



How to create the Final Implementation Report (ERDF/CF, ESF, EFF)?

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Purpose of these functionalities

This module provides the tool to prepare and send the Final Implementation Report. In order to send this report, all the data requested by the regulations must be encoded using the menus provided as detailed below.

Regulation source

For ERDF/CF: annex XVIII and part C of annex II of 1828/2006.
For ESF: annex XVIII and part C of annex II of 1828/2006 and annex XXII of 1828/2006 as well.
For ERDF/CF and ESF (Financial Engineering Instruments): REGULATION (EU) No 1310/2011 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 13 December 2011 amending Council Regulation (EC) No 1083/2006 as regards repayable assistance, financial engineering and certain provisions related to the statement of expenditure.
For EFF: annex XIV of 498/2007.

Concerned Funds

Final Implementation Report	ERDF/CF	ESF	EFF
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User roles and permission needed

Member State Managing Authority with **Update and Send** permissions (MSMA U+S) for ERDF/CF, ESF or EFF

Before you start, check that...

For ERDF/CF and ESF you have previously created and sent to the Commission the **INDICATORS SET** data

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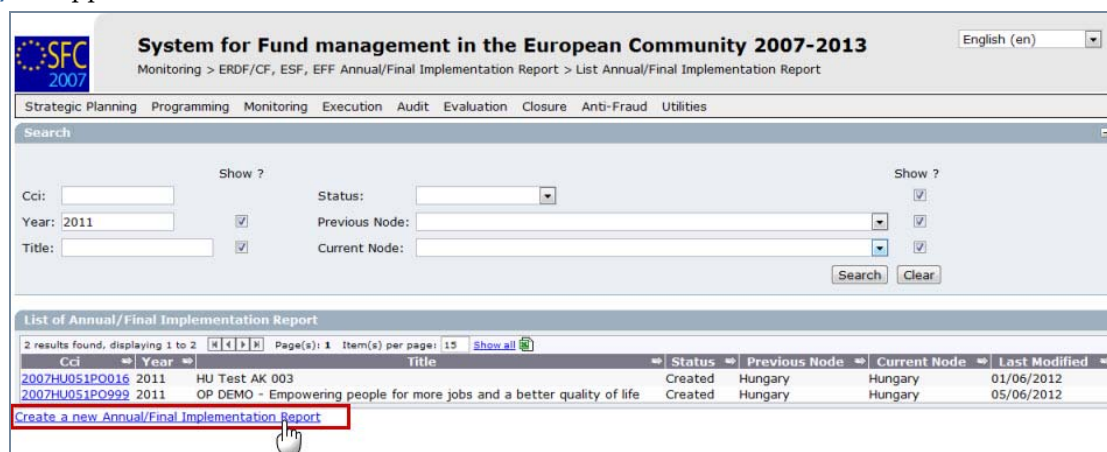
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1. Create the Final Implementation Report dossier.

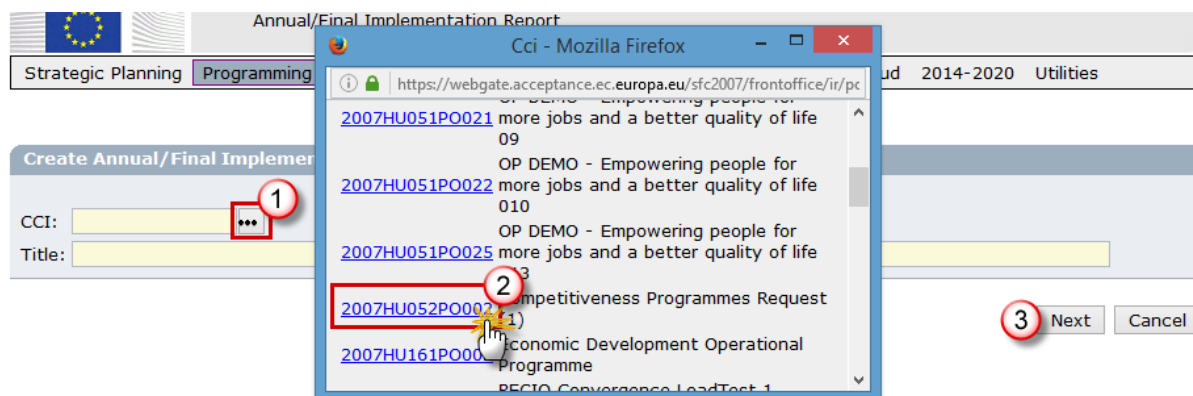
REMARK	The Final Implementation Report can only be created once. Therefore, there is no versioning of this dossier and the option "create new version" doesn't exist. For the Final Implementation Report, the Reporting Year appears as "9999"
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1. Open the menu **MONITORING > ERDF/CF, ESF, EFF ANNUAL/FINAL IMPLEMENTATION REPORT**. The *Search pane* appears.



2. Select the [Create a new Annual/Final Implementation Report](#) option.

The *create wizard* screen appears:



3. Click on the button to open the list with CCI numbers (1).
4. Select the CCI number of the concerned Programme. The title of the Programme will be added automatically (2).
5. Click **NEXT** (3).

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6. On the *provide additional info screen*, enter the requested additional data (1)

- a. Select the Reporting Year 'Final'
- b. Enter the Monitoring Committee Approval Date.
- c. The "Version Set of Indicators" is only shown for ERDF/CF and ESF. Select the Set of Indicators from the list. The list only contains Sets linked to versions of the Programme adopted in or before the indicated Reporting Year.
- d. **Only for the FINAL Implementation Report** ERDF/CF and ESF specify whether "Use is made of the option under Article 34(2) of Regulation (EC) No 1083/2006".
- e. Enter the "Number of Financial Engineering Instrument..." These fields are only shown for ERDF/CF and ESF.

7. Click **NEXT** to get to the confirmation step (2).

REMARK	If you click on the UPLOAD MONITORING COMMITTEE DOCUMENTS link you'll be redirected to the Monitoring Committee section. Only to be done if the "Result and Conclusions" document has not been uploaded yet.
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8. Click **FINISH** to confirm and finish the creation of the Final Implementation Report dossier. The message "Object creation successful" will be displayed on the upper part of the screen.

