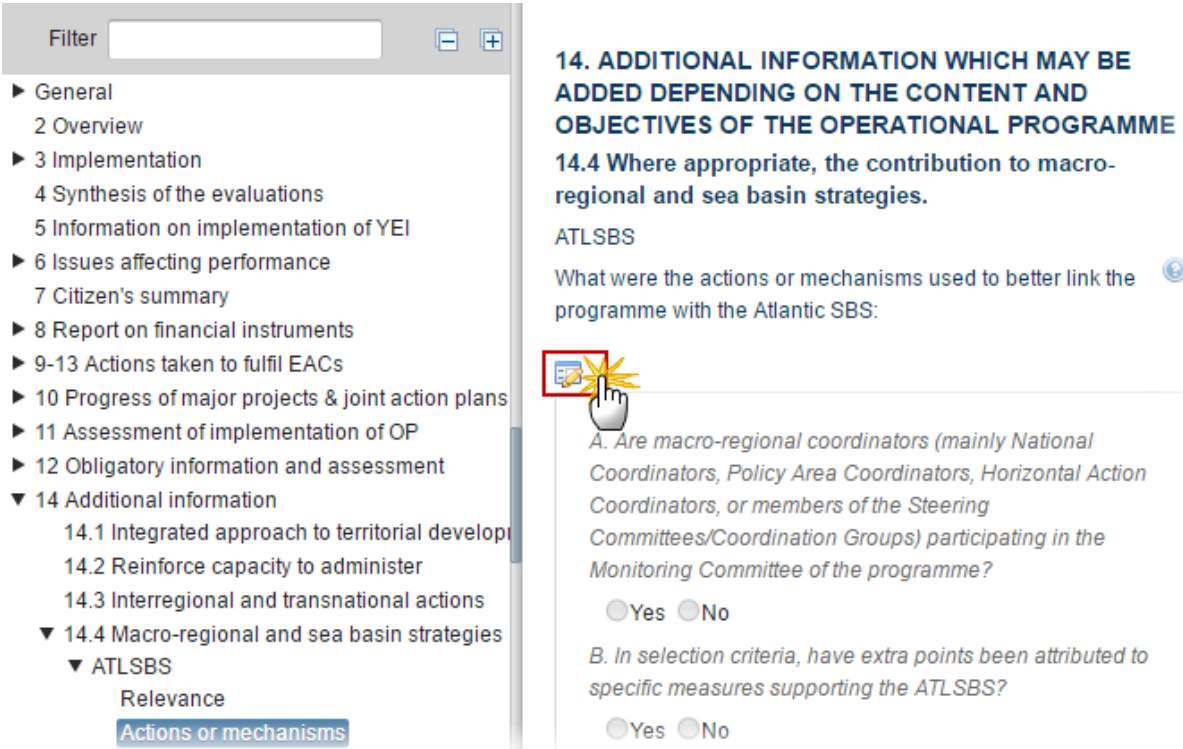
2. Enter the following information:

- (1) Select the priority and the objective.
- (2) Click on the [Update](#) link to save the information.

NOTE	<p>By default, all items will be un-ticked.</p> <p>At least one relevance must be selected.</p>
-------------	---

Actions or mechanisms

1. Clicking on the **EDIT** button  will open a table allowing you to enter the actions or mechanisms used to better link the programme with the ATLSBS.




Filter



- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
 - 5 Information on implementation of YEI
- ▶ 6 Issues affecting performance
 - 7 Citizen's summary
- ▶ 8 Report on financial instruments
- ▶ 9-13 Actions taken to fulfil EACs
- ▶ 10 Progress of major projects & joint action plans
- ▶ 11 Assessment of implementation of OP
- ▶ 12 Obligatory information and assessment
- ▼ 14 Additional information
 - 14.1 Integrated approach to territorial development
 - 14.2 Reinforce capacity to administer
 - 14.3 Interregional and transnational actions
 - ▼ 14.4 Macro-regional and sea basin strategies
 - ▼ ATLSBS
 - Relevance
 - Actions or mechanisms**

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME

14.4 Where appropriate, the contribution to macro-regional and sea basin strategies.

ATLSBS

What were the actions or mechanisms used to better link the programme with the Atlantic SBS: 

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?

Yes No

B. In selection criteria, have extra points been attributed to specific measures supporting the ATLSBS?

Yes No

The table becomes editable:

Update **13** [Cancel](#)

A. Are macro-regional coordinators (mainly National Coordinators, Policy Area Coordinators, Horizontal Action Coordinators, or members of the Steering Committees/Coordination Groups) participating in the Monitoring Committee of the programme?

Yes No **1**

Please provide name and function:

Name and function **2**

B. In selection criteria, have extra points been attributed to specific measures supporting the EUSBSR?

Yes No **3**

a) Are targeted calls for proposals planned in relation to EUSBSR?

Yes No **4**

b) How many macro-regional projects/actions are already supported by the programme? (Number)

3 **5**

c) Were extra points/bonus given to a project/action with high macro-regional significance or impact? If yes, please elaborate (1 specific sentence)

6

d) Other actions (e.g. planned strategic projects). Please elaborate (1 specific sentence)

7

C. Has the programme invested EU funds in the EUSBSR?

Yes No **8**

Approximate or exact amount in Euro invested in the EUSBSR:

ERDF
CF
ESF

EAFRD **9**
EMFF
ENI
any other funds

If "any other funds" please name the funds

10

D. Please indicate the obtained results in relation to the EUSBSR (n.a. for 2016)

11

E. Does your programme address the EUSBSR sub-objectives (with corresponding to specific targets and indicators) as stated in the "EUSBSR Action Plan"? (Please specify the target and the indicator)

12

2. Enter the following information:


(1) Select Yes or No if the macro-regional coordinators are participating in the Monitoring Committee of the programme.

- (2) If Yes, it is a must to provide a name and function.
- (3) Select Yes or No if extra-points have been attributed to specific measures.
- (4) If Yes, select Yes or No if there are targeted calls for proposals.
- (5) If Yes, enter the number of macro-regional projects/actions already supported by the programme.
- (6) Enter Yes or No if were given extra points to a project (if yes, elaborate one sentence).
- (7) Enter Other actions.
- (8) Select Yes or No if the programme has invested EU funds.
- (9) If Yes, enter the amount invested.
- (10) If any other funds, enter the funds.
- (11) Indicate the obtained results.
- (12) Specify the target and the indicator when your programme address the ATLSBS.
- (13) Click on the [Update](#) link to save the information.

NOTE	Questions A, B and C must be answered.
-------------	--

11.4 Social innovation


NOTE	In AIRs 2017, 2019, 2020, 2021 and 2022 the following sections and their sub-sections are shown in the print, but are not shown in the web because they are <u>not editable</u> : 9, 10, 11.1, 11.2, 11.4, 12, 13, 14.
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1. Clicking on the **EDIT** button  will open a pop-up window allowing you to enter **the actions in the field of social innovation**.

Filter

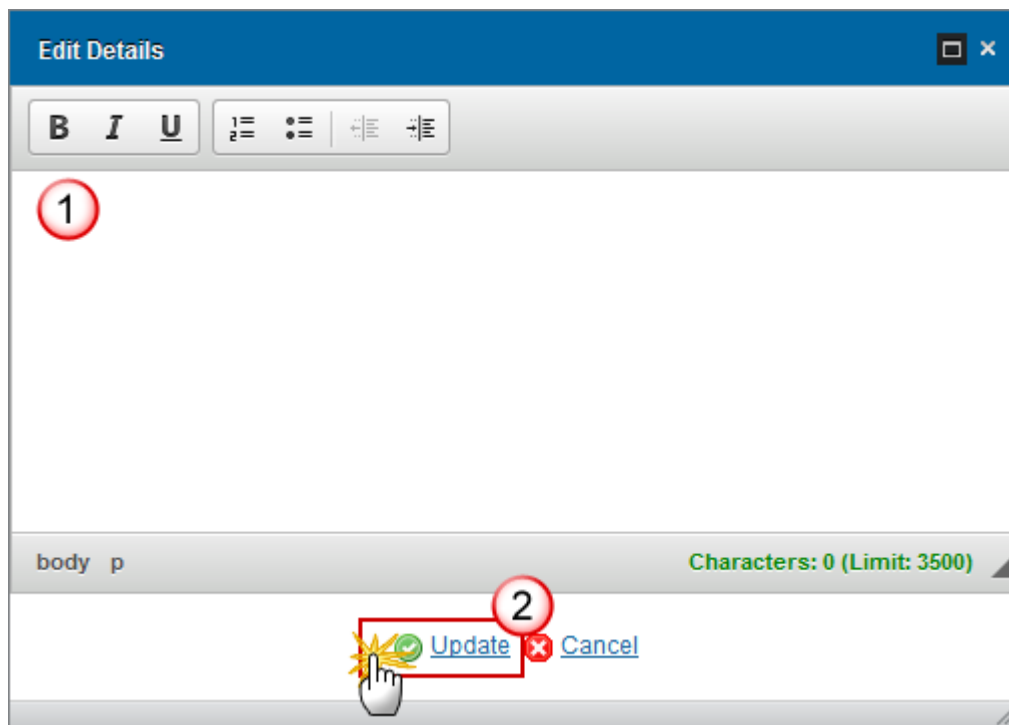
- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- 6 Citizen's summary
- 7 Report on financial instruments
- ▼ 8 Progress of major projects & joint action plans
 - ▶ 8.1 Major projects
 - ▶ 8.2 Joint action plans
- ▼ 9 Assessment of implementation of OP
 - ▶ 9.1 Achieving objectives
 - 9.2 Specific actions taken
 - 9.3 Sustainable development
 - 9.4 Support used for climate change objectives
 - 9.5 Role of partners
- ▶ 10 Obligatory information and assessment
- ▼ 11 Additional information
 - 11.1 Integrated approach to territorial development
 - 11.2 Reinforce capacity to administer
 - 11.3 Macro-regional and sea basin strategies
 - 11.4 Social innovation**
- 13 Smart, sustainable and inclusive growth

**11. ADDITIONAL INFORMATION WHICH MAY BE ADDED
DEPENDING ON THE CONTENT AND OBJECTIVES OF THE
COOPERATION PROGRAMME**

**11.4 Progress in the implementation of actions in the field
of social innovation** 



The Edit Details pop-up window appears:




2. Enter the following information:

- (1) Provide a description for *the actions in the field of social innovation*.
- (2) Click on the [Update](#) link to save the information.

13. Smart, sustainable and inclusive growth

NOTE	In AIRs 2017, 2019, 2020, 2021 and 2022 the following sections and their sub-sections are shown in the print, but are not shown in the web because they are not editable : 9, 10, 11.1, 11.2, 11.4, 12, 13, 14.
-------------	---


1. Clicking on the EDIT button  will open a pop-up window allowing you to enter the information and assessment of the programme contribution to achieving the Union strategy for smart and inclusive growth.

Filter


- ▶ General
 - 2 Overview
- ▶ 3 Implementation
 - 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
 - 6 Citizen's summary
 - 7 Report on financial instruments
- ▼ 8 Progress of major projects & joint action plans
 - ▶ 8.1 Major projects
 - ▶ 8.2 Joint action plans
- ▼ 9 Assessment of implementation of OP
 - ▶ 9.1 Achieving objectives
 - 9.2 Specific actions taken
 - 9.3 Sustainable development
 - 9.4 Support used for climate change objectives
 - 9.5 Role of partners
- ▶ 10 Obligatory information and assessment
- ▶ 11 Additional information
- ▶ 13 Smart, sustainable and inclusive growth
- ▶ 14 Issues affecting performance





13. SMART, SUSTAINABLE AND INCLUSIVE GROWTH

Information and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.






The Edit Details pop-up window appears:

Edit Details 

B *I* U    

1

body p Characters: 0 (Limit: 17500)

  Update  Cancel


2

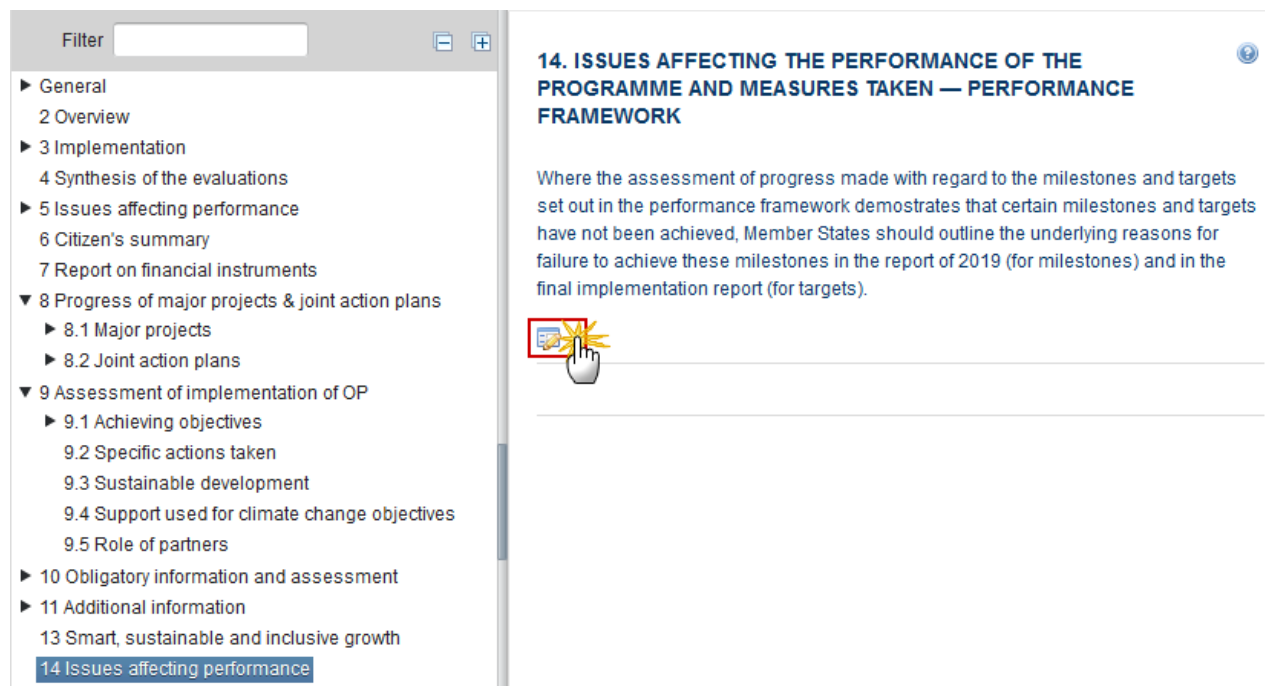
2. Enter the following information:

- (1) Enter the *information to achieving the Union strategy for smart, sustainable and inclusive growth*.
- (2) Click on the [Update](#) link to save the information.

14. Issues affecting performance

NOTE	In AIRs 2017, 2019, 2020, 2021 and 2022 the following sections and their sub-sections are shown in the print, but are not shown in the web because they are <u>not editable</u> : 9, 10, 11.1, 11.2, 11.4, 12, 13, 14.
-------------	--

1. Clicking on the EDIT button  will open a pop-up window allowing you to enter the information why certain milestones and targets have not been achieved.



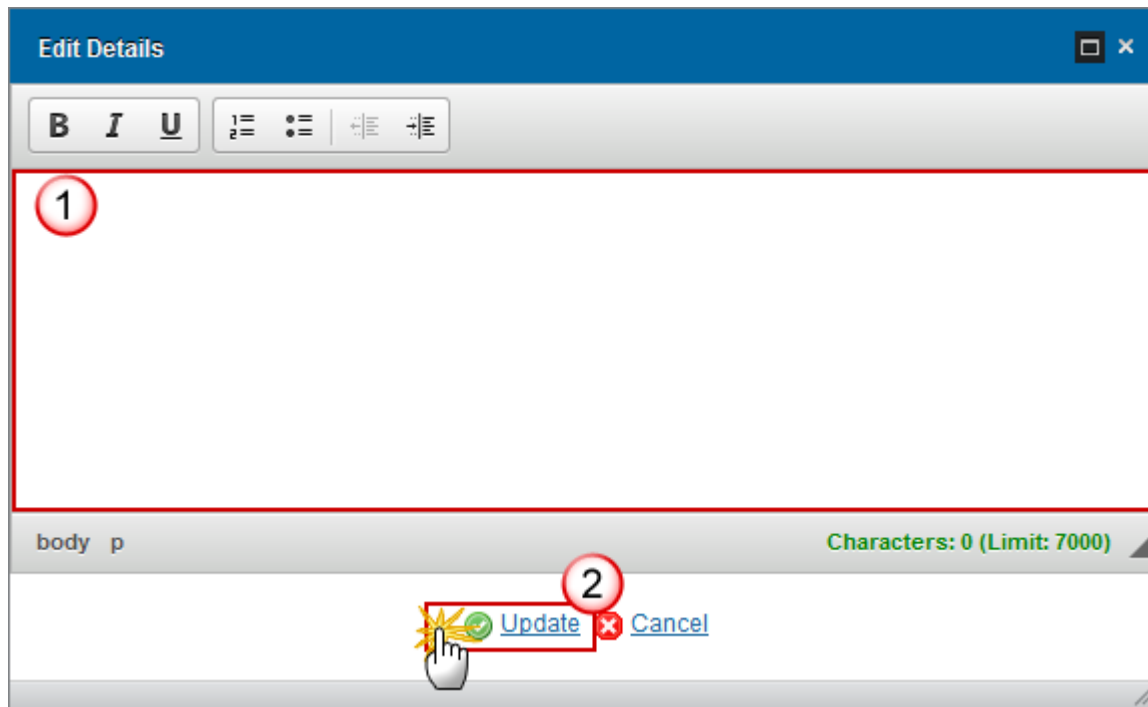
Filter

- ▶ General
- 2 Overview
- ▶ 3 Implementation
- 4 Synthesis of the evaluations
- ▶ 5 Issues affecting performance
- 6 Citizen's summary
- 7 Report on financial instruments
- ▼ 8 Progress of major projects & joint action plans
 - ▶ 8.1 Major projects
 - ▶ 8.2 Joint action plans
- ▼ 9 Assessment of implementation of OP
 - ▶ 9.1 Achieving objectives
 - 9.2 Specific actions taken
 - 9.3 Sustainable development
 - 9.4 Support used for climate change objectives
 - 9.5 Role of partners
- ▶ 10 Obligatory information and assessment
- ▶ 11 Additional information
- 13 Smart, sustainable and inclusive growth
- 14 Issues affecting performance**

14. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

The Edit Details pop-up window appears:






2. Enter the following information:


- (1) Provide the reasons for failure to achieve the milestones.
- (2) Click on the [Update](#) link to save the information.