2. Edit the Final Implementation Report

TIP	<p>- If you directly edit the FIR dossier after finishing the wizard, you are directed automatically to the edit tabs.</p> <p>- If you are editing the FIR dossier at a later stage, go to the menu MONITORING > ERDF/CF, ESF, EFF FINAL/ANNUAL IMPLEMENTATION REPORT. The existing FIR dossier is</p>
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	displayed in the list. Click on its CCI number. You are directed to the FIR display screen. Select any of the "Edit" options that appear. You are directed to the editing tabs.
--	---

Depending on the Fund you are entering the FIR for, the following tabs are available:

ESF	ERDF/CF	EFF
General	General	General
Indicators	Indicators	
Data on Participants	Annex III - Summary table of phased major project	
Annex V - Summary table of non-functioning projects	Annex V - Summary table of non-functioning projects	
Annex VII - Summary table of suspended projects	Annex VII - Summary table of suspended projects	
Financial Engineering	Financial Engineering	Financial Detail
Documents	Documents	Documents
In Charge	In Charge	In Charge

2.1. Edit General information

REMARK	<p>On the General tab the Reporting Year cannot be edited.</p> <p>The Version Set of Indicators is only shown for ERDF/CF and ESF. If you need to link the FIR to a different indicator set refer to the section 2.8 Synchronise set of Indicators.</p> <p>The "Use is made of the option under Article 34(2) of Regulation (EC) No 1083/2006" is only shown for ERDF/CF and ESF Programmes for the Final Implementation Report.</p> <p>The fields "Number of Financial Engineering Instrument..." are only shown for ERDF/CF and ESF Programmes.</p>
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a. Enter extra information in the Comment field if any **(1)**.

1. Click **SAVE (2)**.

2.2. Edit the Indicators (for ERDF/CF and ESF only)

This step only concerns ERDF/CF and ESF programmes

1. Select the **INDICATORS** tab.

The values are automatically taken from the Indicator Set previously created. The achievements for years equal and before the reporting year are shown.

Type	Indicator	Unit	M/W/Priority	2007	2008	2009	2010	2011	2012	2013	2014	2015	Final
	Input1a - test	NUMBERM											
	Input1a - test	NUMBERW											

a. Enter the achievement values **(1)**.

2. Click **SAVE (2)**.

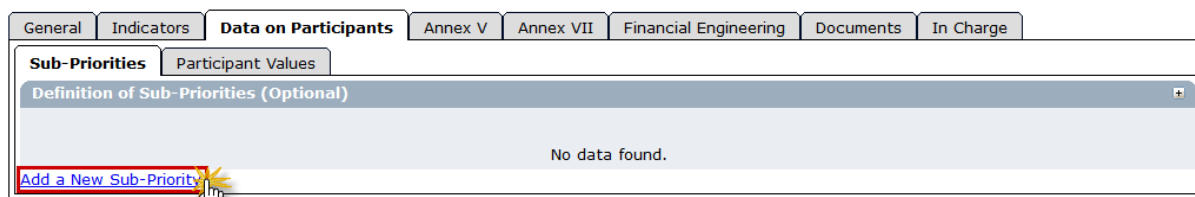
2.3. Edit the Data on participants/Annex XXIII (for ESF only)

This step only concerns ESF programmes! For ERDF/CF and EFF Programmes go to the **EDIT THE FINANCIAL DETAIL** section.

REMARK	<p>The sub-priorities section allows you to define Sub-Priorities under the Programme Priorities if you want to maintain Data on Participants at Sub-Priority Level.</p> <p>Sub-Priorities created in the previous Annual Implementation Reports are copied into the current Annual Implementation Report on creation. They can be modified or deleted if required.</p>
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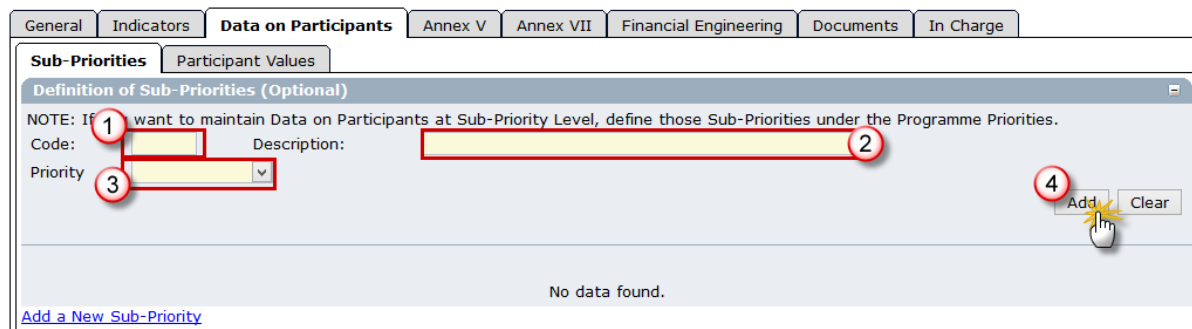
1. Select the **DATA ON PARTICIPANTS** tab. The **SUB-PRIORITIES** sub-tab is displayed by default.

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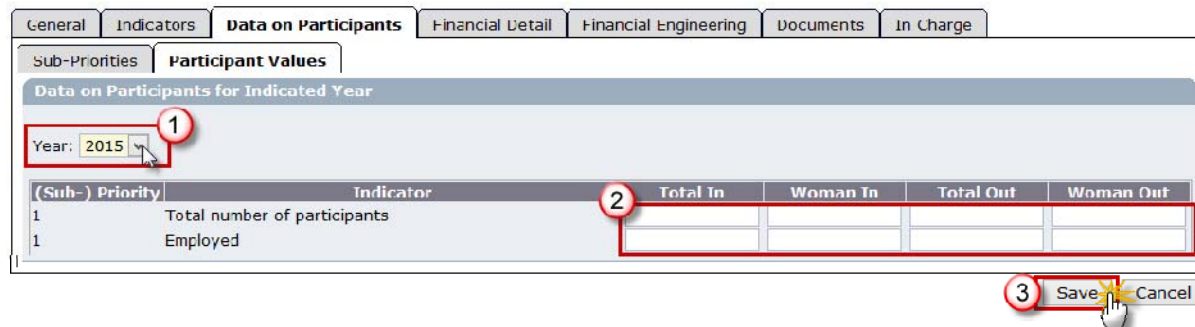
2. Select the [Add a New Sub-Priority](#) option to add a new sub-priority.

The *Sub-Priority definition screen* appears:



- a. Enter a code (1) and a description for the sub-priority (2).
 - b. Select the Priority of the Operational Programme to which this sub-priority is linked (3).
The list contains all priorities of the Programme.
3. Click **ADD** to add the sub-priority to the list of sub-priorities (4). Repeat the steps to add as many sub-priorities as you need.

4. Select the **PARTICIPANT VALUES** tab. The *Participant values* screen is displayed.



- a. Select the year for which you want to enter the participant values (1).
 - b. Enter the values (2).
5. Click **SAVE** to save the entered values (3). Repeat the steps to enter the values for each year.

REMARK When you select a different year (1), the following pop up appears:

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Are you sure you want to go and edit another year? Be sure to have committed your changes first otherwise they will be lost! Click Cancel if you still need to commit your changes.

This is to prevent you from changing the year without saving the data first. Ensure that you have saved the data before you switch to a different year.

2.4. Edit the Financial Detail

REMARK

The Financial Detail section **does not exist** in the **Final Implementation Report** for ERDF/CF and ESF

The table "Financial Information per priority axis" is not available in the Final Implementation Report. However, Member States should upload the table "Financial information" as included in point 2.1.2 of ANNEX XVIII of Regulation 1828/2006 as modified by Regulation 832/2010". The Financial Information is **mandatory** and should be uploaded via the 'Documents' tab (see the 'Add Documents' section of this guide on how to add Documents in the FIR).

The template for the Financial Information Document can be downloaded here: https://ec.europa.eu/sfc/sites/sfc2014/files/2007/Financial_information_table.xlsx.

For EFF:

1. Select the FINANCIAL DETAIL tab:

General

Financial Detail

Documents

In Charge

Financial Information per Priority Axis (EUR)

Priority	Expenditure paid out by the beneficiaries included in payment claims sent to the managing authority	Corresponding public contribution	Corresponding EFF contribution	Expenditure paid by the body responsible for making payments to the beneficiaries	EFF committed by the managing authority	Total payment requested from the Commission	Total payments received from the Commission
Non-Convergence Regions							
1					1		

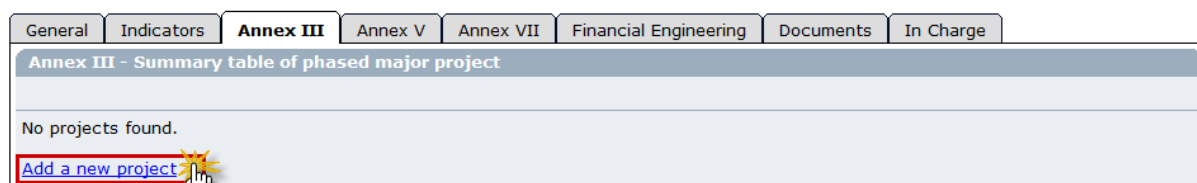
- a. Enter the requested information **(1)**.

2. Click **SAVE (2)**.

2.5. Edit Annex III (for ERDF/CF only)

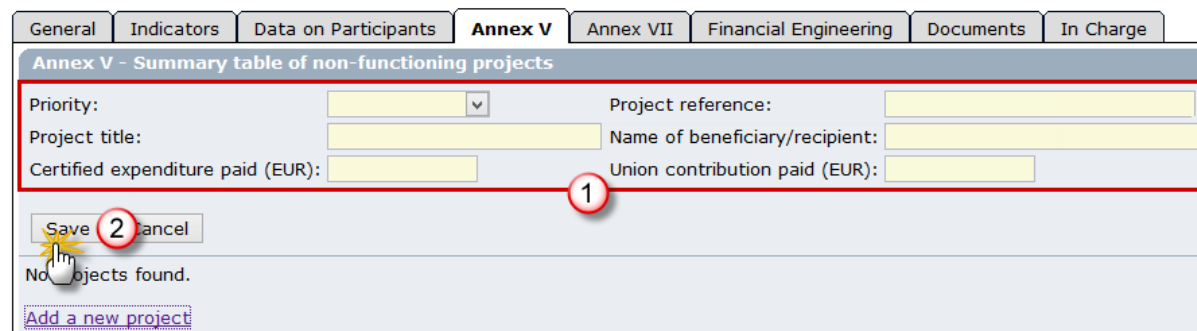
3. Select the ANNEX III tab:

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4. Select the [Add a new project](#) option to add a new project.

The *Annex 3 – Summary table of non-functioning projects* information appears:

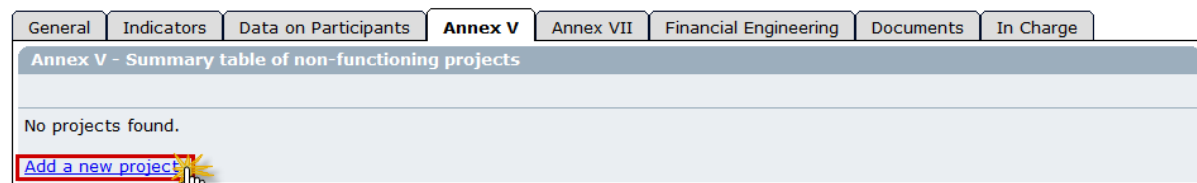


a. Enter the requested project information (1).

5. Click **SAVE** to add the project (2). Repeat the steps to add as many projects as you need.

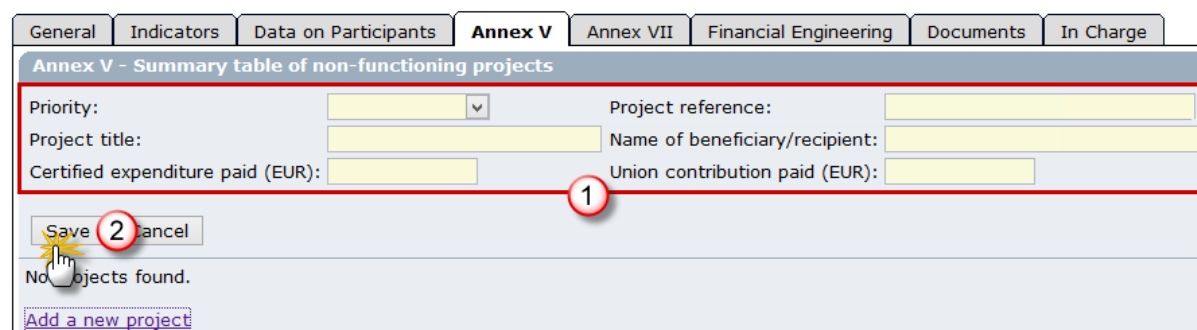
2.6. Edit Annex V (for ERDF/CF/ESF)

6. Select the ANNEX V tab:



7. Select the [Add a new project](#) option to add a new project.

The *Annex V – Summary table of non-functioning projects* information appears:



a. Enter the requested project information (1).