Annex I

List of all operations phased from 2014-2020 into 2021-2027

REMARK	Annex I is only shown in the Final Implementation Report (9999).
---------------	--

- Click on the **ADD** button  to add the information on this table.
- Select a row and click on the **DELETE** button in the corresponding table  to remove the selected information.
- Select a row and click on the **EDIT** button in the corresponding table  to modify the information of this row.

1. Clicking on the **ADD** button  will open the products table allowing you to enter the information.

PRIORITY	FUND	CATEGORY OF REGION	OPERATION REFERENCE	OPERATION TITLE	DATE (AND NUMBER) OF TACIT AGREEMENT/APPROVAL BY COMMISSION (IF MAJOR PROJECT)	PHASED OPERATION UNDER ARTICLE 118	PHASED OPERATION UNDER ARTICLE 118A	TOTAL COST OF OPERATION (IN EUR) - TOTAL (FOR BOTH PHASES, FINAL OR ESTIMATED)	TOTAL COST (IN EUR) - FC PI

The Edit Details pop-up window appears:

The 'Edit Details' window contains the following fields:

- 1. Priority/Fund *
- 2. Operation reference *
- 3. Operation title *
- 4. Date of tacit agreement/approval by commission (if major project) *
- 5. Number of tacit agreement/approval by commission (if major project) *
- 6. Phased operation under article 118
- 7. Phased operation under article 118a
- 8. Total cost of operation (in EUR) - Total (for both phases, final or estimated) *
- 9. Total cost of operation (in EUR) - For the second phase (final or estimated) *
- 10. Total certified expenditure for the first phase (in EUR) *
- 11. Public contribution for the first phase (in EUR) *
- 12. Planned/final completion date of the second phase (year, quarter) *
- 13. 2021-2027 Programme under which the operation will be/was completed *
- 14. Update / Cancel buttons

2. Enter the following information:

(1) Select the *Priority/Fund*

The list of Priorities/Funds contains all combinations covered by the linked Programme version.

(2) Enter the *Operation reference*.

(3) Enter the *Operation title*.

(4) Select the *Date of tacit agreement/approval by commission (if major project)*.

(5) Enter the *Number of tacit agreement/approval by commission (if major project)*.

(6) Tick the box *Phased operation under article 118*, if applicable.

The Phased operation under article 118 and the Phased operation under article 118a checkboxes are exclusive.

(7) Tick the box *Phased operation under article 118a*, if applicable.

(8) Enter the *Total cost of operation (in EUR) - Total (for both phases, final or estimated)*.

(9) Enter the *Total cost of operation (in EUR) - For the second phase (final or estimated)*.




(10) Enter the *Total certified expenditure for the first phase (in EUR)*.


- (11) Enter the *Public contribution for the first phase (in EUR)*.
- (12) Enter the *Planned/final completion date of the second phase (year, quarter)*.
- (13) Enter the *2021-2027 Programme under which the operation will be/was completed*.
- (14) Click on the [Update](#) link to save the information.

Annex II

List of non-functioning operations

REMARK	Annex II is only shown in the Final Implementation Report (9999).
---------------	---

- Click on the **ADD** button  to add the information on this table.
- Select a row and click on the **DELETE** button in the corresponding table  to remove the selected information.
- Select a row and click on the **EDIT** button in the corresponding table  to modify the information of this row.

1. Clicking on the **ADD** button  will open the products table allowing you to enter the information.

ANNEX II – LIST OF NON-FUNCTIONING OPERATIONS 

  	PRIORITY	FUND	CATEGORY OF REGION	OPERATION REFERENCE	OPERATION TITLE	NAME OF THE BENEFICIARY / RECIPIENT	TOTAL COST OF THE OPERATION (IN EUR)	TOTAL CERTIFIED EXPENDITURE (IN EUR)

The Edit Details pop-up window appears:

2. Enter the following information:

(1) Select the *Priority/Fund*

The list of Priorities/Funds contains all combinations covered by the linked Programme version.

(2) Enter the *Operation reference*.

(3) Enter the *Operation title*.

(4) Enter the *Name of the beneficiary / recipient*.

(5) Enter the *Total cost of the operation (in EUR)*.

(6) Enter the *Total Certified Expenditure (in EUR)*.



(7) Enter the *Public contribution (in EUR)*.


(8) Click on the [Update](#) link to save the information.


Annex III

List of operations affected by ongoing national investigations / suspended by a legal proceeding or by an administrative appeal having suspensory effect

REMARK	Annex III is only shown in the Final Implementation Report (9999).
---------------	--

- Click on the **ADD** button  to add the information on this table.
- Select a row and click on the **DELETE** button in the corresponding table  to remove the selected information.

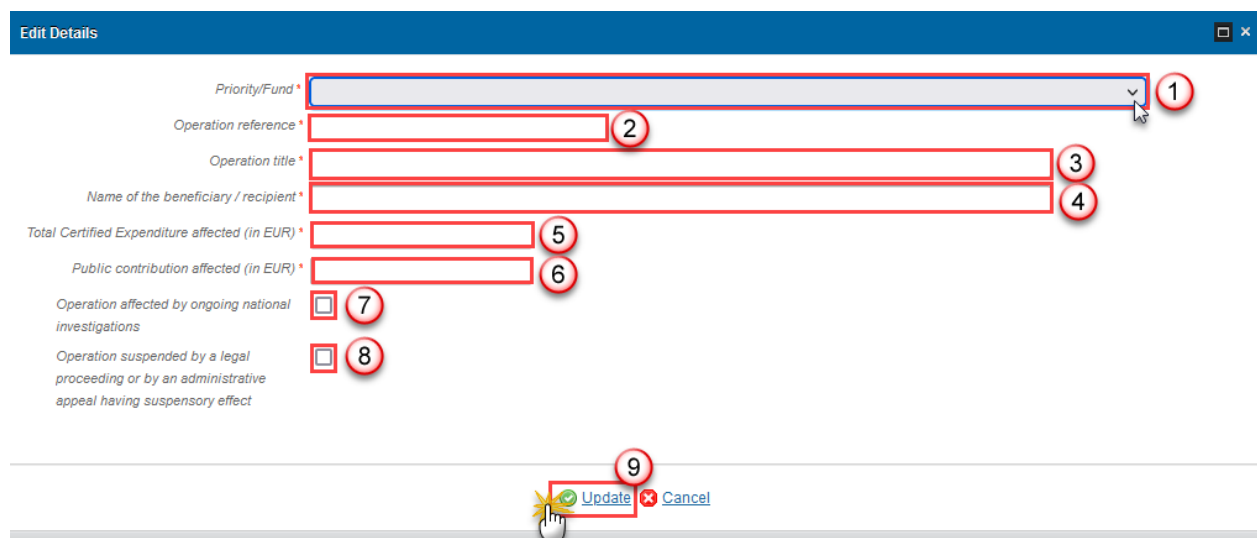
- Select a row and click on the **EDIT** button in the corresponding table  to modify the information of this row.

1. Clicking on the **ADD** button  will open the products table allowing you to enter the information.

ANNEX III – LIST OF OPERATIONS AFFECTED BY ONGOING NATIONAL INVESTIGATIONS / SUSPENDED BY A LEGAL PROCEEDING OR BY AN ADMINISTRATIVE APPEAL HAVING SUSPENSORY EFFECT

PRIORITY	FUND	CATEGORY OF REGION	OPERATION REFERENCE	OPERATION TITLE	NAME OF THE BENEFICIARY / RECIPIENT	TOTAL CERTIFIED EXPENDITURE AFFECTED (IN EUR)	PUBLIC CONTRIBUTION AFFECTED (IN EUR)	OF AFFECTED NATIONAL INVESTIGATIONS

The Edit Details pop-up window appears:



The 'Edit Details' window contains the following fields and controls:

- 1**: Priority/Fund dropdown menu
- 2**: Operation reference text input field
- 3**: Operation title text input field
- 4**: Name of the beneficiary / recipient text input field
- 5**: Total Certified Expenditure affected (in EUR) text input field
- 6**: Public contribution affected (in EUR) text input field
- 7**: Operation affected by ongoing national investigations checkbox
- 8**: Operation suspended by a legal proceeding or by an administrative appeal having suspensory effect checkbox
- 9**: Update and Cancel buttons at the bottom

2. Enter the following information:

(1) Select the *Priority/Fund*

The list of Priorities/Funds contains all combinations covered by the linked Programme version.