8. Click **SAVE** to add the project (2). Repeat the steps to add as many projects as you need.

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2.7. Edit Annex VII (for ERDF/CF/ESF)

1. Select the ANNEX VII tab:

2. Select the [Add a new project](#) option to add a new project.

The *Annex V – Summary table of suspended projects* information appears:

- a. Enter the requested project information (1).

3. Click **SAVE** to add the project (2). Repeat the steps to add as many projects as you need.

2.8. Edit Financial Engineering

2.8.1. Edit Financial Engineering with Holding Fund (for ERDF/CF & ESF)

REMARK	<p>Items marked with a * are optional.</p> <p>To see the full list of data for FEI see 2.8.3 Reporting Templates for Financial Engineering Instruments</p>
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The "With Holding Fund" tab is only shown for ERDF/CF and ESF Programmes when the number of FEI operations with Holding Fund provided in the General tab is more than 0.

1. On the **HOLDING FUNDS** tab click on the link [Add a new Holding Fund](#).

The *Holding Funds information* screen is displayed.

- a. Define the Holding Funds (1).
2. Click **ADD** (2).

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General Indicators Data on Participants Financial Detail **Financial Engineering** Documents In Charge

With Holding Fund Without Holding Fund

Holding Funds Financial Engineering Instruments Products

Description of the Holding Fund and amounts of assistance to the Holding Fund

I. Description and identification of the entities which implement the financial engineering instrument(s) - level of holding fund (Article 67(2)(j)(i&ii) of Council Regulation (EC) No 1083/2006)

I.1	Holding Fund (name and registered place of business)	
I.2	Legal status of the Holding Fund independent legal entities governed by agreements between the co-financing partners or shareholders separate block of finance within a financial institution	<input type="radio"/> <input type="radio"/>
I.2.1*	name, legal status and registered place of business of co-financing partners	
I.3	Holding Fund manager European Investment Bank (EIB) European Investment Fund (EIF) financial institution other than the EIB/EIF other body	<input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/>
I.3.1	name, legal status and registered place of business of other body	
I.4	Procedure for selecting the Holding Fund manager award of a public contract in accordance with applicable public procurement law award of a grant (in the meaning of Article 44, second paragraph, point (b) of Council Regulation (EC) No 1083/2006) award of a contract directly to the EIB or the EIF	<input type="radio"/> <input type="radio"/> <input type="radio"/>
I.5	Date of signature of funding agreement with Managing Authority	<input type="text"/>
I.6	Number of financial engineering instruments implemented under this specific Holding Fund	<input type="text"/>
III. Operational Programme contributions paid to the financial engineering instrument (Article 67(2)(j)(iii) of Council Regulation (EC) No 1083/2006)		
III.2. Operational Programme contributions paid to the holding fund		
III.2.1. Amounts of assistance from the Structural Funds paid to the holding fund		
III.2.1.1*	ERDF amounts of assistance committed in the funding agreement with Managing Authority (in EUR)	<input type="text"/>
III.2.1.2	ERDF amounts of assistance paid to the holding fund (in EUR)	<input type="text"/>
III.2.1.3*	ESF amounts of assistance committed in the funding agreement with Managing Authority (in EUR)	<input type="text"/>
III.2.1.4	ESF amounts effectively paid to the holding fund (in EUR)	<input type="text"/>
III.2.2. Amounts of national co-financing paid to the holding fund		
III.2.2.1*	National public co-financing committed in the funding agreement with Managing Authority (in EUR)	<input type="text"/>
III.2.2.2	National public co-financing effectively paid to the holding fund (in EUR)	<input type="text"/>
III.2.2.3*	National private co-financing committed in the funding agreement with Managing Authority (in EUR)	<input type="text"/>
III.2.2.4	National private co-financing paid to the holding fund (in EUR)	<input type="text"/>
III.2.3	Other ERDF or ESF Operational Programme(s) provide(s) contributions to the holding fund Yes No	<input type="radio"/> <input type="radio"/>
III.3*	Amounts of other assistance paid to the holding fund outside this Operational Programme (in EUR)	<input type="text"/>
III.4	Management costs and fees paid to the holding fund (in the meaning of Article 78(6)(d) of Council Regulation (EC) No 1083/2006) (in EUR)	<input type="text"/>

2 Add Clear

No holding fund found

3. Go to the FINANCIAL ENGINEERING INSTRUMENTS tab.

General Indicators Data on Participants Annex V Annex VII **Financial Engineering** Documents In Charge

With Holding Fund Without Holding Fund

Holding Funds **Financial Engineering Instruments** Products

Identification of entities Implementing the Financial Engineering Instrument and amounts of assistance to the FEI

No Financial Engineering Instrument found

[Add a new Financial Engineering Instrument](#)

4. Click on the link [Add a new Financial Engineering Instrument](#).

- a. Select the Holding Fund (1).

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b. Enter the Information (2).

5. Click **ADD** (3).

The screenshot shows the 'Financial Engineering Instruments' tab in the SFC2007 application. The 'Holding Fund' is set to 'Holding Fund 1'. The form contains the following sections:

- II. Description and identification of the entities which implement financial engineering instrument - level of the specific financial engineering instrument implemented with a holding fund (Article 67(2)(j)(i&ii) of Council Regulation (EC) No 1083/2006)**
 - II.1 Financial engineering instrument (name and registered place of business)
 - II.2 Attributable to Article 44, first paragraph (a), (b) or (c) of Council Regulation (EC) No 1083/2006?
 - (a) financial engineering instruments for enterprises
 - (b) urban development funds
 - (c) funds or other incentive schemes providing loans, guarantees for repayable investments, or equivalent instruments, for energy efficiency and use of renewable energy in buildings, including in existing housing
 - II.3 Type of financial product offered by the financial engineering instrument to the final recipients
 - II.3.1 equity
 - II.3.2 loans
 - II.3.3 guarantees
 - II.3.4 other (interest rate subsidies, guarantee fee subsidies and equivalent measures)
 - II.4 Financial engineering instrument manager (its name, legal status and registered place of business)
 - II.7 Legal status of the financial engineering instrument
 - independent legal entities governed by agreements between the co-financing partners or shareholders
 - separate block of finance within a financial institution
- III. Operational Programme contributions paid to the financial engineering instrument (Article 67(2)(j)(iii) of Council Regulation (EC) No 1083/2006)**
 - III.5 Operational Programme contributions paid from the holding fund to the specific fund
 - III.5.1* Operational Programme contributions committed to the specific fund in the legal agreement with holding fund (in EUR)
 - III.5.1.1* out of which amounts of assistance from the Structural Funds (in EUR)
 - III.5.2 Operational Programme contributions paid to the specific fund in line with the legal agreement with holding fund (in EUR)
 - III.5.3 out of which amounts of assistance from the Structural Funds (in EUR)
 - III.5.4 out of which national public co-financing paid to the specific fund (in EUR)
 - III.5.5 out of which national private co-financing paid to the specific fund (in EUR)
 - III.6 Management costs and fees paid by the holding fund to the specific fund (in the meaning of Article 78(6)(d) of Council Regulation (EC) No 1083/2006) (in EUR)
- IV. Operational Programme contributions paid by financial engineering instrument(s) to final recipients (Article 67(2)(j)(iv) of Council Regulation (EC) No 1083/2006)**
 - IV.5 Indicators
 - IV.5.1* Number of jobs created

The 'Add' button is highlighted with a red circle and the number 3.

6. Go to the **PRODUCTS** tab.

The screenshot shows the 'Products' tab in the SFC2007 application. The 'Loans' sub-tab is selected. The screen displays 'No Financial Product found' and a link 'Add a new Financial Product'.

7. On the **LOANS** tab click on the link [Add a new Financial Product](#).

The *Loans information* screen appears.

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- a. Select the Holding Fund and FEI (1).
- b. Enter the information (2).

8. Click **ADD** to save and add to the list (3).

9. Open the **GUARANTEES** tab.

10. Click on the link [Add a new Financial Product](#).

The *Guarantees detail* screen appears.

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- a. Select the Holding Fund and FEI (1).
- b. Enter the information (2).

11. Click **ADD** to save and add to the list (3).

12. Open the EQUITY/VENTURE CAPITAL PRODUCTS tab.

13. Click on the link [Add a new Financial Product](#).

The *Equity detail* screen appears.

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The screenshot shows the 'Financial Engineering' section of the SFC2007 application. The 'Equity' tab is selected, and a table titled 'IV. Operational Programme contributions paid to final recipients in equity/venture capital' is visible. The table has columns for 'Name of equity/venture capital product', 'Number of final recipients supported', and 'Amounts of Operational Programme contributions paid to investments made in line with agreements (in EUR)'. Red boxes and numbers 1, 2, and 3 highlight the 'Holding Fund' and 'FEI' dropdowns, the data entry fields, and the 'Add' button respectively.

- a. Select the Holding Fund and FEI (1).
- b. Enter the information (2).

14. Click **ADD** to save and add to the list (3).

15. Open the **OTHERS** tab.

The screenshot shows the 'Financial Engineering' section of the SFC2007 application. The 'Others' tab is selected, and a link 'Add a new Financial Product' is highlighted with a red box and a mouse cursor.

16. Click on the link [Add a new Financial Product](#).

The *Others information* screen appears:

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- a. Select the Holding Fund and FEI (1).
- b. Enter the information (2).

17. Click **Add** to save and add to the list (3).

2.8.2. Edit Financial Engineering without Holding Fund (ERDF/CF & ESF)

REMARK	<p>Items marked with a * are optional.</p> <p>To see the full list of data for FEI see 2.8.3 Reporting Templates for Financial Engineering Instruments</p>
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The "Without Holding Fund" tab is only shown for ERDF/CF and ESF Programmes when the number of FEI operations without Holding Funds provided in the General tab is more than 0.

1. Go to the tab **WITHOUT HOLDING FUND**.

2. Click on the link [Add a new Financial Engineering Instrument](#) on the FEIs tab.

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The *FEI detail* screen appears.

The screenshot shows the 'FEI detail' screen in the SFC2007 application. The interface includes a top navigation bar with tabs for 'General', 'Indicators', 'Data on Participants', 'Financial Detail', 'Financial Engineering', 'Documents', and 'In Charge'. Below this, there are sub-tabs for 'With Holding Fund' and 'Without Holding Fund'. The main content area is titled 'Financial Engineering Instruments' and 'Products'. A red box highlights the 'Identification of entities implementing the Financial Engineering Instrument and amounts of assistance to the FEI' section. This section is divided into several parts: II.A (Description and identification of entities), II.B (Description and identification of entities), III (Operational Programme contributions), and IV (Operational Programme contributions). Each part contains various input fields, checkboxes, and radio buttons. A red circle with the number '2' is placed over the 'Add' button at the bottom right of the form. Another red circle with the number '1' is placed over the first input field in section II.A.1.

a. Enter the information (1).

3. Click **ADD** to save and add to the list (2).

4. Open the **PRODUCTS** tab.

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The screenshot shows the 'Financial Engineering' section of the application. The 'Loans' tab is active, and the 'Add a new Financial Product' link is highlighted with a red box and a yellow star icon.

5. Click on the link [Add a new Financial Product](#) on the LOANS tab.

The *Loans detail* screen is displayed.

The screenshot shows the 'Loans detail' screen. The 'FEI' dropdown is circled with a red '1', the form fields are circled with a red '2', and the 'Add' button is circled with a red '3'.

IV. Operational Programme contributions paid by financial engineering instrument(s) to final recipients (Article 67(2)(j)(iv) of Council Regulation (EC) No 1083/2006)	
IV.1	Operational Programme contributions paid to final recipients in loans
IV.1.1	Name of loan product
IV.1.2*	Number of final recipients supported
IV.1.2.1*	out of which large enterprises
IV.1.2.2*	out of which SMEs
IV.1.2.2.1*	out of which micro-enterprises
IV.1.2.3*	out of which individuals
IV.1.2.4*	out of which urban projects
IV.1.2.5*	out of which other recipients supported
IV.1.3*	Number of loan contracts signed with final recipients
IV.1.4*	Total value of loans committed in contracts signed with final recipients (in EUR)
IV.1.4.1*	out of which Operational Programme contributions
IV.1.5	Amounts of Operational Programme contributions paid to final recipients in loans (in EUR)
IV.1.5.1	out of which amounts of assistance from the Structural Funds (in EUR)
IV.1.6	Date of signature of funding agreement between Managing Authority and specific fund for this loan product

- Select the FEI (1).
- Enter the information (2).

6. Click **ADD** to save and add to the list (3).

7. Open the **GUARANTEES** tab.

The screenshot shows the 'Financial Engineering' section of the application. The 'Guarantees' tab is active, and the 'Add a new Financial Product' link is visible at the bottom.

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8. Click on the link [Add a new Financial Product](#) on the GUARANTEES tab.

The *Guarantees detail* screen is displayed.

- a. Select the FEI (1).
- b. Enter the information (2).

9. Click **ADD** to save and add to the list (3).

10. Open the EQUITY/VENTURE CAPITAL PRODUCTS tab.

11. Click on the link [Add a new Financial Product](#).

The *Equity detail* screen appears.

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- a. Select the FEI (1).
- b. Enter the information (2).

12. Click **Add** to save and add to the list (3).

13. Open the **OTHERS** tab.

14. Click on the link [Add a new Financial Product](#).

The *Others detail* screen appears.

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The screenshot shows the SFC2007 application interface. At the top, there are tabs for 'General', 'Indicators', 'Data on Participants', 'Financial Detail', 'Financial Engineering', 'Documents', and 'In Charge'. Below these, there are sub-tabs for 'With Holding Fund' and 'Without Holding Fund'. Under 'Without Holding Fund', there are tabs for 'Financial Engineering Instruments' and 'Products'. Under 'Products', there are tabs for 'Loans', 'Guarantees', 'Equities', and 'Others'. The 'Other' sub-tab is active, showing a form for 'Operational Programme contributions paid by financial engineering instrument(s) to final recipients (Article 67(2)(j)(iv) of Council Regulation (EC) No 1083/2006)'. The form includes a dropdown for 'FEI name' (1) and a table for entering data (2). The table has columns for 'IV.4 Operational Programme contributions paid to final recipients in other financial products' and various sub-fields. At the bottom right of the table, there is an 'Add' button (3) and a 'Clear' button. Below the table, it says 'No Financial Product found'.

- a. Select the FEI (1).
- b. Enter the information (2).

15. Click **ADD** to save and add to the list (3).

2.8.3. Reporting templates for Financial Engineering Instruments

REMARK	This information can be downloaded in Excel format here .
---------------	---

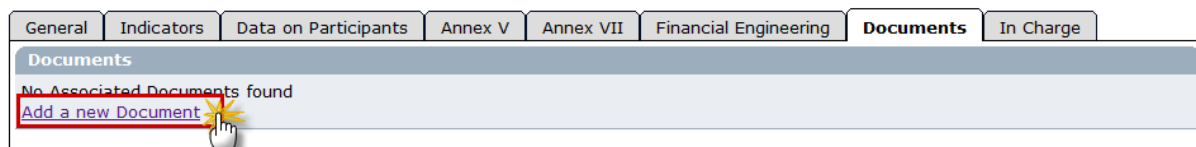
2.9. Add Documents

! REMARK	<p>The table "Financial Information per priority axis" is not available in the Final Implementation Report.</p> <p>However, Member States should upload the table "Financial information" as included in point 2.1.2 of ANNEX XVIII of Regulation 1828/2006 as modified by Regulation 832/2010". The Financial Information is mandatory and should be uploaded via the 'Documents' tab.</p> <p>The template for the Financial Information Document can be downloaded here: https://ec.europa.eu/sfc/sites/sfc2014/files/2007/Financial_information_table.xlsx.</p>
! REMARK	<p>Categories data must be uploaded in the form of a of a pre-formatted Excel file. The Excel file corresponds to annex II part C of 1828/2006.</p> <p>Please use the templates (available in the help page of SFC2007) in order to avoid problems with the validation of the content. The template is available in the help</p>

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page: https://ec.europa.eu/sfc/sites/sfc2014/files/2007/IR_Categorisation_en.xlsx .
This excel file must be uploaded as document type CATEGORISATION SHEET.
THE FILE EXTENSION MUST BE ".XLS" AND NOT ".XLSX" (EXCEL 2007) More
FAQs about the categorisation: https://ec.europa.eu/sfc/en/2007/support-materials/final_implrep

1. Select the DOCUMENTS tab to get to the Documents screen.



2. Select the [Add a new Document](#) option.

The *add documents* screen appears.

3. Enter the requested information (1). These types of documents can be uploaded:
 - Annual/Final Implementation Report for ERDF/CF, ESF and EFF (**MANDATORY**)
 - Categorisation Sheet (**MANDATORY**)
 - Other Member State Document
 - Final Implementation Report Financial Information (only for Final Implementation Report ERDF/CF and ESF) (**MANDATORY**) (IR.FIN)
 - Final Implementation Report Annex I on completed Major Projects (only for Final Implementation Report ERDF/CF) (IR.AN1)
 - Final Implementation Report Annex IV on phased non-Major Projects (only for Final Implementation Report ERDF/CF and ESF) (IR.AN4)
4. Click **SAVE** to save the entered information (2).

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Document is not yet sent, but will be sent whenever you send the Object

Type: Annual/Final Implementation Report for ERDF/CF, ESF and EFF
 Title: FIR
 Document Date: 03/02/2017
 Commission Registration N°:
 Comments:

Language: English
 Local Reference:

Attachments

There were no results found.

Title	Filename	Link	Upload Date
Add a new Attachment			

Save Cancel

5. After saving, the [Add a new Attachment](#) option appears. Click on this link.

The *upload attachment* screen appears.

File Upload

This PC > Documents

Organize New folder

Name	Date modified	Type
17020107340.pdf	2/1/2017 7:27 AM	PDF Fi
Default.rdp	2/2/2017 5:06 PM	Remot
Remote Desktop Redirected Printer Doc...	10/17/2016 8:00 AM	OXPS I
test.docx	2/3/2017 11:36 AM	Micro:

File name: test.docx All Files (*.*)

Open Cancel

Save Cancel

- Enter the Title of the document (1).
- Click on the button to find the file on your computer (2).
- Select the file and click Open (3).