(2) Enter the *Operation reference*.

(3) Enter the *Operation title*.

(4) Enter the *Name of the beneficiary / recipient*.

(5) Enter the *Total Certified Expenditure affected (in EUR)*.

(6) Enter the *Public contribution affected (in EUR)*.

(7) Tick the box *Operation affected by ongoing national investigations*, if applicable.

(8) Tick the box *Operation suspended by a legal proceeding or by an administrative appeal having suspensory effect*, if applicable.

(9) Click on the [Update](#) link to save the information.

Validate the Implementation Report (ETC)

REMARK	<p>The Implementation Report can be validated when it is in a status 'OPEN' and currently resides at your Node.</p> <p>It is a must to have the role of MS Managing Authority Update.</p>
---------------	--

1. Click on the [Validate](#) link to validate the Implementation Report (ETC).



REMARK	<p>An ERROR will block you from sending the Implementation Report (ETC).The error(s) should be resolved and the Implementation Report must be revalidated. NOTE that a WARNING does not block you from sending the Implementation Report (ETC).</p>
---------------	--

2. The system validates the following information:

Code	Validation Rule	Severity
2	The system validates the integrity of the input fields	INFO

2.1	Validate that at least one Official in Charge of the Member State exists.	WARNING
2.2	Validate that the CCI code matches the following regular expression (implicit in web): <ul style="list-style-type: none"> (...TC16RF.....TC16M4.....TC16M5..... TC16M6.....) 	ERROR
2.3	Validate that the linked Programme version is the last adopted version at the time of initial creation of the implementation report's working version 0 (implicit in web)	WARNING
2.12	Validate when work version = 0, that the "Report approval date by the monitoring committee" is not null.	ERROR
2.18	Validate in Financial Instruments that element 26.1 is empty when element 7.1 of the master Fund of funds is empty or false.	ERROR
2.19	Validate in Financial Instruments when Thematic Objectives are defined that the sum of all Thematic Objective amounts (3.1) equals 14.1	WARNING
2.20	Validate in Financial Instruments that element 7.1.1 is empty when 7.1 is empty or false.	ERROR
2.21	Validate in Financial Instruments that element 12.1 is empty when 12 is different from 'Others'.	ERROR
2.22	Validate in Financial Instruments when (14.1.1+14.1.2+14.1.3+14.1.4+14.1.5) is not null that this sum is equal to 14.1	ERROR
2.23	Validate in Financial Instruments that elements 18, 19, 20 and 21 are empty when not a final implementation report.	ERROR
2.24	Validate in Financial Instruments that element 32.1 is empty when element 32 is empty or true.	ERROR
2.25	Validate in Financial Instruments that element 8.1.1 is empty when 8.1 is empty or false.	ERROR
2.26	Validate in Financial Instruments that element 9.1 is empty when 9.0.6 is empty or false.	ERROR
2.27	Validate in Financial Instruments that element 9.2 is empty when 9.0.7 is empty or false.	ERROR
2.28	Validate in Financial Instruments that element 25 is equal to 25.1 + 25.2 + 25.3	WARNING

2.29	Validate in Financial Instruments that element 29.4.1 is empty when 29.4 is ≤ 0	ERROR
2.35	Validate in Financial Instruments that the Thematic Objectives are covered by the selected Priority Axis.	ERROR
2.36	Validate in Financial Instruments that the Output Indicators (41) are used in the linked Programme version under the selected Priority Axis.	ERROR
2.37	Validate for each selected MRS/SBS that at least one relevance is selected.	WARNING
2.38	Validate for each selected MRS/SBS that questions A, B and C are answered.	WARNING
2.39	Validate for each selected MRS/SBS when answer to question A is Yes, that the Name is not null.	WARNING
2.40	Validate for each selected MRS/SBS when answer to question B is Yes, that question a) is answered and that question b) is not null.	WARNING
2.41	Validate for each selected MRS/SBS when answer to question C is Yes, that at least one Fund has an amount.	WARNING
2.42	Validate for each selected MRS/SBS when "Any other Fund" has an amount, that "any other Fund name" is not null.	WARNING
2.43	Validate for each selected MRS/SBS when answer to question C is No, that "Does your programme plan to invest ..." is not null.	WARNING
2.48	Validate in Table 1 when Annual total value is not null and Target value $>$ Baseline, that the Annual Total values are \geq Baseline value. => "Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: {0}, investment priority: {1}, specific objective: {2}, indicator: {3}, year: {4} ({5} $<$ {6}). Please check."	WARNING
2.49	Validate in Table 1 when Annual total value is not null and Target value $>$ Baseline, that the Annual Total values are \leq Target value. => "Annual value entered in table 1 exceeds the target value for priority axis: {0}, investment priority: {1}, specific objective: {2}, indicator: {3}, year: {4} ({5} $>$ {6}). Please check."	WARNING

2.50	<p>Validate in Table 1 when Annual total value is not null and Target value < Baseline, that the Annual Total values are <= Baseline value.</p> <p>=> "Annual value entered in table 1 is greater than the baseline value and moving away from the target for priority axis: {0}, investment priority: {1}, specific objective: {2}, indicator: {3}, year: {4} ({5} > {6}). Please check."</p>	WARNING
2.51	<p>Validate in Table 1 when Annual total value is not null and Target value < Baseline, that the Annual Total values are >= Target value.</p> <p>=> "Annual value entered in table 1 is below the target value for priority axis: {0}, investment priority: {1}, specific objective: {2}, indicator: {3}, year: {4} ({5} < {6}). Please check."</p>	WARNING
2.52	<p>Validate in Table 2 that the Annual Total value for "S" is <= Total Target value.</p> <p>=> "In table 3A, the annual total value entered is {0}% of the total target value for "S", priority axis: {1}, investment priority: {2}, indicator: {3}, year: {4}. Please check."</p>	WARNING
2.53	<p>Validate in Table 2 that the Annual Total value for "F" is <= Total Target value.</p> <p>=> "In table 3A, the annual total value entered is {0}% of the total target value for "F", priority axis: {1}, investment priority: {2}, indicator: {3}, year: {4}. Please check."</p>	WARNING
2.54	<p>Validate in Table 2 that the Annual Total value for "F" is <= the Annual Total value for "S" for the same Indicator, Category of Region and Year.</p> <p>=> "In table 3A, the annual total value entered for "F" (implemented) is {0}% of the annual total value entered for "S" (forecast from selected) for priority axis: {1}, investment priority: {2}, indicator: {3}, year: {4}. Please check."</p>	WARNING
2.57	<p>Validate that Section 2 Overview of the implementation of the cooperation programme is not empty.</p>	ERROR
2.58	<p>Validate in Section 3.1 that for each Priority Axis the Key information field is not empty.</p>	ERROR
2.59	<p>Validate that Section 4 Synthesis of the Evaluations is not empty.</p>	ERROR
2.61	<p>Validate that Section 5.a Issues which affect the performance of the programme and the measures taken is not empty.</p>	ERROR

2.62	<p>Validate that Table 7 on Major Projects contains all Major Projects (based on CCI) linked to this Programme and that based on the "Status of MP" the following fields are not null:</p> <ul style="list-style-type: none"> • when "5 - Withdrawn and kept as a non-major project in the OP" or "6 - Withdrawn and taken out of the OP": Project, Status of MP • when "4 - Planned for notification/submission to EC": Project, Status of MP, Total investments, Total eligible costs, Planned notification/submission date, Planned start of implementation, Planned completion date, Current state of realization financial progress (%), Current state of realization physical progress , Main outputs. • when "3 - submitted" or "2 - approved" or "1 - completed": Project, CCI, Status of MP, Total investments, Total eligible costs, Planned notification/submission date, Planned start of implementation, Planned completion date, Current state of realization financial progress (%), Current state of realization physical progress , Main outputs, Date of signature of first works contract. 	ERROR
2.63	Validate in Financial Instruments for FoF, SF and MA, that at least one Fund (=element 2) is selected.	WARNING
2.64	Validate in Financial Instruments for FoF, SF and MA, that at least one Thematic Objective (=element 3) is selected.	WARNING
2.65	Validate in Financial Instruments for FoF, SF and MA, that the selected CCIs (=element 4.1) are different than the CCI of the AIR.	ERROR
2.66	Validate in Financial Instruments for FoF and SF, that element 31.1 is true when element 13 is not null and vice versa.	ERROR
2.67	Validate in Financial Instruments for FoF and SF when element 7.2 is in ('2', '3') or 7.3 is in ('2', '3), that element 11.1 is not in ('a', 'c')	ERROR
2.68	Validate in Financial Instruments for FoF and SF, that element 13 is >= element 30.	WARNING
2.69	Validate in Financial Instruments for SF/FoF, that element 13 is >= element 13 in master FoF	ERROR
2.70	Validate in Financial Instruments for FoF, SF/FoF and SF, that element 15 is <= element 14.	ERROR