6. Click **SAVE** to save the uploaded file (4).

You are redirected to the *document information* screen.

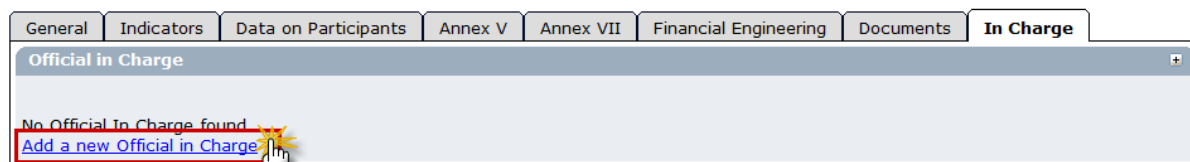
TIP	To add more files (attachments) to your Final implementation Report, click on Add a New Attachment and repeat the steps for each file you want to upload.
------------	---

2.10. Add Officials in Charge

REMARK	This information is not mandatory. However, the Officials entered will receive an automatic notification each time the status of the Final Implementation Report changes.
---------------	---

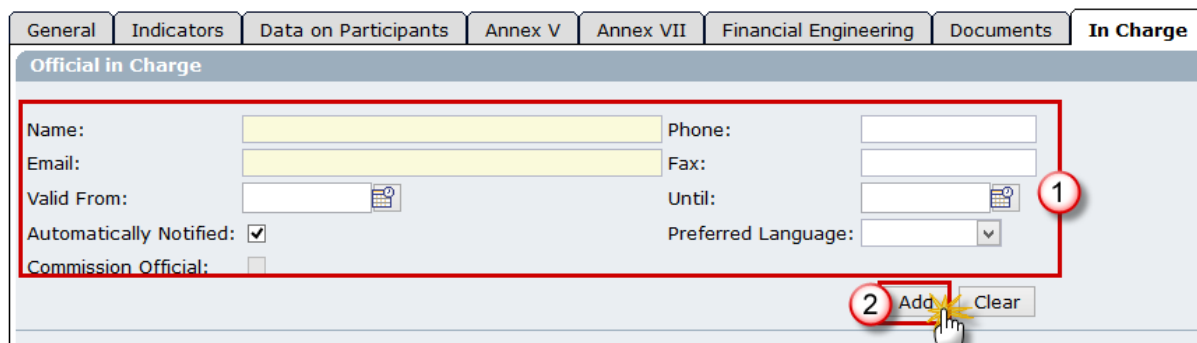
7. Open the **IN CHARGE** tab.

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8. Click on the link [Add a new Official in Charge](#).

The *add officials in charge* screen appears.



9. Enter the requested data (1):

- Name of the official (mandatory).
- E-mail address (mandatory).
- Phone and Fax
- Valid From and Until: (only if the official will be in charge for a specific period)
- Automatically notified: check this option to receive the automatic notifications.
- Preferred Language: the automatic notifications are sent in this language.

10. Click **Add** to add this official in charge to the list (2).

Repeat to add as many Officials in Charge as necessary (no limitation).

TIP

If a user no longer wants or needs to receive the automatic notifications: select the user and uncheck the **AUTOMATICALLY NOTIFIED** option. Click **UPDATE** to save the change.

2.11. The "Synchronise set of indicators" function.

REMARK

This functionality allows the user to update the Set of Indicators for ERDF/CF and ESF that were copied into the Final Implementation Report at creation time with the most recent version of the Set of Indicators linked to an Operational Programme with a Decision Date in or before the Final Implementation Report year.

11. On the *Display Final Implementation Report* screen click on the link [Synchronise Set of Indicators](#).

Note that the Indicator Version set and OP version is displayed in the header.

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[return to list](#) [Delete](#) [Validate](#) [Synchronise Set of Indicators](#) [Print](#) [Print All](#)

Version	
CCI:	2007HU052PO002 Year: 9999
Title:	Competitiveness Programmes Request
Monitoring Committee Approval Date:	03/02/2017
Version of Set of Indicators:	1.1 <input type="checkbox"/> Use is m under Article Regulation (E 1083/2006
Number of Financial Engineering Instruments Operations implemented with Holding Fund :	1
Number of Financial Engineering Instruments Operations implemented without Holding Fund :	1

The *confirm synchronisation of indicators* screen appears:

General Details			
CCI: 2007HU052PO002	Year: 9999	Status: Created	Last Modified: 03/02/2017
Title: Competitiveness Programmes Request (1)			

Do you really want to Synchronise the Set of Indicators?

12. Click **YES** to confirm. You are redirected to the *display Final Implementation Report* screen.

REMARK	Following the synchronisation, it may be necessary to add new achievement values (for newly created indicators) to make it compliant to the new indicators situation. If you need to modify/add/delete data, click on the Edit link of the specific section to get to the corresponding edit tab.
---------------	---

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3. Validate the Final Implementation Report

13. On the *display Final Implementation Report* screen, click on the link [Validate](#) on the upper part of the screen.

The screenshot shows the SFC2007 system interface. At the top, there is a header with the SFC 2007 logo and the text "System for Fund management in the European Community 2007-2013". Below the header, there is a navigation menu with options: Strategic Planning, Programming, Monitoring, Execution, Audit, Evaluation, Closure, Anti-Fraud, Utilities. The "Validate" link is highlighted in red. Below the navigation menu, there is a section for "Version" with the following details: CCI: 2007HU051PO999, Year: 2011, Status: Created, Last Modified: 05/06/2012. The title is "OP DEMO - Empowering people for more jobs and a better quality of life". Other details include Monitoring Committee Approval Date: 31/05/2012, Version of Set of Indicators: 1.3, Number of Financial Engineering Instruments Operations implemented with Holding Fund: 1, and Number of Financial Engineering Instruments Operations implemented without Holding Fund: 1. There is also an "Edit" link at the bottom.

The system checks the consistency of the data. The *validation results* screen appears. If no errors are detected, the message "Object has been validated" appears.

The screenshot shows the SFC2007 system interface after validation. The header and navigation menu are the same as in the previous screenshot. Below the navigation menu, there is a section for "Version" with the following details: CCI: 2007HU051PO999, Year: 2011, Status: Validated, Last Modified: 05/06/2012. The title is "OP DEMO - Empowering people for more jobs and a better quality of life". Below the "Version" section, there is a section for "Latest Validation Results" with a table showing the following message: "Object has been validated." The message is highlighted in red. There is also a "Return to Display" link at the bottom.