2.71	Validate in Financial Instruments for MA, that element 7.2 = 'd. undertaking implementation directly'.	ERROR
2.72	Validate in Financial Instruments for FoF, SF/FoF and SF that element 38.2 is <= element 38.1.	WARNING
2.73	Validate in Financial Instruments for FoF, SF/FoF and SF when 38.2 is not null that element 38.2 is >= element 15.2 (Error in AIR2018 and FIR, Warning in other AIRs)	ERROR WARNING
2.74	Validate in Financial Instruments for FoF, SF/FoF and SF when 38.2.1 is not null that element 38.2.1 is >= element 15.2.1 (Error in AIR2018 and FIR, Warning in other AIRs)	ERROR WARNING
2.75	Validate in Financial Instruments for FoF, SF/FoF and SF when 38.2.2 is not null that element 38.2.2 is >= element 15.2.2 (Error in AIR2018 and FIR, Warning in other AIRs)	ERROR WARNING
2.76	Validate in Financial Instruments for SF/FoF, SF and MA that element 22 is different from element 11.1.1.	ERROR
2.77	Validate in Financial Instruments for SF/FoF, SF and MA that element 25 is <= element 24.	ERROR
2.78	Validate in Financial Instruments for SF/FoF, SF and MA that element 25.1 is <= element 24.1.	ERROR
2.80	Validate in Financial Instruments for SF/FoF, SF and MA that element 29 is <= element 27.	ERROR
2.81	Validate in Financial Instruments for SF/FoF, SF and MA that element 29 = element 29.1+29.2+29.3+29.4.	ERROR
2.82	Validate in Financial Instruments for SF/FoF, SF and MA that element 24.1 is <= element 24.	ERROR
2.83	Validate in Financial Instruments for SF/FoF, SF and MA for years >= 2017 when 38.3.1 is not null that element 38.3.1 is >= element 25.2 (Error in AIR2018 and FIR, Warning in other AIRs)	ERROR WARNING
2.84	Validate in Financial Instruments Equities and Loans for SF/FoF, SF and MA for years >= 2017 when 38.3.2 is not null that element 38.3.2 is >= element 25.3 (Error in AIR2018 and FIR, Warning in other AIRs)	ERROR WARNING

2.85	Validate in Financial Instruments Guarantees for SF/FoF, SF and MA when element 25 > 0 that element 26 is > 0	WARNING
2.86	Validate in Financial Instruments for SF/FoF and SF when element 22.1 is "O. Other support combined within the financial instrument" that 9.0.7 is true.	ERROR
2.87	Validate in Financial Instruments for SF/FoF, SF and MA that at least 1 output indicator (41) is selected (error in AIR2018 and FIR, warning in other AIRs).	WARNING
2.88	Validate in Financial Instruments for MA that element 11.1 = '(c) body undertaking implementation task directly (managing authority or intermediate body)'	ERROR
2.94	Validate in Table 2 for output indicators that the Annual columns are not null for years <= reporting year and >= 2017.	ERROR
2.97	Validate in Table 3 for years <= reporting year and >= 2017, that for quantitative indicators the Annual columns are not null and that for qualitative indicators the Annual Qualitative columns are not null.	ERROR
2.100	<p>Validate when AIR version in 2017, 2019, 2020, 2021 or 2022 that the following Section values are null (implicit in web):</p> <p>9.1 Implementation Priority Axis. assessment</p> <p>9.2 Implementation Report Version Section BSfCf. Specific Actions</p> <p>9.3 Implementation Report Version Section BSfCf. Sustainable Development</p> <p>9.4 Implementation Report Version Section BSfCf. Climate Change Clarification</p> <p>9.5 Implementation Report Version Section BSfCf. Role Of Partners</p> <p>10.1 Implementation Report Version Section BSfCf. Evaluation Plan Progress</p> <p>10.2 Implementation Report Version Section BSfCf. Communication Strategy Results</p> <p>11.1 Implementation Report Version Section BSfCf. Integrated Approach Progress</p> <p>11.2 Implementation Report Version Section BSfCf. Reinforce Capacity Progress</p> <p>11.4 Implementation Report Version Section BSfCf. Social Innovation Progress</p>	ERROR

	13 Implementation Report Version Section CSfCf. Growth 14 Implementation Report Version Section CSfCf. Performance	
2.103	Validate in Financial Instruments Products for SF/FoF, SF and MA when element 29.2.1 is not null that it is <= 29.2	ERROR
2.106	Validate when AIR version in 2018 or 9999 (Final) that the following Section values are not null (implicit in web): 9.1 Implementation Priority Axis assessment 9.5 Implementation Report Version Section BSfCf role of partners 10.1 Implementation Report Version Section BSfCf evaluation Plan Progress 10.2 Implementation Report Version Section BSfCf communication strategy results 13 Implementation Report Version Section CSfCf growth	ERROR
2.107	Validate in Financial Instruments for FoF, SF and MA that element 1.1 is not null.	ERROR
2.108	Validate in Financial Instruments for FoF, SF and MA when the same FI exists in previous year's AIR and its element 30 is null that element 30 in the current AIR is not null. => "No date on completion of ex ante assessment has been provided which means that it has not yet been completed. As no date was reported in the preceding year as well, delays might need to be explained"	WARNING
2.109	Validate in Financial Instruments for FoF, SF and MA when the same FI doesn't exist in previous year's AIR or exists but has its element 30 filled in, that element 30 in the current AIR is not null. => "No date on completion of ex ante assessment has been provided which means that it has not yet been completed."	WARNING
2.110	Validate in Financial Instruments for FoF and SF that from elements 7.1, 7.2 and 7.3, one and only one has a value selected. Value 'No' of 7.1 is considered no value.	ERROR
2.111	Validate in Financial Instruments for FoF and SF, that element 7.3 doesn't contain Implementation Arrangement Type 4.	ERROR
2.112	Validate in Financial Instruments for FoF and SF when element 13 is not empty, that element 30 is also not empty.	WARNING

	=> "Date for completion of ex-ante assessment (element 30), which normally precedes signature of the funding agreement (element 13), is missing."	
2.115	Validate in Financial Instruments for FoF and SF/FoF when the amount in element 14 is > 0 that the date of signature in element 13 is not empty. => "Committed amounts but the date of signing the agreement is missing"	ERROR
2.116	Validate in Financial Instruments for FoF and SF/FoF when the amount in element 14 is > 0 that the date of signature in element 13 <= cut-off date for the report.	WARNING
2.117	Validate in Financial Instruments for FoF when the amount in element 14 is > 0, that date element 30 is not empty. => "Date of ex ante assessment not reported. In line with Article 37(3) CPR the ex-ante assessment should have been completed before the MA decides to make programme contributions to a financial instrument"	WARNING
2.118	validate in Financial Instruments, that the sum of elements 14.1 in FoF and SF is <= than the ESIF amounts in the adopted programme version for the Priority Axis referred to in element 1.1	WARNING
2.119	validate in Financial Instruments, that the sum of elements 14.1.1 in FoF and SF is <= than the ERDF amounts in the adopted programme version for the Priority Axis referred to in element 1.1	WARNING
2.120	validate in Financial Instruments, that the sum of elements 14.1.2 in FoF and SF is <= than the CF amounts in the adopted programme version for the Priority Axis referred to in element 1.1	ERROR
2.121	validate in Financial Instruments, that the sum of elements 14.1.3 in FoF and SF is <= than the ESF amounts in the adopted programme version for the Priority Axis referred to in element 1.1	ERROR
2.122	validate in Financial Instruments, that the sum of elements 14.1.4 in FoF and SF is <= than the EAFRD amounts in the adopted programme version for the Priority Axis referred to in element 1.1	ERROR
2.123	validate in Financial Instruments, that the sum of elements 14.1.5 in FoF and SF is <= than the EMFF amounts in the adopted programme version for the Priority Axis referred to in element 1.1	ERROR
2.124	validate in Financial Instruments for FoF, SF/FoF and SF, that element 15.1 is <= element 14.1	ERROR