REMARK After successful validation, the status of the Dossier is "**Validated**". If errors are detected, the status remains "Created".

TIP If errors are detected and you need to modify/add/delete data, click on the [Return to Display](#) option and click [Edit](#) on the specific section to get to the corresponding edit tab. For the list of validation rules: go to **VALIDATION RULES FOR THE FIR** section.

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4. Send the Final Implementation Report to the Commission

14. After having validated the Indicators set, click on the [Send](#) link on the *display Final Implementation Report* screen.



The screenshot shows the SFC2007 system interface. At the top, there is a header with the SFC2007 logo and the text 'System for Fund management in the European Community 2007-2013'. Below this, there is a navigation menu with options like 'Strategic Planning', 'Programming', 'Monitoring', 'Execution', 'Audit', 'Evaluation', 'Closure', 'Anti-Fraud', and 'Utilities'. The main content area displays a 'Version' record with the following details: CCI: 2007HU051PO999, Year: 2011, Status: Validated, Last Modified: 05/06/2012, Title: OP DEMO - Empowering people for more jobs and a better quality of life, Monitoring Committee Approval Date: 31/05/2012, Version of Set of Indicators: 1.3, Number of Financial Engineering Instruments Operations implemented with Holding Fund: 1, and Number of Financial Engineering Instruments Operations implemented without Holding Fund: 1. A red box highlights the 'Send' link in the navigation menu, and a mouse cursor is pointing at it.

The *send Final Implementation Report* confirmation screen appears.

REMARK	The screenshot of data before send is generated. You can consult this report by clicking on the attachment. This report is an image of the data you are sending. This allows you to verify that the information you are sending is correct and accurate.
---------------	--

15. Click **YES** to confirm the sending. You are redirected to the *Final Implementation Report* screen.

REMARK	The status of the Final Implementation Report is "Sent". In the documents section, the documents have a "Sent Date" which corresponds to the date on which the status was set to "Sent".
---------------	--

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a. Validation rules for the FIR

2.1	validate that the Annual/Final Implementation Report is linked to an adopted Operational Programme present at validation time	error
2.2	validate that the Annual/Final Implementation Report only contains all Region Types present in the last adopted Operational programme Version (EFF)	error
2.3	validate that the Annual/Final Implementation Report only contains all Priorities present in the last adopted Operational programme Version (ERDF/CF, ESF, EFF)	error
2.4	validate that at least one Member State Official in Charge exists	warning
2.5	validate that the Annual/Final Implementation Report is linked to a Set of Indicators sent to a higher node and linked to versions of the Programme with a Commission Decision date in or before the indicated Reporting Year (ERDF/CF, ESF)	error
2.6	validate that the Annual/Final Progress Report refers at least to the following sent Set of Indicator Tables: "G/O Main", "G/O HC" and "R Main" (EAFRD)	error
2.7	validate that the official Annual/Final Implementation Report document is uploaded (ERDF/CF, ESF, EFF)	error
2.8	validate that the Other Annual/Final Progress Report Requirements document for EAFRD is uploaded (EAFRD)	error
2.9	validate that the Financial Execution Table presented by MS is uploaded (EAFRD)	error
2.10	validate that the document type "Monitoring Committee Reports and Conclusions" with a Meeting Date equal to the Monitoring Approval Date is uploaded against the Monitoring Committee object of this Programme	warning
2.12	validate that an achievement value is entered for all years prior or equal to the smallest of the reporting year and the last eligibility year (ERDF/CF and ESF)	warning
2.14	validate that the reporting year, if different from 9999, is \leq current year - 1	error
2.15	validate in Annex XXIII that Woman In is \leq Total In and that Woman Out is \leq Total Out (ESF)	error
2.16	validate that Annex XXIII contains at least one number (ESF)	warning
2.18	validate that there is at least one categorisation record (ERDF/CF and ESF)	error
2.19	validate that the official Categorisation Excel Sheet is uploaded (ERDF/CF, ESF) and that the content can be saved in the database	error
2.20	validate that all Annex XXIII In columns have a value (ESF)	error
2.21	validate in Annex XXIII that: <ul style="list-style-type: none"> ▪ Employed + Unemployed + Inactive is equal to the Total Number of Participants. Both for Total as for Woman. ▪ Long Term Unemployed is smaller or equal to Unemployed. ▪ Self Employed is smaller or equal to Employed. 	error

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	<ul style="list-style-type: none"> ▪ Inactive in education/training is smaller or equal to Inactive ▪ Total of breakdown of participants by educational level is smaller or equal to Total number of participants. 	
2.22	validate that "Use is made of the option under Article 34(2) of Regulation (EC) No 1083/2006" is only true for ERDF/CF and ESF Programmes for the Final Implementation Report from AIR 2010 onwards (ERDF/CF, ESF)	error
2.23	validate that the "Number of FEI Operations implemented with Holding Fund" are equal to the number of Holding Funds defined (ERDF/CF, ESF)	warning
2.24	validate that the "Number of FEI Operations implemented without Holding Fund" are equal to the number of FEIs without Holding Fund defined (ERDF/CF, ESF)	warning
2.25	validate that the "Number of FEI(s) implemented under a Holding Fund (I.6) is equal to the number of FEIs defined under that Holding Fund (ERDF/CF, ESF)	warning

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b. Workflow of the Final Implementation Report in SFC2007

Action	Status	Editable by MS?
The member State creates the FIR and enters the requested data	Created	Yes
The Member State validates the contents of the FIR	Validated	Yes
The Member State Sends the FIR to the Commission	Sent	Only the "Officials in charge" section and sending of "other MS documents"
The Commission considers the FIR as admissible	Admissible	Only the "Officials in charge" section and sending of "other MS documents"
The Commission returns the FIR to the MS for Correction OR The Commission returns the Indicators set to which the FIR is linked	Returned (for correction)	Yes
The Commission gives it Final opinion	Final opinion given	Only the "Officials in charge" section and sending of "other MS documents"
Final Status for the Final Implementation Report:	FINAL OPINION GIVEN	Only the "Officials in charge" section and sending of "other MS documents"

c. Glossary

FIR	FINAL IMPLEMENTATION REPORT
AIR	ANNUAL IMPLEMENTATION REPORT
MS	MEMBER STATE
FEI	FINANCIAL ENGINEERING INSTRUMENTS

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