2.125	validate in Financial Instruments for FoF, SF/FoF and SF, that element 15.1.1 is <= element 14.1.1	WARNING
2.126	validate in Financial Instruments for FoF, SF/FoF and SF, that element 15.1.2 is <= element 14.1.2	WARNING
2.127	validate in Financial Instruments for FoF, SF/FoF and SF, that element 15.1.3 is <= element 14.1.3	WARNING
2.128	validate in Financial Instruments for FoF, SF/FoF and SF, that element 15.1.4 is <= element 14.1.4	WARNING
2.129	validate in Financial Instruments for FoF, SF/FoF and SF, that element 15.1.5 is <= element 14.1.5	WARNING
2.130	validate in Financial Instruments for FoF, SF/FoF and SF, when element 15 is > 0, that elements 13 and 14 are not null	ERROR
2.131	validate in Financial Instruments, that the sum of elements 15 in FoF and SF is >= sum of "Total amount of programme contributions paid to financial instruments" in Appendix 1 of the last submitted Payment Application for Accounting Year = Implementation Year and for the Priority Axis as specified in element 1.1	WARNING
2.132	Validate in Financial Instruments for FoF, SF/FoF and SF, that element 17 is not null. => "Management costs and fees paid should be reported even if not yet included in the payment claims. Only the amounts not paid from programme resources should be excluded"	WARNING
2.133	validate in Financial Instruments for FoF, SF/FoF and SF, that element 17 is >= 17.1 + 17.2	ERROR
2.134	validate in Financial Instruments, when sum of "Total amount of programme contributions paid to financial instruments" in Appendix 1 of the last submitted Payment Application for Accounting Year = Implementation Year and for the Priority Axis as specified in element 1.1 is > 0, that the sum of elements 35 in FoF and SF is > 0	WARNING
2.135	Validate in Financial Instruments for FoF, SF/FoF and SF when 38.1 is not null, that element 38.1 is >= 14 – 14.1 => "The amount in element 38.1 should include the amount of national co-financing and other non-programme resources committed in the funding agreement"	ERROR

2.137	validate in Financial Instruments for SF/FoF, that the sum of elements 14.1 of all SF/FoFs is <= element 14.1 in the master FoF	ERROR
2.138	validate in Financial Instruments for SF/FoF, that the sum of elements 14.1.1 of all SF/FoFs is <= element 14.1.1 in the master FoF	WARNING
2.139	validate in Financial Instruments for SF/FoF, that the sum of elements 14.1.2 of all SF/FoFs is <= element 14.1.2 in the master FoF	WARNING
2.140	validate in Financial Instruments for SF/FoF, that the sum of elements 14.1.3 of all SF/FoFs is <= element 14.1.3 in the master FoF	WARNING
2.141	validate in Financial Instruments for SF/FoF, that the sum of elements 14.1.4 of all SF/FoFs is <= element 14.1.4 in the master FoF	WARNING
2.142	validate in Financial Instruments for SF/FoF, that the sum of elements 14.1.5 of all SF/FoFs is <= element 14.1.5 in the master FoF	WARNING
2.144	validate in Financial Instruments for SF/FoF, that the sum of elements 15.1 of all SF/FoFs is <= element 15.1 in the master FoF	ERROR
2.145	validate in Financial Instruments for SF/FoF, that the sum of elements 15.1.1 of all SF/FoFs is <= element 15.1.1 in the master FoF	WARNING
2.146	validate in Financial Instruments for SF/FoF, that the sum of elements 15.1.2 of all SF/FoFs is <= element 15.1.2 in the master FoF	WARNING
2.147	validate in Financial Instruments for SF/FoF, that the sum of elements 15.1.3 of all SF/FoFs is <= element 15.1.3 in the master FoF	WARNING
2.148	validate in Financial Instruments for SF/FoF, that the sum of elements 15.1.4 of all SF/FoFs is <= element 15.1.4 in the master FoF	WARNING
2.149	validate in Financial Instruments for SF/FoF, that the sum of elements 15.1.5 of all SF/FoFs is <= element 15.1.5 in the master FoF	WARNING
2.153	<p>validate in Financial Instruments, that the sum of elements 25 in SF/FoF and SF + the sum of elements 17 in SF/FoF and SF is >= sum of "Total amount of programme contributions effectively paid, or, in the case of guarantees, committed, as eligible expenditure in the meaning of article 42(1)" in Appendix 1 of the last submitted Payment Application in the reporting year and for the Priority Axis as specified in element 1.1.</p> <p>=> "Sum of elements 25 + Sum of elements 17 possibly inconsistent with appendix 1 to the payment claims (the sum of elements 25 in SF/FoF and SF + the sum of elements 17 in SF/FoF and SF should be >= sum of "Total</p>	WARNING

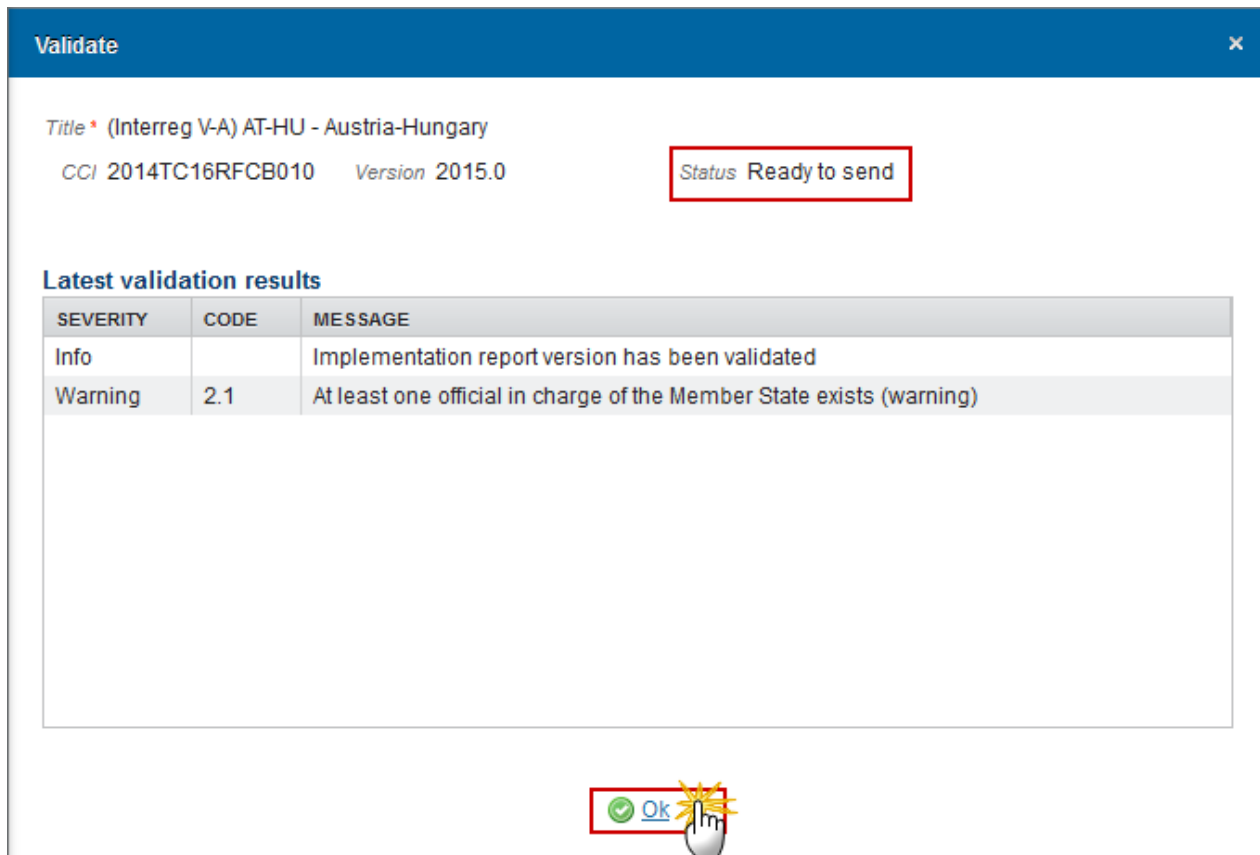
	amount of programme contributions effectively paid, or, in the case of guarantees, committed, as eligible expenditure in the meaning of article 42(1)" in Appendix 1 of the last submitted Payment Application in the reporting year and for the Priority Axis as specified in element 1.1). Priority Axis: {0}, sum elements 25 in SF/FoF and SF: {1}, sum of elements 17 in SF/FoF and SF:{2}, sum total amounts in payment application: {3}"	
2.155	validate in Financial Instruments for SF/FoF and SF, that element 25.1.1 has only a value when a value different from 0 exists in element 14.1.1 or in element 15.1.1	ERROR
2.156	validate in Financial Instruments for SF/FoF and SF, that element 25.1.2 has only a value when a value different from 0 exists in element 14.1.2 or in element 15.1.2	ERROR
2.157	validate in Financial Instruments for SF/FoF and SF, that element 25.1.3 has only a value when a value different from 0 exists in element 14.1.3 or in element 15.1.3	ERROR
2.158	validate in Financial Instruments for SF/FoF and SF, that element 25.1.4 has only a value when a value different from 0 exists in element 14.1.4 or in element 15.1.4	ERROR
2.159	validate in Financial Instruments for SF/FoF and SF, that element 25.1.5 has only a value when a value different from 0 exists in element 14.1.5 or in element 15.1.5	ERROR
2.163	validate in Financial Instruments for SF/FoF and SF, that element 39.1 is not null	WARNING
2.164	validate in Financial Instruments for SF/FoF and SF, when element 25 is > 0 in the current AIR and in the previous AIR for the same FI and Product, that element 40 is > 0 => "Possible missing data in element 40: the investments were made more than year before the end of reporting period"	WARNING
2.165	Validate in Financial Instruments for SF/FoF, SF and MA, when in the previous AIR the same FI and Product exists, that element 24 is > 0 => "Possible missing data in element 24"	WARNING
2.166	Validate in Financial Instruments for SF/FoF and SF, when element 24 is > 0 in the previous AIR for the same FI and Product, that element 25 in the current AIR is > 0 => "Possible missing data in element 25"	WARNING

2.167	<p>Validate in Financial Instruments for SF/FoF and SF, when element 26 is > 0 in the previous AIR for the same FI and Product, that element 26 in the current AIR is > 0</p> <p>=> "Possible missing data in element 26"</p>	WARNING
2.168	<p>Validate in Financial Instruments for SF/FoF and SF, when element 26.1 is > 0 in the previous AIR for the same FI and Product, that element 26.1 in the current AIR is > 0</p> <p>=> "Possible missing data in element 26.1"</p>	WARNING
2.169	<p>Validate in Financial Instruments for SF/FoF and SF, when element 25 is > 0, that element 27 is > 0</p>	ERROR
2.170	<p>Validate in Financial Instruments for SF/FoF and SF, when element 33 is > 0, that element 34 is > 0</p>	ERROR
2.171	<p>Validate in Financial Instruments for FoF and SF, when element 31.1 is false and element 30 is not null, that the cut-off date of the current AIR (=31/12/Reporting Year) is > date of element 30 + 2 years.</p> <p>=> "The ex-ante has been completed already 2 years ago or earlier. It is recommended that you include explanation for the delay in the relevant parts of the AIR"</p>	WARNING
2.172	<p>Validate in Financial Instruments for FoF and SF when element 13 is null and element 30 is not null, that the cut-off date of the current AIR (=31/12/Reporting Year) is > date of element 30 + 2 years.</p> <p>=> "2 years or more since ex ante is completed and no signature of funding agreement (element 13). The delay may require explanation in the other sections of the AIR"</p>	WARNING
2.173	<p>Validate in Financial Instruments for SF/FoF, when element 33 is > 0, that element 34 is > 0</p>	ERROR
2.174	<p>Validate in Financial Instruments for FoF, SF/FoF and SF when element 13 is not empty, that element 14 is > 0.</p> <p>=> "Funding agreement signed but no amounts indicated in element 14"</p>	ERROR
2.175	<p>Validate in Financial Instruments for SF/FoF and SF, that element 39.1 is < 20 for guarantee and equity and is < 10 for loan.</p> <p>=> "Unusual value for this type of instrument (>10 for loans, >20 for guarantees or equity). Please verify 39.1 for FI {0}, Product {1}"</p>	WARNING

2.178	Validate in Annex I that the Priority, Fund combination is covered by the linked Programme version	ERROR
2.179	Validate in Annex II that the Priority, Fund combination is covered by the linked Programme version	ERROR
2.180	Validate in Annex III that the Priority, Fund combination is covered by the linked Programme version	ERROR

After all errors have been resolved the status of the Implementation Report becomes **READY TO SEND**.

An example of a validation window:



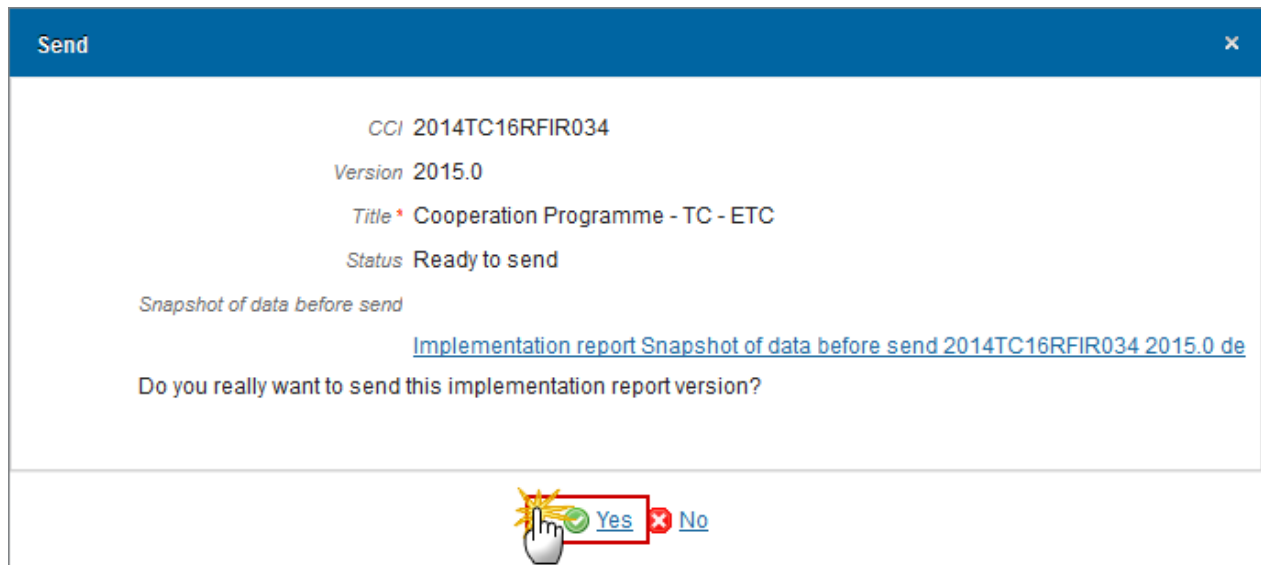
Send the Implementation Report (ETC)

REMARK	<p>The Implementation Report (ETC) can only be sent once the Validation Errors have been removed and the status is 'READY TO SEND' OR 'SENT'.</p> <p>It is a must to have the privilege to send the Implementation Report, the user has the role of MS Managing Authority with Send access.</p> <p>The '4 eye principle' must be respected. Therefore, the user sending must be different from the user who last validated.</p> <p>The Sending of information by a Member State to the Commission should be electronically signed in accordance with Directive 1999/93/EC. Sending of the different objects is generating a snapshot document and after the sending an acknowledge document is generated by the European Commission.</p>
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1. Click on the [Send](#) link to send the Implementation Report (ETC) to the Commission or to an upper Node.



The system will ask you to confirm the send action:



2. Click on [Yes](#) to confirm or click on [No](#) to return to the Implementation Report (ETC).

The Sending of information by a Member State to the Commission should be electronically signed in accordance with **Directive 1999/93/EC**.

Sending of the different objects is generating a snapshot document and after the sending an acknowledge document is generated by the European Commission.

This acknowledge document is signed but the Member State was not signing the snapshot document. The EU Login now provides a functionality of signing without forcing the user to have a certificate. The action to sign will only be triggered when sending to the European Commission:



External

SFCtestSilvia SUPPORT (SFCtest2014)



Sign a transaction

Welcome **SFCtestSilvia SUPPORT** to the EU Login Signature page. This page allows you to digitally sign a transaction using your EU Login password.

Sign a transaction for sfc2014

Description: **Accounts Snapshot of data before send 2014AT65ISNP001 2016.0**

Reason: **Electronic signature required in accordance with Directive 1999/93/EC**

Password

 1

[Printer-friendly Version](#) | [> See the complete transaction](#)

(1) Enter your SFC2014 *Password*

(2) Click on the 'SIGN' button

On success, the Implementation Report version has been sent to the Commission or to an upper node and the status is set to **SENT**.

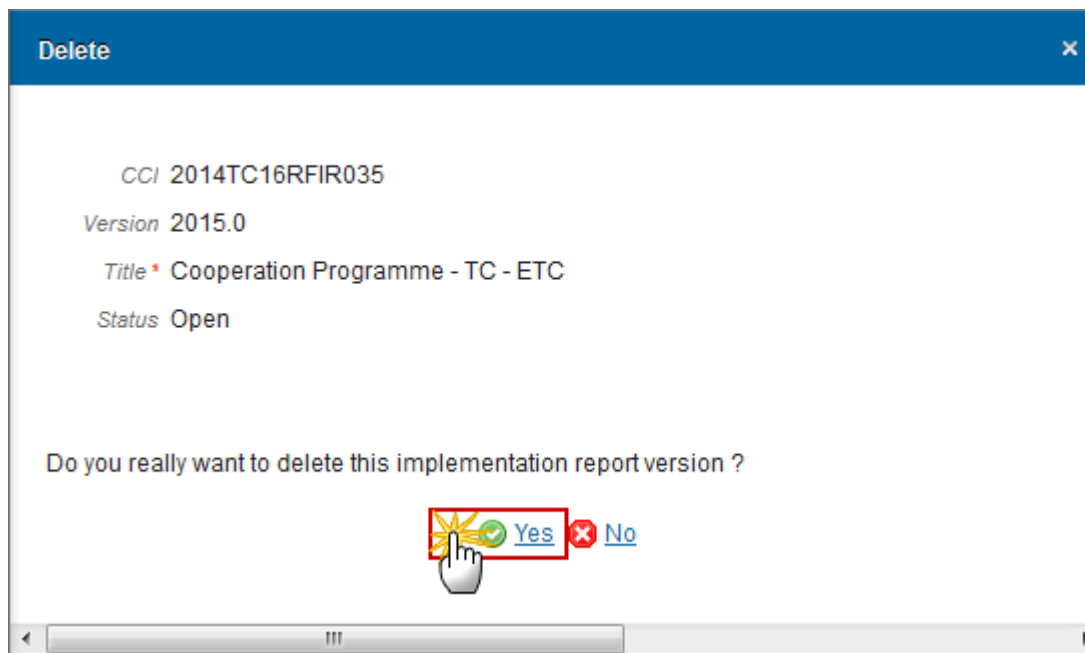
Delete the Implementation Report (ETC)

REMARK	An Implementation Report (ETC) can be deleted only if its status is ' OPEN ', ' READY TO SEND ' or ' RETURNED FOR MODIFICATION BY MS ' and <u>has never been sent to the Commission before</u> and has no sent documents attached. It is a must to have the role of MS Managing Authority with Update access .
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1. Click on the [Delete](#) link to remove the Implementation Report from the system.



The system will ask you to confirm the delete action:



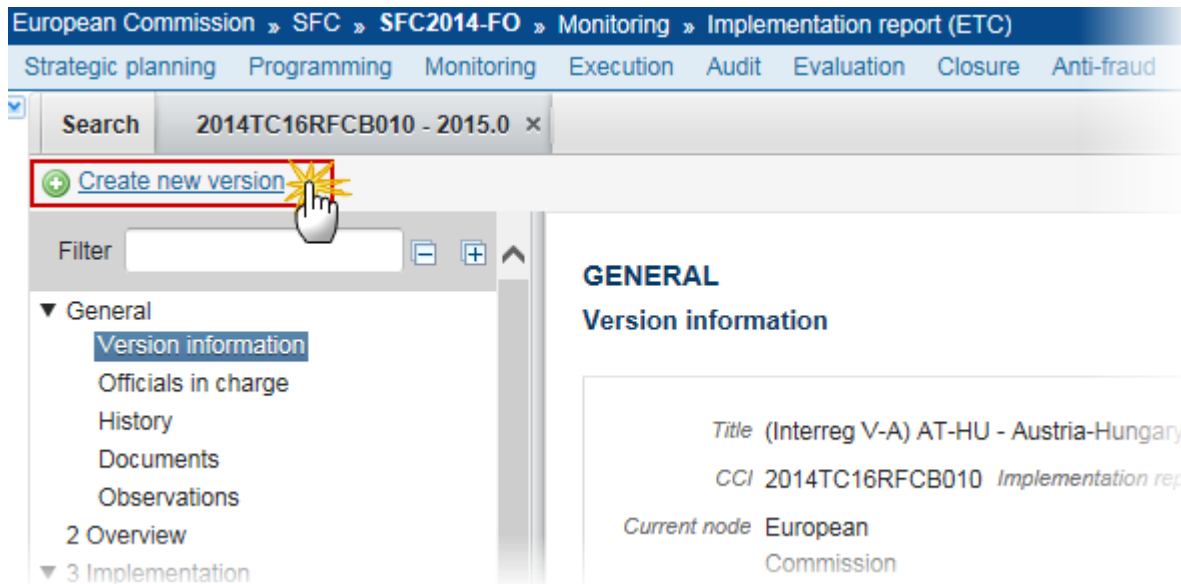
2. Click on [Yes](#) to confirm or click on [No](#) to return to the Implementation Report ETC.

On success, the Implementation Report is removed physically from the system.

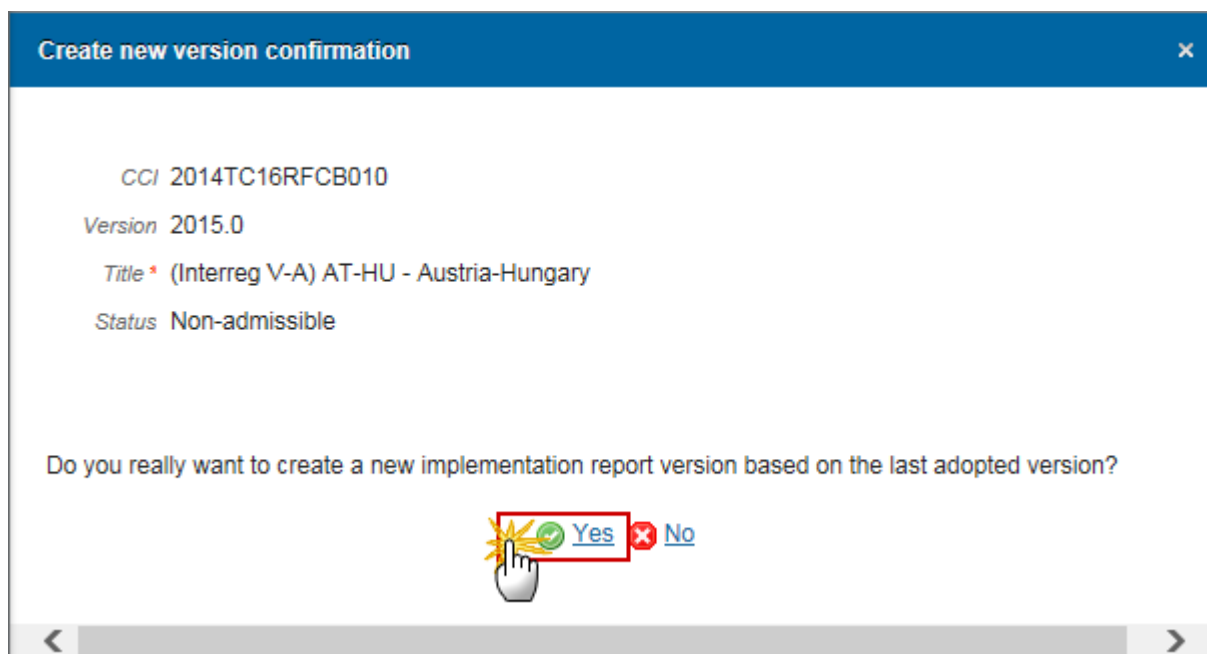
Create a New Version of the Implementation Report ETC

REMARK	<p>A New Version can only be created when the last Implementation Report version for the specific Reporting Year is 'RETURNED FOR MODIFICATION BY EC', 'TECHNICAL RETURN ON PERFORMANCE REVIEW', 'ACCEPTED BY EC (TECHNICAL RETURN)' or 'NON-ADMISSIBLE'.</p> <p>It is a must to have the role of MS Managing Authority with Update access.</p>
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1. Click on the [Create New Version](#) link to create a new version of the Implementation Report (ETC).



The system will ask you to confirm the creation of a new version:



2. Click on [Yes](#) to confirm. Click on [No](#) to return to the Implementation Report ETC.

On success, a new version of the Implementation Report for ETC has been created as a copy of the previous version, with a version number identical to the previous and a working version incremented by one. Its status was set to 'OPEN'.

Additional Functionality

Early Warning Notification

An early warning notification job will run on **1, 14, 21, 25, 27 and 31 of May in 2016, 2018, 2020, 2021 and 2022**. It will select all adopted ETC Programmes for which no Implementation Report for Reporting Years smaller than the current year has been sent to the Commission.

An early warning notification job will run on **1, 14, 21, 25, 27 and 30 of September in 2017 and 2019**. It will select all adopted ETC Programmes for which no Implementation Report for Reporting Years smaller than the current year has been sent to the Commission.

For these Programmes, an email notification will be sent to the Managing Authority of the Programme and to the subscribers of this Event Type. The notification will say:

For **2016, 2018, 2020, 2021** and **2022** submissions:

“The deadline for the submission to the Commission of the Implementation Report [YYYY] for Programme [CCI] is 31st of May. Please submit it as soon as possible.”

For **2019** submission:

“The deadline for the submission to the Commission of the Implementation Report [YYYY] for Programme [CCI] is 30th of September. Please submit it as soon as possible.”